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INVERSE SPECTRAL RESULTS FOR NON-ABELIAN GROUP ACTIONS

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ABSTRACT. In this paper we will extend to non-abelian groups inverse spectral results, proved by us in an earlier paper, for compact abelian groups, i.e. tori. More precisely, Let **G** be a compact Lie group acting isometrically on a compact Riemannian manifold X. We will show that for the Schrödinger operator $-\hbar^2\Delta + V$ with $V \in C^{\infty}(X)^{\mathsf{G}}$, the potential function V is, in some interesting examples, determined by the **G**-equivariant spectrum. The key ingredient in this proof is a generalized Legendrian relation between the Lagrangian manifolds $\operatorname{Graph}(dV)$ and $\operatorname{Graph}(dF)$, where F is a spectral invariant defined on an open subset of the positive Weyl chamber.

1. INTRODUCTION

Let G be a compact connected Lie group and $\tau : G \times X \to X$ an action of G on a compact manifold X. We will be concerned in this paper with spectral properties of G-equivariant pseudo-differential operator, $P : C^{\infty}(X) \to C^{\infty}(X)$. More explicitly if P is a semi-classical elliptic pseudo-differential operator of order zero and is self-adjoint, then for $f \in C_0^{\infty}(\mathbb{R}), f(P)$ is a well-defined smoothing operator and we will be concerned with the spectral invariants

(1.1)
$$m(f,g) = \operatorname{trace} \tau_a^* f(P).$$

To analyze these invariants let T be a Cartan subgroup of G, t its Lie algebra, \mathfrak{t}^*_+ the positive Weyl chamber in \mathfrak{t}^* and $\mu \in \mathfrak{t}^*_+$ an integral weight. Then the representation of G on $L^2(X)$ decompose into subspaces $L^2(X)_{\mu}$, where the representation of G on $L^2(X)_{\mu}$ is the sum of irreducible representations of G with highest weight μ . Let $\chi_{\mu}(g)$ be the character of this representation. Then the spectral invariants (1.1) can be read off from the spectral measures

(1.2)
$$m_{\mu}(f) = \text{trace } \int \tau_g^* f(P) \chi_{\mu}(g) dg$$

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and we will be concerned below with the asymptotic properties of these measures: i.e. for $\hbar = \frac{1}{k}$, k being a positive integer, the asymptotic of

(1.3)
$$m_{k\mu}(f) = \text{trace } \int \tau_g^* f(P_\hbar) \chi_{k\mu}(g) dg$$

as $\hbar \to 0$ where $\hbar \mapsto P_{\hbar}$ is the dependence of the semi-classical operator P on \hbar .

More explicitly the action, τ , of **G** on X lifts to a Hamiltonian action of **G** on T^*X and modulo assumptions on μ and τ (which we will spell out in §2) the symplectic reduction, $(T^*X)_{\mu}$, is well-defined. Moreover, from the symbol, **p**, of P one gets a reduced symbol

$$(1.4) \qquad \qquad \mathsf{p}_{\mu}: (T^*X)_{\mu} \to \mathbb{R}$$

and the asymptotic properties of the measure (1.3) that we will be concerned with below are consequences of the following

Theorem 1.1. The spectral measure $m_{k\mu}$ has an asymptotic expansion in \hbar ,

(1.5)
$$m_{k\mu}(f) \sim (2\pi\hbar)^{-m} \sum c_i(f,\mu)\hbar^i,$$

where $m = \dim X - \frac{1}{2}(\dim \mathsf{T} + \dim \mathsf{G})$. Moreover,

(1.6)
$$c_0(f,\mu) = \int_{(T^*X)_{\mu}} f(\mathsf{p}_{\mu})\nu_{\mu},$$

where ν_{μ} is the symplectic volume form on $(T^*X)_{\mu}$.

(For the proof of this for G = T see [DGS], and for arbitrary compact Lie group G see [GS], §12.13. For the case where there is no group action, i.e. $G = \{1\}$, see [GW].)

To extract spectral information from this result we will need concrete descriptions of $(T^*X)_{\mu}$ and \mathbf{p}_{μ} , and we will deal with this issue in §2 below. Then in the remainder of this paper we will assume that X is equipped with a Riemannian metric and that P is the semi-classical Schrödinger operator, $\hbar^2 \Delta + V$, and we will attempt to extract information about V from the spectral data (1.6). For instance for each $\mu \in \text{Int}(\mathfrak{t}^*_+)$ the spectral measure (1.6) is supported on an interval $[F(\mu), +\infty)$ and we will show in §3 and §4 that there is a "generalized Legendre relation" Γ associating sets of points in the Lagrangian submanifold

(1.7) graph
$$dV$$

of $T^*(X_1/\mathsf{G})$ (X_1 being the open subset of X on which G acts locally free) with sets of points in the Lagrangian submanifold

(1.8) graph
$$dF$$

of $T^*\mathcal{U}$ (\mathcal{U} being some open subset in the positive Weyl chamber \mathfrak{t}^*_+) and that in some case this is a canonical transformation, in which case V is spectrally determined. For instance if $\mathsf{G} = \mathsf{T}$ and X is a toric variety this turns out to be the case modulo genericity assumptions on X (see [GW2]) and in §5 and §6 we will describe some non-abelian analogues of this result.

