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# AN APPLICATION OF COLLAPSING LEVELS TO THE REPRESENTATION THEORY OF AFFINE VERTEX ALGEBRAS

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ABSTRACT. We discover a large class of simple affine vertex algebras  $V_k(\mathfrak{g})$ , associated to basic Lie superalgebras  $\mathfrak{g}$  at non-admissible collapsing levels  $k$ , having exactly one irreducible  $\mathfrak{g}$ -locally finite module in the category  $\mathcal{O}$ . In the case when  $\mathfrak{g}$  is a Lie algebra, we prove a complete reducibility result for  $V_k(\mathfrak{g})$ -modules at an arbitrary collapsing level. We also determine the generators of the maximal ideal in the universal affine vertex algebra  $V^k(\mathfrak{g})$  at certain negative integer levels. Considering some conformal embeddings in the simple affine vertex algebras  $V_{-1/2}(C_n)$  and  $V_{-4}(E_7)$ , we surprisingly obtain the realization of non-simple affine vertex algebras of types  $B$  and  $D$  having exactly one non-trivial ideal.

## 1. INTRODUCTION

Affine vertex algebras are one of the most interesting and important classes of vertex algebras. Categories of modules for simple affine vertex algebra  $V_k(\mathfrak{g})$ , associated to a simple Lie algebra  $\mathfrak{g}$ , have mostly been studied in the case of positive integer levels  $k \in \mathbb{Z}_{\geq 0}$ . These categories enjoy many nice properties such as: finitely many irreducibles, semisimplicity, modular invariance of characters (cf. [26], [31], [33], [41]).

In recent years, affine vertex algebras have attracted a lot of attention because of their connection with affine  $\mathcal{W}$ -algebras  $W_k(\mathfrak{g}, f)$ , obtained by quantum Hamiltonian reduction (cf. [21], [23], [34], [35]). Since the quantum Hamiltonian reduction functor  $H_f(\cdot)$  maps any integrable  $\widehat{\mathfrak{g}}$ -module to zero (cf. [12], [34]), in order to obtain interesting  $\mathcal{W}$ -algebras, one has to consider affine vertex algebras  $V_k(\mathfrak{g})$ , for  $k \notin \mathbb{Z}_{\geq 0}$ .

It turns out that for certain non-admissible levels  $k$  (such as negative integer levels), the associated vertex algebras  $V_k(\mathfrak{g})$  have finitely many irreducibles in category  $\mathcal{O}$  (cf. [15], [17], [40]), and their characters satisfy certain modular-like properties (cf. [14]). These affine vertex algebras then give  $C_2$ -cofinite  $\mathcal{W}$ -algebras  $W_k(\mathfrak{g}, f)$ , for properly chosen nilpotent element  $f$  (cf. [36], [38]).

In this paper, we classify irreducible modules in the category  $KL_k$  (i.e. the category of  $\mathfrak{g}$ -locally finite  $V_k(\mathfrak{g})$ -modules in  $\mathcal{O}^k$  (see Subsection 2.3)) for a large family of collapsing levels  $k$ . Recall from [4] that a level  $k$  is called *collapsing* if the simple  $\mathcal{W}$ -algebra  $W_k(\mathfrak{g}, \theta)$ , associated to a minimal nilpotent element  $e_{-\theta}$ , is isomorphic to its affine vertex subalgebra  $\mathcal{V}_k(\mathfrak{g}^{\natural})$  (see Definition 2.2 and (2.7)). In the present paper we keep the notation of [4]. In particular, the highest root is normalized by the condition  $(\theta, \theta) = 2$ . We discover a large family of vertex algebras having one irreducible module in the category  $KL_k$ , which in a way extends the results on Deligne series from [15]. Part (1) is proven there in the Lie algebra case.

**Theorem 1.1.** *Assume that the level  $k$  and the basic simple Lie superalgebra  $\mathfrak{g}$  satisfy one of the following conditions:*

- (1)  $k = -\frac{h^\vee}{6} - 1$  and  $\mathfrak{g}$  is one of the Lie algebras of exceptional Deligne's series  $A_2, G_2, D_4, F_4, E_6, E_7, E_8$ , or  $\mathfrak{g} = \mathfrak{psl}(m|m)$  ( $m \geq 2$ ),  $\mathfrak{osp}(n + 8|n)$  ( $n \geq 2$ ),  $\mathfrak{spo}(2|1)$ ,  $F(4)$ ,  $G(3)$  (for both choices of  $\theta$ );
- (2)  $k = -h^\vee/2 + 1$  and  $\mathfrak{g} = \mathfrak{osp}(n + 4m + 8|n)$ ,  $n \geq 2, m \geq 0$ .
- (3)  $k = -h^\vee/2 + 1$  and  $\mathfrak{g} = D_{2m}$ ,  $m \geq 2$ .
- (4)  $k = -10$  and  $\mathfrak{g} = E_8$ .

Then  $V_k(\mathfrak{g})$  is the unique irreducible  $V_k(\mathfrak{g})$ -module in the category  $KL_k$ .

We also prove a complete reducibility result in  $KL_k$  (cf. Theorem 5.9, Theorem 5.7):

**Theorem 1.2.** *Assume that  $\mathfrak{g}$  is a Lie algebra and  $k \in \mathbb{C} \setminus \mathbb{Z}_{\geq 0}$ . Then  $KL_k$  is a semi-simple category in the following cases:*

- $k$  is a collapsing level.
- $W_k(\mathfrak{g}, \theta)$  is a rational vertex operator algebra.

It is interesting that in some cases we have that  $KL_k$  is a semi-simple category, but there can exist indecomposable but not irreducible  $V_k(\mathfrak{g})$ -modules in the category  $\mathcal{O}$ . In order to prove Theorem 1.2 we modified methods from [28] and [20] in a vertex algebraic setting. In particular we prove that the contravariant functor  $M \mapsto M^\sigma$  from [20] acts on the category  $KL_k$  (cf. Lemma 3.6). Then for the proof of complete reducibility in  $KL_k$  it is enough to check that every highest weight  $V_k(\mathfrak{g})$ -module in  $KL_k$  is irreducible (cf. Theorem 5.5).

Representation theory of a simple affine vertex algebra  $V_k(\mathfrak{g})$  is naturally connected with the structure of the maximal ideal in the universal affine vertex algebra  $V^k(\mathfrak{g})$ . In the second part of paper we present explicit formulas for singular vectors which generate the maximal ideal in  $V^{2-2\ell}(D_{2\ell})$  (which is case (3) of Theorem 1.1) and  $V^{-2}(D_\ell)$ . In the second case, we show that the Hamiltonian reduction functor  $H_\theta(\cdot)$  gives an equivalence of the category of  $\mathfrak{g}$ -locally finite  $V_{-2}(D_\ell)$ -modules  $KL_{-2}$  and the category of modules for a rational vertex algebra  $V_{\ell-4}(A_1)$ . Singular vectors in  $V^k(\mathfrak{g})$  for certain negative integer levels  $k$  have also been constructed in [2].

We also apply our results to study the structure of conformally embedded subalgebras of some simple affine vertex algebras.

As in [6], for a subalgebra  $\mathfrak{k}$  of a simple Lie algebra  $\mathfrak{g}$ , we denote by  $\tilde{V}(k, \mathfrak{k})$  the vertex subalgebra of  $V_k(\mathfrak{g})$  generated by  $x(-1)\mathbf{1}$ ,  $x \in \mathfrak{k}$ . If  $\mathfrak{k}$  is a reductive quadratic subalgebra of  $\mathfrak{g}$ , then we say that  $\tilde{V}(k, \mathfrak{k})$  is conformally embedded in  $V_k(\mathfrak{g})$  if the Sugawara-Virasoro vectors of both algebras coincide. We also say that  $\mathfrak{k}$  is conformally embedded in  $\mathfrak{g}$  at level  $k$  if  $\tilde{V}(k, \mathfrak{k})$  is conformally embedded in  $V_k(\mathfrak{g})$ .

We are able to prove that in the cases listed in Theorem 1.3 below,  $\tilde{V}(k, \mathfrak{k})$  is not simple. On the other hand, we show that  $V_{-1/2}(C_5)$  contains a simple subalgebra  $V_{-2}(B_2) \otimes V_{-5/2}(A_1)$  (see Corollary 7.4). For the conformal embedding of  $D_6 \times A_1$  into  $E_7$  at level  $k = -4$ , we show that  $\tilde{V}(-4, D_6 \times A_1) = \mathcal{V}_{-4}(D_6) \otimes V_{-4}(A_1)$  where  $\mathcal{V}_{-4}(D_6)$  is a quotient of the universal affine vertex algebra  $V^{-4}(D_6)$  by two singular vectors of conformal weights two and three (cf. (9.6)). Moreover,  $\mathcal{V}_{-4}(D_6)$  has infinitely many irreducible modules in the category of  $\mathfrak{g}$ -locally finite modules, which we explicitly describe. All of them appear in  $V_{-4}(E_7)$  as submodules or subquotients.

**Theorem 1.3.** *Let  $\mathcal{V}_k(D_\ell)$ ,  $\mathcal{V}_k(B_\ell)$ , be the vertex algebras defined in (6.3), (7.1), (9.6). Consider the following conformal embeddings:*

- (1)  $D_\ell \times A_1$  into  $C_{2\ell}$  for  $\ell \geq 4$  at level  $k = -\frac{1}{2}$ .
- (2)  $B_\ell \times A_1$  into  $C_{2\ell+1}$  for  $\ell \geq 3$  at level  $k = -\frac{1}{2}$ .
- (3)  $D_6 \times A_1$  into  $E_7$  at level  $k = -4$ .

Then,

- $\tilde{V}(-\frac{1}{2}, D_\ell \times A_1) = \mathcal{V}_{-2}(D_\ell) \otimes V_{-\ell}(A_1)$  in case (1),
- $\tilde{V}(-\frac{1}{2}, B_\ell \times A_1) = \mathcal{V}_{-2}(B_\ell) \otimes V_{-\ell-1/2}(A_1)$  in case (2),
- $\tilde{V}(-4, D_6 \times A_1) = \mathcal{V}_{-4}(D_6) \otimes V_{-4}(A_1)$  in case (3).

Moreover, the algebras  $\mathcal{V}_k(D_\ell)$ ,  $\mathcal{V}_k(B_\ell)$ , are non-simple, with a unique non-trivial ideal.

The decompositions of the embeddings above is still an open problem, and will be a subject of our forthcoming papers.

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## 2. PRELIMINARIES

We assume that the reader is familiar with the notion of vertex (super)algebra (cf. [18], [25], [32]) and of simple basic Lie superalgebras (see [30]) and their affinizations (see [31] for the Lie algebra case).

Let  $V$  be a conformal vertex algebra. Denote by  $A(V)$  the associative algebra introduced in [41], called the Zhu algebra of  $V$ .

**2.1. Basic Lie superalgebras and minimal gradings.** For the reader's convenience we recall here the setting and notation of [4] regarding basic Lie superalgebras and their minimal gradings. Let  $\mathfrak{g} = \mathfrak{g}_{\bar{0}} \oplus \mathfrak{g}_{\bar{1}}$  be a simple finite dimensional basic Lie superalgebra. We choose a Cartan subalgebra  $\mathfrak{h}$  for  $\mathfrak{g}_{\bar{0}}$  and let  $\Delta$  be the set of roots. Assume  $\mathfrak{g}$  is not  $osp(3|n)$ . A root  $-\theta$  is called *minimal* if it is even and there exists an additive function  $\varphi : \Delta \rightarrow \mathbb{R}$  such that  $\varphi|_{\Delta} \neq 0$  and  $\varphi(\theta) > \varphi(\eta)$ ,  $\forall \eta \in \Delta \setminus \{\theta\}$ . Fix a minimal root  $-\theta$  of  $\mathfrak{g}$ . We may choose root vectors  $e_{\theta}$  and  $e_{-\theta}$  such that

$$[e_{\theta}, e_{-\theta}] = x \in \mathfrak{h}, \quad [x, e_{\pm\theta}] = \pm e_{\pm\theta}.$$

Due to the minimality of  $-\theta$ , the eigenspace decomposition of  $ad x$  defines a *minimal*  $\frac{1}{2}\mathbb{Z}$ -grading ([35, (5.1)]):

$$(2.1) \quad \mathfrak{g} = \mathfrak{g}_{-1} \oplus \mathfrak{g}_{-1/2} \oplus \mathfrak{g}_0 \oplus \mathfrak{g}_{1/2} \oplus \mathfrak{g}_1,$$

where  $\mathfrak{g}_{\pm 1} = \mathbb{C}e_{\pm\theta}$ . We thus have a bijective correspondence between minimal gradings (up to an automorphism of  $\mathfrak{g}$ ) and minimal roots (up to the action of the Weyl group). Furthermore, one has

$$(2.2) \quad \mathfrak{g}_0 = \mathfrak{g}^{\natural} \oplus \mathbb{C}x, \quad \mathfrak{g}^{\natural} = \{a \in \mathfrak{g}_0 \mid (a|x) = 0\}.$$

Note that  $\mathfrak{g}^{\natural}$  is the centralizer of the triple  $\{f_{\theta}, x, e_{\theta}\}$ . We can choose  $\mathfrak{h}^{\natural} = \{h \in \mathfrak{h} \mid (h|x) = 0\}$ , as a Cartan subalgebra of the Lie superalgebra  $\mathfrak{g}^{\natural}$ , so that  $\mathfrak{h} = \mathfrak{h}^{\natural} \oplus \mathbb{C}x$ .

For a given choice of a minimal root  $-\theta$ , we normalize the invariant bilinear form  $(\cdot|\cdot)$  on  $\mathfrak{g}$  by the condition

$$(2.3) \quad (\theta|\theta) = 2.$$

The dual Coxeter number  $h^{\vee}$  of the pair  $(\mathfrak{g}, \theta)$  (equivalently, of the minimal gradation (2.1)) is defined to be half the eigenvalue of the Casimir operator of  $\mathfrak{g}$  corresponding to  $(\cdot|\cdot)$ , normalized by (2.3). Since  $\theta$  is the highest root, we have that  $2h^{\vee} = (\theta|\theta + 2\rho)$  hence

$$(2.4) \quad (\rho|\theta) = h^{\vee} - 1.$$

The complete list of the Lie superalgebras  $\mathfrak{g}^{\natural}$ , the  $\mathfrak{g}^{\natural}$ -modules  $\mathfrak{g}_{\pm 1/2}$  (they are isomorphic and self-dual), and  $h^{\vee}$  for all possible choices of  $\mathfrak{g}$  and of  $\theta$  (up to isomorphism) is given in Tables 1,2,3 of [35]. We reproduce them below. Note that in these tables  $\mathfrak{g} = osp(m|n)$  (resp.  $\mathfrak{g} = spo(n|m)$ ) means that  $\theta$  is the highest root of the simple component  $so(m)$  (resp.  $sp(n)$ ) of  $\mathfrak{g}_{\bar{0}}$ . Also, for  $\mathfrak{g} = sl(m|n)$  or  $psl(m|m)$  we always take  $\theta$  to be the highest root of the simple component  $sl(m)$  of  $\mathfrak{g}_{\bar{0}}$  (for  $m = 4$  we take one of the simple roots). Note that the exceptional Lie superalgebras  $\mathfrak{g} = F(4)$  and  $\mathfrak{g} = G(3)$  appear in both Tables 2 and 3, which corresponds to the two inequivalent choices of  $\theta$ , the first one being a root of the simple component  $sl(2)$  of  $\mathfrak{g}_{\bar{0}}$ .

Table 1

$\mathfrak{g}$  is a simple Lie algebra.

$\mathfrak{g}$	$\mathfrak{g}^{\natural}$	$\mathfrak{g}_{1/2}$	$h^{\vee}$	$\mathfrak{g}$	$\mathfrak{g}^{\natural}$	$\mathfrak{g}_{1/2}$	$h^{\vee}$
$sl(n), n \geq 3$	$gl(n-2)$	$\mathbb{C}^{n-2} \oplus (\mathbb{C}^{n-2})^*$	$n$	$F_4$	$sp(6)$	$\Lambda_0^3 \mathbb{C}^6$	9
$so(n), n \geq 5$	$sl(2) \oplus so(n-4)$	$\mathbb{C}^2 \otimes \mathbb{C}^{n-4}$	$n-2$	$E_6$	$sl(6)$	$\Lambda_0^3 \mathbb{C}^6$	12
$sp(n), n \geq 2$	$sp(n-2)$	$\mathbb{C}^{n-2}$	$n/2 + 1$	$E_7$	$so(12)$	$spin_{12}$	18
$G_2$	$sl(2)$	$S^3 \mathbb{C}^2$	4	$E_8$	$E_7$	$\dim = 56$	30

Table 2

$\mathfrak{g}$  is not a Lie algebra but  $\mathfrak{g}^{\natural}$  is and  $\mathfrak{g}_{\pm 1/2}$  is purely odd ( $m \geq 1$ ).

