Seedling: Reconciling Social Housing and access to urbanity in Rio de Janeiro by Olivia Paraiso de Campos Serra

> Bachelor of Architecture Pontifical Catholic University of Rio de Janeiro, 2017

Submitted to the Department of Architecture in Partial Fulfillment of the Requirements for the Degree of Master of Science in Architecture Studies at the Massachusetts Institute of Technology September 2021

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## ABSTRACT

This work is a discussion around the potential of "Parcelamento, Edificação ou Utilização Compulsórios" in English: "Compulsory land parceling, building and use" (or CPBU) in the city of Rio de Janeiro. The law exists under the umbrella of the City Statute, a set of laws implemented in Brazil in 2001. It enables municipalities to regulate land use and management towards a more socially inclusive and just environment, acknowledging that the city's social function and the right to the city should be contemplated within the scope of civic rights. CPBU is a way to promote the use of unused and underused land by pressuring the owners of these properties through the increase of property taxation and the eventual seizing of the areas.

Some of the measures proposed by the City Statute were widely accepted and are employed in several cities. The main controversy regarding its application, however, revolves around the fact that in many places, it has been used to benefit public-private partnerships, favoring the market logic and opposing the City Statute's primary goal: to promote land tenure regularization and fight land speculation. This work will deal with the current use of this set of laws juxtaposed to the broader theme of social housing.

In this thesis, I argue to overcome this dynamic, limiting the supply and quality of public services and infrastructure. The urban redevelopment of socially unproductive lands by drawing on the CPBU law could render these unused/underused properties profitable for the resident population by focusing on a more accessible and compact city model, for instance.

This work will develop new guidelines for applying CPBU in Rio de Janeiro's Master Plan in two scales. The first scale will be the development of a framework based on the concept of urban analytics. This framework will hierarchize criteria that outputs a new delineation for CPBU; this will be a static representation of the criteria according to the data inputted. The second scale will be a collection of volumes that are in parcels and blocks within the new CPBU delineation. This interscalar effort will attempt to quantify the potential of CPBU applied for social housing in Rio.

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# **TABLE OF CONTENTS**

## THE LANDSCAPE

- > Social Housing in Brazil pg. 6 to 16
- The Constitution and the Social Value of the
   Land pg. 17
- > The City Statute pg. 18 to 20
  - Compulsory Land Parceling, Building, and
     Use of Urban Land pg. 21 to 22

# THE FIELD

- > Why Rio?
  - Sprawl and informality pg. 23 to 27
  - Lack of available space and sprawl
     consequences pg. 28 to 32
- > The City Statute in Rio
  - > The Municipal Master Plan of Rio de
    - Janeiro pg. 33 to 38
      - > CPBU current scenario
        - pg. 39
      - CPBU vs. Urban Operation
         Consortium pg. 40 to 43

# THE SEEDS

- > New CPBU delineation pg. 44 to 50
- > Catalog of Parcels pg. 51 to 111

## SEEDLING

Conclusion – pg. 111 to 115

# REFERENCES

- > Theory Concepts pg. 116 to 117
- > Brazil / Rio de Janeiro
  - > Data pg. 117 to 118
  - > Urban Environment pg. 118 to 120
  - > Natural Environment pg. 120 to 121
  - Master Plan / CPBU law and application
     pg. 121 to 123
- Social Housing pg. 123 to 125
- > Urban Analytics References pg. 125

# THE LANDSCAPE

## Social Housing in Brazil

To contextualize how social housing unfolded in Brazil, I'll borrow the historical narrative and division criteria provided by Nabil Bonduki in the book "The Pioneers of social Housing: One Hundred years of public policy in Brazil." In the book, he introduces the timeline for social housing developments in Brazil over the last 100 years, splitting it into five sections:

- The production of housing for rent (1889 - 1930),
- The origins of social housing (1930 - 1964),
- Vrban and housing policies during the military dictatorship (1964 - 1986),
- Lost decades or the hope of a utopia?(1986 2002),
- National Policy for housing in the XXI century: towards the right to dignified housing? (2003 - 2010)

#### The production of housing for rent (1889 - 1930)

This phase, which roughly coincides with the Old Republic<sup>1</sup>, was characterized by recognizing that workers' housing was a public problem. However, the liberal conception prevailed, along with the notion that there shouldn't be direct intervention in the production and policy of housing.

Bonduki<sup>2</sup> highlights the Abolition of Slavery in 1888 and the constitution of a free labor market, when the State started considering housing as an issue. Although weak, there is some action on the matter.

The State ended up having an insignificant presence in facing the problem, limited to timid actions, such as favoring tax exemptions, private rentier production, and exercising the power of the health police<sup>3</sup>, in a hygienist perspective. During this period, the first action of the federal government aimed at actually producing housing occurs with the start of the implementation of the Marechal Hermes neighborhood. A project formulated in 1912 in Rio de Janeiro.

<sup>1</sup> From 1889 to 1930. It marks the end of the Empire in Brazil.

<sup>2</sup> Bonduki.

Housing was not thought of exclusively as the individual housing unit, but as an autonomous collective nucleus, which included social equipment and the idea of serial production. Regardless of charging a rent below market value, the entrepreneur gained the following benefits: guaranteed rent payment, because it was taken from the payroll itself; lower wages; work of several family members; and worker fidelity, because dismissal would imply in losing your home, preventing the search for another job with better wages<sup>4</sup>.

The construction of workers' neighborhoods was practiced by all levels of government and in many regions across the country. All sectors widely welcomed the stimulus. For hygienists, it was an opportunity to showcase healthy housing practices; for the State, it was an opportunity to parade its efforts to house the poor; for entrepreneurs, it was a chance to increase investment returns<sup>5</sup>.

Without any State protection, workers who were unable to pay the rent ended up looking for accommodation

<sup>&</sup>lt;sup>3</sup> Police law enforcement for urban hygiene policies.

<sup>&</sup>lt;sup>4</sup> Bonduki.

<sup>&</sup>lt;sup>5</sup> Bonduki.

below the minimum level recommended by health legislation.

Outside the municipal standards, the *cortiço*, or tenement was a generic name for a wide range of collective housing, condemned and stigmatized<sup>6</sup>. In Rio de Janeiro, since the middle of the 19th century, during the Empire<sup>7</sup>, the tenement had already become a form of accommodation widely used by freed slaves, newly arrived immigrants, prostitutes, and free workers who moved to the city in search of work. In an increasingly populated city, dense and concentrated, exploring tenements was a profitable business because of the barriers for territorial expansion.

Despite the small presence of the State, this phase was essential to shape the idea of a housing complex, or neighborhood, which was consolidated in the following period, with more active State intervention<sup>8</sup>.



Image from a *cortiço* in the central área of Rio de Janeiro<sup>9</sup>



Image from the Marechal Hermes neighborhood project<sup>10</sup>

<sup>&</sup>lt;sup>6</sup> Bonduki.

<sup>&</sup>lt;sup>7</sup> From 1822 to 1889. Brazil was no longer a Portuguese colony, but still a monarchy.

<sup>&</sup>lt;sup>8</sup> Bonduki, *Os pioneiros da habitação social - Vol 1*.

 <sup>&</sup>lt;sup>9</sup> "Saudades D'Além-Mar: A Revista Lusitania e a Imigração Portuguesa No Rio de Janeiro (1929-1934)."
 <sup>10</sup> "APAC Marechal Hermes."

#### The origins of social housing (1930 - 1964)

Vargas' era<sup>11</sup> coincides with the rise of social housing in Brazil. The government begins to intervene in both the production process and the housing rental market, abandoning the position of leaving it all to market forces. During the time, Brazil underwent a profound transformation, turning from a liberal standpoint to a populist one. The State began to intervene in all aspects of the country's economic life<sup>12</sup>.

This stage marks the recognition of housing as a public good. The government intervenes in the rental market to protect tenants, discourage rent production, and establish mechanisms to facilitate the purchase of lots in installments and promote the self-construction of houses. The State also produces a significant amount of social housing. There is agreement on the private sector's inability to provide housing for the large number of workers who have arrived in cities looking for work.<sup>13</sup>

This period is also characterized by the 1930 Revolution<sup>14</sup> and the revision of legislation that regulated the production of houses by the property portfolios (facilitated financing) of the Retirement Institutes and Pension Funds (IAPs and CAPs).

Housing production begins with the IAPs and CAPs and is delegated to a specific agency, the Fundação da Casa Popular (FCP), the first State entity at the national level dedicated to the production of popular housing, beginning in 1946. However, there was a failed attempt to construct a housing policy aimed at mass production of housing for urban workers, a proposal at the core of the FCP's creation<sup>15</sup>.

By producing housing by itself, severely penalizing urban landlords, freezing rents, and ignoring the emergence of slums, the State acted to reduce labor cost, which contributed to the period's high rates of capital accumulation and industrialization effort. Stimulating this

<sup>&</sup>lt;sup>11</sup> When populist ruler Getúlio Vargas governed the country from 1930 to 1945. He was forced to resign the presidency after an ultimatum by the military.

 <sup>&</sup>lt;sup>12</sup> Bonduki, *Os pioneiros da habitação social - Vol 1.* <sup>13</sup> Bonduki.

<sup>&</sup>lt;sup>14</sup> After failing to win the presidential election in 1930, Getúlio Vargas led a military uprising that overthrew Brazil's government.
<sup>15</sup> Bonduki

process appears to be guiding the government's housing policy at the time.

In terms of architecture, the period was distinguished by the incorporation of ideas from the International Congress of Modern Architecture (CIAM) and cuttingedge urban proposals in the construction of residential buildings with the expressive presence of architects, engineers, and urban planners.

Something to highlight is the boost in the materials industry and construction companies, the spread of new typologies, and a highly relevant experience in developing Brazilian housing policy. As a result, housing creation was diverse, with good architectural projects and an appropriate urban insertion. On the other hand, this production was quite uneven<sup>16</sup>.



The neighborhood of Moça Bonita, in Rio de Janeiro, built by the IAPI (industrial pension fund) in 1950<sup>17</sup>



The Pedregulho complex, designed by Affonso Eduardo Reidy and built by the Federal Government in 1952, initially for its employees in Rio de Janeiro<sup>18</sup>

<sup>17</sup> Aravecchia-Botas, "Habitação pública e modernização capitalista."

<sup>18</sup> "Clássicos da Arquitetura: Conjunto Residencial Prefeito Mendes de Moraes (Pedregulho)"

<sup>&</sup>lt;sup>16</sup> Bonduki.

# Urban and housing policies during the military dictatorship (1964 - 1986)

The third period is marked by the establishment of permanent sources of funds to ensure long-term housing financing and massive production that has included some technological advancement, albeit far below what was needed. Architectural and urban projects, in general, lose the diversity and quality achieved in the previous period. With the military regime's<sup>19</sup> institutionalization of the National Housing Bank (BNH) and the Housing Financial System (SFH) in 1964, a new stage began, which ended in the  $1980s^{20}$ . This stage is distinguished by homogeneity, the carelessness for regional and cultural differences, a disregard for urban projects, and a focus on finance. The creation of SFH, a sustainable financial system with stable resources that is still in use today, was its most significant legacy, even though the system was designed to serve only workers with a steady income and that could afford financing with indirect subsidy.

<sup>19</sup> The Brazilian military dictatorship began in 1964 and lasted until 1985, with successive military governments in command.

The homogeneity of standardized housing, implemented as isolated dormitories, or the precariousness of informal settlements, where self-built and self-financed houses were almost always unfinished, remained for the poor the BNH-financed housing complexes with large size, remote locations, and uniformity of housing types. In an era of accelerated urbanization and urban periphery consolidation, this low-quality production created the false impression that affordable housing could not coexist with good architecture<sup>21</sup>.

The lack of alternative programs that supported the urbanization of precarious settlements and selfconstruction of popular housing and providing or financing the purchase of urbanized plots and construction materials made it impossible to meet the poorest and the enormous housing needs.

<sup>&</sup>lt;sup>20</sup> Bonduki, *Os pioneiros da habitação social - Vol 1.*<sup>21</sup> Bonduki.

The dictatorship's housing policy did not take more progressive measures to alleviate the issue due to four factors: centralization, rejection of programs and initiatives, a lack of financial assistance for low-income residents, and exclusive methods of housing ownership. It is evident that a financial system based on returnable sources, such as the Housing Financial System, could not face the Brazilian housing challenge of the second half of the twentieth century, exacerbated by rapid urbanization and poverty growth.

#### Lost decades or the hope of a utopia? (1986 - 2002)

In this period Brazil underwent a revolutionary transition from a dictatorship to a democratic regime. Between the early 1980s' severe economic and political crisis, and the establishment of the Ministry of Cities in 2003, when a new housing policy was established the country suffered a significant impact on the existing financing mechanisms for housing, such as BNH. It became fashionable to refer to the 1980s and 1990s as "lost decades." Bonduki<sup>22</sup> highlights that is a purely economic evaluation, based on metrics as low GDP growth. The strict monetarist policies of the 1990s influenced growth and employment levels, increasing inequality while guaranteeing economic stability. The endeavor to extend neoliberalism encountered political constraints that hindered the deepening of the privatization process, resulting in a certain equilibrium between the State and the market. Despite the increase in poverty, which was manifested in cities by the growth of slums, urban violence, and the population living on the streets, this was the period when democracy was consolidated, and

great legal advances were made, such as the conquest of social rights, environmental legislation, and education and health policies.

Bonduki argues the time was far from "lost"; on the contrary, it was full of social and political energy. Despite its tensions, it resulted in a management paradigm in which participation and social control positively influenced new public policies. This period was marked, on the one hand, by the extinction of the BNH. The absence of a federal housing policy, and, on the other, by the emergence of alternative programs, promoted, in general, by democratic state and municipal administrations, which put into practice proposals emanating from a society that sought to build new paradigms of urban and housing policy.