2. Reduction

In this section G will be, as above, a compact connected Lie group, M will be a symplectic manifold, and $G \times M \to M$ a Hamiltonian action of G with moment map $\phi : M \to \mathfrak{g}^*$. For $\mathcal{O} \subset \mathfrak{g}^*$ a coadjoint orbit the "reduction of M with respect to \mathcal{O} " is the quotient space, $\phi^{-1}(\mathcal{O})/G$, which, modulo assumptions on \mathcal{O} and ϕ , is a symplectic manifold: the symplectic reduction of M with respect to \mathcal{O} . Before we describe these assumptions, however, we first recall that there is an alternative description of this space. Let T, as in §1, be a Cartan subgroup of G, \mathfrak{t} its Lie algebra and $\mathfrak{t}^*_+ \subset \mathfrak{t}^*$ the positive Weyl chamber in \mathfrak{t}^* . If μ is in $\operatorname{Int}(\mathfrak{t}^+_+)$ (which we'll assume to be the case from now on) the coadjoint orbit \mathcal{O} of G through μ is, as a G-space, just G/T, and the symplectic reduction of M with respect to \mathcal{O} can also be viewed as the quotient, $\phi_T^{-1}(\mu)/T$. In this section we'll recall what the space looks like when M is, as in §1, the cotangent bundle T^*X of a G-manifold X. ¹ From the action of G on X one gets an infinitesimal action of the Lie algebra, \mathfrak{g} , on X,

(2.1)
$$v \in \mathfrak{g} \mapsto v_X \in \operatorname{Vect}(X)$$

and hence for each $p \in X$ a linear map

$$(2.2) v \in \mathfrak{g} \mapsto v_X(p) \in T_p X$$

which one can dualize to get a linear map

(2.3)
$$\phi_p: T_p^* X \to \mathfrak{g}^*,$$

and this linear map is just the restriction to the fiber, T_p^*X , of the G-moment map

(2.4)
$$\phi: T^*X \to \mathfrak{g}^*.$$

Moreover the T-moment map at p is just the composite

(2.5)
$$T_p^*X \to \mathfrak{g}^* \to \mathfrak{t}^*$$

of the mapping (2.3) and the dual of the inclusion map $\iota_{\mathsf{T}} : \mathfrak{t} \hookrightarrow \mathfrak{g}$. In other words, the G-moment map, ϕ , and the T-moment map, ϕ_{T} , are related by

(2.6)
$$\phi_{\mathsf{T}} = \iota_{\mathsf{T}}^* \circ \phi.$$

Now let μ be an element of $Int(\mathfrak{t}_{+}^{*})$. We claim

¹A good reference for the material below is [AM], §4.3-4.5.

Proposition 2.1. If for all $(p,\xi) \in \phi^{-1}(\mu)$, the map (2.5) is surjective, then μ is a regular value of ϕ .

Proof. $\mu \in \text{Int}(\mathfrak{t}^*_+)$ is a regular value of ϕ if and only if, for every $(p,\xi) \in \phi^{-1}(\mu)$ the action of G at (p,ξ) is locally free. However since μ is in $\text{Int}(\mathfrak{t}^*_+)$ its stabilizer in G is equal to its stabilizer in T ; so the action of G at (p,ξ) is locally free if and only if the same is true for the action of T , and this is follows from the surjectivity of (2.5) (so that by duality, the *T*-action on *X* is locally free) and the fact that the stabilizer group of the T -action on *X* at (p,ξ) is contained in the stabilizer group of the T -action on *X* at p. \Box

From this proof we also obtain the following criterion for (2.5) to be surjective:

Proposition 2.2. The map (2.5) is surjective if and only if the action of T at p is locally free.

Proof. Let T_p be the stabilizer of p in T and \mathfrak{t}_p its Lie algebra. Then \mathfrak{t}_p is the kernel of the map

$$v \in \mathfrak{t} \to v_X(p) \in T_p X$$

and hence the image of the map (2.5) is \mathfrak{t}_p^{\perp} . Thus T_p is a finite subgroup of T if and only if (2.5) is surjective.

Since X is compact there are at most a finite number of subtorus, T_r , which can occur as stabilizers of points of X. Thus this result implies

Theorem 2.3. Suppose

 $(2.7) \qquad \qquad \mu \notin \mathfrak{t}_r^{\perp}$

for all of these T_r 's, then for $(p,\xi) \in \phi^{-1}(\mu)$ the map (2.5) is surjective.

Proof. If μ satisfies these conditions, the stabilizer of p has to be a finite subgroup of T and hence (2.5) is surjective.

Another implication of Proposition 2.2 is

Theorem 2.4. If the action of T is effective, the map (2.5) is surjective for an open dense set of p's.

Proof. If the action of T is effective then T_p is the identity group for an open dense set of p's.

Henceforth we'll denote by X_0 the set of points in X where the stabilizer T_p is finite, i.e. when the action of T is locally free.

Theorem 2.5. Let p be a point in the complement of X_0 and μ an element of \mathfrak{t}^* satisfying the conditions of Theorem 2.3. Then if (p_i, ξ_i) is in $\phi^{-1}(\mu)$ and $p_i \to p$, (p_i, ξ_i) tends to infinity in T^*X .