$\mathfrak{g}$	$\mathfrak{g}^{\natural}$	$\mathfrak{g}_{1/2}$	$h^{\vee}$	$\mathfrak{g}$	$\mathfrak{g}^{\natural}$	$\mathfrak{g}_{1/2}$	$h^{\vee}$
$sl(2 m), m \neq 2$	$gl(m)$	$\mathbb{C}^m \oplus (\mathbb{C}^m)^*$	$2 - m$	$D(2, 1; a)$	$sl(2) \oplus sl(2)$	$\mathbb{C}^2 \otimes \mathbb{C}^2$	0
$psl(2 2)$	$sl(2)$	$\mathbb{C}^2 \oplus \mathbb{C}^2$	0	$F(4)$	$so(7)$	$spin_7$	-2
$spo(2 m)$	$so(m)$	$\mathbb{C}^m$	$2 - m/2$	$G(3)$	$G_2$	$\text{Dim} = 0 7$	-3/2
$osp(4 m)$	$sl(2) \oplus sp(m)$	$\mathbb{C}^2 \otimes \mathbb{C}^m$	$2 - m$				

Table 3

Both  $\mathfrak{g}$  and  $\mathfrak{g}^{\natural}$  are not Lie algebras ( $m, n \geq 1$ ).

$\mathfrak{g}$	$\mathfrak{g}^{\natural}$	$\mathfrak{g}_{1/2}$	$h^{\vee}$
$sl(m n), m \neq n, m > 2$	$gl(m-2 n)$	$\mathbb{C}^{m-2 n} \oplus (\mathbb{C}^{m-2 n})^*$	$m - n$
$psl(m m), m > 2$	$sl(m-2 m)$	$\mathbb{C}^{m-2 m} \oplus (\mathbb{C}^{m-2 m})^*$	0
$spo(n m), n \geq 4$	$spo(n-2 m)$	$\mathbb{C}^{n-2 m}$	$1/2(n-m) + 1$
$osp(m n), m \geq 5$	$osp(m-4 n) \oplus sl(2)$	$\mathbb{C}^{m-4 n} \otimes \mathbb{C}^2$	$m - n - 2$
$F(4)$	$D(2, 1; 2)$	$\text{Dim} = 6 4$	3
$G(3)$	$osp(3 2)$	$\text{Dim} = 4 4$	2

In this paper we shall exclude the case of  $\mathfrak{g} = sl(n+2|n)$ ,  $n > 0$ . In all other cases the Lie superalgebra  $\mathfrak{g}^{\natural}$  decomposes in a direct sum of all its minimal ideals, called components of  $\mathfrak{g}^{\natural}$ :

$$\mathfrak{g}^{\natural} = \bigoplus_{i \in I} \mathfrak{g}_i^{\natural},$$

where each summand is either the (at most 1-dimensional) center of  $\mathfrak{g}^{\natural}$  or is a basic simple Lie superalgebra different from  $psl(n|n)$ . Let  $C_{\mathfrak{g}_i^{\natural}}$  be the Casimir operator of  $\mathfrak{g}_i^{\natural}$  corresponding to  $(\cdot|\cdot)_{\mathfrak{g}_i^{\natural} \times \mathfrak{g}_i^{\natural}}$ . We define the dual Coxeter number  $h_{0,i}^{\vee}$  of  $\mathfrak{g}_i^{\natural}$  as half of the eigenvalue of  $C_{\mathfrak{g}_i^{\natural}}$  acting on  $\mathfrak{g}_i^{\natural}$  (which is 0 if  $\mathfrak{g}_i^{\natural}$  is abelian).

Denote by  $V_{\mathfrak{g}}(\mu)$  (or  $V(\mu)$ ) the irreducible finite-dimensional highest weight  $\mathfrak{g}$ -module with highest weight  $\mu$ . Denote by  $P_+$  the set of highest weights of irreducible finite-dimensional representations of  $\mathfrak{g}$ .

Since  $\mathfrak{h} = \mathfrak{h}^{\natural} \oplus \mathbb{C}x$ , we have, in particular, that  $\mu \in \mathfrak{h}^*$  can be uniquely written as

$$(2.5) \quad \mu = \mu|_{\mathfrak{h}^{\natural}} + \ell\theta,$$

with  $\ell \in \mathbb{C}$ . If  $\mu \in P_+$ , then, since  $\theta(\mathfrak{h}^{\natural}) = 0$ ,  $\mu(\theta^{\vee}) = 2\ell \in \mathbb{Z}$ , so  $\ell \in \frac{1}{2}\mathbb{Z}_{\geq 0}$ .

**2.2. Affine Lie algebras, vertex algebras,  $\mathcal{W}$ -algebras.** Let  $\widehat{\mathfrak{g}}$  be the affinization of  $\mathfrak{g}$ :

$$\widehat{\mathfrak{g}} = \mathbb{C}[t, t^{-1}] \otimes \mathfrak{g} \oplus \mathbb{C}K \oplus \mathbb{C}d$$

with the usual commutation relations. We let  $\delta$  be the fundamental imaginary root. Let  $\alpha_0 = \delta - \theta$  the affine simple root. Since  $\theta$  is even, hence non-isotropic, so that  $\alpha_0^{\vee} = K - \theta^{\vee}$  makes sense.

Denote by  $L(\lambda)$  (or  $L_{\mathfrak{g}}(\lambda)$ ) the irreducible highest weight  $\widehat{\mathfrak{g}}$ -module with highest weight  $\lambda$ .

Denote by  $V^k(\mathfrak{g})$  the universal affine vertex algebra associated to  $\widehat{\mathfrak{g}}$  of level  $k \in \mathbb{C}$ . We shall assume that  $k \neq -h^{\vee}$ . Then (see e.g. [32])  $V^k(\mathfrak{g})$  is a conformal vertex algebra with Segal-Sugawara conformal vector  $\omega_{\mathfrak{g}}$ . Let  $Y(\omega_{\mathfrak{g}}, z) = \sum L_{\mathfrak{g}}(n)z^{-n-2}$  be the corresponding Virasoro field. Denote by  $V_k(\mathfrak{g})$  the (unique) simple quotient of  $V^k(\mathfrak{g})$ . Clearly,  $V_k(\mathfrak{g}) \cong L_{\mathfrak{g}}(k\Lambda_0)$  as  $\widehat{\mathfrak{g}}$ -modules.

Denote by  $W^k(\mathfrak{g}, \theta)$  the affine  $\mathcal{W}$ -algebra obtained from  $V^k(\mathfrak{g})$  by Hamiltonian reduction relative to a minimal nilpotent element  $e_{-\theta}$ . Denote by  $W_k(\mathfrak{g}, \theta)$  the simple quotient of  $W^k(\mathfrak{g}, \theta)$ . Recall that the vertex algebra  $W^k(\mathfrak{g}, \theta)$  is strongly and freely generated by elements  $J^{\{a\}}$ , where  $a$  runs over a basis of  $\mathfrak{g}^{\natural}$ ,  $G^{\{v\}}$ , where  $v$  runs over a basis of  $\mathfrak{g}_{-1/2}$ , and the Virasoro vector  $\omega$ . The elements  $J^{\{a\}}$ ,  $G^{\{v\}}$  are primary of conformal weight 1 and  $3/2$ , respectively, with respect to  $\omega$ .

Let  $\mathcal{V}^k(\mathfrak{g}^{\natural})$  be the subalgebra of the vertex algebra  $W^k(\mathfrak{g}, \theta)$ , generated by  $\{J^{\{a\}} \mid a \in \mathfrak{g}^{\natural}\}$ . The vertex algebra  $\mathcal{V}^k(\mathfrak{g}^{\natural})$  is isomorphic to a universal affine vertex algebra. More precisely, letting

$$(2.6) \quad k_i = k + \frac{1}{2}(h^{\vee} - h_{0,i}^{\vee}), \quad i \in I,$$

the map  $a \mapsto J^{\{a\}}$  extends to an isomorphism  $\mathcal{V}^k(\mathfrak{g}^{\natural}) \simeq \bigotimes_{i \in I} V^{k_i}(\mathfrak{g}_i^{\natural})$ .

We also set  $\mathcal{V}_k(\mathfrak{g}^{\natural})$  to be the image of  $\mathcal{V}^k(\mathfrak{g}^{\natural})$  in  $W_k(\mathfrak{g}, \theta)$ . Clearly we can write

$$(2.7) \quad \mathcal{V}_k(\mathfrak{g}^{\natural}) \simeq \bigotimes_{i \in I} \mathcal{V}_{k_i}(\mathfrak{g}_i^{\natural}),$$

where  $\mathcal{V}_{k_i}(\mathfrak{g}_i^{\natural})$  is some quotient (not necessarily simple) of  $V^{k_i}(\mathfrak{g}_i^{\natural})$ .

**2.3. Category  $\mathcal{O}$  and Hamiltonian reduction functor.** Recall that  $\widehat{\mathfrak{g}}$ -module  $M$  is in category  $\mathcal{O}^k$  if it is  $\mathfrak{h}$ -diagonalizable with finite dimensional weight spaces,  $K$  acts as  $kId_M$  and  $M$  has a finite number of maximal weights.

There is a remarkable functor  $H_{\theta}$  from  $\mathcal{O}^k$  to the category of  $W^k(\mathfrak{g}, \theta)$ -modules whose properties will be very important in the following. We recall them in a form suitable for our purposes (see [12] for details; there  $H_{\theta}$  is denoted by  $H^0$ ).

**Theorem 2.1.**

- (1)  $H_{\theta}$  is exact.
- (2) If  $L(\lambda)$  is a irreducible highest weight  $\widehat{\mathfrak{g}}$ -module, then  $\lambda(\alpha_0^{\vee}) \in \mathbb{Z}_{\geq 0}$  implies  $H_{\theta}(L(\lambda)) = \{0\}$ . Otherwise  $H_{\theta}(L(\lambda))$  is isomorphic to the irreducible  $W^k(\mathfrak{g}, \theta)$ -module with highest weight  $\phi_{\lambda}$  defined by formula (67) in [12].

**2.4. Collapsing levels.**

**Definition 2.2.** Assume  $k \neq -h^{\vee}$ . If  $W_k(\mathfrak{g}, \theta) = \mathcal{V}_k(\mathfrak{g}^{\natural})$ , we say that  $k$  is a collapsing level.

**Theorem 2.3.** [4, Theorem 3.3] Let  $p(k)$  be the polynomial listed in Table 4 below. Then  $k$  is a collapsing level if and only if  $k \neq -h^{\vee}$  and  $p(k) = 0$ . In such cases,

$$(2.8) \quad W_k(\mathfrak{g}, \theta) = \bigotimes_{i \in I^*} V_{k_i}(\mathfrak{g}_i^{\natural}),$$

where  $I^* = \{i \in I \mid k_i \neq 0\}$ . If  $I^* = \emptyset$ , then  $W_k(\mathfrak{g}, \theta) = \mathbb{C}$ .

Table 4

Polynomials  $p(k)$ .

$\mathfrak{g}$	$p(k)$	$\mathfrak{g}$	$p(k)$
$sl(m n), n \neq m$	$(k+1)(k+(m-n)/2)$	$E_6$	$(k+3)(k+4)$
$psl(m m)$	$k(k+1)$	$E_7$	$(k+4)(k+6)$
$osp(m n)$	$(k+2)(k+(m-n-4)/2)$	$E_8$	$(k+6)(k+10)$
$spo(n m)$	$(k+1/2)(k+(n-m+4)/4)$	$F_4$	$(k+5/2)(k+3)$
$D(2, 1; a)$	$(k-a)(k+1+a)$	$G_2$	$(k+4/3)(k+5/3)$
$F(4), \mathfrak{g}^{\natural} = so(7)$	$(k+2/3)(k-2/3)$	$G(3), \mathfrak{g}^{\natural} = G_2$	$(k-1/2)(k+3/4)$
$F(4), \mathfrak{g}^{\natural} = D(2, 1; 2)$	$(k+3/2)(k+1)$	$G(3), \mathfrak{g}^{\natural} = osp(3 2)$	$(k+2/3)(k+4/3)$

**2.5. Weyl vertex algebra.** Let  $M_{\ell}$  denote the Weyl vertex algebra (also called symplectic bosons) generated by even elements  $a_i^{\pm}$ ,  $i = 1, \dots, \ell$  satisfying the following  $\lambda$ -brackets

$$[(a_i^{\pm})_{\lambda}(a_j^{\pm})] = 0, \quad [(a_i^+)_{\lambda}(a_j^-)] = \delta_{i,j}.$$

Recall also that the symplectic affine vertex algebra  $V_{-1/2}(C_{\ell})$  is realized as a  $\mathbb{Z}_2$ -orbifold of  $M_{\ell}$  (see [22]).

### 3. THE CATEGORY $KL_k$

Let  $k$  be a noncritical level. Note that the Casimir element of  $\widehat{\mathfrak{g}}$  can be expressed as  $\Omega = d + L_{\mathfrak{g}}(0)$ ; it commutes with  $\widehat{\mathfrak{g}}$ -action.

Consider the category  $\mathcal{C}^k$  of modules for the universal affine vertex algebra  $V^k(\mathfrak{g})$ , i.e. the category of restricted  $\widehat{\mathfrak{g}}$ -modules of level  $k$ . Regard  $M \in \mathcal{C}^k$  as a  $\widehat{\mathfrak{g}}$ -module by letting  $d$  act as  $-L_{\mathfrak{g}}(0)$ . Let  $KL^k$  be the category of modules  $M \in \mathcal{C}^k$  such that, as  $\widehat{\mathfrak{g}}$ -modules, are in  $\mathcal{O}^k$  and which admit the following weight space decomposition with respect to  $L_{\mathfrak{g}}(0)$ :

$$M = \bigoplus_{\alpha \in \mathbb{C}} M(\alpha), \quad L_{\mathfrak{g}}(0)|M(\alpha) \equiv \alpha \text{Id}, \quad \dim M(\alpha) < \infty.$$

Our definition is related but different from the one introduced in [13]. Let  $KL_k$  be the category of all modules in  $KL^k$  which are  $V_k(\mathfrak{g})$ -modules.

**Remark 3.1.** *If  $V_k(\mathfrak{g})$  has finitely many irreducible modules in the category  $KL^k$ , one can show that every  $V_k(\mathfrak{g})$ -module  $M$  in  $KL_k$  is of finite length. This happens when  $k$  is admissible (cf. [12]) and when  $V_k(\mathfrak{g})$  is quasi-lisse (cf. [14]). But when  $V_k(\mathfrak{g})$  has infinitely many irreducible modules in  $KL^k$  (as in the cases considered in [39], [11]), then one can have modules in  $KL_k$  of infinite length.*

Recall that there is a one-to-one correspondence between irreducible  $\mathbb{Z}_{\geq 0}$ -graded modules for a conformal vertex algebra  $V$  (with a conformal vector  $\omega$ , such that  $Y(\omega, z) = \sum_{i \in \mathbb{Z}} L(i)z^{-i-2}$ ) and irreducible modules for the corresponding Zhu algebra  $A(V)$  [41]. This implies, in particular, that there is a one-to-one correspondence between irreducible finite-dimensional  $A(V)$ -modules and irreducible  $\mathbb{Z}_{\geq 0}$ -graded  $V$ -modules whose graded components, which are eigenspaces for  $L(0)$ , are finite-dimensional. In the case of affine vertex algebras, we have the following simple interpretation.

**Proposition 3.2.** *Let  $\tilde{V}_k(\mathfrak{g})$  be a quotient of  $V^k(\mathfrak{g})$  (not necessary simple). Consider  $\tilde{V}_k(\mathfrak{g})$  as a conformal vertex algebra with conformal vector  $\omega_{\mathfrak{g}}$ . Then there is a one-to-one correspondence between irreducible  $\tilde{V}_k(\mathfrak{g})$  in the category  $KL^k$  and irreducible finite-dimensional  $A(\tilde{V}_k(\mathfrak{g}))$ -modules.*

**Corollary 3.3.** *Assume that  $\mathfrak{g}$  is a simple basic Lie superalgebra and  $\tilde{V}_k(\mathfrak{g})$  is a quotient of  $V^k(\mathfrak{g})$  such that the trivial module  $\mathbb{C}$  is the unique finite-dimensional irreducible  $A(\tilde{V}_k(\mathfrak{g}))$ -module. Then  $\tilde{V}_k(\mathfrak{g}) = V_k(\mathfrak{g})$ .*

*Proof.* Assume that  $\tilde{V}_k(\mathfrak{g})$  is not simple. Then it contains a non-zero graded ideal  $I \neq \tilde{V}_k(\mathfrak{g})$  with respect to  $L_{\mathfrak{g}}(0)$ :

$$I = \bigoplus_{n \in \mathbb{Z}_{\geq 0}} I(n + n_0), \quad L_{\mathfrak{g}}(0)|I(r) = r\text{Id}, \quad I(n_0) \neq 0.$$

Since  $I \neq \tilde{V}_k(\mathfrak{g})$ , we have that  $n_0 > 0$ , otherwise  $\mathbf{1} \in I$ .