<sup>&</sup>lt;sup>22</sup> Bonduki.

Throughout the 1990s, concrete experiences of municipal administrations introduced new programs and participatory forms of management, including the adoption of the position that urbanization is the best solution to the problem of insecure urban settlements. After eleven years of mobilization of social movements and political articulation of various segments involved with the urban question, the draft amendment's approval to the Constitution introduced housing as a social right (2000). The City Statute (2001) crowned this process.

However, the use of these instruments was constrained by the master plan, which fell under the jurisdiction of the municipalities, and constituted a critical component of urban transformation for the future of cities. The Statute delegated to the cities the duty of establishing new limitations for the property right, when it said that it is up to the master plan to specify under what conditions a property meets or fails to perform its social purpose.





The Favela-Bairro<sup>23</sup> program sought to improve the urban condition in favelas. It was idealized by architect, and mayor of Rio de Janeiro, Luiz Paulo Conde in 1995.

# National Policy for housing in the XXI century: towards the right to dignified housing? (2003 - 2010)

Bonduki<sup>24</sup> points out that we do not have enough historical distance to say with confidence that a new era in the trajectory of public housing policy in Brazil started at the beginning of the twenty-first century. However, the inclusion of the right to housing in the Constitution (2000), the adoption of the City Statute (2001), the establishment of the Ministry of Cities (2003), the development of a new National Housing Policy (2004) and the National Housing Plan (2008) are significant landmarks. Furthermore, the country's economic position had considerably improved, allowing for social and market housing investments. Programs such as the PAC for Urbanization of Precarious Settlements (2007) and Minha Casa Minha Vida (2009) are manifestations of this that new framework.

The Ministry of Cities was established in 2003, with four national secretariats – Housing, Sanitation, Urban Mobility, and Urban Programs – to define sectoral policies and address urban problems. One of the Ministry of Cities' primary responsibilities was to promote the execution of the City Statute, which was critical to ensuring access to land and allowing the construction of social housing.

By 2006, almost two thousand towns had completed their master plans, with widely disparate outcomes. The overwhelming majority of these plans foresaw new urban instruments linked to the urban reform agenda, but they were not controlled in such a way that they could be implemented. Consequently, they had no tangible effect on easing access to land for homes or ensuring the social purpose of the land.

Due to the restrictive monetary policy established by the Ministry of Finance and the Central Bank, the Ministry of Cities team faced significant problems in executing the recommendations linked to resources and funding until 2006. Without financial resources, the previous government's FGTS (Federal Pension Fund) initiatives prevailed in the early years, targeting low-income people.

<sup>&</sup>lt;sup>24</sup> Bonduki, *Os pioneiros da habitação social - Vol 1*.

The new National Housing Policy's approach leaned on the idea that, with resources from federal loans, the market's success in servicing the middle and lowermiddle classes was critical for addressing the problem of social housing. The Federal Pension Fund resources and the direct subsidies given by the Union's General Budget could be targeted at low-income people. Furthermore, providing alternatives for the middle stratum would be critical to preventing gentrification.

In 2007, the government launched the Growth Acceleration Program (PAC) with the goal of implementing major infrastructure projects. Among its components was a social program, Urbanization of Precarious Settlements, which provided unique budget resources for the housing sector, allowing the country to carry out its largest territorial inclusion.

The new scenario sparked significant investor interest in the Brazilian housing market, which had lagged below its potential for more than two decades. Consequently, there was a significant input of foreign money into the industry. Faced with the need to expand their market, many companies that were traditionally aimed at the upper and upper-middle classes have created subsidiaries specializing in cheaper products aimed at the lower middle class. This segment grew more robust due to the economic and wage policies of the Lula government.

To satisfy the demand for new projects created by this process, there was a massive hunt for land to construct housing in the following years, in an operation that was dubbed a real estate boom between 2007 and 2008. This demand sparked a land dispute, which had catastrophic consequences for the construction of social-interest housing.

## The Constitution and the Social Value of the Land

The notion of the social value of the land is mentioned in the 1988 Constitution and it was heavily influenced by philosopher and sociologist Henri Lefebvre and his notion of the right to the city. The term was coined in the manifesto, "Le Droit à la Ville," published a few months before the May 68 events in Paris.

The author points out that in the construction of capitalist urban space, there is a conflict between exchange value and use-value, or between the mercantile dimension of urban areas and urban life in the city, considering time and history. Lefebvre argues that the right to the city is the right of inhabitants to urban life and everything that it can represent as a place for meetings and exchanges<sup>25</sup>; He also highlights the destruction of traditional sites to construct new neighborhoods, roads, and buildings, reflecting on the disintegration of community life/history promoted by modernist urbanism<sup>26</sup>.

Although in the Constitution, the land's social value is not clearly defined, in the City Statute, two key points are fighting against real estate speculation and promoting land tenure regularization<sup>27</sup>.

Within the City Statute, the term acquires a more practical meaning, also related to the notion that urban space is valuable beyond its economic aspect. In this sense, I will continuously draw on arguments from to author/activist and planner Erminia Maricato, precisely because of her involvement and advocacy, but also critic to the City Statute apparatus.

Maricato argues that private property in Brazil exists by convenience. When dealing with minorities and poor communities, the State doesn't have the same respect for the notion of property as when dealing with developers and wealthy landowners<sup>28</sup> - and that the City Statute could be an important tool to overcome that scenario. The social function of the land will be the main argument for the use of CPBU.

<sup>&</sup>lt;sup>25</sup> Lefebvre et al., *Le droit à la ville*.
<sup>26</sup> Lefebvre et al.

<sup>&</sup>lt;sup>27</sup> Fiorillo, *Estatuto Da Cidade Comentado*.
<sup>28</sup> Maricato. *Para entender a crise urbana*.

## The City Statute

The inclusion of instruments that comprised the notion of the city's social function for urban environments was a demand for the 1988 Constitution. For the first time in Brazil's history, a Constitution included a chapter for urban policy, providing a series of instruments to guarantee the right to the city and the defense of the social function of the land.

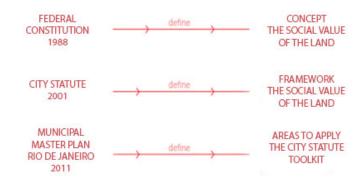
However, the text required more specific legislation. To implement the Constitutional principles, it was necessary to develop a set of urban guidelines and instruments at the national level; to guarantee that municipalities had Master Plans that could incorporate these more comprehensive legislations.

This complementary national law, which became known as the City Statute, was finally approved in July 2001. The Statute works as a kind of "toolbox" for a local urban policy, defining the framework for Brazilian cities. The City Statute implementation's primary instrument is the Municipal Master Plan, which must spatially define this urban legislation. It is mandatory for municipalities<sup>29</sup>:

- With more than twenty thousand inhabitants;
- The Members of an "area of special tourist interest" or an area where there are activities with a significant environmental impact;
- That want to apply "Compulsory parceling, building and use of property" in urban areas.

<sup>&</sup>lt;sup>29</sup> "Cartilha de Instrumentos Do Plano Diretor -Parcelamento Do Solo."

The Master Plan for each town determines the mobilization of the instruments and the way they are applied. Therefore, the direction of intervention when using this " toolkit " concerns the municipalities' political process and civil society's broad engagement.



Law organization: translated from Sao Paulo Illustrated Master  $\mbox{Plan}^{30}$ 

The Statute requires the master plan to at least draw the areas in which the following tools apply<sup>31</sup>:

Compulsory parceling, building, and use of property;

Requires the owner of an urban property to build or use undeveloped, unoccupied, or empty areas and buildings.

> Pre-emption Rights;

It is the municipality's right to be the first to acquire an urban property if it is for sale.

- The right to grant costly building rights and change land use;
  - Upon payment of an amount, the right to build beyond construction potential or change the expected land use.
- The right to create Urban Operations
   Consortiums;
  - Sharing competences concerning joint ventures and resources between private initiative and public authorities

<sup>&</sup>lt;sup>30</sup> "Plano Diretor Estratégico - Lei Nº16.050 de 31 de Julho de 2014 Texto Da Lei Ilustrado.Pdf."

<sup>&</sup>lt;sup>31</sup> "Instrumentos Do Plano Diretor."

 The right to transfer building rights.
 Authorization to transfer building rights from one property to another when the owner donates property to the government.

When the set of laws was first approved, Erminia Maricato and fellow professor and urbanist João Sette Whitaker wrote an article warning about the chances that the apparatus could be less useful than what was expected:

"At first sight, the City Statute seems to be a huge advance. A closer analysis allows us to verify that many of these gains to society are difficult to implement. Firstly, because the City Statute links the new instruments to the existence of a Municipal Master Plan, which on the one hand, is good, but on the other hand, is at risk of hanging on for several years in the city councils. Many of the instruments mentioned, or even many of the text formulations, allow different interpretations. For instance: Urban Operation Consortium establishes the possibility of partnerships between the public power and the private initiative in the urbanization of the city, and can both bring benefits to society or reaffirm the absolute logic of the market."<sup>32</sup>

In recent years, studies have found that the City Statute legislation's instruments were delineated in many municipal Master Plans but poorly regulated and applied<sup>33</sup>.

<sup>&</sup>lt;sup>32</sup> Maricato and Ferreira, "Estatuto da Cidade: essa lei vai pegar?"

<sup>&</sup>lt;sup>33</sup> Araújo, Siqueira, and Chrispim, "Aplicabilidade dos instrumentos de política urbana: o caso do plano diretor de São João da Barra – RJ."

## Compulsory Land Parceling, Building, and Use of Urban

### Land

"Compulsory land parceling, building, and use of urban land" or CPBU, is a law within the City Statue, with it, considering the city zoning, the public administrator may require that the owner with land use occupation below the zoning coefficient to parcel, build, or use that land<sup>34</sup>. The owner must be notified of the underutilization by the city hall and, within one year, present a project for land use that fits the zoning. In case this doesn't happen, the municipality may progressively increase tax rates on the property and eventually expropriate it, with the owner being compensated with public debt securities in the precatory system.

This law is one of the least utilized within the City Statue apparatus. The majority of cities don't even have a survey of which lands could be subject to it, with Sao Paulo being one of the only exceptions<sup>35</sup>.

<sup>35</sup> Denaldi et al., "A aplicação do Parcelamento, Edificação ou Utilização Compulsórios (PEUC)."

<sup>&</sup>lt;sup>34</sup> "Cartilha de Instrumentos Do Plano Diretor -Parcelamento Do Solo."

Vacant Land:	Underused properties:	Unused buildings:	Vacant Land:	Underused properties:	Unused buildings:
Terrains over 500 sqm with FAR = 0	Terrains over 500 sqm with FAR lower than the zoning parameters	Buildings with more than 60% of the units unoccupied for over a year.	Parcel or Build	Parcel or Build	Use
vacant terrain underused lot		vacant terrain unused building			

PEUC guidelines: adapted from Sao Paulo Illustrated Master Plan<sup>36</sup>

<sup>&</sup>lt;sup>36</sup> "Plano Diretor Estratégico - Lei Nº16.050 de 31 de Julho de 2014 Texto Da Lei Ilustrado.Pdf."

# THE FIELD

## Why Rio?

## Sprawl and informality without growth

Urban informality and sprawl in Rio are the two significant reasons that justify the importance of land redevelopment for social housing; they also show how the urbanization process occurred in the city. Despite recent low populational growth, much is being built in the city, especially in neighborhoods with less infrastructure, pressuring urbanization and causing significant internal populational movements. The consequences of uneven infrastructure availability in the city vary between the noblest areas' overvaluation and the deterioration of neighborhoods in the suburbs, reinforcing territorial inequalities<sup>37</sup>.

Even with population growth at low and stable rates, the urban fabric of Rio de Janeiro continues to expand; this

<sup>37</sup> Carneiro et al., "Espraiamento Urbano e Exclusão Social. Uma Análise Da Acessibilidade Dos Moradores Da Cidade Do Rio de Janeiro Ao Mercado de Trabalho." is one of the fundamental questions about the role to be played by urban planning in this context.

The municipal Master Plan subdivides the city into five "Areas de Planejamento" or AP, in English, Planning Areas.

AP1 corresponds to the central area; AP2 the south and part of the north; AP3 the suburbs; and AP4 and AP5 the city's west side<sup>38</sup>. This description will be expanded further in another chapter, but its important to have the division in mind.

The IBGE Census of population and households<sup>39</sup> indicates two migrations taking place in the city: one of continued migration to the west, in particular in the last decade, with most significant population growth taking place in AP4 and AP5, and the other, less intense, of return to the most central neighborhoods of AP2 and AP1. If at first, the return to the central neighborhoods seems optimistic (after the region suffered years of population loss with a high stock of employments and available infrastructure) there is no clear evidence that

<sup>&</sup>lt;sup>38</sup> "Plano Diretor de Rio de Janeiro - RJ."
<sup>39</sup> "IBGE | Censo 2010 | Resultados."

shows any improvement on reverting the current status quo of the real estate market. The developments are aimed, above all, at middle- and high-income families. The minimal performance of social housing programs in the central area, and the incredible rise in property prices since 2008 are signs of the increasing segregation process in the city, which traditionally has pushed the most impoverished population to the favelas, to the west, and to metropolitan peripheries<sup>40</sup>.

The expansion in the northern part of the west zone, as of 2009, was driven by the "Minha Casa Minha Vida" program. The program subsidized the acquisition of houses/apartments for families with an income of up to R\$:1.800. Within the program's scope, approximately 75% of the licensed units are located in the west zone (AP4 and AP5). That is a crucial indicator of the State's stance on the housing issue, that results in an enormous urban-environmental liability. Dispersion of urbanization and growth of urban informality occur concurrently and are the main actors in Rio's urban dynamics.