Proof. If not one can, by passing to a subsequence assume that (p_i, ξ_i) converges in T^*X to a limit point, (p, ξ) , and hence that (p, ξ) is in $\phi^{-1}(\mu)$. \Box

Equipping T^*X with a G-invariant inner product, $\langle \cdot, \cdot \rangle$, we get a splitting of T^*X_0 into a direct sum of vector bundles

$$T^*X_0 = H \oplus V,$$

where for each $p \in X_0$, H_p is the kernel of the map (2.5) and V_p its orthocomplement with respect to $\langle \cdot, \cdot \rangle_p$. Hence at every point $p \in X_0$ there is a unique element

(2.8)
$$\alpha_{\mu}(p) \in V_p$$

such that

(2.9)
$$(\phi_{\mathsf{T}})_p(\alpha_\mu(p)) = \mu$$

and hence a unique C^{∞} one-form, α_{μ} , on X_0 with the properties (2.8) and (2.9). In particular by property (2.9) the map

$$(2.10) H \to \phi_{\mathsf{T}}^{-1}(\mu)$$

mapping (p,ξ) onto $(p,\xi + \alpha_{\mu}(p))$ is a T-equivariant diffeomorphism of H onto $\phi_{\mathsf{T}}^{-1}(\mu)$ and hence since the action of T on $\phi_{\mathsf{T}}^{-1}(\mu)$ is locally free we get a diffeomorphism of orbifolds,

(2.11)
$$H/\mathsf{T} \to \phi_{\mathsf{T}}^{-1}(\mu)/\mathsf{T}$$

where the orbifold on the right is the symplectic reduction of T^*X_0 at μ with respect to the action of T.

Next note that since H_p is the kernel of the linear map (2.5) it is the space of $\xi \in T_p^* X$ satisfying

$$(2.12) \qquad \langle v_X(p), \xi \rangle = 0$$

for all $v \in \mathfrak{t}$. In other words it is the set of all vectors $\xi \in T_p^*X_0$ orthogonal to the orbit of T through p, or alternatively

(2.13)
$$H = \pi^* (T^* (X_0 / \mathsf{T}))$$

where π is the projection of X_0 onto X_0/T . Hence the T equivariant diffeomorphism (2.10) gives one a diffeomorphism

(2.14)
$$T^*(X_0/\mathsf{T}) \to \phi_\mathsf{T}^{-1}(\mu)/\mathsf{T}$$

of the cotangent bundle of X_0/T onto the symplectic reduction of T^*X at μ with respect to the action of T on T^*X .

A cautionary remark: The action of T on X_0 is locally free but not necessarily free; hence this is a diffeomorphism of orbifolds. (However in most of the examples we'll be discussing below these orbifolds are manifolds.)

Next note that by (2.5) we have an inclusion

(2.15)
$$\phi^{-1}(\mu) \to \phi_{\mathsf{T}}^{-1}(\mu)$$

and hence an embedding

(2.16)
$$\phi^{-1}(\mu)/\mathsf{T} \to \phi^{-1}_{\mathsf{T}}(\mu)/\mathsf{T}.$$

Thus from the identification (2.14) one gets an embedding

(2.17)
$$\phi^{-1}(\mu)/\mathsf{T} \to T^*(X_0/\mathsf{T}).$$

The image of this embedding is a bit complicated to describe at arbitrary points of X_0/T , however it turns out to have a rather simple description over the open subset, X_1/T , where X_1 is the set of points, $p \in X_0$, at which the action of G itself is locally free. To see this note that if p is in X_1 , the map

(2.18)
$$\phi_p: T_p^* X \to \mathfrak{g}^*$$

is surjective so there exists a unique $\alpha_{\mu}(p) \in T_p^*X$ which is perpendicular to the kernel

(2.19)
$$K_p = \ker(\phi_p)$$

and ϕ_p maps $\alpha_\mu(p)$ onto μ . However, the kernel K_p is contained in the kernel of the map (2.5), so this "G-equivariant definition" of α_μ coincides with the "T-equivariant definition" that we gave above. Moreover the assignment

$$(2.20) p \in X_1 \to K_p \subset T_p^* X_1$$

defines a vector sub-bundle K of T^*X_1 sitting inside the horizontal bundle $H|_{X_1}$ and the pre-image, $\phi^{-1}(\mu)$, is, over X_1 , just the image of K with respect to the mapping (2.10), i.e. over X_1 , $\phi^{-1}(\mu)$ is a fiber bundle with fiber

(2.21)
$$K_p + \alpha_\mu(p)$$

at $p \in X_1$. Moreover over the subspace X_1/T of X_0/T , $\phi^{-1}(\mu)/\mathsf{T}$ has an equally nice description. Since G acts in a locally free fashion on $X_1, X_1/\mathsf{G}$ is well defined as an orbifold, so one has a fibration of orbifold

$$(2.22) \qquad \qquad \gamma: X_1/\mathsf{T} \to X_1/\mathsf{G},$$

and it is easy to see that under the identification

$$\phi_T^{-1}(\mu)/\mathsf{T} \to T^*X_0/\mathsf{T}$$

the space

$$(\phi^{-1}(\mu) \cap T^*X_1)/\mathsf{T}$$

gets mapped on the "horizontal" sub-bundle of $T^*(X_1/\mathsf{T})$ with respect to the fibration, $\gamma: X_1/\mathsf{T} \to X_1/\mathsf{G}$, i.e.

(2.23)
$$(\phi^{-1}(\mu) \cap T^*X_1)/\mathsf{T} \simeq \gamma^* T^*(X_1/\mathsf{G}).$$

We will conclude this section by saying a few words about the elliptic operator, P, in section one and its "reduced symbol", (1.4).

Proposition 2.6. Let

 $(2.24) p: T^*X \to \mathbb{R}$

be the symbol of the operator P. Then $p|_{\phi^{-1}(\mu)}$ is proper.

Proof. By ellipticity (2.24) is proper, therefore if its restriction to $\phi^{-1}(\mu)$ were not proper there would exist a sequence of points $(p_i, \xi_i) \in \phi^{-1}(\mu)$ converging to a point (p, ξ) not on $\phi^{-1}(\mu)$ and this can't happen by Theorem 2.5.