We can consider  $I(n_0)$  as a finite-dimensional module for  $\mathfrak{g}$  and for the Zhu algebra  $A(\tilde{V}_k(\mathfrak{g}))$ .

Since the Casimir element  $C_{\mathfrak{g}}$  of  $\mathfrak{g}$  acts on  $I(n_0)$  as the non-zero constant  $2(k + h^{\vee})n_0$ , we conclude that  $C_{\mathfrak{g}}$  acts by the same constant on any irreducible  $\mathfrak{g}$ -subquotient of  $I(n_0)$ . But any irreducible subquotient of  $I(n_0)$  is an irreducible finite-dimensional  $A(\tilde{V}_k(\mathfrak{g}))$ -module, and therefore it is trivial. This implies that  $C_{\mathfrak{g}}$  acts non-trivially on a trivial  $\mathfrak{g}$ -module, a contradiction.  $\square$

Take the Chevalley generators  $e_i, f_i, h_i$ ,  $i = 0, \dots, \ell$ , of the Kac-Moody Lie algebra  $\hat{\mathfrak{g}}$  such that  $e_i, f_i, h_i$ ,  $i = 1, \dots, \ell$ , are the Chevalley generators of  $\mathfrak{g}$ . Let  $\sigma$  be the Chevalley antiautomorphism of  $\hat{\mathfrak{g}}$  defined by

$$e_i \mapsto f_i, \quad f_i \mapsto e_i, \quad h_i \mapsto h_i, \quad d \mapsto d \quad (i = 0, \dots, \ell).$$

Assume that  $M$  is from the category  $\mathcal{O}$  of non-critical level  $k$ . Then  $M$  admits the decomposition into weight spaces  $M = \bigoplus_{\mu \in \Omega(M)} M_{\mu}$ , where  $\Omega(M)$  is the set of weights of  $M$  and  $\dim M_{\mu} < \infty$  for every  $\mu \in \Omega(M)$ . For a finite-dimensional vector spaces  $U$ , let  $U^*$  denote its dual space. Then we have the contravariant functor  $M \mapsto M^{\sigma}$  [20] acting on modules from the category  $\mathcal{O}$ . Here  $M^{\sigma} = \bigoplus_{\mu \in \Omega(M)} M_{\mu}^*$  is the  $\hat{\mathfrak{g}}$ -module uniquely determined by

$$\langle yw', w \rangle = \langle w', \sigma(y)w \rangle, \quad y \in \hat{\mathfrak{g}}, \quad w' \in M^{\sigma}, \quad w \in M.$$

It is easy to see that  $M$  admits the decomposition

$$(3.1) \quad M = \bigoplus_{\alpha \in \mathbb{C}} M(\alpha), \quad L_{\mathfrak{g}}(0)|M(\alpha) \equiv \alpha \text{Id}$$

such that :

- for any  $\alpha \in \mathbb{C}$  we have  $M(\alpha - n) = 0$  for  $n \in \mathbb{Z}$  sufficiently large;
- for any  $\mu \in \Omega(M)$  there exist  $\alpha \in \mathbb{C}$  such that  $M_{\mu} \subset M(\alpha)$ .



**Proposition 3.4.** *Assume that a module  $M$  is in the category  $\mathcal{O}^k$ . Then  $M$  is in the category  $KL^k$  if and only if  $M$  is  $\mathfrak{g}$ -locally finite.*

*Proof.* If  $M$  is in  $KL^k$  then it admits a decomposition as in (3.1). Since the spaces  $M(\alpha)$  are  $\mathfrak{g}$ -stable and finite-dimensional,  $M$  is  $\mathfrak{g}$ -locally finite.

Let us prove the converse. If  $M$  is a highest weight module which is  $\mathfrak{g}$ -locally finite, then clearly all eigenspaces for  $L_{\mathfrak{g}}(0)$  are finite-dimensional. Assume now that  $M$  is an arbitrary  $\mathfrak{g}$ -locally finite module in the category  $\mathcal{O}^k$ . Take  $\alpha \in \mathbb{C}$  such that  $M(\alpha) \neq \{0\}$ . Then from [20, Proposition 3.1] we see that  $M$  has an increasing filtration (possibly infinite)

$$(3.2) \quad \{0\} = M_0 \subset M_1 \subset \cdots \subset M$$

such that for every  $j \in \mathbb{Z}_{>0}$ ,  $M_j/M_{j-1} \cong \tilde{L}(\lambda_j)$  is a highest weight  $V^k(\mathfrak{g})$ -module with highest weight  $\lambda_j$ , which is  $\mathfrak{g}$ -locally finite. Let  $h_{\lambda_j}$  denotes the lowest conformal weight of  $\tilde{L}(\lambda_j)$ . Since the factors  $M_i/M_{i-1}$  ( $i \leq j$ ) of  $M_j$  are highest weight modules, their  $L_{\mathfrak{g}}(0)$ -eigenspaces are finite-dimensional. This implies that the  $L_{\mathfrak{g}}(0)$ -eigenspaces of  $M_j$  is finite-dimensional. By using the properties of the category  $\mathcal{O}$  one sees the following:

- There exists a finite subset  $\{d_1, \dots, d_s\} \subset \mathbb{C}$  such that  $\alpha \in \bigcup_{i=1}^s (d_i + \mathbb{Z}_{\geq 0})$ .
- For  $d \in \mathbb{C}$  there exist only finitely many subquotients  $\tilde{L}(\lambda_j)$  in (3.2) such that  $h_{\lambda_j} = d$ .

This implies that there is  $j_0 \in \mathbb{Z}_{>0}$  such that  $\alpha < h_{\lambda_j}$  for  $j \geq j_0$ . Therefore  $M(\alpha) \subset M_{j_0}$ . This proves that  $M(\alpha)$  is finite-dimensional.  $\square$

**Remark 3.5.** *We will use several times the following fact, which is a consequence of the previous proposition: for any  $k \notin \mathbb{Z}_{\geq 0}$  and any irreducible highest weight module  $L(\lambda)$  in the category  $KL^k$ , one has  $\lambda(\alpha_0^\vee) \notin \mathbb{Z}_{\geq 0}$ .*

Since  $\sigma(L_{\mathfrak{g}}(0)) = L_{\mathfrak{g}}(0)$ , if  $M$  is in the category  $KL^k$ , then  $M^\sigma$  is also in the category  $KL^k$ . The next result shows that this functor acts on the category  $KL_k$ . In the proof we find an explicit relation of  $M^\sigma$  with the contragredient modules, defined for ordinary modules for vertex operator algebras [24].

**Lemma 3.6.**

- (1) *Assume that  $M$  is a  $V_k(\mathfrak{g})$ -module in the category  $\mathcal{O}$ . Then  $M^\sigma$  is also a  $V_k(\mathfrak{g})$ -module in the category  $\mathcal{O}$ .*
- (2) *Assume that  $M$  is a  $V_k(\mathfrak{g})$ -module in the category  $KL_k$ . Then  $M^\sigma$  is also in  $KL_k$ .*

*Proof.* Assume that  $M$  is a  $V_k(\mathfrak{g})$ -module in the category  $\mathcal{O}$ . Take the weight decomposition  $M = \bigoplus_{\mu \in \Omega(M)} M_\mu$ , and set  $M^c = \bigoplus_{\mu \in \Omega(M)} M_\mu^*$ . By applying the same approach as in the construction of the contragredient module from [24, Section 5], we get a  $V_k(\mathfrak{g})$ -module  $(M^c, Y_{M^c}(\cdot, z))$ , with vertex operator map

$$(3.3) \quad \langle Y_{M^c}(v, z)w', w \rangle = \langle w', Y_M(e^{zL_{\mathfrak{g}}(1)}(-z^{-2})^{L_{\mathfrak{g}}(0)}v, z)w \rangle,$$

where  $w' \in M^c$ ,  $w \in M$ . The  $\widehat{\mathfrak{g}}$ -action on  $M^c$  is uniquely determined by

$$\langle x(n)w', w \rangle = -\langle w', x(-n)w \rangle \quad (x \in \mathfrak{g}).$$

As a vector space  $M^c = M^\sigma$ , but we have different actions of  $\widehat{\mathfrak{g}}$ . (Note that, in general,  $M^c$  can be outside of the category  $\mathcal{O}$ .)

Take the Lie algebra automorphism  $h \in \text{Aut}(\mathfrak{g})$  such that

$$e_i \mapsto -f_i, \quad f_i \mapsto -e_i, \quad h_i \mapsto -h_i \quad (i = 1, \dots, \ell).$$

Then  $h$  can be lifted to an automorphism of  $V^k(\mathfrak{g})$ . Since the maximal ideal of  $V^k(\mathfrak{g})$  is unique, then it is  $h$ -invariant, thus  $h$  is also an automorphism of  $V_k(\mathfrak{g})$ . Then we can define a  $V_k(\mathfrak{g})$ -module  $(M_h^c, Y_{M_h^c}(\cdot, z))$  where

$$M_h^c := M^c, \quad Y_{M_h^c}(v, z) = Y_{M^c}(hv, z).$$



On  $M_h^c$  we have

$$\langle e_i(n)w', w \rangle = \langle w', f_i(-n)w \rangle$$

$$\langle f_i(n)w', w \rangle = \langle w', e_i(-n)w \rangle$$

$$\langle h_i(n)w', w \rangle = \langle w', h_i(-n)w \rangle$$

where  $i = 1, \dots, \ell$ . This implies that  $M_h^c = M^\sigma$ . This proves the assertion (1).

Assume now that  $M$  is in the category  $KL_k$ . Then all  $L_{\mathfrak{g}}(0)$ -eigenspaces are finite-dimensional, thus

$$M^c = \bigoplus_{\mu \in \Omega(M)} M_\mu^* = \bigoplus_{\alpha \in \mathbb{C}} M(\alpha)^*.$$

This implies the  $V_k(\mathfrak{g})$ -module  $(M^c, Y_{M^c}(\cdot, z))$  coincides with the contragredient module [24], realized on the restricted dual space  $\bigoplus_{\alpha \in \mathbb{C}} M(\alpha)^*$ , with the vertex operator map (3.3). Since the  $L_{\mathfrak{g}}(0)$ -eigenspaces of  $M^c$  are finite-dimensional, we conclude that  $M^c$  and  $M^\sigma = M_h^c$  are  $V_k(\mathfrak{g})$ -modules in  $KL_k$ . Claim (2) follows.  $\square$

#### 4. CONSTRUCTIONS OF VERTEX ALGEBRAS WITH ONE IRREDUCIBLE MODULE IN $KL_k$ VIA COLLAPSING LEVELS

By [4], if  $k$  is a collapsing level, then either  $W_k(\mathfrak{g}, \theta) = \mathbb{C}$ ,  $W_k(\mathfrak{g}, \theta) = M(1)$ , or  $W_k(\mathfrak{g}, \theta) = V_{k'}(\mathfrak{a})$  for a unique simple component  $\mathfrak{a}$  of  $\mathfrak{g}^\natural$ . Here the level  $k'$  is computed with respect to the invariant bilinear form of  $\mathfrak{a}$  normalized so that the minimal root has squared length 2. For  $\mathfrak{a} = sl(m|n)$ ,  $m \geq 2$ , the minimal root is always chosen to be the lowest root of  $sl(m)$ . For  $\mathfrak{a} = osp(m|n)$  we write  $spo(n|m)$  vs.  $osp(m|n)$  to specify the choice of the minimal root. In all other cases the minimal root of  $\mathfrak{a}$  is unique.

To simplify notation define  $V_{k'}(\mathfrak{g}^\natural)$  to be as follows:

$$V_{k'}(\mathfrak{g}^\natural) = \begin{cases} \mathbb{C} & \text{if } W_k(\mathfrak{g}, \theta) = \mathbb{C}; \text{ in this case we set } k' = 0; \\ M(1) & \text{if } W_k(\mathfrak{g}, \theta) = M(1); \text{ in this case we set } k' = 1; \\ V_{k'}(\mathfrak{a}) & \text{otherwise.} \end{cases}$$

In Table 5 we summarize all the relevant data.

Assume that  $k \notin \mathbb{Z}_{\geq 0}$  and that:

- (1)  $k$  is a collapsing level for  $\mathfrak{g}$ ;
- (2)  $V_{k'}(\mathfrak{g}^\natural)$  is the unique irreducible  $V_{k'}(\mathfrak{g}^\natural)$ -module in the category  $KL_{k'}$ .

Assume that  $L(\widehat{\Lambda})$  is an irreducible  $V_k(\mathfrak{g})$ -module in the category  $KL_k$ . Set  $\mu = \widehat{\Lambda}|_{\mathfrak{h}}$ . By Proposition 3.4 we have  $\mu \in P_+$ , hence, by (2.5), the weight  $\mu$  has the form  $\mu = \mu^\natural + \ell\theta$  with  $\ell \in \frac{1}{2}\mathbb{Z}_{\geq 0}$ , where  $\mu^\natural = \mu|_{\mathfrak{h}^\natural}$ .

Since  $k \notin \mathbb{Z}_{\geq 0}$ , by Theorem 2.1,  $H_\theta(L(\widehat{\Lambda}))$  is a non-trivial irreducible module for  $W_k(\mathfrak{g}, \theta)$ . Since  $L(\widehat{\Lambda})$  is a quotient of the Verma module  $M(\widehat{\Lambda})$ , then, by exactness of  $H_\theta$ ,  $H_\theta(L(\widehat{\Lambda}))$  is the quotient of a Verma module for  $W_k(\mathfrak{g}, \theta) = V_{k'}(\mathfrak{g}^\natural)$  hence it is an irreducible highest weight module. By [35, (6.14)] its highest weight as  $\mathcal{V}_k(\mathfrak{g}^\natural)$ -module is  $\widehat{\Lambda}^\natural$  with  $\widehat{\Lambda}^\natural(K) = k'$  and  $\widehat{\Lambda}^\natural|_{\mathfrak{h}^\natural} = \mu^\natural$ . Therefore

$$H_\theta(L(\widehat{\Lambda})) = L_{\mathfrak{g}^\natural}(\widehat{\Lambda}^\natural).$$

In particular  $H_\theta(L(\widehat{\Lambda}))$  is in the category  $KL_{k'}$ .

Moreover, under the identification of the centralizer  $\mathfrak{g}^f$  of  $f$  in  $\mathfrak{g}$  with  $\mathfrak{g}_0 \oplus \mathfrak{g}_{1/2}$  via  $ad(f)$  (see Example 6.2 of [35]), we get that  $x$  acts on  $H_\theta(L(\widehat{\Lambda}))$  via  $J_0^{\{f\}}$ , and  $J^{\{f\}}$  is the conformal vector of  $W(k, \theta)$  (see the proof of Theorem 5.1 of [35]). Since the level is collapsing we know, by Proposition 4.1 of [4], that the conformal vector of  $W_k(\mathfrak{g}, \theta)$  coincides with the Segal-Sugawara vector conformal

Table 5  
Values of  $k$  and  $k'$ .