Demographic data indicates the city's movement towards the west and the gradual increase in the population living in slums, both in absolute and relative terms. The city's excessive expansion results in increasingly complex urban problems, particularly concerning infrastructure and mobility; urban informality also increases. According to the Census<sup>41</sup>, in 1980, the population living in favelas was 14.09% of the city's total; in 1991, it was 16.10%; in 2000 it was 18.65% and in 2010 it was 22.04%. This urbanization process is advancing, with discontinuities, and its occupation is intensified over areas with less or no infrastructure. leaving a trail of underutilization in old neighborhoods<sup>42</sup>. The phenomenon of urban informality in Rio de Janeiro is not restricted to favelas. However, this is its most visible face and for which the available data allows the most reliable dimension. Clandestine and irregular subdivisions are another informal settlement pattern that is also guite expressive and independent of income. The direct association between poverty and urban

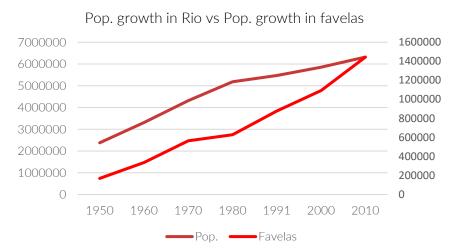
 <sup>&</sup>lt;sup>40</sup> Caminha, "A OCUPAÇÃO DE IMÓVEIS NA CIDADE DO RIO DE JANEIRO."
 <sup>41</sup> "IBGE | Censo 2010 | Resultados."

<sup>&</sup>lt;sup>42</sup> Barandier, "Negligência urbanística e projeto urbano na Cidade do Rio de Janeiro."

informality, in the form of cause and consequence, conceals other elements associated with the phenomenon and, in a way, naturalizes and feeds prejudices. A significant part of the city is still built outside of the urban legislation and the urban control system, largely based on the traditional planning model modernist, functionalist, technocratic, and elitist. A form of urbanism that, according to Erminia Maricato, "does not commit to concrete reality, but with an order that concerns only part of the city"<sup>43</sup>.

The prioritization of urban developments in the city's management (licensing) is currently disassociated from the ordinary urban planning process and reduced to specific revisions of the rules and their partial and discretionary application in the licensing, which could have contributed to inertia to the urban development logics of Rio de Janeiro. The execution of a series of public space qualification works carried out for the Olympics, and the World Cup had a significant impact when the city seemed to reconstruct its image.

However, in the long run, a culture of urban network projects wasn't constituted, nor were the bases and practices of operational urbanism reformulated, nor urban processes that tend to produce an unequal city reversed<sup>44</sup>.



Pop. growth rates: image based on data from IBGE from 1950 to  $2010^{45}$ 

<sup>45</sup> "IBGE | Censo 2010 | Resultados."

<sup>&</sup>lt;sup>43</sup> Maricato, *Para entender a crise urbana*.
<sup>44</sup> Nunes and Moura, "Urban Imaginary and Conjuncture

in Rio de Janeiro."



Urban sprawl: image from Google Earth - 1986



Urban sprawl: image from Google Earth - 2020

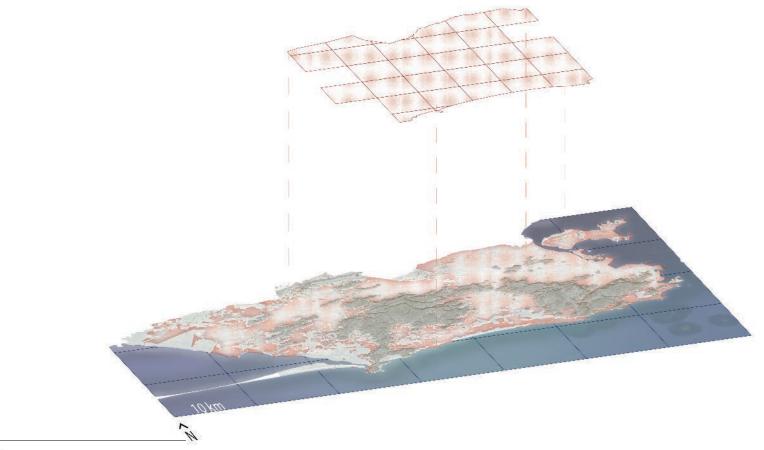
## Lack of available space and sprawl consequences

Rio de Janeiro suffered from a historical process of exploiting natural areas and populational growth that culminated in several environmental vulnerability problems, which are, in their majority, a consequence of the uncontrolled growth in areas at risk. Some of the most significant issues are floods and landslides. Considering the city's geographical characteristics. including the topography, conservation areas, and fragile soil, one can understand a little better why the environmental risks are so accentuated. According to geographer Coelho Netto (2005), two phenomena of different natures and socioeconomic interests contribute to increased occupation in hillsides and close to river beds, increasing the risk and environmental vulnerability. She argues the first is the historical process of uneven settling and construction of favelas, associated with the population's impoverishment, often close to places offering paid work. This process started in the first decades of the

<sup>46</sup> Netto, "A interface florestal-urbana e os desastres naturais relacionados à água no maciço da tijuca."

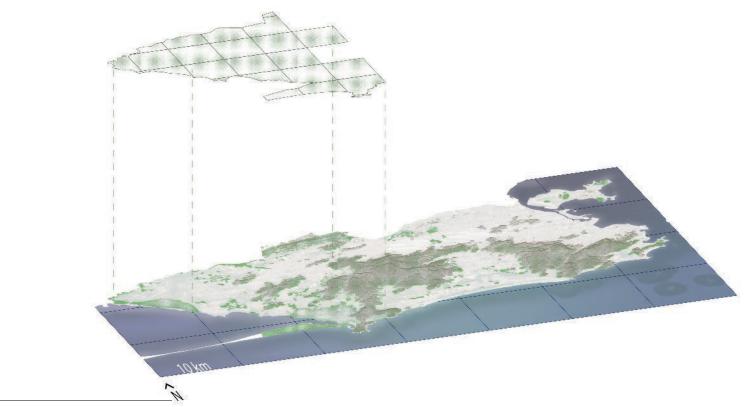
twentieth century when industrial (mainly textile/construction) evasion happened. It intensified after the '80s, including high-risk areas, as already mentioned (steep and unstable slopes and river beds in the river lowlands)<sup>46</sup>.

The second is a movement originating from the most privileged class searching for a better quality of life in the mountainous forest environment and the escape from urban violence. This has led to the advance of formal constructions on the slopes despite the restrictions imposed by environmental and urbanplanning legislation, including the Municipal Masterplan. This occurs mainly because of access to natural/scenic resources. Together with construction contractors and real estate companies, this portion of the population pressures the government for an urgent revision of the legislation that restricts these slopes' occupation. In these regions, most of the city's forest remnants are located. The image below is based on data from IBGE<sup>47</sup> (Brazilian Institute of Statistics) and it shows the portion of land in Rio that is currently occupied, which totals more than 50% of the territory.



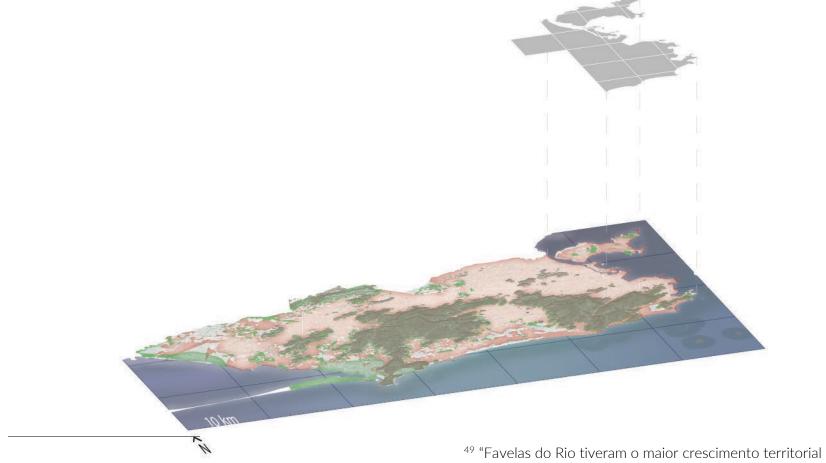
<sup>47</sup> "IBGE | Censo 2010 | Resultados."

In this map we see which portions of the city are currently preserved by legislation<sup>48</sup>. These correspond to areas with high preservation status and areas above 100m. These areas total approximately 30% of Rio's territory.



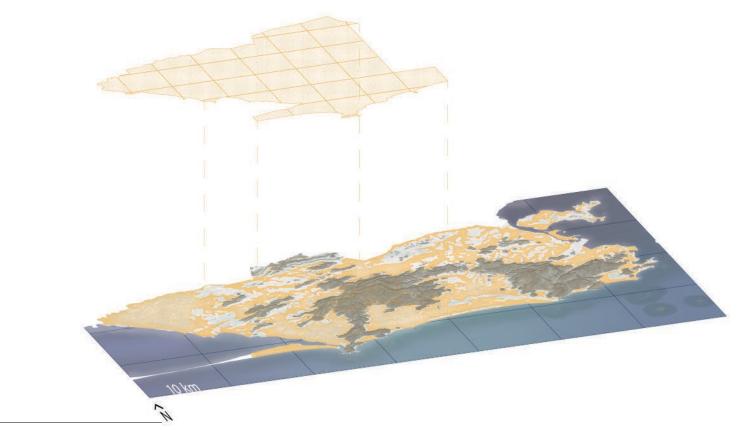


Areas that are neither occupied nor conservation areas total less than 20% of the city's territory. In recent years the sprawl in Rio has been more intense. It's estimated that just within the favelas there was a growth of 330.000sqm between the years of 2016 and 2017<sup>49</sup>.



<sup>49</sup> "Favelas do Rio tiveram o maior crescimento territorial desde 2012."

The image below shows which parts of Rio have highly fragile soil<sup>50</sup>. If we consider only the plain terrain, that totals more than 50% of the area. Several environmental vulnerability issues, including floods and landslides, happened within the city in the last five years.



<sup>50</sup> "Inea0121705.Pdf."

## The City Statute in Rio:

## The Municipal Master Plan of Rio de Janeiro:

As previously mentioned, the City Statute establishes the foundations for city planning in Brazil and, together with the 1988 Constitution, may be regarded as the primary legal foundation for the growth of cities. In that apparatus is the requirement for Municipal Master Plans for urban cities.

The Municipal Master Plan establishes public order and social interest norms that govern the use of urban property in the interests of the collective good, security, and well-being of citizens, as well as ecological balance, to ensure sustainable development and the fulfillment of the social function of the city and urban property.

The current Municipal Master Plan for Rio de Janeiro<sup>51</sup> was adopted in 2011. The plan must be updated every 10 years and reviewed every five years, which means a new plan is scheduled for 2021.

Instruments for building, environmental and urban regulation, planning, land use and occupation, cultural and ecological guidance, and management of financial and budgetary services are provided to execute its recommendations. Another goal is to direct the activities of public and private actors involved in urban development by identifying priorities for allocating resources and investments.

<sup>&</sup>lt;sup>51</sup> "Plano Diretor de Rio de Janeiro - RJ."

Rio's planning scheme can be divided into three main scales; the first scale includes planning sectors and subsectors, zones and sub-zones; and qualifiers such as central neighborhood areas and commercial streets.

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The second scale within the Master Plan for Rio de Janeiro (2011) relates to its administrative boundaries, each neighborhood is inside a planning area (AP). Most statistics refer to this scale or the neighborhood scale. The municipality was divided into five planning areas composed of different neighborhoods.



The five planning areas are the following: AP1:

Comprehends the central and port areas of Rio de Janeiro, it's the smallest AP in size, but the one that concentrates the majority of jobs.

#### AP2:

The south zone, it's the AP with the higher property values and were the most valued beaches are concentrated, such as Leblon, Ipanema and Copacabana. AP3:

The north of Rio is one of the places that were firstly developed in the city, until the 18<sup>th</sup> century it was basically an agriculture area. After 1906, with the Perreira Passos<sup>52</sup> urban reform and the central evasion, the area became populated. During the 30's there was an attempt to industrialize it, specially because of the railroads, but with time most companies relocated or went bankrupt, leaving innumerous urban voids.

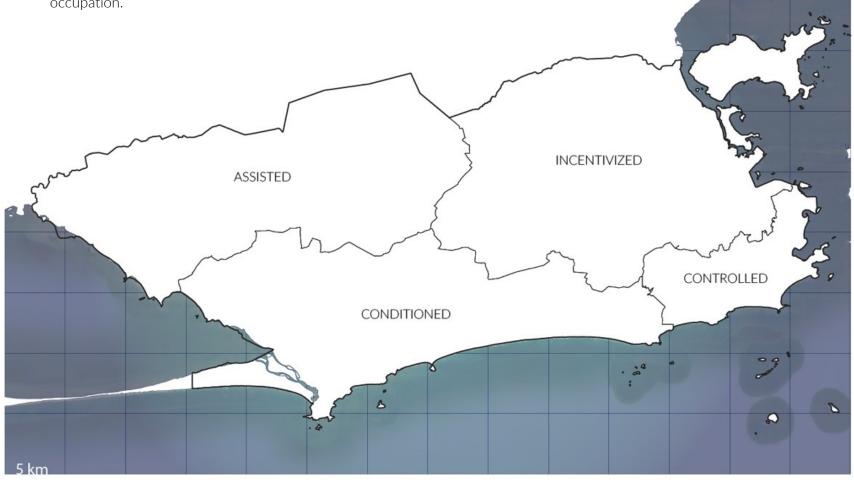
#### AP4 and AP5:

Are also called the West Zone of Rio de Janeiro. AP5 is the largest AP and the one with more vacant land. The urban drawing for AP4 was conceived in the 60's by the modernist architect Lucio Costa, but the plan was never fully implemented.

part of the people moved to the outskirts of the city and the other part climbed the hills, originating the favelas.