Since the function $p|_{\phi^{-1}(\mu)}$ is T-invariant there is a unique function

$$(2.25) \qquad \qquad \mathsf{p}_{\mu}: \phi^{-1}(\mu)/\mathsf{T} \to \mathbb{R}$$

whose pull back to $\phi^{-1}(\mu)$ is $\mathsf{p}|_{\phi^{-1}(\mu)}$ and this, by definition, is the reduced symbol (1.4) of *P*. Thus we get as a corollary of Proposition 2.6

Theorem 2.7. The reduced symbol (1.4) is proper and in particular the spectral invariants (1.6) are well-defined.

In addition we get as a corollary

Proposition 2.8. The one form α_{μ} , viewed as a map

$$(2.26) \qquad \qquad \alpha_{\mu}: X_0 \to T^* X_0$$

is proper.

3. The Schrödinger operator

As in §2 we will equip T^*X with a G-invariant inner product. Now, however, we will use the inner product to define a G-invariant Riemannian metric on X and denote by

(3.1)
$$\Delta: C^{\infty}(X) \to C^{\infty}(X)$$

the associated Laplacian. In addition, given a potential function, $V: X \to \mathbb{R}$, we get from Δ and V a semi-classical Schrödinger operator

(3.2)
$$\hbar^2 \Delta + V$$

which is elliptic, self-adjoint and, thanks to the factor \hbar^2 , is semi-classically a differential operator of order zero with leading symbol

(3.3)
$$\mathbf{p}(x,\xi) = \langle \xi, \xi \rangle_x + V(x).$$

Next recall that for $\mu \in \text{Int}(\mathfrak{t}^*_+)$ and $p \in X_0$ the level set, $\phi^{-1}(\mu)$, of the moment map, (2.4), intersects T_p^*X in the set

$$K_p + \alpha_\mu(p),$$

where K_p is the kernel of the map (2.3) (i.e. is a linear subspace of T_p^*X). Hence the minimum value of $\mathbf{p}|_{T_p^*X}$ is just

(3.4)
$$\langle \alpha_{\mu}(p), \alpha_{\mu}(p) \rangle_{p} + V(p)$$

the function

(3.5)
$$p \in X_0 \mapsto \mathsf{p}_\mu(p) := \langle \alpha_\mu(p), \alpha_\mu(p) \rangle_p + V(p),$$

being the "effective potential of the Schrödinger operator restricted to the space, $L^2_{\mu}(X)$." (See [AM], §4.5). By Proposition 2.8, this function is proper and tends to $+\infty$ as p tends to the boundary of X_0 , and hence its minimum value,

$$F(\mu) = \min_{p \in X_0} \mathsf{p}_{\mu}(p),$$

is well-defined. Moreover, since the spectral measure, (1.6), is supported on the interval, $[c_{\mu}, +\infty)$ and c_{μ} is, by (3.3), equal to $F(\mu)$, the function, F, is a spectral invariant of the Schrödinger operator.

Let's now assume that the subset, X_1 , of X on which the action of G is locally free is a dense subset of X_0 , and denote by Y and Z the quotients, X_0/T and X_1/G , and by Y_1 the open dense subset X_1/T of Y. Then since the first summand of (3.4) is T -invariant, it is the pull-back to X_0 of a C^{∞} function, $W(y,\mu)$ on Y_1 , and since the second summand is G -invariant, it is the pull back to X_1 of a function on Y_1 of the form, γ^*V_{red} , where V_{red} is a function on Z and γ is the projection of Y_1 onto Z.

Now let \mathcal{U} be the subset of μ 's in $\operatorname{Int}(\mathfrak{t}^*_+)$ having the property that (3.4) takes its minimum value in X_1 . Then, for generic choices of V_{red} , \mathcal{U} is an open dense subset of $\operatorname{Int}(\mathfrak{t}^*_+)$ and $F(\mu)$ can also be thought of as the minimum value of the function

(3.6)
$$y \in Y \mapsto W(y,\mu) + \gamma^* V_{red}$$

The question we want to explore in the rest of this paper is to what extend V_{red} is determined by the spectral invariants, c_{μ} , and hence by the function, F. To answer this question we'll begin by exploring some relations between these two functions: suppose the function (3.6) has a unique minimum point, $y_0 \in Y_1$ and that in addition, y_0 is a non-degenerate minimum. Then there exists a neighborhood, \mathcal{U}_0 of μ_0 in \mathcal{U} such that for every $\mu \in \mathcal{U}_0$ the function (3.6) has a unique minimum at $y = f(\mu)$, and such that the map, $\mu \in \mathcal{U}_0 \to f(\mu)$, is smooth. Moreover at $y = f(\mu)$,

(3.7)
$$\frac{\partial}{\partial y} \left(W(y,\mu) + \gamma^* V_{red}(y) \right) = 0$$

and since $F(\mu) = W(f(\mu), \mu) + \gamma^* V_{red}(f(\mu))$, we have

(3.8)
$$\frac{\partial}{\partial\mu}F(\mu) = \frac{\partial}{\partial y}\left(W + \gamma^* V_{red}\right)\left(f(\mu), \mu\right)\frac{\partial f}{\partial\mu} + \frac{\partial}{\partial\mu}W(f(\mu), \mu).$$

Therefore (3.7) and (3.8) imply the identities

(3.9)
$$\frac{\partial W}{\partial y}(y,\mu) = -\frac{\partial}{\partial y}\gamma^* V_{red}(y)$$

and

(3.10)
$$\frac{\partial W}{\partial \mu}(y,\mu) = \frac{\partial}{\partial \mu}F(\mu)$$

at $y = f(\mu)$. However, the condition " $y = f(\mu)$ " is already implicitly implied by (3.9), and W depends neither on V_{red} nor on F, so these identities should in principle enable us to read off information about V_{red} from equivariant spectral data and, in particular, give one some hope of actually determining V_{red} if the space $\mathcal{U}_0 \subset \operatorname{Int}(\mathfrak{t}^*_+)$ on which F is defined has the same dimension as the space, X_1/G , on which V_{red} is defined. More explicitly let