$\mathfrak{g}$	$V_{k'}(\mathfrak{g}^{\natural})$	$k$	$k'$
$sl(m n), m \neq n, m > 3, m - 2 \neq n$	$V_{k'}(sl(m - 2 n))$	$\frac{n-m}{2}$	$\frac{n-m+2}{2}$
$sl(3 n), n \neq 3, n \neq 1, n \neq 0$	$V_{k'}(sl(1 n))$	$\frac{n-3}{2}$	$\frac{1-n}{2}$
$sl(3)$	$\mathbb{C}$	$-\frac{3}{2}$	0
$sl(2 n), n \neq 2, n \neq 1, n \neq 0$	$V_{k'}(sl(n))$	$\frac{n-2}{2}$	$-\frac{n}{2}$
$sl(2 1) = spo(2 2)$	$\mathbb{C}$	$-\frac{1}{2}$	0
$sl(m n), m \neq n, n + 1, n + 2, m \geq 2$	$M(1)$	-1	1
$psl(m m), m \geq 2$	$\mathbb{C}$	-1	0
$spo(n m), m \neq n, n + 2, n \geq 4$	$V_{k'}(spo(n - 2 m))$	$\frac{m-n-4}{4}$	$\frac{m-n-2}{4}$
$spo(2 m), m \geq 5$	$V_{k'}(so(m))$	$\frac{m-6}{4}$	$\frac{4-m}{2}$
$spo(2 3)$	$V_{k'}(sl(2))$	$-\frac{3}{4}$	1
$spo(2 1)$	$\mathbb{C}$	$-\frac{5}{4}$	0
$spo(n m), m \neq n + 1, n \geq 2$	$\mathbb{C}$	-1/2	0
$osp(m n), m \neq n, m \neq n + 8, m \geq 7$	$V_{k'}(osp(m - 4 n))$	$\frac{n-m+4}{2}$	$\frac{8-m+n}{2}$
$osp(m n), n \neq m, 0; 4 \leq m \leq 6$	$V_{k'}(osp(m - 4 n))$	$\frac{n-m+4}{2}$	$\frac{m-n-8}{4}$
$osp(m n), m \neq n + 4, n + 8; m \geq 4$	$V_{k'}(sl(2))$	-2	$\frac{m-n-8}{2}$
$osp(n + 8 n), n \geq 0$	$\mathbb{C}$	-2	0
$D(2, 1; a)$	$V_{k'}(sl(2))$	$a$	$-\frac{1+2a}{1+a}$
$D(2, 1; a)$	$V_{k'}(sl(2))$	$-a - 1$	$-\frac{1+2a}{a}$
$F(4)$	$V_{k'}(D(2, 1; 2))$	-1	$\frac{1}{2}$
$F(4)$	$\mathbb{C}$	-3/2	0
$F(4)$	$V_{k'}(so(7))$	$\frac{2}{3}$	-2
$F(4)$	$\mathbb{C}$	$-\frac{2}{3}$	0
$E_6$	$V_{k'}(sl(6))$	-4	-1
$E_6$	$\mathbb{C}$	-3	0
$E_7$	$V_{k'}(so(12))$	-6	-2
$E_7$	$\mathbb{C}$	-4	0
$E_8$	$V_{k'}(E_7)$	-10	-4
$E_8$	$\mathbb{C}$	-6	0
$F_4$	$V_{k'}(sp(6))$	-3	$-\frac{1}{2}$
$F_4$	$\mathbb{C}$	-5/2	0
$G_2$	$V_{k'}(sl(2))$	$-\frac{4}{3}$	1
$G_2$	$\mathbb{C}$	$-\frac{5}{3}$	0
$G(3)$	$V_{k'}(G_2)$	$\frac{1}{2}$	$-\frac{5}{3}$
$G(3)$	$\mathbb{C}$	$-\frac{3}{4}$	0
$G(3)$	$V_{k'}(osp(3 2))$	$-\frac{2}{3}$	1
$G(3)$	$\mathbb{C}$	$-\frac{4}{3}$	0

$\omega_{\mathfrak{g}^{\natural}}$  of  $V_{k'}(\mathfrak{g}^{\natural})$  hence, by (6.14) of [35] again, we obtain that the  $(\omega_{\mathfrak{g}^{\natural}})_0$  acts on the lowest component of  $H_{\theta}(L(\widehat{\Lambda}))$  by  $cI$  with

$$(4.1) \quad c = \frac{(\mu + 2\rho, \mu)}{2(k + h^{\vee})} - \mu(x).$$

Now condition (2) implies that  $\mu^{\natural} = 0$ , so  $\mu = \ell\theta$  and

$$\frac{(\mu + 2\rho, \mu)}{2(k + h^{\vee})} - \mu(x) = \frac{(\ell\theta + 2\rho, \ell\theta)}{2(k + h^{\vee})} - \ell = 0.$$

By using formula (2.4), we get

$$(4.2) \quad \frac{2\ell^2 + (2h^{\vee} - 2)\ell}{2(k + h^{\vee})} - \ell = \frac{\ell^2 - (k + 1)\ell}{k + h^{\vee}} = 0.$$

- Consider first the case  $k = -h^{\vee}/2 + 1$  (this holds for  $\mathfrak{g} = D_{2n}$ ,  $n \geq 2$  and  $\mathfrak{g} = osp(n + 4m + 8|n)$ ,  $n \geq 0$ ). Then (4.2) gives that

$$(4.3) \quad \frac{2\ell^2 + (h^{\vee} - 4)\ell}{h^{\vee} + 2} = 0.$$

We get  $\ell = 0$  or  $2\ell + h^{\vee} - 4 = 0$ .

- Next we consider the case  $k = -h^{\vee}/6 - 1$ . We get

$$(4.4) \quad \frac{6\ell^2 + h^{\vee}\ell}{5h^{\vee} - 6} = 0.$$

We conclude that  $\ell = 0$  or  $\ell = -\frac{h^{\vee}}{6}$ .

By using the above analysis and properties of Hamiltonian reduction, we get the following lemma, which extends a result of [15] for Lie algebras to the super case.

**Lemma 4.1.** *Assume that  $k = -\frac{h^{\vee}}{6} - 1$  and  $\mathfrak{g}$  is one of the Lie algebras of exceptional Deligne's series  $A_2, G_2, D_4, F_4, E_6, E_7, E_8$ , or  $\mathfrak{g} = psl(m|m)$  ( $m \geq 2$ ),  $osp(n + 8|n)$  ( $n \geq 2$ ),  $spo(2|1)$ ,  $F(4)$ ,  $G(3)$  (for both choices of  $\theta$ ).*

*Assume that  $L(\lambda)$  is a  $V_k(\mathfrak{g})$ -module in the category  $\mathcal{O}$ . Then one of the following condition holds:*

- (1)  $\lambda(\alpha_0^{\vee}) \in \mathbb{Z}_{\geq 0}$ ;
- (2)  $\bar{\lambda}$  is either 0 or  $-\frac{h^{\vee}}{6}\theta$ , where  $\bar{\lambda}$  is the restriction of  $\lambda$  to  $\mathfrak{h}$ .

*Proof.* By Theorem 2.1, if  $L(\lambda)$  is a  $V_k(\mathfrak{g})$ -module for which  $\lambda(\alpha_0^{\vee}) \notin \mathbb{Z}_{\geq 0}$ , then  $H_{\theta}(L(\lambda))$  is an irreducible  $W_k(\mathfrak{g}, \theta) = H_{\theta}(V_k(\mathfrak{g}))$ -module. The conditions on  $\mathfrak{g}$  exactly correspond to the cases when  $W_k(\mathfrak{g}, \theta)$  is one-dimensional (cf. [4], [15]), so the discussion that precedes the Lemma and relation (4.4) imply that  $\bar{\lambda}$  is as in (2).  $\square$

Lemma 4.1 implies:

**Theorem 4.2.** *Assume that the level  $k$  and the Lie superalgebra  $\mathfrak{g}$  satisfy one of the following conditions:*

- (1)  $k = -\frac{h^{\vee}}{6} - 1$  and  $\mathfrak{g}$  is one of the Lie algebras of exceptional Deligne's series  $A_2, G_2, D_4, F_4, E_6, E_7, E_8$ , or  $\mathfrak{g} = psl(m|m)$  ( $m \geq 2$ ),  $osp(n + 8|n)$  ( $n \geq 2$ ),  $spo(2|1)$ ,  $F(4)$ ,  $G(3)$  (for both choices of  $\theta$ );
- (2)  $k = -h^{\vee}/2 + 1$  and  $\mathfrak{g} = osp(n + 4m + 8|n)$ ,  $n \geq 2, m \geq 0$ .
- (3)  $k = -h^{\vee}/2 + 1$  and  $\mathfrak{g} = D_{2m}$ ,  $m \geq 2$ .
- (4)  $k = -10$  and  $\mathfrak{g} = E_8$ .

*Then  $V_k(\mathfrak{g})$  is the unique irreducible  $V_k(\mathfrak{g})$ -module in the category  $KL_k$ .*

*Proof.* If the Lie superalgebra  $\mathfrak{g}$  is as in (1), then Lemma 4.1 and Remark 3.5 imply that  $\bar{\lambda}$  is either 0 or  $-\frac{h^{\vee}}{6}\theta$ . Since in all cases in (1) we have that  $h^{\vee} \in \mathbb{Z}_{\geq 0}$ , one obtains that the irreducible highest weight  $\mathfrak{g}$ -module with highest weight  $\bar{\lambda} = -\frac{h^{\vee}}{6}\theta$  cannot be finite-dimensional. Therefore  $L(\lambda)$  can not be a

module in  $KL_k$ . This proves that  $\bar{\lambda} = 0$  and therefore  $V_k(\mathfrak{g})$  is the unique irreducible  $V_k(\mathfrak{g})$ -module in the category  $KL_k$ .

Let us consider the case  $\mathfrak{g} = osp(n + 4m + 8|n)$ . Then for every  $m \in \mathbb{Z}_{\geq 0}$  we have:

$$(4.5) \quad h^\vee = 4m + 6,$$

$$(4.6) \quad k = -h^\vee/2 + 1 = -2(m + 1),$$

$$(4.7) \quad 2\ell + h^\vee - 4 \neq 0 \quad \forall \ell \in \frac{1}{2}\mathbb{Z}_{\geq 0}.$$

We prove the claim by induction. In the case  $m = 0$ , the claim was proved in (1). Assume now that the claim holds for  $\mathfrak{g}' = osp(n + 4(m - 1) + 8, n)$ , and  $k' = -2m$ .

By Theorem 2.3,  $k = -2(m + 1)$  is a collapsing level and  $W_k(\mathfrak{g}, \theta) = V_{k'}(\mathfrak{g}')$ .

By inductive assumption  $V_{k'}(\mathfrak{g}')$  is the unique irreducible  $V_{k'}(\mathfrak{g}')$  in the category  $KL_{k'}$ . By applying (4.3) and (4.7) we get that  $\ell = 0$  and therefore  $V_k(\mathfrak{g})$  is the unique irreducible  $V_k(\mathfrak{g})$ -module in the category  $KL_k$ . The assertion now follows by induction on  $m$ .

(3) is a special case of (2), by taking  $n = 0$ .

(4) follows from the fact that  $H_\theta(V_{-10}(E_8)) = V_{-4}(E_7)$  and case (1) by applying formula (4.2).  $\square$

**Remark 4.3.** *Theorem 4.2 can be also proved by non-cohomological methods, using explicit formulas for singular vectors and Zhu algebra theory. As an illustration, we shall present in Theorem 8.6 a direct proof in the case of  $D_{2n}$  at level  $k = -h^\vee/2 + 1$ .*

In the following sections we shall study some other applications of collapsing levels. We shall restrict our analysis to the case of Lie algebras. In what follows we let  $\omega_1, \dots, \omega_n$  be the fundamental weights for  $\mathfrak{g}$  and  $\Lambda_0, \dots, \Lambda_n$  the fundamental weights for  $\widehat{\mathfrak{g}}$ .

## 5. ON COMPLETE REDUCIBILITY IN THE CATEGORY $KL_k$

In this Section we prove complete reducibility results in the category  $KL_k$  when  $\mathfrak{g}$  is a Lie algebra. We start with a preliminary result, which also holds in the super setting.

**Lemma 5.1.** *Assume that the Lie superalgebra  $\mathfrak{g}$  and level  $k$  satisfy the conditions of Theorem 4.2. Assume that  $M$  is a highest weight  $V_k(\mathfrak{g})$ -module from the category  $KL_k$ . Then  $M$  is irreducible.*

*Proof.* By using the classification of irreducible modules from Theorem 4.2 we know that the highest weight of  $M$  is necessary  $k\Lambda_0$ , and therefore  $M$  is a  $\mathbb{Z}_{\geq 0}$ -graded with respect to  $L_{\mathfrak{g}}(0)$ . Denote a highest weight vector by  $w_{k\Lambda_0}$ . We have that

$$L_{\mathfrak{g}}(0)v = 0 \quad \iff \quad v = \nu w_{k\Lambda_0} \quad (\nu \in \mathbb{C}).$$

Assume that  $M$  is not irreducible. Then it contains a non-zero graded submodule  $N \neq M$  with respect to  $L_{\mathfrak{g}}(0)$ :

$$N = \bigoplus_{n \in \mathbb{Z}_{\geq 0}} N(n + n_0), \quad L_{\mathfrak{g}}(0)|_{N(r)} = r\text{Id}, \quad N(n_0) \neq 0.$$

Since  $N \neq M$ , we have that  $n_0 > 0$ , otherwise  $w_{k\Lambda_0} \in M$ .

We can consider  $N(n_0)$  as a finite-dimensional module for  $\mathfrak{g}$  and for the Zhu algebra  $A(V_k(\mathfrak{g}))$ . Note that Theorem 4.2 and Proposition 3.2 imply that any irreducible finite-dimensional  $A(V_k(\mathfrak{g}))$ -module is trivial. Since the Casimir element  $C_{\mathfrak{g}}$  of  $\mathfrak{g}$  acts on  $N(n_0)$  as the non-zero constant  $2(k + h^\vee)n_0$ , we conclude that  $C_{\mathfrak{g}}$  acts by the same constant on any irreducible  $\mathfrak{g}$ -subquotient of  $N(n_0)$ . But any irreducible subquotient of  $N(n_0)$  is an irreducible finite-dimensional  $A(V_k(\mathfrak{g}))$ -module, and therefore it is trivial. This implies that  $C_{\mathfrak{g}}$  acts non-trivially on a trivial  $\mathfrak{g}$ -module, a contradiction.  $\square$

The following Lemma is a consequence of [28, Theorem 0.1].

**Lemma 5.2.** [28] *Assume that  $\mathfrak{g}$  is a simple Lie algebra and  $k$  is a rational number,  $k > -h^\vee$ . Then, in the category of  $V_k(\mathfrak{g})$ -modules, we have:  $\text{Ext}^1(V_k(\mathfrak{g}), V_k(\mathfrak{g})) = (0)$ .*

**Theorem 5.3.** *Assume that  $\mathfrak{g}$  is a simple Lie algebra and that the level  $k$  satisfies the conditions of Theorem 4.2. Then any  $V_k(\mathfrak{g})$ -module  $M$  from the category  $KL_k$  is completely reducible.*

*Proof.* Since  $M$  is in  $KL_k$  we have that any irreducible subquotient of  $M$  is isomorphic to  $V_k(\mathfrak{g})$ .  $M$  has finite length. This implies that  $M$  is  $\mathbb{Z}_{\geq 0}$ -graded:

$$M = \bigoplus_{n \in \mathbb{Z}_{\geq 0}} M(n), \quad L_{\mathfrak{g}}(0)|_{M(r)} = r\text{Id}.$$

Assume that  $M(0) = \text{span}_{\mathbb{C}}\{w_1, \dots, w_s\}$ . Then by Lemma 5.1 we have that  $V_k(\mathfrak{g})w_i \cong V_k(\mathfrak{g})$  for every  $i = 1, \dots, s$ . Now using Lemma 5.2 we get  $M \cong \bigoplus V_k(\mathfrak{g})w_i$  and therefore  $M$  is completely reducible.  $\square$

**Remark 5.4.** *We expect that the previous theorem holds in the case when  $\mathfrak{g}$  is the Lie superalgebra from Theorem 4.2. We shall study this case in [7].*

We shall now prove much more general result on complete reducibility in  $KL_k$ .

**Theorem 5.5.** *Assume that level  $k \in \mathbb{Q}$ ,  $k > -h^\vee$ , and the simple Lie algebra  $\mathfrak{g}$  satisfy the following property:*

$$(5.1) \quad \text{Every highest weight } V_k(\mathfrak{g})\text{-module in } KL_k \text{ is irreducible.}$$

*Then the category  $KL_k$  is semi-simple.*

*Proof.* We shall present a sketch of the proof and omit some standard representation theoretic arguments which can be found in [20] and [28].

- Since every irreducible  $V_k(\mathfrak{g})$ -module in  $KL_k$  is isomorphic to  $L(\lambda)$  for certain rational, non-critical weight  $\lambda$ , then [28, Theorem 0.1] implies that  $\text{Ext}^1(L(\lambda), L(\lambda)) = (0)$  in the category  $KL_k$ .
- We prove that in the category  $KL_k$  we have

$$(5.2) \quad \text{Ext}^1(L_1, L_2) = (0)$$

for any two irreducible modules  $L_1$  and  $L_2$  from  $KL_k$ .

It remains to consider the case  $L_1 \neq L_2$ . Take an exact sequence in  $KL_k$ :

$$0 \rightarrow L(\lambda_1) \rightarrow M \rightarrow L(\lambda_2) \rightarrow 0,$$

where  $\lambda_1 \neq \lambda_2$ . Then  $M$  contains a singular vector  $w_{\lambda_1}$  of highest weight  $\lambda_1$  and a subsingular vector  $w_{\lambda_2}$  of weight  $\lambda_2$  and  $w_{\lambda_1}$  generates a submodule isomorphic to  $L(\lambda_1)$ . Consider the case  $\lambda_1 - \lambda_2 \notin Q_+$ . Then  $\lambda_2$  is a maximal element of the set  $\Omega(M)$  of weights of  $M$ , and therefore the subsingular vector  $w_{\lambda_2}$  in  $M$  of weight  $\lambda_2$  is a singular vector. By (5.1), it generates an irreducible module isomorphic to  $L(\lambda_2)$  and we conclude that  $M \cong L(\lambda_1) \oplus L(\lambda_2)$ .