<sup>&</sup>lt;sup>52</sup>The mayor of Rio at the time, Pereira Passos promoted an urban renewal like what happened in Paris with Haussamann. With the destruction of the tenements,

The third scale incorporates these five areas and groups them into four regions, called Occupation Macrozones, these Macrozones stablish broader guidelines for occupation.



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According to the current Master Plan of Rio, the Macrozones are<sup>53</sup>:

#### Controlled:

Where population density and construction will be limited, urban renewal should preferably take place through the reconstruction or conversion of existing buildings, respecting the predominantly residential areas and in places where the infrastructure is sufficient; Encouraged:

Where population density, construction intensity, increase in economic activities and large equipments should be stimulated, preferably in areas with greater availability or potential for infrastructure implementation;

Conditional:

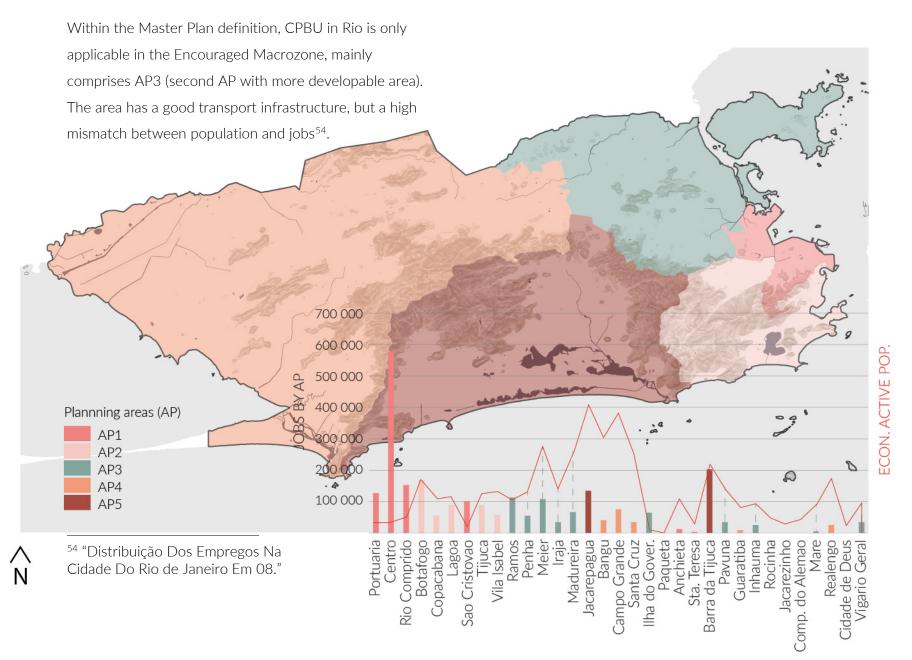
Where population density, construction, and the implementation of economic activities will be restricted according to the capacity of the infrastructure networks and subordinated to environmental and landscape protection areas, being able to be progressively expanded with the contribution of private resources;

#### Assisted:

Population density, increase in economic activities, and the implementation of economic attractors should be accompanied by public investments in infrastructure and measures to protect the environment and agricultural activity.

<sup>&</sup>lt;sup>53</sup> "Plano Diretor de Rio de Janeiro - RJ."

# CPBU current scenario:



### CPBU vs. Urban Operation Consortium:

In 2007 Brazil was chosen to host the 2014 World Cup, and in June 2008, Rio de Janeiro was officially announced to host the Olympic games in 2016. Since then, these events have been the focus of significant investments made in Rio. The process had great financial, environmental, and social costs, including the expropriation of more than 600 families, just in the Olympic Villa area. Arenas, villas, corporate buildings, museums, and real estate launches were built, but many promises that could benefit local communities remained on paper<sup>55</sup>.

This process mobilized public and private agents, in different partnership models, mainly based on the City Statue. The main legacy of these spectacularized works is a more expensive, exclusive, and fragmented city. Segregation is not only a mirror of social status but a factor that contributes to accentuating these inequalities. The fragility of social relations contributes to the increase in urban violence in the context of intolerance and discrimination, promoted by segregation. To mention some examples of these incongruencies: during the Olympic works period, there were mobility proposals executed, but the transportation associated with housing and work demand didn't come through. More than one-fifth of the population of Rio de Janeiro lives in slums; the South Zone (AP2) and the Center (AP1) concentrate more than half of all jobs in the city. More investments could have been made in affordable housing in the central region or on trains to the suburbs. A cycle path was made to link AP2 to AP4, but nothing connecting neighborhoods to the BRT stations that lead to the North Zone of Rio (AP3).

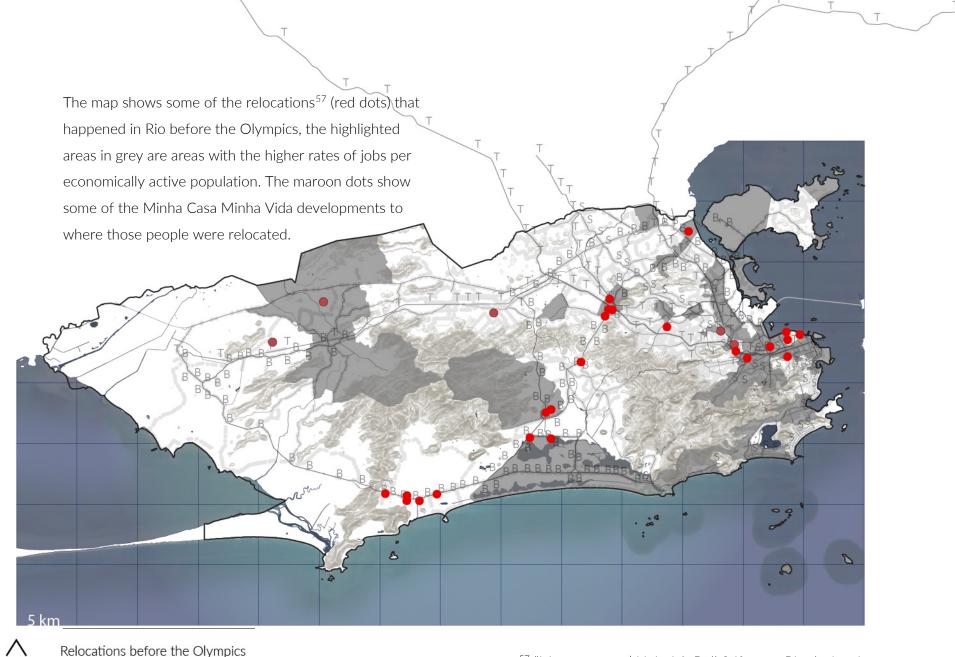
CPBU was never used, and Urban Operation Consortium was used to make possible all of the World Cup/Olympic works in Rio; the final result was the subversion of the original intentions of the City Statute: To fight real estate speculation and promote land tenure regularization.

The main controversy regarding its application revolves around the fact that the legislation favors public-private partnerships in most cases and excessively bureaucratizes the legislation that renders land

<sup>&</sup>lt;sup>55</sup> Faulhaber and Azevedo, *SMH 2016*.

ownership relative to its use. That is the case with CPBU since the use of the law requires the delimitation on the Master Plan and the municipality to actively survey the areas that are subject to it and notify/police the owner from two to five years to check if the land is being used. There is a gap in how the tools within the City Statute are spatialized. Several municipalities have the entire city under the Urban Operation Consortium criteria, and CPBU is only applied to one zone<sup>56</sup>.

<sup>&</sup>lt;sup>56</sup> Denaldi et al., "A aplicação do Parcelamento, Edificação ou Utilização Compulsórios (PEUC)."



Relocations before the Olympics

MCMV

Ν

removal

<sup>57</sup> "Megaeventos | Heinrich Böll Stiftung - Rio de Janeiro Office."

The image shows the average value for real estate transactions<sup>58</sup> in each of the planning zones in Rio, the impact of the Olympics is clear and also what happened after the event in the majority of the planning zones.

Rio2016

100

real estate transactions values

AP 2

P5

<sup>58</sup> "Transações Imobiliárias - ITBI."

## THE SEEDS

### **New CPBU delineation**

My main argument is that this law shouldn't be applied in the macrozone scale but a scale with a smaller grain, like the sub-zones and sub-sectors, and be subject to criteria that would include access to work.

Given that the original idea behind the City Statute is to recognize the social value of the land and to prevent speculation, I'm proposing criteria that relate to that notion, including the job x population ratio and the Social Progress Index<sup>59</sup>. This last index considers other major factors in sub-indexes such as basic human needs, foundations for well-being and opportunities. These contain indexes like access to healthcare, housing, clean water, sewage, schools, environmental quality and social inclusion.

This work will use urban analytics to structure decisions on land use and occupation policies, specially the ones related to mobility infrastructure and housing deficit. The project takes advantage of localized information to advise the new delineation, and the compiled information advises the design process by providing urban configurations simulations from objectively quantifiable data insertion. These tools will be used mainly for the new CPBU delineation and for what I call a catalog of parcels.

On a short piece where he explains the term urban analytics, urban planner, geographer and spatial data scientist Michael Batty<sup>60</sup> writes:

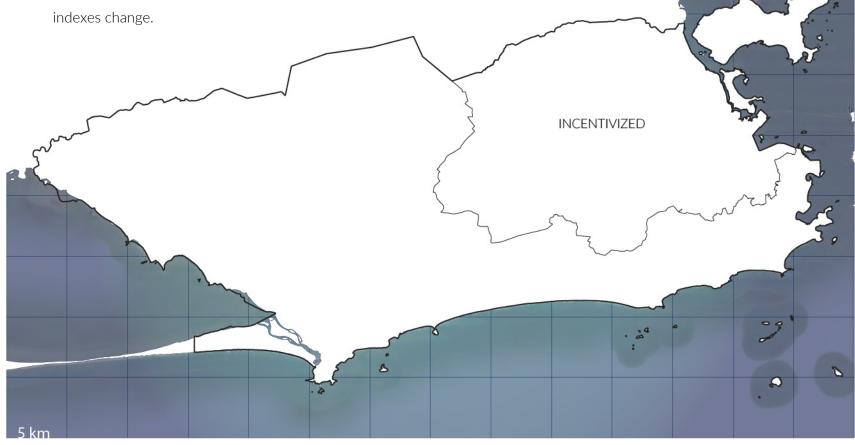
" And last but not least, urban analytics should deal with Environment and Planning, the main title of this journal still, for therein lies the motivations for developing these ideas in the first place, so we can provide more sustainable and livable cities than anything we have achieved or even attempted so far."

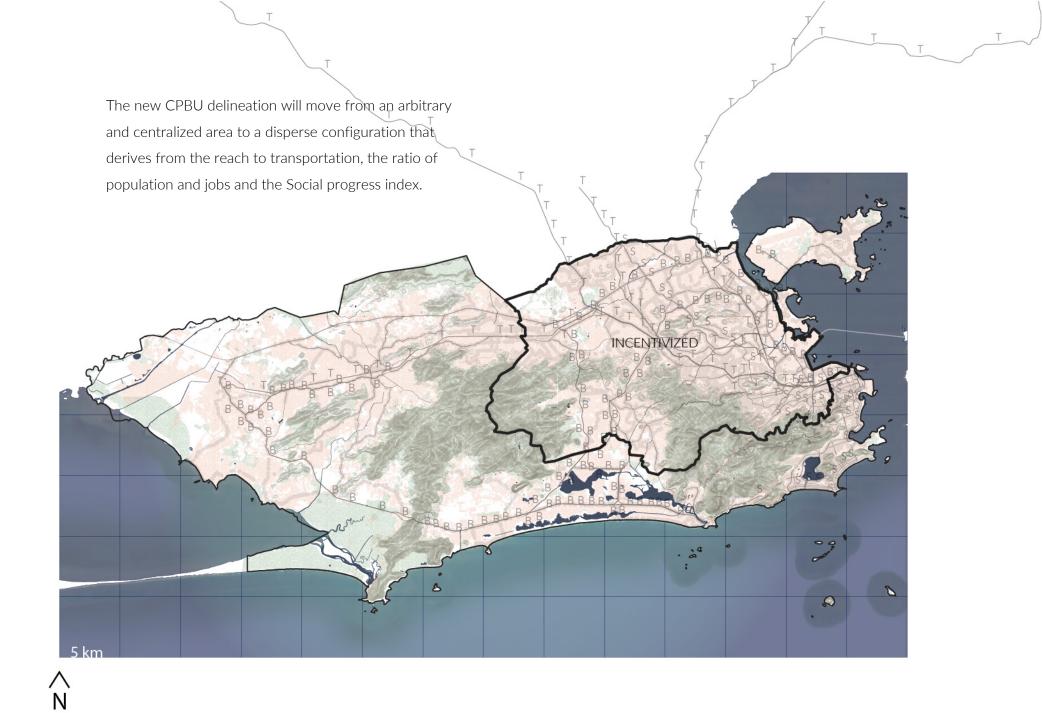
<sup>60</sup> Batty, "Urban Analytics Defined."

<sup>&</sup>lt;sup>59</sup> "Indice de Progresso Social Do Rio de Janeiro."

This notion of having a static masterplan, that in Rio, lasts for 10 years, and in which the criteria for the decision making can be cloudy, will be defied by a clear method. The method itself will have a spatialized form, but it would ideally be iterated every time one of its indexes change.

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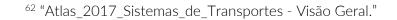
For the new delineation criteria, the preponderant factor was access to transportation, for that isochrones from the main transportation modes<sup>61</sup> were traced. If these isochrones converged with areas of high social value in the Social Progress Index, their time increased by 3 minutes.