(3.11)
$$\Gamma_Z \subset T^*Y \times T^*Z$$

be the canonical relation defined by stipulating that $((y,\xi),(z,\eta))$ is in Γ_Z if and only if

(3.12)
$$z = \gamma(y)$$
 and $-\xi = (d\gamma)_y^* \eta_y$

Similarly for $\mathcal{U}_0 \subset \operatorname{Int}(\mathfrak{t}^*_+)$, let

(3.13)
$$\Gamma_W \subset T^* \mathcal{U}_0 \times T^* Y$$

be the canonical relation defined by stipulating that $((\mu, \tau), (y, \xi))$ is in Γ_W if and only if

In addition, suppose that these canonical relations are transversally composable, i.e. that the composite canonical relation

(3.15)
$$\Gamma = \Gamma_Z \circ \Gamma_W$$

is well-defined. Then if Z and \mathfrak{t}^* have the same dimension, i.e. if

$$\dim X = \dim \mathsf{G} + \dim \mathsf{T},^2$$

it makes sense to ask whether the canonical relation Γ is actually the graph of a canonical transformation

$$(3.17) \qquad \Phi: T^*\mathcal{U}_0 \to T^*Z,$$

or at least that a local version of this assertion is true in the neighborhood of a point (μ_0, z_0) in $\mathcal{U}_0 \times Z$. This would then imply by (3.9) and (3.10) that the graph of $-dV_{red}$ is the image of the graph of dF with respect to this transformation and hence that V is spectrally determined up to an additive

²If X is a G-manifold with dim $X/G < \dim T$, then one may pick a subtorus T_1 of T with dim $T_1 = \dim T - \dim X/G$ and pick a T_1 -toric manifold Y, so that the natural $G \times T_1$ -action on $X \times Y$ satisfies the dimension assumption.

constant (or that a local version of this assertion is true in a neighborhood of z_0). We will examine this question in more detail in the next section. More explicitly, we will describe conditions on the function, $W(y,\mu)$, and the fibration, $Y \to Z$, which guarantee that Γ_Z and Γ_W are transversally composable and that their composition is the graph of a canonical transformation.

4. The generalized Legendre transform

Let Y be an n + k dimensional manifold, Z and U be n dimensional manifolds and $\pi : Y \to Z$ a fibration. Given $W(y, \mu) \in C^{\infty}(Y \times \mathcal{U})$ we would like to understand the composite canonical relation, $\Gamma_{\pi} \circ \Gamma_{W}$, where $\Gamma_{\pi} \subset T^{*}Y \times T^{*}Z$ is the canonical relation

(4.1)
$$(z,\xi,y,\eta) \in \Gamma_{\pi} \text{ iff } z = \pi(y) \text{ and } \eta = (d\pi)_y^* \xi$$

and $\Gamma_W \subset T^*\mathcal{U} \times T^*Y$ is the canonical relation

(4.2)
$$(y,\eta,\mu,\nu) \in \Gamma_W \text{ iff } \eta = -\frac{\partial W}{\partial y}(y,\mu) \text{ and } \nu = \frac{\partial W}{\partial \mu}(y,\mu)$$

To do so we will first fix some notation. For every $y \in Y$ let F_y be the fiber of π containing y and let $T^*_{vert}Y$ be the vector bundle whose fiber at $y \in Y$ is the cotangent space of F_y at y. Given a function $\rho \in C^{\infty}(Y)$ we will define its fiber derivative, $d_{fiber}\rho$, to be the section of $T^*_{vert}Y$ which at $y \in Y$ takes the value

$$(4.3) (d\iota_F^*\rho)(y)$$

 ι_F being the inclusion map, $F_y \to Y$.

Now let's fix $\mu \in \mathcal{U}$ and for the moment regard $W(y,\mu)$ as a function $W_{\mu}(y)$ on Y. We will make the assumption

(I) For every $\mu \in \mathcal{U}$, the section $d_{fiber}W_{\mu}$ of $T^*_{vert}Y$ intersects the zero section of $T^*_{vert}Y$ transversally.

To see what this condition means in coordinates let y = (z, v) be a π adapted coordinate system on Y, i.e. let $z = (z_1, \dots, z_n)$ be a coordinate system on Z and for z fixed, let $v = (v_1, \dots, v_k)$ be a coordinate system on the fiber above z. Then in coordinates it's easy to see that condition (I) reduces to the condition that the $k \times k$ matrix

(4.4)
$$\frac{\partial^2 W_{\mu}}{\partial v_i \partial v_j}(z, v), \quad 1 \le i, j \le k$$

be non-degenerate at points where $\frac{\partial}{\partial v}W_{\mu}(z,v) = 0$, in other words that at such points the mapping

(4.5)
$$v \mapsto \frac{\partial}{\partial v} W_{\mu}(z, v)$$

be, for z fixed, locally a diffeomorphism.