If  $\lambda_1 - \lambda_2 \in Q_+$  we can use the contravariant functor  $M \mapsto M^\sigma$  and get an exact sequence

$$0 \rightarrow L(\lambda_2) \rightarrow M^\sigma \rightarrow L(\lambda_1) \rightarrow 0.$$

Since  $M^\sigma$  is again a  $V_k(\mathfrak{g})$ -module in  $KL_k$  (cf. Lemma 3.6) by the first case we have that  $M^\sigma = L(\lambda_1) \oplus L(\lambda_2)$ . This implies that

$$M = L(\lambda_1)^\sigma \oplus L(\lambda_2)^\sigma = L(\lambda_1) \oplus L(\lambda_2).$$

- Assume now that  $M$  is a finitely generated module from  $KL_k$ . Then from [20, Proposition 3.1] we see that  $M$  has an increasing filtration

$$(5.3) \quad (0) = M_0 \subseteq M_1 \subseteq \dots$$

such that

- (1) for every  $j \in \mathbb{Z}_{>0}$ ,  $M_j/M_{j-1}$  is a highest weight module in category  $\mathcal{O}$ ;
- (2) for any weight  $\lambda$  of  $M$ , there exists  $r$  such that  $(M/M_r)_\lambda = 0$ .

Since  $M$  is finitely generated as  $\widehat{\mathfrak{g}}$ -module, we can assume that its generators are weight vectors of weights say  $\mu_1, \dots, \mu_p$ . Since they are a finite number there certainly exists  $t$  such that  $(M/M_t)_{\mu_i} = 0$  for all  $i = 1, \dots, p$ . Hence the filtration (5.3) is finite and stops at  $M = M_t$ . Since  $M$  is in category  $KL_k$ , we have that the factors of (5.3) are in category  $KL_k$ . Hence, by our assumption, they are irreducible. Therefore (5.3) is a composition series of finite length. Using assumption (5.1), relation (5.2) and induction on  $t$  we get that

$$M \cong \bigoplus_{j=1}^t L(\lambda_j).$$

- Finally, we shall consider the case when  $M$  is not finitely generated. Since  $M$  is in  $KL_k$ , it is countably generated. So  $M = \bigcup_{n=1}^{\infty} M^{(n)}$  such that each  $M^{(n)}$  is finitely generated  $V_k(\mathfrak{g})$ -module. By previous case  $M^{(n)}$  is completely reducible, so:

$$(5.4) \quad M^{(n)} = \bigoplus_{i=1}^{n_i} L(\lambda_{i,n}).$$

Therefore  $M$  is a sum of irreducible modules from  $KL_k$  and by using classical algebraic arguments one can see that  $M$  is a direct sum of countably many irreducible modules from  $KL_k$  appearing in decompositions (5.4).

The claim follows.  $\square$

In order to apply Theorem 5.5, the basic step is to check relation (5.1). We have the following method.

**Lemma 5.6.** *Let  $k \in \mathbb{Q} \setminus \mathbb{Z}_{\geq 0}$ . Assume that  $H_{\theta}(U)$  is an irreducible, non-zero  $W_k(\mathfrak{g}, \theta) = H_{\theta}(V_k(\mathfrak{g}))$ -module for every non-zero highest weight  $V_k(\mathfrak{g})$ -module  $U$  from the category  $KL_k$ . Then every highest weight  $V_k(\mathfrak{g})$ -module in  $KL_k$  is irreducible.*

*Proof.* Assume that  $M$  is a highest weight  $V_k(\mathfrak{g})$ -module in  $KL_k$ . Then  $H_{\theta}(M)$  is an irreducible  $H_{\theta}(V_k(\mathfrak{g}))$ -module. If  $M$  is not irreducible, then it contains a highest weight submodule  $U$  such that  $\{0\} \subsetneq U \subsetneq M$ . Modules  $U$  and  $M/U$  are again highest weight modules in  $KL_k$ . By the assumption of the Lemma we have that  $H_{\theta}(U)$  is a non-trivial submodule of  $H_{\theta}(M)$ . Irreducibility of  $H_{\theta}(M)$  implies that  $H_{\theta}(U) = H_{\theta}(M)$ , and therefore  $H_{\theta}(M/U) = \{0\}$ , a contradiction.  $\square$

**Theorem 5.7.** *Assume that  $\mathfrak{g}$  is a simple Lie algebra and  $k \in \mathbb{C} \setminus \mathbb{Z}_{\geq 0}$  such that  $W_k(\mathfrak{g}, \theta)$  is rational. Then  $KL_k$  is a semi-simple category.*

*Proof.* Assume that  $\widetilde{L}(\lambda)$  is a highest weight  $V_k(\mathfrak{g})$ -module in  $KL_k$ . Clearly  $\lambda(\alpha_0^{\vee}) \notin \mathbb{Z}_{\geq 0}$  and by Theorem 2.1  $H_{\theta}(\widetilde{L}(\lambda)) \neq (0)$ . Since  $H_{\theta}(\widetilde{L}(\lambda))$  is non-zero highest weight module for the rational vertex algebra  $W_k(\mathfrak{g}, \theta)$ , we conclude that  $H_{\theta}(\widetilde{L}(\lambda))$  is irreducible. Now assertion follows from Theorem 5.5 and Lemma 5.6.  $\square$

**Remark 5.8.** *The previous theorem proves that the category  $KL_k$  is semisimple in the following (non-admissible) cases:*

- $\mathfrak{g} = D_4, E_6, E_7, E_8$  and  $k = -\frac{h^{\vee}}{6}$  using results from [38].

Moreover, using Theorem 5.5 and Lemma 5.6 we can prove the semi-simplicity of  $KL_k$  for all collapsing levels not accounted by Theorem 1.1. We list here only non-admissible levels, since in admissible case  $KL_k$  is semi-simple by [12].

**Theorem 5.9.** *The category  $KL_k$  is semisimple in the following cases:*

- (1)  $\mathfrak{g} = D_{\ell}$ ,  $\ell \geq 3$  and  $k = -2$ ;
- (2)  $\mathfrak{g} = B_{\ell}$ ,  $\ell \geq 2$  and  $k = -2$ ;
- (3)  $\mathfrak{g} = A_{\ell}$ ,  $\ell \geq 2$  and  $k = -1$ ;
- (4)  $\mathfrak{g} = A_{2\ell-1}$ ,  $\ell \geq 2$ ,  $k = -\ell$ ;

- (5)  $\mathfrak{g} = D_{2\ell-1}$ ,  $\ell \geq 3$  and  $k = -2\ell + 3$ ;
- (6)  $\mathfrak{g} = C_\ell$ ,  $k = -1 - \ell/2$ ;
- (7)  $\mathfrak{g} = E_6$ ,  $k = -4$ ;
- (8)  $\mathfrak{g} = E_7$ ,  $k = -6$ ;
- (9)  $\mathfrak{g} = F_4$ ,  $k = -3$ .

*Proof.* We will give a proof of relations (1) and (2) in Corollaries 6.8 and 7.7, respectively. Case (1) for  $\ell \neq 3$  will follow from Theorem 5.7. Note also that case (1) for  $\ell = 3$  is a special case of case (4), and that case (2) for  $\ell = 2$  is a special case of (6). The proof in cases (3) – (6) is similar, and it uses the classification of irreducible modules from [10], [11], [16] and the results on collapsing levels [4]. Cases (7) – (9) are reduced to cases we have already treated. Here are some details.

Case (3):

- [16], [4]  $H_\theta(V_{-1}(A_\ell))$  is isomorphic to the Heisenberg vertex algebra  $M(1)$  of central charge  $c = 1$
- By using the fact that every highest weight  $M(1)$ -module is irreducible, we see that if  $U$  is a highest weight  $V_{-1}(A_\ell)$ -module in  $KL_{-1}$ , then  $H_\theta(U)$  is a non-trivial irreducible  $M(1)$ -module.

Case (4):

- [16], [4]  $H_\theta(V_{-\ell}(A_{2\ell-1})) = V_{-\ell+1}(A_{2\ell-3})$ .
- For  $\ell = 2$ , we have that every highest weight  $V_{-\ell+1}(A_{2\ell-3}) = V_{-1}(sl(2))$ -module  $\tilde{L}(\lambda)$  in  $KL_{-1}$  with highest weight  $\lambda = -(1+j)\Lambda_0 + j\Lambda_1$ ,  $j \in \mathbb{Z}_{\geq 0}$ , is irreducible.
- By induction, we see that for every highest weight  $V_{-\ell}(A_{2\ell-1})$ -module  $U$  in  $KL_{-\ell}$ ,  $H_\theta(U)$  is a non-trivial irreducible  $V_{-\ell+1}(A_{2\ell-3})$ -module.

Case (5)

- $H_\theta(V_{-2\ell+3}(D_{2\ell-1})) \cong V_{-2\ell+5}(D_{2\ell-3})$ .
- By induction we see that for every highest weight  $V_{-2\ell+3}(D_{2\ell-1})$ -module  $U$  in  $KL_{-2\ell+3}$ ,  $H_\theta(U)$  is a non-trivial irreducible  $V_{-2\ell+5}(D_{2\ell-3})$ -module.

Case (6)

- $H_\theta(V_{-1-\ell/2}(C_\ell)) \cong V_{-1/2-\ell/2}(C_{\ell-1})$ .
- For  $\ell = 2$ , we have that every highest weight  $V_{-1/2-\ell/2}(C_{\ell-1}) = V_{-3/2}(sl(2))$ -module in  $KL_{-3/2}$  is irreducible.
- By induction, we see that for every highest weight  $V_{-1-\ell/2}(C_\ell)$ -module  $U$  in  $KL_{-1-\ell/2}$ ,  $H_\theta(U)$  is a non-trivial irreducible  $V_{-1/2-\ell/2}(C_{\ell-1})$ -module.

The proof follows by applying Theorem 5.5 and Lemma 5.6.

Cases (7) – (8)

We have

$$H_\theta(V_{-4}(E_6)) = V_{-1}(A_3), \quad H_\theta(V_{-6}(E_7)) = V_{-2}(D_6),$$

and these cases are settled in (3) and Theorem 1.1 (3) respectively. Case (9) follows from the fact that  $H_\theta(V_{-3}(F_4))$  is isomorphic to the admissible affine vertex algebra  $V_{-\frac{1}{2}}(C_3)$  which is semisimple in  $KL_{-1/2}$  (cf. [1]).  $\square$

**Remark 5.10.** *The problem of complete-reducibility of modules in  $KL_k$  when  $\mathfrak{g}$  is a Lie superalgebra will be also studied in [7]. An important tool in the description of the category  $KL_k$  will be the conformal embedding of  $V_k(\mathfrak{g}_0)$  to  $V_k(\mathfrak{g})$  where  $\mathfrak{g}_0$  is the even part of  $\mathfrak{g}$ .*

Note that in the category  $\mathcal{O}$  we can have indecomposable  $V_k(\mathfrak{g})$ -modules in some cases listed in Theorem 5.9. See [10, Remark 5.8] for one example.



## 6. THE VERTEX ALGEBRA $V^{-2}(D_\ell)$ AND ITS QUOTIENTS

In this section we exploit Hamiltonian reduction and the results on conformal embeddings from [4] to investigate the quotients of the vertex algebra  $V^{-2}(D_\ell)$ . In particular we are interested in a non-simple quotient  $\mathcal{V}_{-2}(D_\ell)$  which appears in the analysis of certain dual pairs (see [6]) as well as in the simple quotient  $V_{-2}(D_\ell)$ . We will show that the vertex algebra  $\mathcal{V}_{-2}(D_\ell)$  has infinitely many irreducible modules in the category  $KL^{-2}$ , while by [15],  $V_{-2}(D_\ell)$  has finitely many irreducible modules in  $KL_{-2}$ . Recall that  $-2$  is a collapsing level for  $D_\ell$  [4].

Consider the vector

$$(6.1) \quad w_1 := (e_{\epsilon_1+\epsilon_2}(-1)e_{\epsilon_3+\epsilon_4}(-1) - e_{\epsilon_1+\epsilon_3}(-1)e_{\epsilon_2+\epsilon_4}(-1) + e_{\epsilon_1+\epsilon_4}(-1)e_{\epsilon_2+\epsilon_3}(-1))\mathbf{1}.$$

It is a singular vector in  $V^{-2}(D_\ell)$  (cf. [15]). Note that this vector is contained in the subalgebra  $V^{-2}(D_4)$  of  $V^{-2}(D_\ell)$ .

By using the explicit expression for singular vectors  $v_n$  in  $V^{n-\ell+1}(D_\ell)$  (see (8.1)), we have that

$$(6.2) \quad w_2 := v_{\ell-3} = \left( \sum_{i=2}^{\ell} e_{\epsilon_1-\epsilon_i}(-1)e_{\epsilon_1+\epsilon_i}(-1) \right)^{\ell-3} \mathbf{1}$$

is a singular vector in  $V^{-2}(D_\ell)$ .

For  $\ell = 4$  we also have a third singular vector (cf. [40])

$$w_3 := (e_{\epsilon_1+\epsilon_2}(-1)e_{\epsilon_3-\epsilon_4}(-1) - e_{\epsilon_1+\epsilon_3}(-1)e_{\epsilon_2-\epsilon_4}(-1) + e_{\epsilon_1-\epsilon_4}(-1)e_{\epsilon_2+\epsilon_3}(-1))\mathbf{1}.$$

**6.1. The vertex algebra  $\mathcal{V}_{-2}(D_\ell)$  for  $\ell \geq 4$ .** Define the vertex algebra

$$(6.3) \quad \mathcal{V}_{-2}(D_\ell) = V^{-2}(D_\ell)/J_\ell,$$

where

$$J_\ell = \langle w_1, w_3 \rangle \quad (\ell = 4), \quad J_\ell = \langle w_1 \rangle \quad (\ell \geq 5).$$

The following proposition is essentially proven in [6].

**Proposition 6.1.**

- (1) *There is a non-trivial vertex algebra homomorphism  $\overline{\Phi} : \mathcal{V}_{-2}(D_\ell) \rightarrow M_{2\ell}$  where  $M_{2\ell}$  the Weyl vertex algebra of rank  $\ell$ .*
- (2)  *$\mathcal{V}_{-2}(D_\ell)$  is not simple, and  $L((-2-t)\Lambda_0 + t\Lambda_1)$ ,  $t \in \mathbb{Z}_{\geq 0}$  are  $\mathcal{V}_{-2}(D_\ell)$ -modules.*

*Proof.* The homomorphism  $\Phi : V^{-2}(D_\ell) \rightarrow M_{2\ell}$  was constructed in [6, Section 7]. By direct calculation one proves that  $\Phi(w_1) = 0$  for  $\ell \geq 4$  and  $\Phi(w_3) = 0$  for  $\ell = 4$ . Finally [6, Lemma 7.1] implies that  $L((-2-t)\Lambda_0 + t\Lambda_1)$ ,  $t \in \mathbb{Z}_{\geq 0}$  are  $\mathcal{V}_{-2}(D_\ell)$ -modules. Since the simple vertex algebra  $V_{-2}(D_\ell)$  has only finitely many irreducible modules in the category  $\mathcal{O}$  [15], we have that  $\mathcal{V}_{-2}(D_\ell)$  is not simple.  $\square$

Next, we exploit the fact that in the case  $\mathfrak{g} = D_\ell$ ,  $k = -2$  is a collapsing level, i.e., in the affine  $W$ -algebra  $W^k(\mathfrak{g}, \theta)$ , all generators  $G^{\{u\}}$  at conformal weight  $3/2$ ,  $u \in \mathfrak{g}_{-1/2}$ , belong to the maximal ideal (see [4] for details). This implies that there exists a non-trivial ideal  $I$  in  $V^{-2}(\mathfrak{g})$  such that  $G^{\{u\}} \in H_\theta(I)$  for all  $u \in \mathfrak{g}_{-1/2}$ .

Note also that  $\mathfrak{g}^\natural = A_1 \oplus D_{\ell-2}$ , so we have that  $V^{\ell-4}(A_1) \otimes V^0(D_{\ell-2})$  is a subalgebra of  $W^{-2}(D_\ell, \theta)$ . In the case  $\ell = 4$  we identify  $D_2$  with  $A_1 \oplus A_1$ .

**Lemma 6.2.** *We have*

- $x_{(-1)}\mathbf{1} \in H_\theta(J_\ell)$  for all  $x \in D_{\ell-2} \subset \mathfrak{g}^\natural$ ,
- $G^{\{u\}} \in H_\theta(J_\ell)$  for all  $u \in \mathfrak{g}_{-1/2}$ .