3

<sup>61</sup> "Atlas\_2017\_Sistemas\_de\_Transportes - Visão Geral."

The same process was made considering bus stops and a smaller time. This was made keeping in mind people are less willing to walk to bus stations<sup>62</sup>, but also that the access to the equipment considered within the Social Progress Index should be considered.



7 min10 min

• 5 min

lsochrones - bus

5 km

Social Progress Index

60.8 - 73.7 73.7 - 87.1

 $\wedge$ 

Ν

3

After the junction of the isochrones from all transportation modes considering the increase by the Social Progress Index, a third factor comes into account. This third factor is the ratio of jobs<sup>63</sup> per person within the economically active population<sup>64</sup>. Areas with more than one job for every two people were considered in this scenario.

5 km

Job vs EAP

1 job per 2

 $\wedge$ 

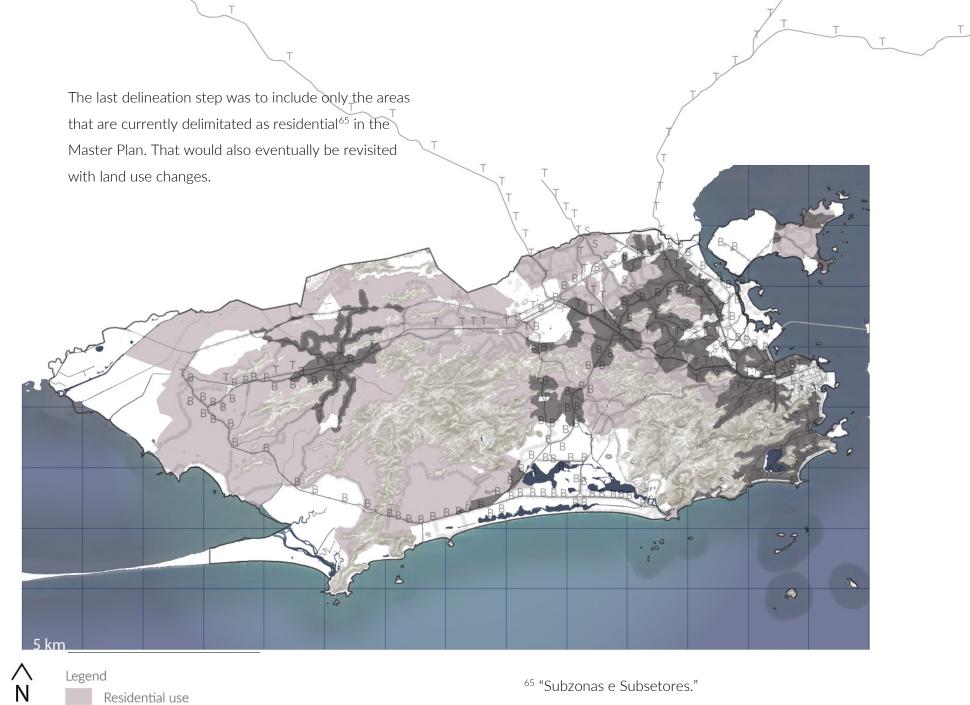
Ν

<sup>63</sup> "Relação Anual de Informações Sociais (RAIS) - Base dos Dados."

5

<sup>64</sup> "IBGE | Censo 2010 | Resultados."

ТТТ



Residential use CPBU area proposal <sup>65</sup> "Subzonas e Subsetores."

## **Catalog of Parcels**

Given the new CPBU delimitation, this section of the work will be an attempt to use blocks that are within that area, and the parcels within such blocks, to conceptualize building volumes. These building volumes correspond to the largest building capacity with the current legal constraints for FAR, BCR, setbacks and height.

Another constraint for these volumes, that doesn't necessarily relate to the planning requirements, but to the implementation of social housing, is to maximize the horizontal occupation of the parcels and avoid volumes with more than 4 floors; that comes from the need to avoid building elevators, which would make construction and building management significantly costlier.

The methodology to choose the blocks had to do with three factors, the first was to choose three blocks by AP; the second was to choose two of those blocks in the planning zone with the largest area and one in the second planning zone with the largest area; the third was to choose blocks that had characteristic typologies within those zones. This effort was made in an attempt to emulate something like a "fitness" index for the blocks, or how many of the parcels within those blocks would be fit for being used to implement social housing. The delimitation for the parcels was based on current constructions, given that the information is not publicly available.

The cost for the parcels was made using the ITBI<sup>66</sup> value (tax for real estate transactions) and the given areas. Since the ITBI value was not available for the same time frame in all the required areas an average of the last 10-years was made (2010-2020) for the neighborhoods in which the blocks are located.

To test multiple terrains for the potential to implement social housing parcels with less than 500sqm were also considered, although they are outside the scope of the law. Parcels were discarded if smaller than 300sqm or if the dimensions didn't allow for building and circulation within the law requirements.

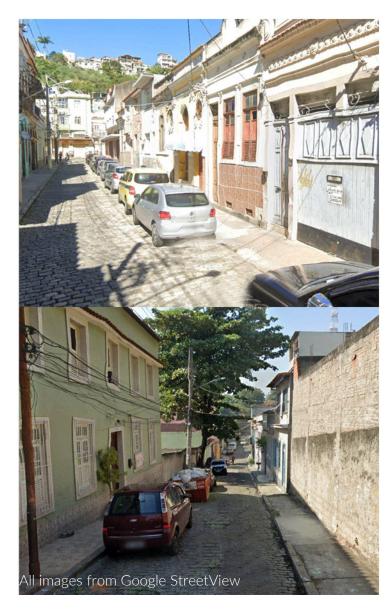
<sup>66 &</sup>quot;Transações Imobiliárias - ITBI."

There was also an attempt to quantify the structural needs of each volume and to use that in a cost calculation for each of the parcels.

The main goals of this catalog of parcels will be: 1- to identify if construction costs within the parcels are comparable to the to the costs financed by the Minha Casa Minha Vida program; 2- to use the ratio for "usable parcels" in an attempt to predict the amount of social housing units that could be implemented in abandoned properties<sup>67</sup> within the city, by planning zone.

<sup>&</sup>lt;sup>67</sup> Souza, "ANÁLISE ESPACIAL E GESTÃO MUNICIPAL DE VAZIOS URBANOS NO RIO DE JANEIRO."





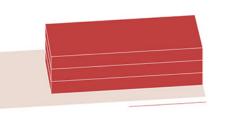


Planning Area: 01 Block: 01 FAR: 5 BCR: 70% street setback: 7m height with side setback: 11m height without side setback: 11m

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1 1

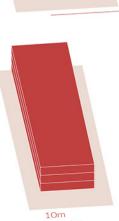
12 units approx. 42 sqm terrain: R\$ 171971 construction: R\$ 1843771

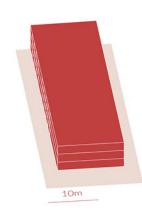


21 units approx. 44 sqm terrain: R\$ 277377 construction: R\$ 3406147

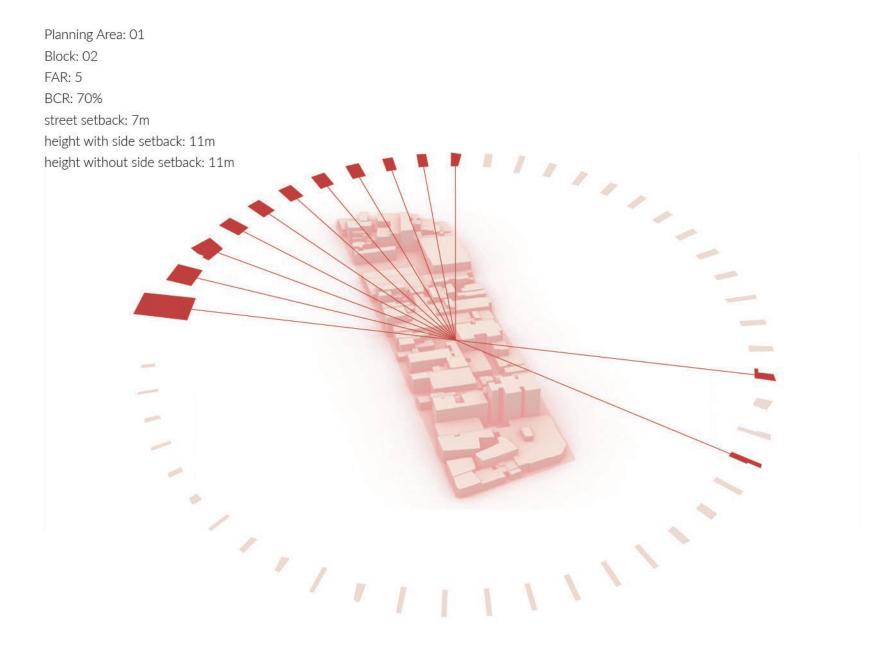


36 units approx. 44 sqm terrain: R\$ 419321 construction: R\$ 5887432

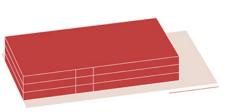


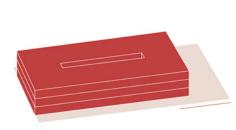


42 units approx. 46 sqm terrain: R\$ 480921 construction: R\$ 7194046



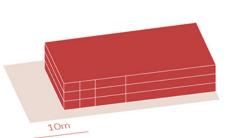
33 units approx. 46 sqm terrain: R\$ 379410 construction: R\$ 5623292



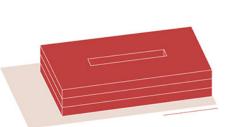


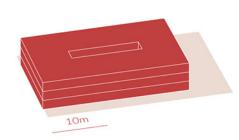
30 units approx. 45 sqm terrain: R\$ 364090 construction: R\$ 4933756

30 units approx. 44 sqm terrain: R\$ 338081 construction: R\$ 4860784

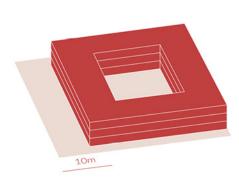


36 units approx. 36 sqm terrain: R\$ 353296 construction: R\$ 4766866

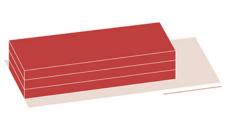


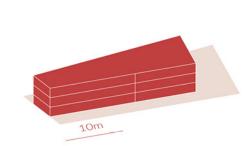


33 units approx. 38 sqm terrain: R\$ 342191 construction: R\$ 4567328



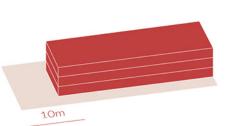
51 units approx. 39 sqm terrain: R\$ 614024 construction: R\$ 7387596 18 units approx. 45 sqm terrain: R\$ 241176 construction: R\$ 3001885





12 units approx. 50 sqm terrain: R\$ 213330 construction: R\$ 2214359



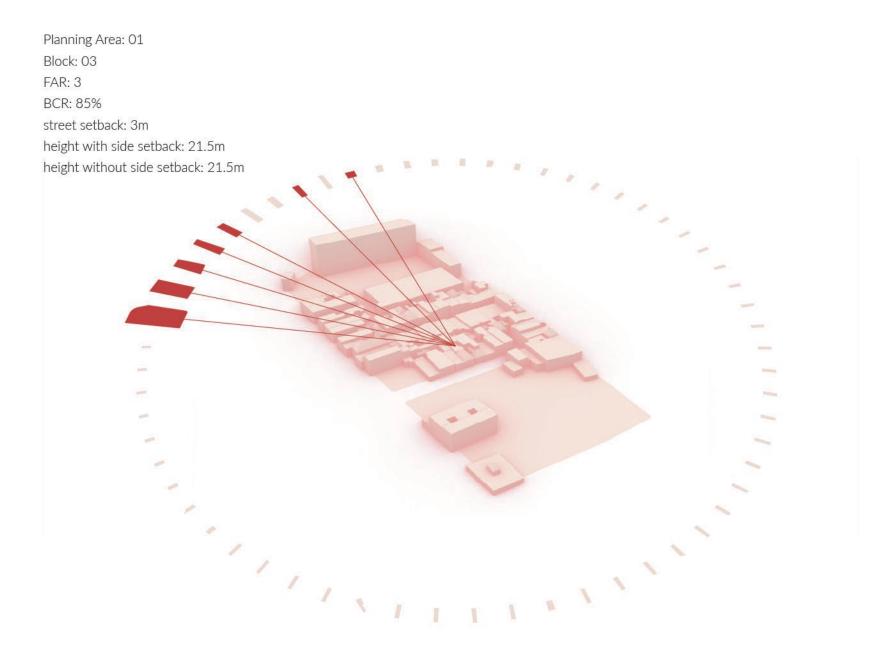


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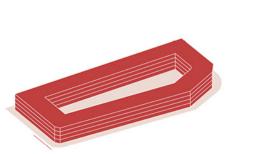
84 units approx. 43 sqm terrain: R\$ 1482228 construction: R\$ 13381001

36 units approx. 48 sqm terrain: R\$ 525206 construction: R\$ 5308719

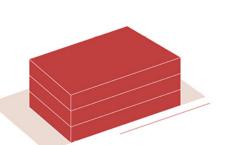




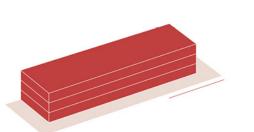
148 units approx. 47 sqm terrain: R\$ 1610500 construction: R\$ 31084412

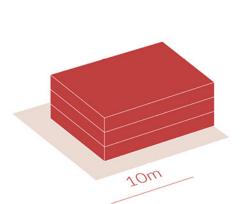


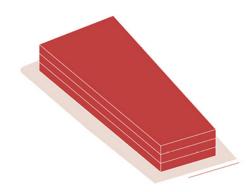
6 units approx. 52 sqm terrain: R\$ 95620 construction: R\$ 1155260