One implication of condition (I) is that the set

(4.6)
$$Z_{\mu} = \{ y \in Y \mid d_{fiber} W_{\mu}(y) = 0 \}$$

is a submanifold of Y of dimension n and that the projection

(4.7)
$$\pi: Z_{\mu} \to Z$$

is locally a diffeomorphism. We will now strengthen this assumption by assuming

(II) The projection $\pi: Z_{\mu} \to Z$ is a covering map

Thus in particular if Z is simply connected this condition implies

- (III) Each connected component of Z_{μ} is mapped diffeomorphi-
- (111) cally onto Z by the map (4.7)

We will now show that the conditions (I)–(III) are satisfied by the function (3.6), at generic points of Y_1 . To do so we will begin by giving an alternative description of this function: As in §1 let **G** be a compact connected Lie group, $\mathbf{G} \times X \to X$ an effective action of **G** on X, $\langle \cdot, \cdot \rangle$ a **G**-invariant inner product on T^*X and X_1 the open subset of X on which the action of **G** is locally free. Then for $p \in X_1$ one has an injective linear mapping

$$v \in \mathfrak{g} \to v_X(p) \in T_p X$$

and a dual moment mapping

(4.8)
$$\phi_p: T_p^* X \to \mathfrak{g}^*.$$

For $\mu \in \mathfrak{g}^*$ let $\alpha_{\mu}(p)$ be the unique element of $(\ker \phi_p)^{\perp}$ that gets mapped by (4.8) onto μ and let $\alpha_{\mu} \in \Omega^1(X_1)$ be the one form, $p \in X_1 \mapsto \alpha_{\mu}(p)$. By G-equivariance the map

(4.9)
$$\mu \in \mathfrak{g}^* \to \alpha_\mu \in \Omega^1(X_1)$$

intertwines the coadjoint action of G on \mathfrak{g}^* and the action $g \mapsto \tau_g^*$ of G on $\Omega^1(X_1)$.

Consider now the function

(4.10)
$$W(x,\mu) = \langle \alpha_{\mu}(x), \alpha_{\mu}(x) \rangle_{x}$$

(This is a slight variant of the function $W(y,\mu)$ in display (3.6) since it is defined on $X_1 \times \mathfrak{g}^*$ rather than on $X_0/T \times \mathfrak{t}^*$.) By (4.9) this function has the equivariant property

(4.11)
$$W(gx,\mu) = W(x, \operatorname{Ad}(g)^*\mu)$$

and we will examine the non-degeneracy of the matrix (4.4) using this more equivariant description of W. In (4.4) the z_i 's are, for a point $p_0 \in X_1$, coordinates on a neighborhood of the image point in X_1/G and the v_i 's are coordinates on the fiber of the fibration, $Y_1 \to Z_1$, above this point. Note, however, that for $\mu \in \text{Int}(\mathfrak{t}^*_+)$ the stabilizer of μ in G with respect to the Ad^{*} action of G on \mathfrak{g}^* is T and the G orbit through μ is just the coadjoint orbit, \mathcal{O} , through μ in \mathfrak{g}^* .

Hence by the equivariance property (4.9) the non-degeneracy condition (I) can be reformulated as follows: Let B be the quadratic form on \mathfrak{g}^* associated with the inner product \langle , \rangle_p on T_pX via the bijective linear map

$$\alpha_{\mu}(\phi) \in (\ker \phi_p)^{\perp} \to \mu$$

defined by (4.9) and let $\rho = B|_{\mathcal{O}}$. Then condition (I) is equivalent to "For every $\mu \in \mathcal{O}$ at which $d\rho_{\mu} = 0$, $(d^2\rho)_{\mu}$ is non-degenerate."

We will prove in an appendix to this paper that for generic choices of B this condition is satisfied for all generic coadjoint orbits of G, i.e. orbits of the form, $G \cdot \mu$, $\mu \in \mathfrak{t}_{+}^{*}$.

Turning to the condition (II) and (III) we note that if we assume that the action of G on X_1 is free rather than just locally free, Y_1 and Z_1 are the quotient manifolds X_1/T and X_1/G and in particular Y_1 is a fiber bundle over Z_1 with fiber $\mathcal{O} = \mathsf{G}/\mathsf{T}$.

Hence by the equivariance property (4.11) of $W(x,\mu)$, these conditions are satisfied as well.

5. Inverse results

Coming back to the canonical relation (3.15) we note that in view of the computations in Section 4 this canonical relation has a finite number of connected components, among them a minimal component which relates the Lagrangian manifolds in T^*Z and $T^*\mathcal{U}$ defined by the graphs of dV_{red} and $dF(\mu)$. Hence, as we explained in Section 3 this potentially gives us an inverse spectral result that determine V_{red} , up to an additive constant, from spectral data of the Schrödinger operator $\hbar^2 \Delta + V$. We will now describe some assumptions that will enable us to prove this is the case.

Recall from Section 2 that the canonical relation we just alluded is the canonical relation

$$\Gamma \subset T^*(Z \times \mathcal{U})$$

defined by the graph of the map

(5.1)
$$(z,\mu) \in Z \times \mathcal{U} \to dW_{\mu}(z)$$

and to prove the inverse spectral result described above by the method of Section 3 we will need to show that for generically chosen G-invariant Riemannian metric on X this is the graph of a symplectomorphism. We have already shown in Section 3 that for a generically chosen G-invariant Riemannian metric on X conditions (I) and (II) are satisfied and we will show below tat this stronger result is true.

The key ingredient in the proof is the following alternative description of the function $W(y,\mu)$ on $Y \times \mathfrak{t}_{+}^{*}$. Fixing an $x_0 \in X_1$ let y_0 and z_0 be the

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projections of x_0 onto Y and Z. Then via the map $g \mapsto g \cdot x_0$, the fiber of X_1 above z_0 can be identified with G and the fiber above z_0 in Y with G/T. Mover, the fiber above z_0 in Y can, via the map

$$\operatorname{Ad}^* : \mathsf{G} \times \mathfrak{t}^* \to \mathfrak{g}^*, \quad (g,\mu) \mapsto \operatorname{Ad}(g)^*\mu,$$

be identified with the coadjoint orbit $\mathbf{G} \cdot \boldsymbol{\mu} = \mathcal{O} \subset \mathfrak{g}^*$. Moreover the Riemannian metric on X defines a positive definite bilinear form on $T^*_{x_0}(\pi^{-1}(z_0))$ and hence, via the identification above, a positive definite bilinear form, B, on \mathfrak{g}^* , and the restriction of this bilinear form to \mathcal{O} is, via the identification above, just the function $\langle \alpha_{\mu}, \alpha_{\mu} \rangle$ restricted to the fiber in Y above z_0 . Thus the computation of the critical values of the function

$$y \in Y \mapsto W(y,\mu)$$

on the fiber of Y above z can be reduced to the computation of the critical value of this function.