*Proof.* Assume that  $\ell \geq 5$ . Since  $w_1$  is a singular vector in  $V^{-2}(D_\ell)$ , the ideal  $J_\ell$  is a highest weight module of highest weight  $\lambda = -2\Lambda_0 + \epsilon_1 + \epsilon_2 + \epsilon_3 + \epsilon_4$ . Now, the Main Theorem from [12] implies that  $H_\theta(J_\ell)$  is a non-trivial highest weight module. By formula [35, (6.14)] the highest weight is  $(0, \omega_2)$  and, by (4.1), the conformal weight of its highest weight vector is 1. Up to a non-zero constant,

there is only one vector in  $W^{-2}(D_\ell, \theta) = V^{\ell-4}(A_1) \otimes V^0(D_{\ell-2})$  that has these properties, namely  $J_{(-1)}^{\{e_{\epsilon_3+\epsilon_4}\}} \mathbf{1}$ , and therefore  $H_\theta(J_\ell)$  contains all generators of  $V^0(D_{\ell-2})$ .

In the case  $\ell = 4$ ,  $w_1$  and  $w_3$  generate submodules  $N_1$  and  $N_3$  of highest weights  $\lambda_1 = -2\Lambda_0 + \epsilon_1 + \epsilon_2 + \epsilon_3 + \epsilon_4$ ,  $\lambda_3 = -2\Lambda_0 + \epsilon_1 + \epsilon_2 + \epsilon_3 - \epsilon_4$ , respectively. Applying the same arguments as above we get that  $J_{(-1)}^{\{e_{\epsilon_3+\epsilon_4}\}} \mathbf{1} \in H_\theta(I)$ , which implies that  $H_\theta(J_\ell)$  contains all generators of  $V^0(D_2) = V^0(A_1) \otimes V^0(A_1)$ .

Now, claim follows by applying the action of generators of  $V^0(D_{\ell-2})$  to  $G^{\{u\}}$  (see [4]).  $\square$

**Proposition 6.3.** *We have*

- (1)  $H_\theta(\mathcal{V}_{-2}(D_\ell)) = V^{\ell-4}(A_1)$ .
- (2)  $H_\theta(L((-2-t)\Lambda_0 + t\Lambda_1)) \cong L_{A_1}((\ell-4-t)\Lambda_0 + t\Lambda_1)$ ,  $t \in \mathbb{Z}_{\geq 0}$ .
- (3) *The set  $\{L((-2-t)\Lambda_0 + t\Lambda_1) \mid t \in \mathbb{Z}_{\geq 0}\}$  provides a complete list of irreducible  $\mathcal{V}_{-2}(D_\ell)$ -modules from the category  $KL^{-2}$ .*

*Proof.* By Lemma 6.2 we see that the vertex algebra  $H_\theta(\mathcal{V}_{-2}(D_\ell))$  is generated only by  $x_{(-1)} \mathbf{1}$ ,  $x \in A_1 \subset D_\ell^\natural$ . So there are only two possibilities: either  $H_\theta(\mathcal{V}_{-2}(D_\ell)) = V^{\ell-4}(A_1)$  or  $H_\theta(\mathcal{V}_{-2}(D_\ell)) = V_{\ell-4}(A_1)$ . Moreover, for every  $t \in \mathbb{Z}_{\geq 0}$ ,  $H_\theta(L((-2-t)\Lambda_0 + t\Lambda_1))$  must be the irreducible  $H_\theta(\mathcal{V}_{-2}(D_\ell))$ -module with highest weight  $t\omega_1$  with respect to  $A_1$ . So  $H_\theta(L((-2-t)\Lambda_0 + t\Lambda_1)) \cong L_{A_1}((\ell-4-t)\Lambda_0 + t\Lambda_1)$ ,  $t \in \mathbb{Z}_{\geq 0}$ . Therefore,  $H_\theta(\mathcal{V}_{-2}(D_\ell))$  contains infinitely many irreducible modules, which gives that  $H_\theta(\mathcal{V}_{-2}(D_\ell)) = V^{\ell-4}(A_1)$ . In this way we have proved claims (1) and (2).

Let us now prove claim (3).

Assume that  $L(k\Lambda_0 + \mu)$  ( $\mu \in P_+$ ,  $k = -2$ ) is an irreducible  $\mathcal{V}_k(D_\ell)$ -module in the category  $KL^k$ . Then  $H_\theta(L(k\Lambda_0 + \mu))$  is a non-trivial irreducible  $V^{\ell-4}(A_1)$ -module. The representation theory of  $V^{\ell-4}(A_1)$  implies that:

$$H_\theta(L(k\Lambda_0 + \mu)) = L_{A_1}((\ell-4-j)\Lambda_0 + j\Lambda_1) \quad \text{for } j \in \mathbb{Z}_{\geq 0}.$$

Since  $D_\ell^\natural = A_1 \times D_{\ell-2}$ , we conclude that  $\mu^\natural = j\omega_1$  and therefore, by (2.5),

$$\mu = j\omega_1 + s\omega_2 = (s+j)\epsilon_1 + s\epsilon_2 \quad (s \in \mathbb{Z}_{\geq 0}).$$

By using the action of  $L(0) = \omega_0$  on the lowest component of  $H_\theta(L(k\Lambda_0 + \mu))$  we get

$$\frac{(\mu + 2\rho, \mu)}{2(k+h^\vee)} - \mu(x) = \frac{j(j+2)}{4(\ell-2)} \quad (x = \theta^\vee/2).$$

Since  $2(k+h^\vee) = 2(-2+2\ell-2) = 4(\ell-2)$  and  $\mu(x) = (2s+j)/2$  we get

$$(\mu + 2\rho, \mu) - (h^\vee - 2)(2s+j) = j(j+2).$$

By direct calculation we get

$$(\mu + 2\rho, \mu) = (s+j)^2 + s^2 + h^\vee(s+j) + (h^\vee - 2)s,$$

which gives an equation:

$$\begin{aligned} & (s+j)^2 + s^2 + h^\vee(s+j) + (h^\vee - 2)s - (h^\vee - 2)(2s+j) = j(j+2). \\ \iff & (s+j)^2 + s^2 + h^\vee(s+j) - (h^\vee - 2)(s+j) = j(j+2). \\ \iff & (s+j)(s+j+2) = j(j+2) \\ \iff & s = 0 \quad \text{or} \quad s = -2j - 2. \end{aligned}$$

Since  $\mu \in P_+$  we conclude that  $s = 0$ . Therefore  $\mu = j\omega_1$  for certain  $j \in \mathbb{Z}_{\geq 0}$ . The proof of claim (3) is now complete.  $\square$

**6.2. The simple vertex algebra  $V_{-2}(D_\ell)$ .** Next we use the fact that the simple affine  $W$ -algebra  $W_{-2}(D_\ell, \theta)$  is isomorphic to the simple affine vertex algebra  $V_{\ell-4}(A_1)$ , for  $\ell \geq 4$ .

**Proposition 6.4.** *The set  $\{L((-2-j)\Lambda_0 + j\Lambda_1) \mid j \in \mathbb{Z}_{\geq 0}, j \leq \ell - 4\}$  provides a complete list of irreducible  $V_{-2}(D_\ell)$ -modules from the category  $KL_{-2}$ .*

*Proof.* Assume that  $N$  is an irreducible  $V_{-2}(D_\ell)$ -module from the category  $KL_{-2}$ . Then  $N$  is also irreducible as  $\mathcal{V}_{-2}(D_\ell)$ -module, and therefore  $N \cong L((-2-j)\Lambda_0 + j\Lambda_1)$  for certain  $j \in \mathbb{Z}_{\geq 0}$ . Since  $H_\theta(N)$  must be an irreducible  $H_\theta(V_{-2}(D_\ell)) = W_{-2}(D_\ell, \theta) = V_{\ell-4}(A_1)$ -module, we get  $j \leq \ell - 4$ , as desired.  $\square$

Now we want to describe the maximal ideal in  $V^{-2}(D_\ell)$ . The next lemma states that any non-trivial ideal in  $\mathcal{V}_{-2}(D_\ell)$  is automatically maximal.

**Lemma 6.5.** *Let  $\{0\} \neq I \subsetneq \mathcal{V}_{-2}(D_\ell)$  be any non-trivial ideal in  $\mathcal{V}_{-2}(D_\ell)$ . Then we have*

- (1)  $H_\theta(I)$  is the maximal ideal in  $V^{\ell-4}(A_1)$ .
- (2)  $I$  is a maximal ideal in  $\mathcal{V}_{-2}(D_\ell)$  and  $I = L(-2(\ell-2)\Lambda_0 + 2(\ell-3)\Lambda_1)$ .

*Proof.* Assume that  $I$  is a non-trivial ideal in  $\mathcal{V}_{-2}(D_\ell)$ . Then  $I$  can be regarded as a  $\mathcal{V}_{-2}(D_\ell)$ -module in the category  $KL^{-2}$  and therefore, by Proposition 6.3, (3), it contains a non-trivial subquotient isomorphic to  $L((-2-j)\Lambda_0 + j\Lambda_1)$  for some  $j \in \mathbb{Z}_{\geq 0}$ . Since, by part (2) of the aforementioned Proposition,  $H_\theta(L((-2-j)\Lambda_0 + j\Lambda_1)) \neq 0$  for every  $j \in \mathbb{Z}_{\geq 0}$ , we conclude that  $H_\theta(I)$  is a non-trivial ideal in  $H_\theta(\mathcal{V}_{-2}(D_\ell)) = V^{\ell-4}(A_1)$ . But since  $V^{\ell-4}(A_1)$ ,  $\ell \geq 4$ , contains a unique non-trivial ideal, which is automatically maximal, we have that  $H_\theta(I)$  is a maximal ideal in  $V^{\ell-4}(A_1)$ . So

$$H_\theta(\mathcal{V}_{-2}(D_\ell)/I) \cong V_{\ell-4}(A_1).$$

Assume now that  $\mathcal{V}_{-2}(D_\ell)/I$  is not simple. Then it contains a non-trivial singular vector  $v'$  of weight  $-(2+j)\Lambda_0 + j\Lambda_1$  for  $j \in \mathbb{Z}_{>0}$ . By [12], we have that  $H_\theta(V^{-2}(D_\ell).v')$  is a non-trivial ideal in  $V_{\ell-4}(A_1)$  generated by a singular vector of  $A_1$ -weight  $j\omega_1$ . This is a contradiction. So  $I$  is the maximal ideal.

Since the maximal ideal in  $V^{\ell-4}(A_1)$  is generated by a singular vector of  $A_1$ -weight  $2(\ell-3)\omega_1$  and since the maximal ideal is simple, we conclude that  $I = \mathcal{V}_{-2}(D_\ell).v_{sing}$  for a certain singular vector  $v_{sing}$  of weight  $\lambda = -2(\ell-2)\Lambda_0 + 2(\ell-3)\Lambda_1$ . It is also clear that this singular vector is unique, up to scalar factor. Therefore,  $I = L(-2(\ell-2)\Lambda_0 + 2(\ell-3)\Lambda_1)$ .  $\square$

Note that in the previous lemma we proved the existence of a singular vector which generates the maximal ideal without presenting a formula for such a singular vector. Since the vector in (6.2) has the correct weight, we also have an explicit expression for this singular vector:

$$\left( \sum_{i=2}^{\ell} e_{\epsilon_1 - \epsilon_i} (-1) e_{\epsilon_1 + \epsilon_i} (-1) \right)^{\ell-3} \mathbf{1}$$

**Corollary 6.6.**

- (1) The maximal ideal in  $V^{-2}(D_\ell)$  is generated by the vectors  $w_1$  and  $w_2$  for  $\ell \geq 5$  and by the vectors  $w_1, w_2, w_3$  for  $\ell = 4$ .
- (2) The homomorphism  $\overline{\Phi} : \mathcal{V}_{-2}(D_\ell) \rightarrow M_{2\ell}$  is injective. In particular, the vertex algebra  $\mathcal{V}_{-2}(D_\ell) \otimes V_{-\ell}(A_1)$  is conformally embedded into  $V_{-1/2}(C_{2\ell})$ .
- (3)  $ch(\mathcal{V}_{-2}(D_\ell)) = ch(V_{-2}(D_\ell)) + chL(-2(\ell-2)\Lambda_0 + 2(\ell-3)\Lambda_1)$ .

**Remark 6.7.** *D. Gaiotto in [27] has started a study of the decomposition of  $M_{2\ell}$  as a  $V^{-2}(D_\ell) \otimes V_{-\ell}(A_1)$ -module in the case  $\ell = 4$ . By combining results from [6, Section 8] and results from this Section we get that*

$$Com(V_{-\ell}(A_1), M_{2\ell}) \cong \mathcal{V}_{-2}(D_\ell).$$

So the vertex algebra responsible for the decomposition of  $M_{2\ell}$  is exactly  $\mathcal{V}_{-2}(D_\ell)$ . Therefore in the decomposition of  $M_{2\ell}$  only modules for  $\mathcal{V}_{-2}(D_\ell)$  can appear. In our forthcoming papers we plan to apply the representation theory of  $\mathcal{V}_{-2}(D_\ell)$  to the problem of finding branching rules.

**Corollary 6.8.** *For  $\ell \geq 3$  the category  $KL_{-2}$  is semi-simple.*

*Proof.* The assertion in the case  $\ell \geq 4$  follows from Theorem 5.7 since then  $W_{-2}(D_\ell, \theta) = V_{\ell-4}(sl(2))$  is a rational vertex algebra.

In the case  $\ell = 3$ , we have that a highest weight  $V_{-2}(D_3)$ -module  $M$  is isomorphic to  $\tilde{L}((-2-j)\Lambda_0 + j\Lambda_1)$  where  $j \in \mathbb{Z}_{\geq 0}$ . The irreducibility of  $M$  follows easily from the fact that  $H_\theta(M)$  is isomorphic

to an irreducible  $V_{-1}(sl(2))$ -module  $L_{A_1}(-1-j)\Lambda_0 + j\Lambda_1$ . Now claim follows from Theorem 5.5 and Lemma 5.6.  $\square$

## 7. THE VERTEX ALGEBRA $V^{-2}(B_\ell)$ AND ITS QUOTIENTS

In this section let  $\ell \geq 2$ . Note that  $k = -2$  is a collapsing level for  $B_\ell$  [4], and that the simple affine  $W$ -algebra  $W_{-2}(B_\ell, \theta)$  is isomorphic to  $V_{\ell-\frac{7}{2}}(A_1)$ . This implies that  $H_\theta(V_{-2}(B_\ell)) = V_{\ell-\frac{7}{2}}(A_1)$ . But as in the case of the affine Lie algebra of type  $D$ , we can construct an intermediate vertex algebra  $\mathcal{V}$  so that  $H_\theta(\mathcal{V}) = V^{\ell-7/2}(A_1)$ .

**Remark 7.1.** *The formula for a singular vector of conformal weight two in  $V^{-2}(B_\ell)$  was given in [15, Theorem 4.2] for  $\ell \geq 3$ , and in [15, Remark 4.3] for  $\ell = 2$ . Note that, for  $\ell \geq 4$ , the vector  $\sigma(w_2)$  from [15] is equal to the vector  $w_1$  from relation (6.1), i.e. it is contained in the subalgebra  $V^{-2}(D_4)$ . For  $\ell = 3$ , we have*

$$w_1 = (e_{\epsilon_1+\epsilon_2}(-1)e_{\epsilon_3}(-1) - e_{\epsilon_1+\epsilon_3}(-1)e_{\epsilon_2}(-1) + e_{\epsilon_1}(-1)e_{\epsilon_2+\epsilon_3}(-1))\mathbf{1}.$$

For  $\ell = 2$ , the singular vector of conformal weight two in  $V^{-2}(B_2)$  is equal to

$$w_1 = (e_{\epsilon_1+\epsilon_2}(-1)e_{-\epsilon_2}(-1) + \frac{1}{2}h_{\epsilon_2}(-1)e_{\epsilon_1}(-1) - e_{\epsilon_1-\epsilon_2}(-1)e_{\epsilon_2}(-1))\mathbf{1}.$$

Consider the singular vector in  $V^{-2}(B_\ell)$  denoted by  $\sigma(w_2)$  in [15, Theorem 4.2] and [17, Section 7]. Let us denote that singular vector by  $w_1$  in this paper (see Remark 7.1 for explanation).

Then we have the quotient vertex algebra

$$(7.1) \quad \mathcal{V}_{-2}(B_\ell) = V^{-2}(B_\ell)/\langle w_1 \rangle.$$

As in the case of the vertex algebra  $\mathcal{V}_{-2}(D_\ell)$ , we have the non-trivial homomorphism  $\mathcal{V}_{-2}(B_\ell) \rightarrow M_{2\ell+1}$ .