6 units approx. 52 sqm terrain: R\$ 95620 construction: R\$ 2693184



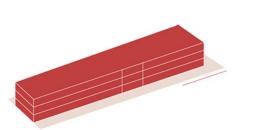




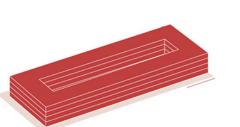
12 units approx. 40 sqm terrain: R\$ 130744 construction: R\$ 1755947

30 units approx. 47 sqm terrain: R\$ 368109 construction: R\$ 5199336

42 units approx. 45 sqm terrain: R\$ 460610 construction: R\$ 7002086 24 units approx. 44 sqm terrain: R\$ 289572 construction: R\$ 3928527



80 units approx. 50 sqm terrain: R\$ 834898 construction: R\$ 14793788



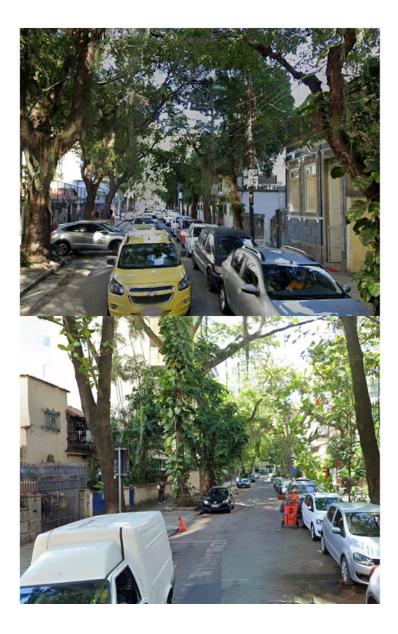
Planning Area: 01 Block: 04 FAR: 5 BCR: 70% street setback: 7m height with side setback: 11m height without side setback: 11m

1

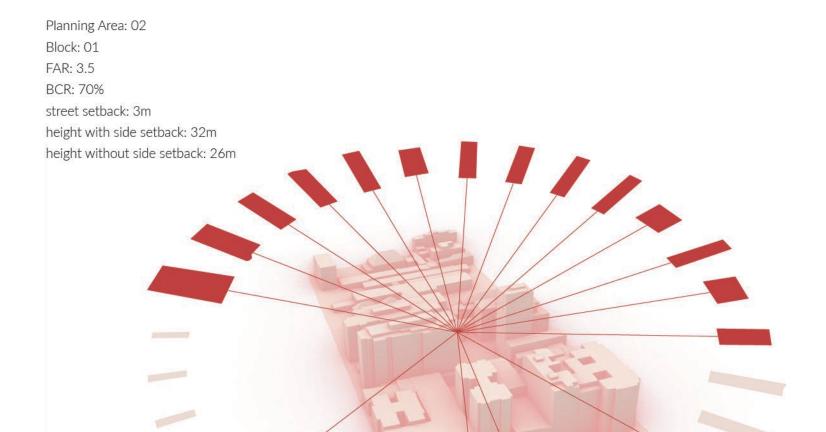
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1



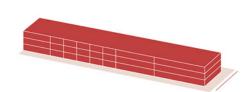


AP2



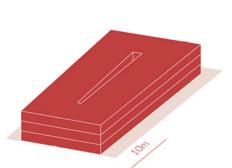
42 units approx. 44 sqm terrain: R\$ 4367286 construction: R\$ 6892313



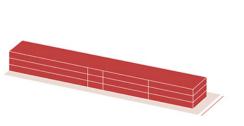


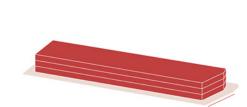
42 units approx. 46 sqm terrain: R\$ 4419571 construction: R\$ 7069659

54 units approx. 38 sqm terrain: R\$ 4425059 construction: R\$ 9249667

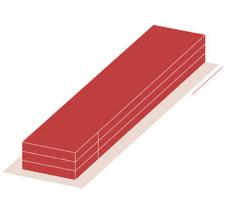


36 units approx. 44 sqm terrain: R\$ 3930737 construction: R\$ 5827288





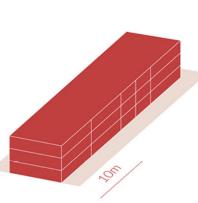
45 units approx. 50 sqm terrain: R\$ 5154095 construction: R\$ 7610307



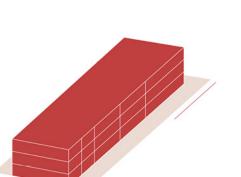
51 units approx. 45 sqm terrain: R\$ 5100377 construction: R\$ 8430739 72 units approx. 49 sqm terrain: R\$ 6800664 construction: R\$ 12966469

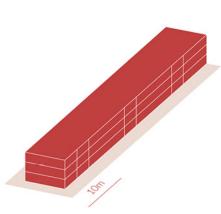


24 units approx. 46 sqm terrain: R\$ 2831798 construction: R\$ 4076526



27 units approx. 44 sqm terrain: R\$ 2948942 construction: R\$ 4404447

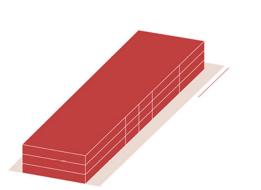




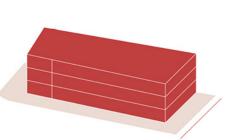
36 units approx. 46 sqm terrain: R\$ 4204407 construction: R\$ 6167212

39 units approx. 46 sqm terrain: R\$ 4361581 construction: R\$ 6583470

30 units approx. 47 sqm terrain: R\$ 3246136 construction: R\$ 5184645 54 units approx. 46 sqm terrain: R\$ 5256818 construction: R\$ 9076780



15 units approx. 48 sqm terrain: R\$ 1716646 construction: R\$ 2678449

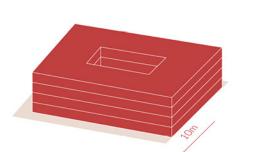


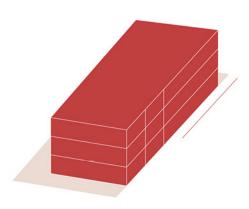
555

36 units approx. 47 sqm terrain: R\$ 3701704 construction: R\$ 6219540

48 units approx. 47 sqm terrain: R\$ 3862528 construction: R\$ 7675761

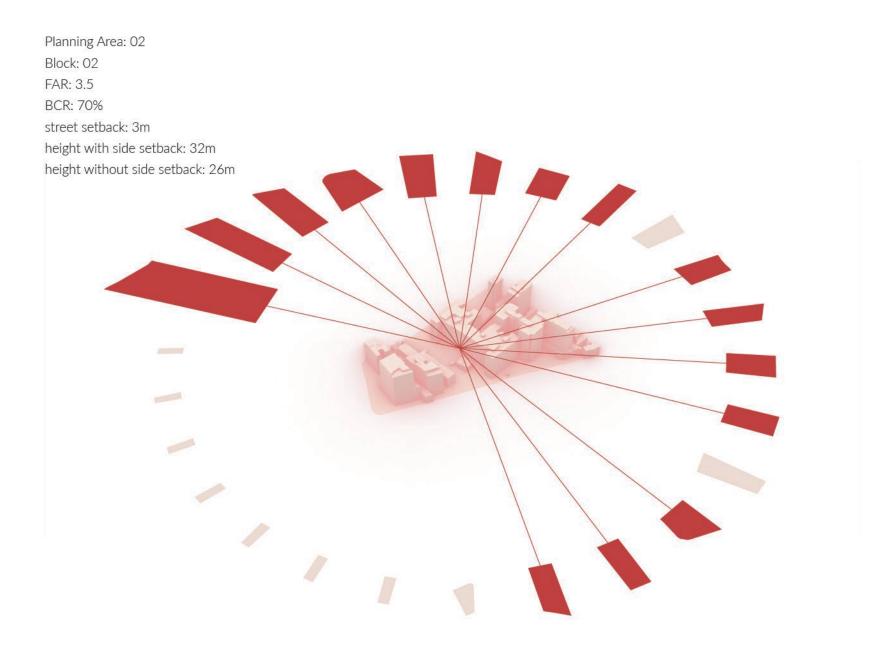
48 units approx. 49 sqm terrain: R\$ 4070521 construction: R\$ 8054371



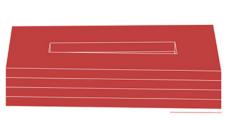


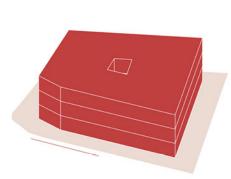
15 units approx. 42 sqm terrain: R\$ 1532083 construction: R\$ 2349946 136 units approx. 42 sqm terrain: R\$ 10497120 construction: R\$ 21293686











27 units approx. 41 sqm terrain: R\$ 2819012 construction: R\$ 3910872

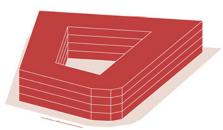
39 units approx. 46 sqm terrain: R\$ 3878865 construction: R\$ 5783801



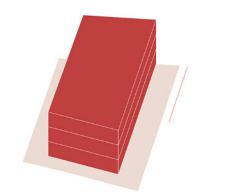


54 units approx. 48 sqm terrain: R\$ 5553774 construction: R\$ 10948621

92 units approx. 39 sqm terrain: R\$ 7491689 construction: R\$ 14984647



45 units approx. 20 sqm terrain: R\$ 2333662 construction: R\$ 3074630



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140 units	
approx. 41 sqm	
terrain:	
R\$ 9835610	
construction:	
R\$ 18336984	
	- 11



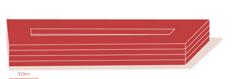


258 units approx. 50 sqm terrain: R\$ 21178406 construction: R\$ 49564449

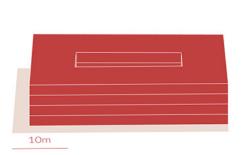
42 units approx. 44 sqm terrain: R\$ 4525733 construction: R\$ 6885649

80 units approx. 51 sqm terrain: R\$ 7204553 construction: R\$ 13670922

124 units approx. 36 sqm terrain: R\$ 7879036 construction: R\$ 14277307



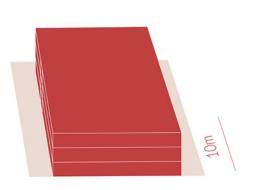
64 units approx. 41 sqm terrain: R\$ 4583973 construction: R\$ 8984864



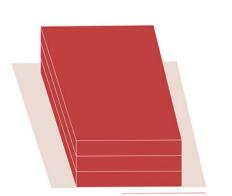
33 units approx. 45 sqm terrain: R\$ 3403201 construction: R\$ 5048679

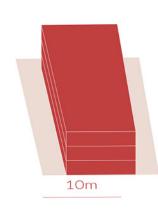


33 units approx. 47 sqm terrain: R\$ 3284105 construction: R\$ 5672529



42 units approx. 31 sqm terrain: R\$ 2945348 construction: R\$ 4564135



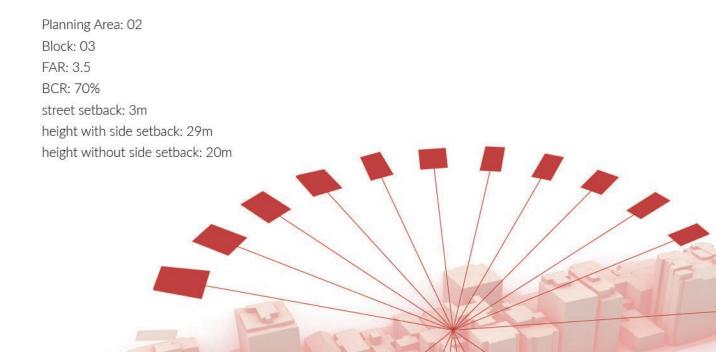


21 units approx. 45 sqm terrain: R\$ 2392756 construction: R\$ 3473493

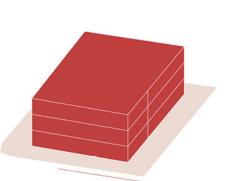
6 units approx. 42 sqm terrain: R\$ 834844 construction: R\$ 929259



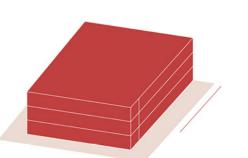
27 units approx. 44 sqm terrain: R\$ 2877643 construction: R\$ 4362981



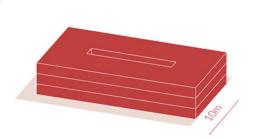
18 units approx. 42 sqm terrain: R\$ 2620849 construction: R\$ 2758921

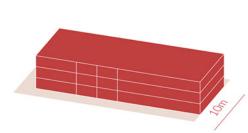


24 units approx. 44 sqm terrain: R\$ 2946341 construction: R\$ 3895993

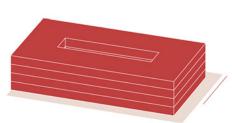


33 units approx. 41 sqm terrain: R\$ 3922156 construction: R\$ 5044703

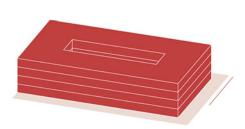




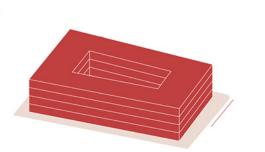
24 units approx. 46 sqm terrain: R\$ 3185812 construction: R\$ 4062626

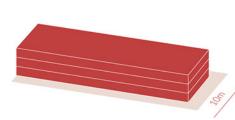


80 units approx. 35 sqm terrain: R\$ 5865139 construction: R\$ 9333191



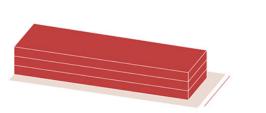
72 units approx. 38 sqm terrain: R\$ 6007247 construction: R\$ 9322817 72 units approx. 44 sqm terrain: R\$ 7832308 construction: R\$ 13299199