A particularly interesting example of a bilinear function on \mathfrak{g}^* is the Killing form $C: \mathfrak{g}^* \to \mathbb{R}$ which has the property that it is a positive definite quadratic form on \mathfrak{g}^* and is G-invariant, i.e., is constant on coadjoint orbits. Hence in the alternative description of the function $W(y,\mu)$ that we have just provided we can, if so minded, replace the *B* in this alternative definition of $W(y,\mu)$ by $B + \lambda C$, where λ is a constant, and, in fact, in a small neighborhood of z_0 we can make this constant a function $\rho(z,\mu)$, where $z = \pi(z)$ and $\mu \in \mathfrak{t}^*_+$.

Let us now assume as in Section 4 that B is a Morse function on the coadjoint orbit

$$\mathcal{O} = \mathsf{G} \cdot \mu, \quad \mu \in \mathfrak{t}_+^*$$

having distinct critical values and as above let $\widetilde{W}(z,\mu)$ be the minimal value of the function, $W(y,\mu)$ defined by this *B*. Then, replacing *B* by $B + \lambda C$, $\widetilde{W}(z,\mu)$ gets replaced by $\widetilde{W}(z,\mu) + f(z,\mu)$, where

(5.2)
$$f(z,\mu) = \rho(z,\mu)C(\mu,\mu).$$

Therefore, the implication of this observation is that by perturbing the Riemannian metric that we used to define the Schrödinger operator, $\hbar^2 \Delta + V$, we can, on a neighborhood of z_0 , convert the function $\widetilde{W}(z,\mu)$ to a function

(5.3)
$$W(z,\mu) + f(z,\mu)$$

where $f(z,\mu)$ is a more or less arbitrary function of (z,μ) on this neighborhood. In particular we can perturb $\widetilde{W}(z,\mu)$ so that, locally on this neighborhood the matrix

(5.4)
$$\frac{\partial^2 W(z,\mu)}{\partial z_i \partial \mu_j}, \qquad 1 \le i,j \le n$$

is non-degenerate, i.e. locally near (z_0, μ) , the canonical relation

(5.5)
$$\Gamma_W = \operatorname{graph} \, dW \subset T^*(Z \times \mathcal{U})$$

is the graph of a symplectomorphism

 $\gamma: T^*\mathcal{U} \to T^*Z$

mapping the graph of dF onto the graph of dV_{red} (in other words determining V_{red} , up to an additive constant, from the spectral data supplied by $F(\mu)$.)

6. KILLING METRICS

We will define a metric on the fiber bundle, $Y_1 \to Z_1$, to be a *Killing metric* if its restriction to the fibers

$$\mathcal{O}_{\mu}, \ \mu \in t_{+}^{*}$$

of the fibration are of the form, $\rho(z,\mu)C_{\mu}$ where C_{μ} is the Killing form on \mathcal{O}_{μ} and $\rho(z,\mu) \ge C^{\infty}$ function on $Z \times t_{+}^{*}$ (i.e. looks like the perturbative term (5.2) in the expression (5.3).) For metrics of this form the non-degeneracy condition (5.4) reduces to the condition that for all (z,μ) the matrix

(6.1)
$$\frac{\partial}{\partial z_i} \frac{\partial}{\partial \mu_j} \rho(z,\mu), \quad 1 \le i, j \le \mu$$

be non-degenerate, and in a projected sequel to this paper we will use the techniques developed above to prove inverse spectral results for some interesting examples of G-manifolds with the property that they are homogeneous spaces for the actions of a Lie group containing G. We will confine ourselves here however to illustrating how this can be done if one takes X to be $\mathbb{C}P^2$ (viewed as a degenerate coadjoint orbit of SU(3)) and takes G to be SU(2). To do so we will make use of the following elementary result

Lemma 6.1. Let Z_1 and Z_2 be subintervals of the real line, f_1 and f_2 functions on Z_1 and Z_2 , $\pi_i : T^*Z_i \to Z_i$ the cotangent projection and $\Gamma \subset T^*X_1 \times T^*X_2$ a canonical relation having the property that

(6.2)
$$\Gamma_{df_1} = \Gamma \circ \Gamma_{df_2}.$$

In addition suppose $\frac{df_1}{dx_1}(x_1)$ and $\frac{df_2}{dx_2}(x_2)$ are strictly positive. Then Γ is the graph of a symplectomorphism.

Proof. Without loss of generality we can assume f_1 and f_2 are the coordinate functions on X_1 and X_2 and interpret (6.2) to mean that

$$\pi_1^* df_1 - \pi_2^* df_2$$

restricted to Γ vanishes. Then $f_1 - f_2$ is constant on Γ , so without loss of generality we can assume $f_1 = f_2$ on Γ , i.e. since f_1 and f_2 are the coordinate functions on X_1 and X_2 , that $X_1 = X_2$, and that

$$(x_1, \xi_1, x_2, \xi_2) \in \Gamma \iff x_1 = x_2 \text{ and } \xi_1 = dx_1 = \xi_2 = dx_2,$$

i.e. we can conclude that Γ has to be the identity map.