The proof of the following result is completely analogous to the proof of Proposition 6.3 and it is therefore omitted.

**Proposition 7.2.** *We have*

- (1) *There is a non-trivial homomorphism  $\bar{\Phi} : \mathcal{V}_{-2}(B_\ell) \rightarrow M_{2\ell+1}$ .*
- (2)  *$H_\theta(\mathcal{V}_{-2}(B_\ell)) = V^{\ell-7/2}(A_1)$ .*
- (3)  *$H_\theta(L((-2-t)\Lambda_0 + t\Lambda_1)) \cong L_{A_1}((\ell - 7/2 - t)\Lambda_0 + t\Lambda_1)$ ,  $t \in \mathbb{Z}_{\geq 0}$ .*
- (4) *The set*

$$(7.2) \quad \{L((-2-t)\Lambda_0 + t\Lambda_1) \mid t \in \mathbb{Z}_{\geq 0}\}$$

*provides a complete list of irreducible  $\mathcal{V}_{-2}(B_\ell)$ -modules from the category  $KL^{-2}$ .*

We have the following result on classification of irreducible modules.

**Proposition 7.3.** *Assume that  $\ell \geq 3$ . Then the set  $\{L((-2-j)\Lambda_0 + j\Lambda_1) \mid j \in \mathbb{Z}_{\geq 0}, j \leq 2(\ell-3)+1\}$  provides a complete list of irreducible  $V_{-2}(B_\ell)$ -modules from the category  $KL_{-2}$ .*

*Proof.* The proof is analogous to the proof of Proposition 6.4: it uses the exactness of the functor  $H_\theta$  and the representation theory of affine vertex algebras. In particular, we use the result from [8] which gives that the set

$$\{L(-(\ell-7/2)-j)\Lambda_0 + j\Lambda_1 \mid j \in \mathbb{Z}_{\geq 0}, j \leq 2(\ell-3)+1\}$$

provides a complete list of irreducible  $V_{\ell-7/2}(A_1)$ -modules from the category  $KL_{\ell-7/2}$ .  $\square$

An important consequence is the simplicity of the vertex algebra  $\mathcal{V}_{-2}(B_2)$ .

**Corollary 7.4.** *The vertex algebra  $\mathcal{V}_{-2}(B_\ell)$  is simple if and only if  $\ell = 2$ . In particular, the set (7.2) provides a complete list of irreducible modules for  $V_{-2}(B_2)$  in  $KL_{-2}$ .*

*Proof.* Since by Proposition 7.2,  $\mathcal{V}_{-2}(B_\ell)$  has infinitely many irreducible modules in the category  $KL^{-2}$ , and, by Proposition 7.3,  $V^{-2}(B_\ell)$  has finitely many irreducible modules in the category  $KL^{-2}$  (if  $\ell \geq 3$ ), we conclude that  $\mathcal{V}_{-2}(B_\ell)$  cannot be simple for  $\ell \geq 3$ .

Let us consider the case  $\ell = 2$ . Assume that  $\mathcal{V}_{-2}(B_2)$  is not simple. Then it must contain an ideal  $I$  generated by a singular vector of weight  $\lambda = (-2 - j)\Lambda_0 + j\Lambda_1$  for certain  $j > 0$ . By applying the functor  $H_\theta$ , we get a non-trivial ideal in  $V^{-3/2}(A_1)$ , against the simplicity of  $V^{-3/2}(A_1)$ .  $\square$

Next we notice that  $V^{\ell-7/2}(A_1)$  has a unique non-trivial ideal  $J$  which is generated by a singular vector of  $A_1$ -weight  $2(\ell - 2)\omega_1$ . The ideal  $J$  is maximal and simple (cf. [5]). By combining this with properties of the functor  $H_\theta$  from [12], one proves the existence of a unique maximal ideal  $I$  (which is also simple) in  $\mathcal{V}_{-2}(B_\ell)$  such that  $I \cong L(-2(\ell - 1)\Lambda_0 + 2(\ell - 2)\Lambda_1)$ .

**Remark 7.5.** *The explicit expression for a singular vector which generates  $I$  is more complicated than in the case  $D$ , and it won't be presented here.*

In [6] we constructed a homomorphism  $\mathcal{V}_{-2}(B_\ell) \otimes V_{-\ell-1/2}(A_1) \rightarrow M_{2\ell+1}$ . The results of this section enable us to find the image of this homomorphism.

**Corollary 7.6.** *We have:*

- (1) *The vertex algebra  $\mathcal{V}_{-2}(B_\ell) \otimes V_{-\ell-1/2}(A_1)$  is conformally embedded into  $V_{-1/2}(C_{2\ell+1})$ .*
- (2) *The vertex algebra  $\mathcal{V}_{-2}(B_\ell)$  for  $\ell \geq 3$  contains a unique ideal  $I \cong L(-2(\ell - 1)\Lambda_0 + 2(\ell - 2)\Lambda_1)$  and*

$$ch(\mathcal{V}_{-2}(B_\ell)) = ch(V_{-2}(B_\ell)) + ch(L(-2(\ell - 1)\Lambda_0 + 2(\ell - 2)\Lambda_1)).$$

Finally, we apply Theorem 5.5 and prove that  $KL_{-2}$  is a semi-simple category.

**Corollary 7.7.** *If  $\ell \geq 2$ , then every  $V_{-2}(B_\ell)$ -module in  $KL_{-2}$  is completely reducible.*

*Proof.* It suffices to prove that every highest weight  $V_{-2}(B_\ell)$ -module in  $KL_{-2}$  is irreducible. Assume that  $\ell \geq 3$ . If  $M \cong \tilde{L}(\lambda)$  is a highest weight module in  $KL_{-2}$  then the highest weight is  $\lambda = -(2 + j)\Lambda_0 + j\Lambda_1$  where  $0 \leq j \leq 2(\ell - 3)j + 1$ . Since  $H_\theta(L(\lambda))$  is a non-zero highest weight  $V_{-\ell+7/2}(sl(2))$ -module, then the complete reducibility result from [8] implies that  $H_\theta(L(\lambda))$  is irreducible. The assertion now follows from Lemma 5.6. The proof in the case  $\ell = 2$  is similar, and it uses the classification of irreducible  $V_{-2}(B_2)$ -modules from Corollary 7.4 and the fact that every highest weight  $V_{-3/2}(sl(2)) = H_\theta(V_{-2}(B_2))$ -module in  $KL_{-3/2}$  is irreducible.  $\square$

## 8. ON THE REPRESENTATION THEORY OF $V_{2-\ell}(D_\ell)$

**8.1. The vertex algebra  $\overline{V}_{2-\ell}(D_\ell)$ .** Let  $\mathfrak{g}$  be a simple Lie algebra of type  $D_\ell$ . Recall that  $2 - \ell = -h^\vee/2 + 1$  is a collapsing level [4]. We have the singular vector

$$(8.1) \quad v_n = \left( \sum_{i=2}^{\ell} e_{\epsilon_1 - \epsilon_i} (-1)^{e_{\epsilon_1 + \epsilon_i}} (-1) \right)^n \mathbf{1}$$

in  $V^{n-\ell+1}(D_\ell)$ , for any  $n \in \mathbb{Z}_{>0}$ . As in [40], we consider the vertex algebra

$$(8.2) \quad \overline{V}_{2-\ell}(D_\ell) = V^{2-\ell}(D_\ell) / \langle v_1 \rangle,$$

where  $\langle v_1 \rangle$  denotes the ideal in  $V^{2-\ell}(D_\ell)$  generated by the singular vector  $v_1$ . We recall the following result on the classification of irreducible  $\overline{V}_{2-\ell}(D_\ell)$ -modules in the category  $KL^{2-\ell}$ .

**Proposition 8.1.** [40]

- (1) *The set*

$$\{V(t\omega_\ell), V(t\omega_{\ell-1}) \mid t \in \mathbb{Z}_{\geq 0}\}$$

*provides a complete list of irreducible finite-dimensional modules for the Zhu algebra  $A(\overline{V}_{2-\ell}(D_\ell))$ .*

- (2) *The set*

$$\{L((2 - t - \ell)\Lambda_0 + t\Lambda_\ell), L((2 - t - \ell)\Lambda_0 + t\Lambda_{\ell-1}) \mid t \in \mathbb{Z}_{\geq 0}\}$$

*provides a complete list of irreducible  $\overline{V}_{2-\ell}(D_\ell)$ -modules from the category  $KL^{2-\ell}$ .*

In the odd rank case  $D_{2\ell-1}$ , the modules from Proposition 8.1 (2) provide a complete list of irreducible  $V_{3-2\ell}(D_{2\ell-1})$ -modules from the category  $KL_{3-2\ell}$  (cf. [11]). The paper [11] also contains a fusion rules result in the category  $KL_{3-2\ell}$ . Detailed fusion rules analysis will be presented elsewhere.

On the other hand, Theorem 4.2 implies that in the even rank case  $D_{2\ell}$ ,  $V_{2-2\ell}(D_{2\ell})$  is the unique irreducible  $V_{2-2\ell}(D_{2\ell})$ -module from the category  $KL_{2-2\ell}$ . In the next section we will give an explanation of this difference using singular vectors existing in the even rank case  $D_{2\ell}$ .

**8.2. Singular vectors in  $V^{n-2\ell+1}(D_{2\ell})$ .** In this section, we construct more singular vectors in  $V^{n-2\ell+1}(D_{2\ell})$ . In the case  $n = 1$ , we show that the maximal submodule of  $V^{2-2\ell}(D_{2\ell})$  is generated by three singular vectors. We present explicit formulas for these singular vectors.

Let  $\mathfrak{g}$  be a simple Lie algebra of type  $D_{2\ell}$ . Denote by  $S_{2\ell}$  the group of permutations of  $2\ell$  elements. Let

$$\Pi_\ell = \left\{ p \in S_{2\ell} \mid p^2 = 1, p(i) \neq i, \forall i \in \{1, \dots, 2\ell\} \right\}$$

be the set of fixed-points free involutions, which is well known to have  $(2\ell - 1)!! = 1 \cdot 3 \cdot \dots \cdot (2\ell - 1)$  elements. For  $i \neq j$ , denote by  $(ij) \in S_{2\ell}$  the transposition of  $i$  and  $j$ . Then, any  $p \in \Pi_\ell$  admits a unique decomposition of the form:

$$p = (i_1 j_1) \cdots (i_\ell j_\ell),$$

such that  $i_h < j_h$  for  $1 \leq h \leq \ell$ , and  $i_1 < \dots < i_\ell$ . Define a permutation  $\bar{p} \in S_{2\ell}$  by:

$$\bar{p}(2h - 1) = i_h, \bar{p}(2h) = j_h, 1 \leq h \leq \ell.$$

Thus, we have a well defined map  $p \mapsto \bar{p}$  from  $\Pi_\ell$  to  $S_{2\ell}$ . Define the function  $s : \Pi_\ell \rightarrow \{\pm 1\}$  as follows:

$$s(p) = \text{sign}(\bar{p}),$$

where  $\text{sign}(q)$  denotes the sign of the permutation  $q \in S_{2\ell}$ .

We have:

**Theorem 8.2.** *The vector*

$$(8.3) \quad w_n = \left( \sum_{p \in \Pi_\ell} s(p) \prod_{\substack{i \in \{1, \dots, 2\ell\} \\ i < p(i)}} e_{\epsilon_i + \epsilon_{p(i)}} (-1) \right)^n \mathbf{1}$$

is a singular vector in  $V^{n-2\ell+1}(D_{2\ell})$ , for any  $n \in \mathbb{Z}_{>0}$ .

*Proof.* Direct verification of relations  $e_{\epsilon_k - \epsilon_{k+1}}(0)w_n = 0$ , for  $k = 1, \dots, 2\ell - 1$ ,  $e_{\epsilon_{2\ell-1} + \epsilon_{2\ell}}(0)w_n = 0$  and  $e_{-(\epsilon_1 + \epsilon_2)}(1)w_n = 0$ .  $\square$

**Remark 8.3.** *The vector  $w_n$  has conformal weight  $n\ell$  and its  $\mathfrak{g}$ -highest weight equals  $2n\omega_{2\ell} = n(\epsilon_1 + \dots + \epsilon_{2\ell})$ . In particular, for  $n = 1$ , the vector  $w_1$  has conformal weight  $\ell$  and highest weight  $2\omega_{2\ell} = \epsilon_1 + \dots + \epsilon_{2\ell}$ .*

**Example 8.4.** *Set  $n = 1$  for simplicity. For  $\ell = 2$  we recover the singular vector*

$$w_1 = (e_{\epsilon_1 + \epsilon_2}(-1)e_{\epsilon_3 + \epsilon_4}(-1) - e_{\epsilon_1 + \epsilon_3}(-1)e_{\epsilon_2 + \epsilon_4}(-1) + e_{\epsilon_1 + \epsilon_4}(-1)e_{\epsilon_2 + \epsilon_3}(-1))\mathbf{1}$$

in  $V^{-2}(D_4)$  of conformal weight 2 from [40]. For  $\ell = 3$ , the formula for the singular vector in  $V^{-4}(D_6)$  of conformal weight 3 is more complicated. It is a sum of  $5!! = 15$  monomials:

$$\begin{aligned} w_1 = & (e_{\epsilon_1 + \epsilon_2}(-1)e_{\epsilon_3 + \epsilon_4}(-1)e_{\epsilon_5 + \epsilon_6}(-1) - e_{\epsilon_1 + \epsilon_2}(-1)e_{\epsilon_3 + \epsilon_5}(-1)e_{\epsilon_4 + \epsilon_6}(-1) \\ & + e_{\epsilon_1 + \epsilon_2}(-1)e_{\epsilon_3 + \epsilon_6}(-1)e_{\epsilon_4 + \epsilon_5}(-1) - e_{\epsilon_1 + \epsilon_3}(-1)e_{\epsilon_2 + \epsilon_4}(-1)e_{\epsilon_5 + \epsilon_6}(-1) \\ & + e_{\epsilon_1 + \epsilon_3}(-1)e_{\epsilon_2 + \epsilon_5}(-1)e_{\epsilon_4 + \epsilon_6}(-1) - e_{\epsilon_1 + \epsilon_3}(-1)e_{\epsilon_2 + \epsilon_6}(-1)e_{\epsilon_4 + \epsilon_5}(-1) \\ & + e_{\epsilon_1 + \epsilon_4}(-1)e_{\epsilon_2 + \epsilon_3}(-1)e_{\epsilon_5 + \epsilon_6}(-1) - e_{\epsilon_1 + \epsilon_4}(-1)e_{\epsilon_2 + \epsilon_5}(-1)e_{\epsilon_3 + \epsilon_6}(-1) \\ & + e_{\epsilon_1 + \epsilon_4}(-1)e_{\epsilon_2 + \epsilon_6}(-1)e_{\epsilon_3 + \epsilon_5}(-1) - e_{\epsilon_1 + \epsilon_5}(-1)e_{\epsilon_2 + \epsilon_3}(-1)e_{\epsilon_4 + \epsilon_6}(-1) \\ & + e_{\epsilon_1 + \epsilon_5}(-1)e_{\epsilon_2 + \epsilon_4}(-1)e_{\epsilon_3 + \epsilon_6}(-1) - e_{\epsilon_1 + \epsilon_5}(-1)e_{\epsilon_2 + \epsilon_6}(-1)e_{\epsilon_3 + \epsilon_4}(-1) \\ & + e_{\epsilon_1 + \epsilon_6}(-1)e_{\epsilon_2 + \epsilon_3}(-1)e_{\epsilon_4 + \epsilon_5}(-1) - e_{\epsilon_1 + \epsilon_6}(-1)e_{\epsilon_2 + \epsilon_4}(-1)e_{\epsilon_3 + \epsilon_5}(-1) \\ & + e_{\epsilon_1 + \epsilon_6}(-1)e_{\epsilon_2 + \epsilon_5}(-1)e_{\epsilon_3 + \epsilon_4}(-1))\mathbf{1}. \end{aligned}$$

Denote by  $\vartheta$  the automorphism of  $V^{n-2\ell+1}(D_{2\ell})$  induced by the automorphism of the Dynkin diagram of  $D_{2\ell}$  of order two such that

$$(8.4) \quad \vartheta(\epsilon_k - \epsilon_{k+1}) = \epsilon_k - \epsilon_{k+1}, \quad k = 1, \dots, 2\ell - 2,$$

$$(8.5) \quad \vartheta(\epsilon_{2\ell-1} - \epsilon_{2\ell}) = \epsilon_{2\ell-1} + \epsilon_{2\ell}, \quad \vartheta(\epsilon_{2\ell-1} + \epsilon_{2\ell}) = \epsilon_{2\ell-1} - \epsilon_{2\ell}.$$

Theorem 8.2 now implies that  $\vartheta(w_n)$  is a singular vector in  $V^{n-2\ell+1}(D_{2\ell})$ , for any  $n \in \mathbb{Z}_{>0}$ , also. The vector  $\vartheta(w_n)$  has conformal weight  $n\ell$  and its highest weight for  $\mathfrak{g}$  is  $2n\omega_{2\ell-1} = n(\epsilon_1 + \dots + \epsilon_{2\ell-1} - \epsilon_{2\ell})$ .