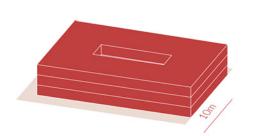
45 units approx. 33 sqm terrain: R\$ 4092332 construction: R\$ 5223649

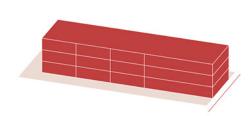
30 units approx. 44 sqm terrain: R\$ 3828120 construction: R\$ 4925116



21 units approx. 44 sqm terrain: R\$ 3029697 construction: R\$ 3377201

48 units approx. 42 sqm terrain: R\$ 4607599 construction: R\$ 7527078





15 units approx. 47 sqm terrain: R\$ 2419387 construction: R\$ 2620736 60 units approx. 27 sqm terrain: R\$ 4621070 construction: R\$ 6054909



18 units approx. 44 sqm terrain: R\$ 2566752 construction: R\$ 2898331

15 units approx. 47 sqm terrain: R\$ 2405836 construction: R\$ 2590209

18 units approx. 49 sqm terrain: R\$ 2763961 construction: R\$ 3234832

33 units

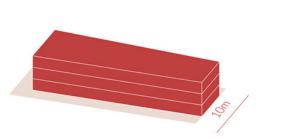
terrain:

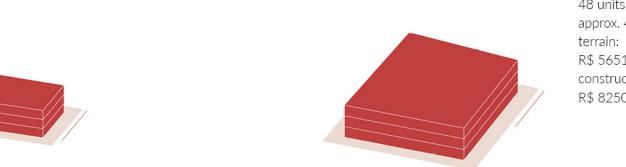
approx. 47 sqm

R\$ 4201829

construction:

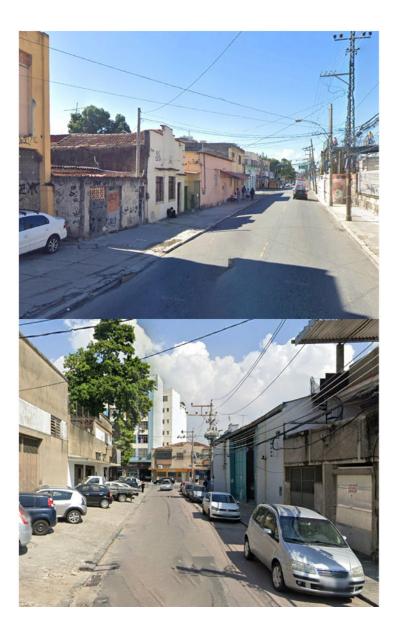
R\$ 5667432

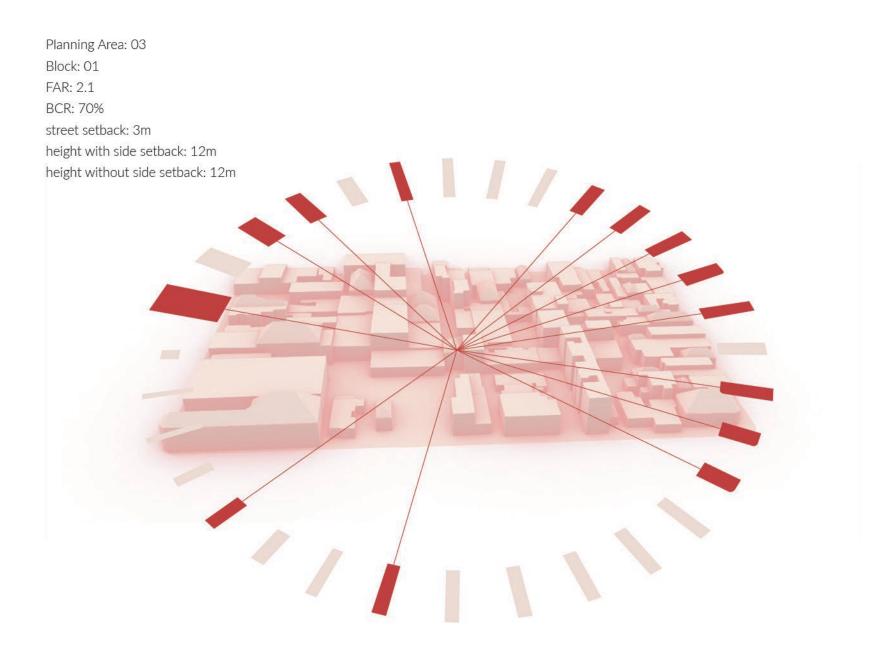




48 units approx. 44 sqm R\$ 5651411 construction: R\$ 8250753

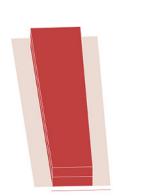






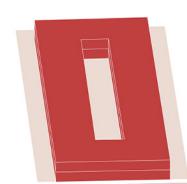
22 units	
approx. 44 sqm	
terrain:	
R\$ 79731	
construction:	
R\$ 3593016	

16 units approx. 45 sqm terrain: R\$ 76897 construction: R\$ 2657006



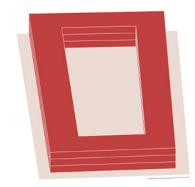
40 units approx. 32 sqm terrain: R\$ 116292 construction: R\$ 4684995





40 units approx. 36 sqm terrain: R\$ 137463 construction: R\$ 5312107

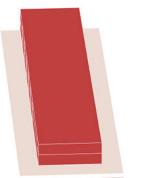
18 units approx. 43 sqm terrain: R\$ 79977 construction: R\$ 2854337



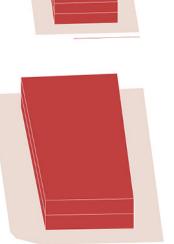
99 units approx. 35 sqm terrain: R\$ 277352 construction: R\$ 12656424 24 units approx. 44 sqm terrain: R\$ 94530 construction: R\$ 3864362

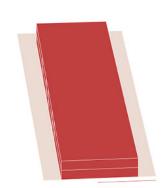


18 units approx. 43 sqm terrain: R\$ 75837 construction: R\$ 2827605



12 units approx. 44 sqm terrain: R\$ 59625 construction: R\$ 1956041





26 units approx. 46 sqm terrain: R\$ 104327 construction: R\$ 4387387

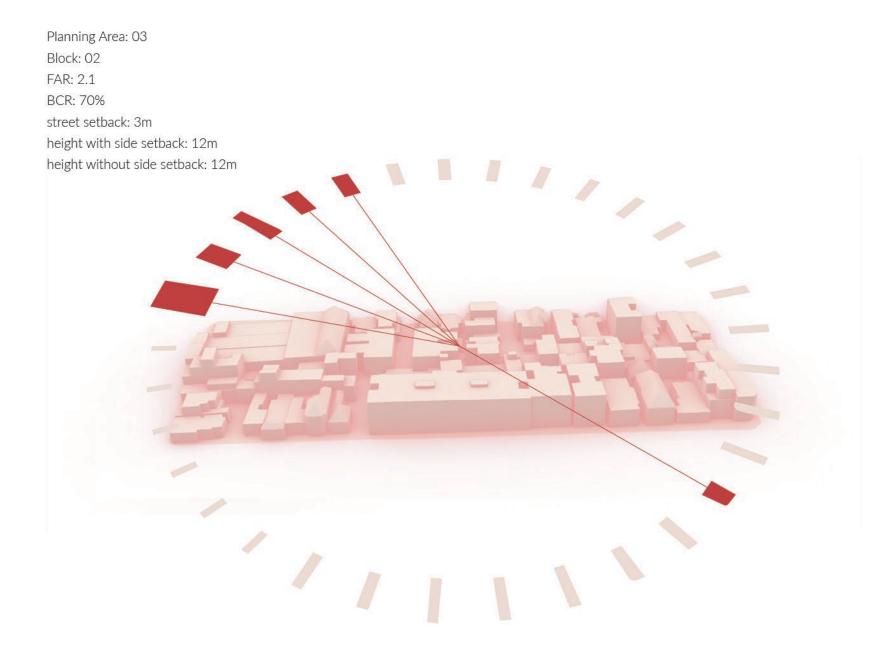
18 units approx. 44 sqm terrain: R\$ 80617 construction: R\$ 2897116

18 units approx. 46 sqm terrain: R\$ 83454 construction: R\$ 3073866

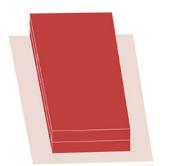
12 units			
approx. 46 sqm			
terrain:			
R\$ 59156			
construction:			
R\$ 2022499			
	-		

14 units	
approx. 48 sqm	
terrain:	
R\$ 74285	
construction:	
R\$ 2489012	





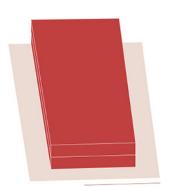
18 units approx. 43 sqm terrain: R\$ 71662 construction: R\$ 2852609



20 units approx. 44 sqm terrain: R\$ 86219 construction: R\$ 3217639



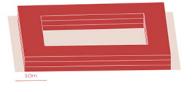
16 units approx. 47 sqm terrain: R\$ 70391 construction: R\$ 2791867

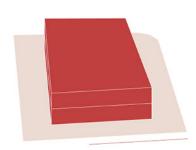




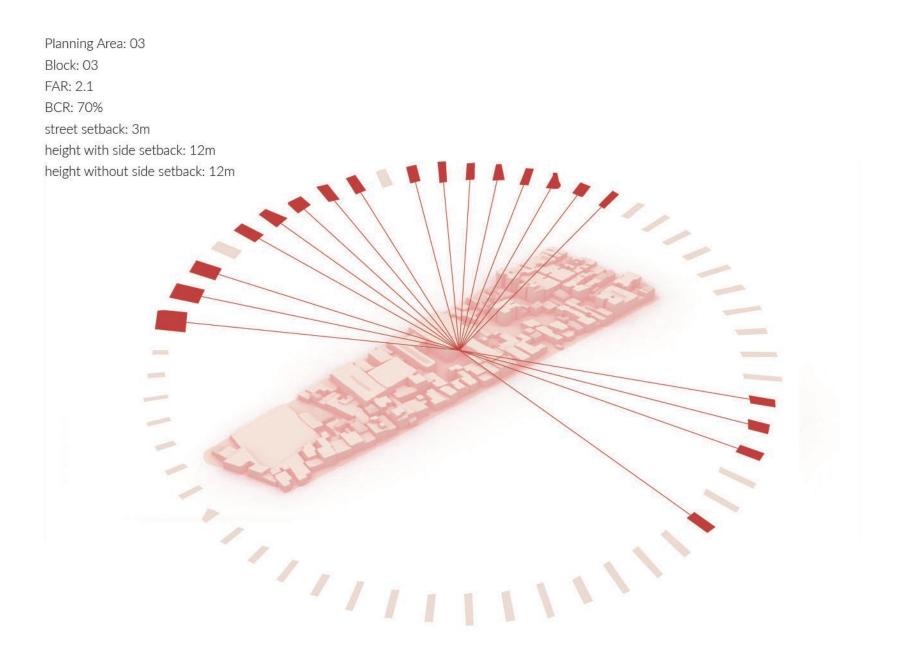
38 units approx. 26 sqm terrain: R\$ 93305 construction: R\$ 3701164

84 units approx. 33 sqm terrain: R\$ 218631 construction: R\$ 10332488

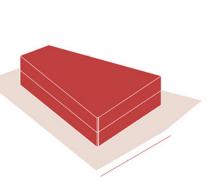




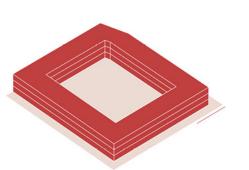
6 units approx. 45 sqm terrain: R\$ 36509 construction: R\$ 1002733



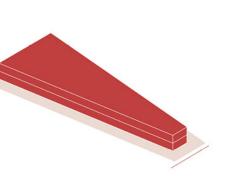


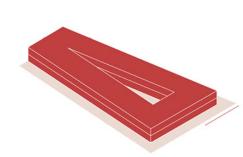




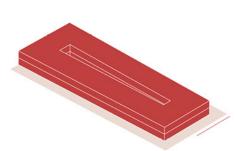








38 units approx. 37 sqm terrain: R\$ 171713 construction: R\$ 5154811



36 units approx. 41 sqm terrain: R\$ 173108 construction: R\$ 5397119



16 units approx. 57 sqm terrain: R\$ 109273 construction: R\$ 3251634 14 units approx. 47 sqm terrain: R\$ 82684 construction: R\$ 2424467

40 units

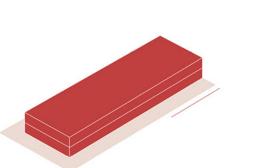
terrain:

R\$ 175283

construction:

R\$ 5372455

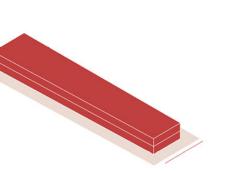
approx. 36 sqm

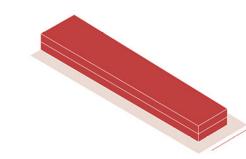


30 units approx. 46 sqm terrain: R\$ 149705 construction: R\$ 5075971

57 units approx. 47 sqm terrain: R\$ 256155 construction: R\$ 9898550

20 units approx. 44 sqm terrain: R\$ 112217 construction: R\$ 3276690

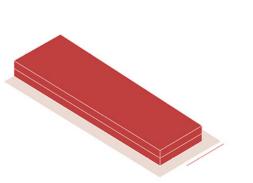




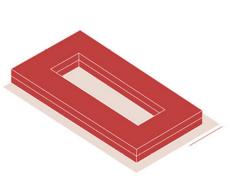
20 units approx. 45 sqm terrain: R\$ 113515 construction: R\$ 3346948