To apply this result to the action of SU(2) on the space $\mathbb{C}P^2$ we must first specify what we mean by this action. This is defined by thinking of SU(2)as the subgroup of SU(3) consisting of linear mappings which fix the vector (0,0,1) and then taking the action of SU(2) on $\mathbb{C}P^2$ to be the quotient action on $(\mathbb{C}^3 - 0)/\mathbb{C} - 0$. This is not a free action since it fixes the image in $\mathbb{C}P^2$ of the vector (0,0,1); but it does act freely on the complement $(\mathbb{C}P^2)_1$ of this point and the quotient by this action is just $(0,\infty)$. Thus in terms of this notation we have:

(6.3)
$$(\mathbb{C}P^2)_1/S\mathcal{U}(2) = (0,\infty)$$

and

(6.4)
$$t_{+}^{*} = (0, \infty)$$

so we can think of these spaces as copies of the interval (0,8) and the functions

(I)
$$f(\mu, z) = \langle \alpha_{\mu}(z), \alpha_{\mu}(z) \rangle + V(z)$$

and
(II) $\tilde{f}(\mu, z) = \langle \alpha_{\mu}(z), \alpha_{\mu}(z) \rangle$

as functions on the product, $(0, \infty) \times (0, \infty)$, of these intervals.

The function (I) is a bounded perturbation of the function (II) and hence for μ large

$$F(\mu) = \min_{x} f(\mu, z)$$

is a bounded perturbation of the function

$$\begin{split} \tilde{F}(\mu) &= \min_{z} \langle \alpha_{\mu}(z), \alpha_{\mu}(z) \rangle \\ &= \mu^{2} \min_{z} \langle \alpha_{1}(z), \alpha_{1}(z) \rangle \\ &= \tilde{C} \mu^{2} \end{split}$$

Hence for μ large

$$\frac{\partial F}{\partial \mu}(\mu) \neq 0$$

We will now assume by hypothesis that the potential function, $V_{red}(z)$, is strictly increasing and hence by Lemma 6.1 that the canonical relation defined by (3.15) is the graph of a symplectomorphism, i.e. that the spectral invariant, $F(\mu)$ determines the potential function $V_{red}(z)$.

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Remark 6.2. The argument above applies, mutatis mutandis, to lots of other examples besides the \mathbb{CP}^2 example above, i.e. to all examples for which the space, Z = X/G, is one dimensional. (Another interesting example of such a space is the generic coadjoint orbit of SO(4) viewed as an SO(3) manifold.)

APPENDIX A. THE GENERICITY OF ADMISSIBLE METRICS

We will prove below the genericity result for quadratic forms, B, that we cited in $\S4$.

Let \mathcal{B} be the set of all positive definite quadratic forms on \mathfrak{g}^* . We are interested in $B \in \mathcal{B}$ such that

for every coadjoint orbit $\mathcal{O}_{\mu} = \mathsf{G} \cdot \mu, \ \mu \in \mathfrak{t}^*_+$, the function

(*)
$$\rho_B^{\mu} = B|_{\mathcal{O}_{\mu}} : \mathcal{O}_{\mu} \to \mathbb{R}$$

is a Morse function.

Theorem A.1. The set of positive definite quadratic functions $B : \mathfrak{g}^* \to \mathbb{R}$ such that (*) holds is dense and open in \mathcal{B} .

Proof. Let $Y \to \mathfrak{t}^*_+$ be the fiber bundle over \mathfrak{t}^*_+ with fiber \mathcal{O}_μ over μ , and let W be the fiber bundle over \mathfrak{t}^*_+ with fiber $T^*\mathcal{O}_\mu$ over μ . Then, via the map $T^*\mathcal{O}_\mu \to \mathcal{O}_\mu$, W can also be thought of as a fiber bundle over Y. Moreover the map

$$\iota:Y\to W$$

mapping \mathcal{O}_{μ} onto the zero section of $T^*\mathcal{O}_{\mu}$ gives one an embedding of Yinto W. We define a map $\tilde{\rho}: Y \times \mathcal{B} \to W$ as follows: for any $y = (\mu, z) \in Y$, where $z \in \mathcal{O}_{\mu}$, we let

$$\tilde{\rho}(y,B) = (\mu, z, (d\rho_B^{\mu})_z) \in W.$$

It is easy to see that $\tilde{\rho}$ intersects the embedded image of Y in W transversally, i.e. for any (μ, z, B) such that $(d\rho_B^{\mu})_z = 0$, one has

$$\operatorname{Im}(d\tilde{\rho})_{\mu,z,B} + T_{\mu,z,0}Y = T_{\mu,z,0}W$$

which follows from the fact that for any given $(\mu, z) \in Y$, the map

$$\phi_{\mu,z}: \mathcal{B} \to T_z^*\mathcal{O}_\mu, \quad B \mapsto (d\rho_B^\mu)_z$$

is always surjective.

Hence by the transversality theorem of Thom, the set of $B \in \mathcal{B}$ such that the map

$$\rho_B: Y \to Y \times \mathcal{B} \to W$$

is transverse to the embedded image, $\iota(Y)$ of Y in W, is dense and open. The latter implies that the map

$$d\rho_B^\mu: \mathcal{O}_\mu \to T^*\mathcal{O}_\mu$$

intersects the zero section of $T^*\mathcal{O}_{\mu}$ transversally, in other words, $\rho_B^{\mu}|_{\mathcal{O}_{\mu}}$ is a Morse function on \mathcal{O}_{μ} for all $\mu \in \mathfrak{t}^*_+$.

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