We consider the associated quotient vertex algebra

$$(8.6) \quad \tilde{V}_{n-2\ell+1}(D_{2\ell}) := V^{n-2\ell+1}(D_{2\ell}) / \langle v_n, w_n, \vartheta(w_n) \rangle,$$

where  $v_n$  is given by relation (8.1) (for  $D_{2\ell}$ ):

$$v_n = \left( \sum_{i=2}^{2\ell} e_{\epsilon_1 - \epsilon_i} (-1)^{e_{\epsilon_1 + \epsilon_i}} (-1) \right)^n \mathbf{1}.$$

In particular, for  $n = 1$  we have the vertex algebra

$$\tilde{V}_{2-2\ell}(D_{2\ell}) = V^{2-2\ell}(D_{2\ell}) / \langle v_1, w_1, \vartheta(w_1) \rangle.$$

Clearly,  $\tilde{V}_{2-2\ell}(D_{2\ell})$  is a quotient of vertex algebra  $\bar{V}_{2-2\ell}(D_{2\ell})$  from Subsection 8.1. The associated Zhu algebra is

$$A(\tilde{V}_{2-2\ell}(D_{2\ell})) = U(\mathfrak{g}) / \langle \bar{v}, \bar{w}, \vartheta(\bar{w}) \rangle,$$

where

$$\bar{v} = \sum_{i=2}^{2\ell} e_{\epsilon_1 - \epsilon_i} e_{\epsilon_1 + \epsilon_i}, \quad \bar{w} = \sum_{p \in \Pi_\ell} s(p) \prod_{\substack{i \in \{1, \dots, 2\ell\} \\ i < p(i)}} e_{\epsilon_i + \epsilon_{p(i)}}.$$

**Lemma 8.5.** *We have:*

- (1)  $\bar{w}V(t\omega_{2\ell}) \neq 0$ , for  $t \in \mathbb{Z}_{>0}$ .
- (2)  $\vartheta(\bar{w})V(t\omega_{2\ell-1}) \neq 0$ , for  $t \in \mathbb{Z}_{>0}$ .

*Proof.* (1) Let  $t = 1$ . Denote by  $v_{\omega_{2\ell}}$  the highest weight vector of  $V(\omega_{2\ell})$ , and by  $v_{-\omega_{2\ell}}$  the lowest weight vector of  $V(\omega_{2\ell})$ . One can easily check, using the spinor realization of  $V(\omega_{2\ell})$ , that there exists a constant  $C \neq 0$  such that

$$\bar{w}(v_{-\omega_{2\ell}}) = Cv_{\omega_{2\ell}}.$$

For general  $t \in \mathbb{Z}_{>0}$ , the claim follows using the embedding of  $V(t\omega_{2\ell})$  into  $V(\omega_{2\ell})^{\otimes t}$ . Claim (2) follows similarly.  $\square$

**Theorem 8.6.** *We have:*

- (i) *The trivial module  $\mathbb{C}$  is the unique finite-dimensional irreducible module for  $A(\tilde{V}_{2-2\ell}(D_{2\ell}))$ .*
- (ii)  *$V_{2-2\ell}(D_{2\ell})$  is the unique irreducible  $\mathfrak{g}$ -locally finite module for  $\tilde{V}_{2-2\ell}(D_{2\ell})$ .*
- (iii) *The vertex operator algebra  $\tilde{V}_{2-2\ell}(D_{2\ell})$  is simple, i.e.*

$$V_{2-2\ell}(D_{2\ell}) = V^{2-2\ell}(D_{2\ell}) / \langle v_1, w_1, \vartheta(w_1) \rangle.$$

*Proof.* (i) Proposition 8.1 implies that the set

$$\{V(t\omega_{2\ell}), V(t\omega_{2\ell-1}) \mid t \in \mathbb{Z}_{>0}\}$$

provides a complete list of finite-dimensional irreducible modules for the algebra  $U(\mathfrak{g}) / \langle \bar{v} \rangle = A(\bar{V}_{2-2\ell}(D_{2\ell}))$ .

Lemma 8.5 shows that  $V(t\omega_{2\ell})$  and  $V(t\omega_{2\ell-1})$  are not modules for  $A(\tilde{V}_{2-2\ell}(D_{2\ell}))$ , for  $t \in \mathbb{Z}_{>0}$ . Claim (i) follows. Claims (ii) and (iii) follow from (i) by applying Proposition 3.2 and Corollary 3.3.  $\square$



**Remark 8.7.** *A general character formula for certain simple affine vertex algebras at negative integer levels has been recently presented by V. G. Kac and M. Wakimoto in [37], (more precisely,  $\mathfrak{g} = A_n, C_n$  for  $k = -1$  and  $\mathfrak{g} = D_4, E_6, E_7, E_8$  for  $k = -2, -3, -4, 6$ ). Note that conditions (i)-(iii) of [37, Theorem 3.1] hold for vertex algebras  $V_{-b}(D_n)$ ,  $n > 4$ ,  $b = 1, \dots, n-2$ , too. We conjecture that condition (iv) of this theorem holds as well; therefore formula (3.1) in [37] gives the character formula.*

## 9. CONFORMAL EMBEDDING OF $\tilde{V}(-4, D_6 \times A_1)$ INTO $V_{-4}(E_7)$

In this section, we apply the results on representation theory of  $V_{-4}(D_6)$  from previous sections to the conformal embedding of  $\tilde{V}(-4, D_6 \times A_1)$  into  $V_{-4}(E_7)$ . This gives us an interesting example of a maximal semisimple equal rank subalgebra such that the associated conformally embedded subalgebra is not simple.

We use the construction of the root system of type  $E_7$  from [19], [29], and the notation for root vectors similar to the notation for root vectors for  $E_6$  from [9].

For a subset  $S = \{i_1, \dots, i_k\} \subseteq \{1, 2, 3, 4, 5, 6\}$ ,  $i_1 < \dots < i_k$ , with odd number of elements (so that  $k = 1, 3$  or  $5$ ), denote by  $e_{(i_1 \dots i_k)}$  a suitably chosen root vector associated to the positive root

$$\frac{1}{2} \left( \epsilon_8 - \epsilon_7 + \sum_{i=1}^6 (-1)^{p(i)} \epsilon_i \right),$$

such that  $p(i) = 0$  for  $i \in S$  and  $p(i) = 1$  for  $i \notin S$ . We will use the symbol  $f_{(i_1 \dots i_k)}$  for the root vector associated to corresponding negative root.

Note now that the subalgebra of  $E_7$  generated by positive root vectors

$$(9.1) \quad e_{\epsilon_6 + \epsilon_5}, e_{\alpha_1} = e_{(1)}, e_{\alpha_3} = e_{\epsilon_2 - \epsilon_1}, e_{\alpha_4} = e_{\epsilon_3 - \epsilon_2}, e_{\alpha_2} = e_{\epsilon_1 + \epsilon_2}, e_{\alpha_5} = e_{\epsilon_4 - \epsilon_3}$$

and the associated negative root vectors is a simple Lie algebra of type  $D_6$ . There are 30 root vectors associated to positive roots for  $D_6$ :

$$(9.2) \quad \begin{aligned} & e_{\epsilon_6 + \epsilon_5}, e_{\epsilon_8 - \epsilon_7}, \\ & e_{(i)}, i \in \{1, 2, 3, 4\}, \\ & e_{(ijk)}, i, j, k \in \{1, 2, 3, 4\}, i < j < k, \\ & e_{(i56)}, i \in \{1, 2, 3, 4\}, \\ & e_{(ijk56)}, i, j, k \in \{1, 2, 3, 4\}, i < j < k, \\ & e_{\pm \epsilon_i + \epsilon_j}, i, j \in \{1, 2, 3, 4\}, i < j. \end{aligned}$$

Furthermore, the subalgebra of  $E_7$  generated by  $e_{\epsilon_6 - \epsilon_5}$  and the associated negative root vector is a simple Lie algebra of type  $A_1$ . Thus,  $D_6 \oplus A_1$  is a semisimple subalgebra of  $E_7$ .

It follows from [3], [9] that the affine vertex algebra  $\tilde{V}(-4, D_6 \times A_1)$  is conformally embedded in  $V_{-4}(E_7)$ . Remark that  $\tilde{V}(-4, A_1) = V_{-4}(A_1)$  (since  $V^{-4}(A_1) = V_{-4}(A_1)$ ). This implies that  $\tilde{V}(-4, D_6 \times A_1) \cong \tilde{V}(-4, D_6) \otimes V_{-4}(A_1)$ .

It was shown in [15] that

$$(9.3) \quad \begin{aligned} v_{E_7} = & (e_{\epsilon_8 - \epsilon_7}(-1)e_{\epsilon_6 + \epsilon_5}(-1) + e_{(156)}(-1)e_{(23456)}(-1) + \\ & + e_{(256)}(-1)e_{(13456)}(-1) + e_{(356)}(-1)e_{(12456)}(-1) + \\ & + e_{(456)}(-1)e_{(12356)}(-1))\mathbf{1} \end{aligned}$$

is a singular vector in  $V^{-4}(E_7)$ . Moreover,

$$V_{-4}(E_7) \cong V^{-4}(E_7) / \langle v_{E_7} \rangle.$$

Vectors  $(e_{(12346)}(-1))^s \mathbf{1}$ , for  $s \in \mathbb{Z}_{>0}$  are (non-trivial) singular vectors for the affinization of  $D_6 \oplus A_1$  in  $V_{-4}(E_7)$  of highest weights  $-(s+4)\Lambda_0 + s\Lambda_6$  for  $D_6^{(1)}$  and  $-(s+4)\Lambda_0 + s\Lambda_1$  for  $A_1^{(1)}$ . Thus there exist highest weight modules  $\tilde{L}_{D_6}(-s-4)\Lambda_0 + s\Lambda_6$  and  $\tilde{L}_{A_1}(-s-4)\Lambda_0 + s\Lambda_1$ , for  $D_6^{(1)}$  and  $A_1^{(1)}$ ,

respectively such that  $(\tilde{V}(-4, D_6) \otimes V_{-4}(A_1)) \cdot (e_{(12346)}(-1))^s \mathbf{1}$  is isomorphic to  $\tilde{L}_{D_6}(-(s+4)\Lambda_0 + s\Lambda_6) \otimes \tilde{L}_{A_1}(-(s+4)\Lambda_0 + s\Lambda_1)$ . This implies that

$$L_{D_6}(-(s+4)\Lambda_0 + s\Lambda_6) \otimes L_{A_1}(-(s+4)\Lambda_0 + s\Lambda_1)$$

are irreducible  $\tilde{V}(-4, D_6 \times A_1)$ -modules, for  $s \in \mathbb{Z}_{>0}$ .

In particular,  $L_{D_6}(-(s+4)\Lambda_0 + s\Lambda_6)$  are irreducible ( $D_6$ -locally finite)  $\tilde{V}(-4, D_6)$ -modules, for  $s \in \mathbb{Z}_{>0}$ . In the next proposition, we use the notation from (8.2), (8.3), (8.4), (8.5).

**Proposition 9.1.** *We have:*

- (1) *Assume that  $\tilde{L}_{D_6}(-6\Lambda_0 + 2\Lambda_6)$  and  $\tilde{L}_{D_6}(-6\Lambda_0 + 2\Lambda_5)$  are highest weight  $\overline{V}_{-4}(D_6)$ -modules from the category  $KL^{-4}$ , not necessarily irreducible. Then*

$$\tilde{L}_{D_6}(-6\Lambda_0 + 2\Lambda_6) \boxtimes \tilde{L}_{D_6}(-6\Lambda_0 + 2\Lambda_5) = 0,$$

where  $\boxtimes$  is the tensor functor for  $KL^{-4}$ -modules. In other words, we cannot have a non-zero  $\overline{V}_{-4}(D_6)$ -module  $M$  from  $KL^{-4}$  and a non-zero intertwining operator of type

$$(9.4) \quad \left( \begin{array}{c} M \\ \tilde{L}_{D_6}(-6\Lambda_0 + 2\Lambda_6) \quad \tilde{L}_{D_6}(-6\Lambda_0 + 2\Lambda_5) \end{array} \right).$$

- (2) *Relations  $w_1 \neq 0$  and  $\vartheta(w_1) = 0$  hold in  $V_{-4}(E_7)$ . In particular,  $\tilde{V}(-4, D_6)$  is not simple.*

*Proof.* For the proof of assertion (1) we first notice that the following decomposition of  $D_6$ -modules holds:

$$(9.5) \quad \begin{aligned} V_{D_6}(2\omega_6) \otimes V_{D_6}(2\omega_5) &= V_{D_6}(2\omega_5 + 2\omega_6) \oplus V_{D_6}(\omega_3 + \omega_5 + \omega_6) \oplus V_{D_6}(2\omega_3) \\ &\oplus V_{D_6}(\omega_1 + \omega_5 + \omega_6) \oplus V_{D_6}(\omega_1 + \omega_3) \oplus V_{D_6}(2\omega_1). \end{aligned}$$

Assume that  $M$  is a non-zero  $\overline{V}_{-4}(D_6)$ -module in the category  $KL^{-4}$  such that there is a non-trivial intertwining operator of type (9.4). Then the Frenkel-Zhu formula for fusion rules implies that  $M$  must contain a non-trivial subquotient whose lowest graded component appears in the decomposition of  $V_{D_6}(2\omega_6) \otimes V_{D_6}(2\omega_5)$ . But by Proposition 8.1, the  $D_6$ -modules appearing in (9.5) cannot be lowest components of any  $\overline{V}_{-4}(D_6)$ -module. This proves assertion (1).

Assertion (1) implies that if  $w_1 \neq 0$  and  $\vartheta(w_1) \neq 0$  in  $V_{-4}(E_7)$ , then

$$Y(w_1, z)\vartheta(w_1) = 0,$$

a contradiction since  $V_{-4}(E_7)$  is a simple vertex algebra. The same fusion rules argument shows that if  $\vartheta(w_1) \neq 0$  in  $V_{-4}(E_7)$ , then

$$Y(\vartheta(w_1), z)e_{(12346)}(-1)^2 \mathbf{1} = 0,$$

which again contradicts the simplicity of  $V_{-4}(E_7)$ . So,  $\vartheta(w_1) = 0$ .

But if  $w_1 = 0$ , then, by Theorem 8.6 (iii), we have that  $\tilde{V}(-4, D_6) = V_{-4}(D_6)$ . Theorem 4.2 implies that  $\tilde{V}(-4, D_6)$  is not simple, since the simple vertex operator algebra  $V_{-4}(D_6)$  has only one irreducible  $D_6$ -locally finite module, a contradiction. So  $w_1 \neq 0$  and claim (2) follows.  $\square$

Set

$$(9.6) \quad \mathcal{V}_{-4}(D_6) = \frac{V^{-4}(D_6)}{\langle v_1, \vartheta(w_1) \rangle}.$$

**Theorem 9.2.** *We have:*

- (1)  $\tilde{V}(-4, D_6) \cong \mathcal{V}_{-4}(D_6)$ .  
(2) *The set  $\{L_{D_6}(-(s+4)\Lambda_0 + s\Lambda_6) \mid s \in \mathbb{Z}_{\geq 0}\}$  provides a complete list of irreducible  $\mathcal{V}_{-4}(D_6)$ -modules.*

*Proof.* We first notice that  $\tilde{V}(-4, D_6)$  is a certain quotient of  $\frac{V^{-4}(D_6)}{\langle v_1, \vartheta(w_1) \rangle}$ , and that

$$H_\theta\left(\frac{V^{-4}(D_6)}{\langle v_1, \vartheta(w_1) \rangle}\right) = \mathcal{V}_{-2}(D_4).$$

Since  $\mathcal{V}_{-2}(D_4)$  contains a unique non-trivial ideal which is maximal and simple, we conclude that  $\frac{V^{-4}(D_6)}{\langle v_1, \vartheta(w_1) \rangle}$  also contains a unique ideal, and it must be the ideal generated by  $w_1$ . Since in  $\tilde{V}(-4, D_6)$  we have that  $w_1 \neq 0$ , we conclude that

$$\tilde{V}(-4, D_6) \cong \frac{V^{-4}(D_6)}{\langle v_1, \vartheta(w_1) \rangle}.$$

The proof of assertion (2) follows from (1), the classification result of  $\overline{V}_{-4}(D_6)$ -modules from Proposition 8.1 and Lemma 8.5.  $\square$

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