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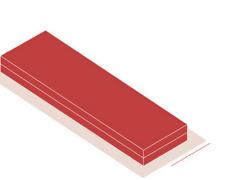


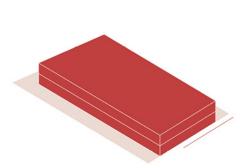


34 units approx. 47 sqm terrain: R\$ 217196 construction: R\$ 5949964



28 units approx. 45 sqm terrain: R\$ 140401 construction: R\$ 4662149



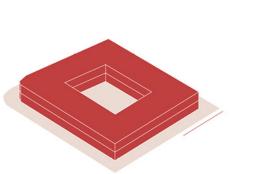


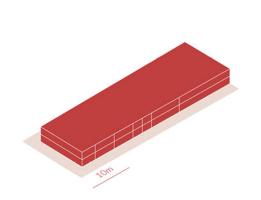
16 units approx. 46 sqm terrain: R\$ 84153 construction: R\$ 2617245

101

16 units approx. 48 sqm terrain: R\$ 102367 construction: R\$ 2814532

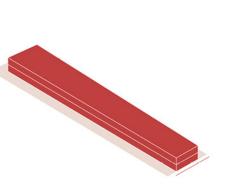
14 units approx. 43 sqm terrain: R\$ 78261 construction: R\$ 2245268 24 units approx. 46 sqm terrain: R\$ 152076 construction: R\$ 4032248



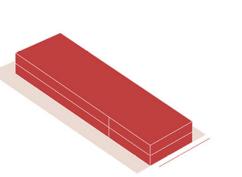


32 units approx. 44 sqm terrain: R\$ 151490 construction: R\$ 5166729

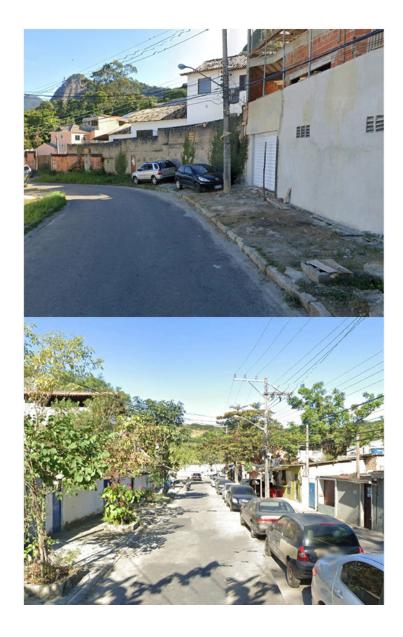
22 units approx. 47 sqm terrain: R\$ 133468 construction: R\$ 3795309

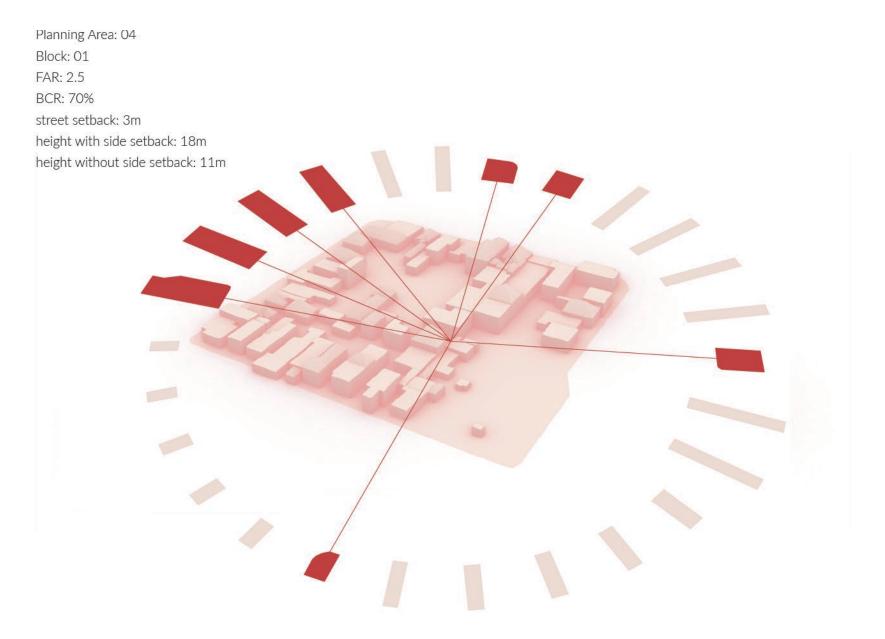


14 units approx. 47 sqm terrain: R\$ 84227 construction: R\$ 2439292

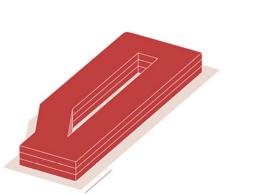








63 units approx. 49 sqm terrain: R\$ 551468 construction: R\$ 9243219



38 units approx. 48 sqm terrain: R\$ 466861 construction: R\$ 7701519

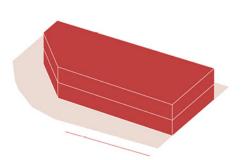


24 units approx. 43 sqm terrain: R\$ 282365 construction: R\$ 4309240



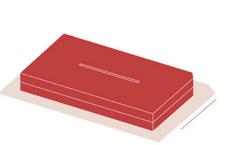


68 units approx. 28 sqm terrain: R\$ 483019 construction: R\$ 8075565

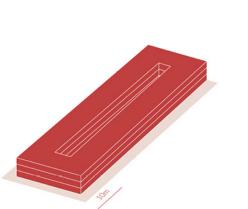


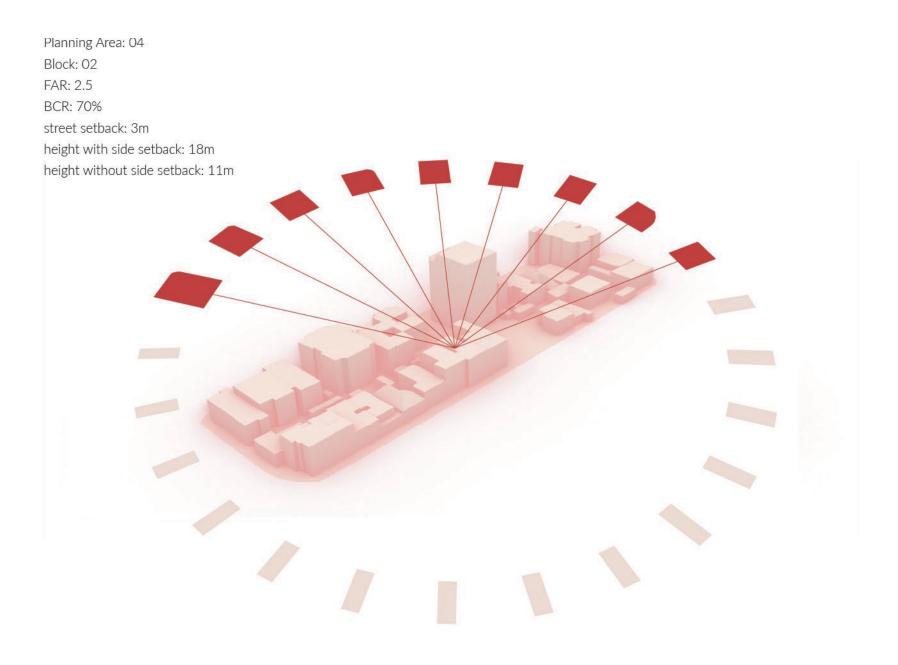
6 units approx. 48 sqm terrain: R\$ 94008 construction: R\$ 1072857

28 units approx. 44 sqm terrain: R\$ 271653 construction: R\$ 4526657 24 units approx. 39 sqm terrain: R\$ 241236 construction: R\$ 3461451



108 units approx. 28 sqm terrain: R\$ 524228 construction: R\$ 8917577





22 units approx. 34 sqm terrain: R\$ 198088 construction: R\$ 3075846

40 units

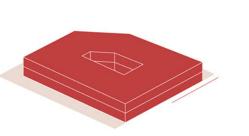
terrain:

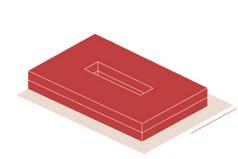
R\$ 356707

construction:

R\$ 5604441

approx. 38 sqm

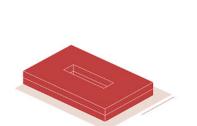


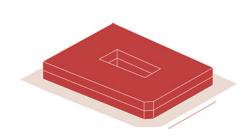


24 units approx. 35 sqm terrain: R\$ 221805 construction: R\$ 3478760

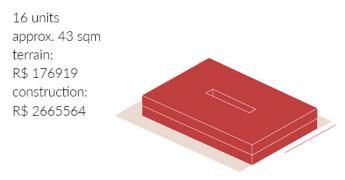
20 units approx. 46 sqm terrain: R\$ 214765 construction: R\$ 3375541

24 units approx. 36 sqm terrain: R\$ 222611 construction: R\$ 3493738

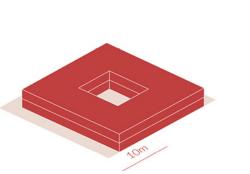




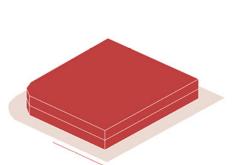
22 units approx. 38 sqm terrain: R\$ 237846 construction: R\$ 3422706

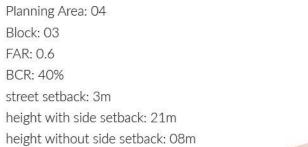


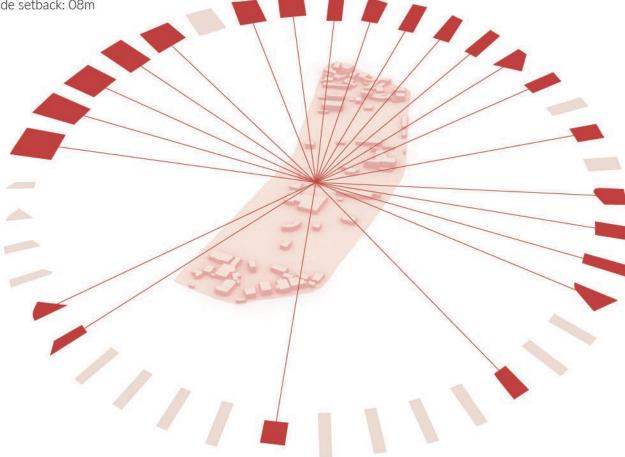
24 units approx. 37 sqm terrain: R\$ 231247 construction: R\$ 3841982



26 units approx. 35 sqm terrain: R\$ 229791 construction: R\$ 3350059

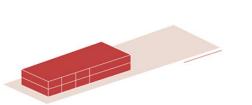


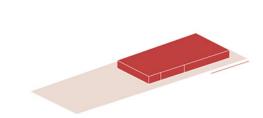






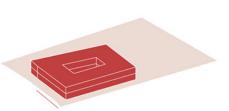
12 units approx. 43 sqm terrain: R\$ 375302 construction: R\$ 1898451

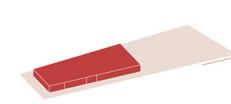




7 units approx. 45 sqm terrain: R\$ 411649 construction: R\$ 1174102

24 units approx. 37 sqm terrain: R\$ 867567 construction: R\$ 3316300





9 units approx. 44 sqm terrain: R\$ 515004 construction: R\$ 1445502



7 units approx. 47 sqm terrain: R\$ 412919 construction: R\$ 1225808

11 units approx. 44 sqm terrain: R\$ 595420 construction: R\$ 3093671

8 units

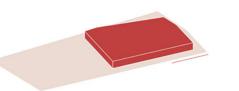
terrain:

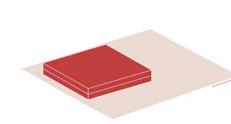
R\$ 488119

construction:

R\$ 1363844

approx. 46 sqm

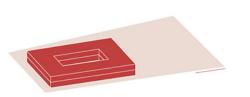


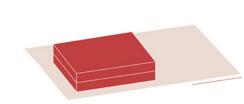


100

24 units approx. 55 sqm terrain: R\$ 1128576 construction: R\$ 5821647

24 units approx. 45 sqm terrain: R\$ 1054959 construction: R\$ 4020611



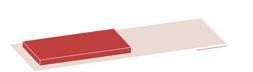


12 units approx. 43 sqm terrain: R\$ 403315 construction: R\$ 1898536



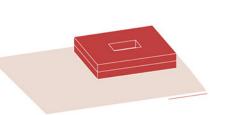
approx. 32 sqm R\$ 402117 construction: R\$ 1133339

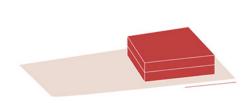
10 units approx. 50 sqm terrain: R\$ 605514 construction: R\$ 1704789



11 units approx. 43 sqm terrain: R\$ 589825 construction: R\$ 1747307

24 units approx. 30 sqm terrain: R\$ 706602 construction: R\$ 2656856



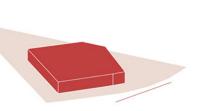


8 units approx. 45 sqm terrain: R\$ 290000 construction: R\$ 1340843

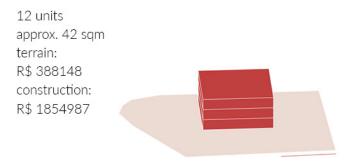
12 units approx. 78 sqm terrain: R\$ 1011194 construction: R\$ 4876890

6 units approx. 46 sqm terrain: R\$ 277618 construction: R\$ 1029384

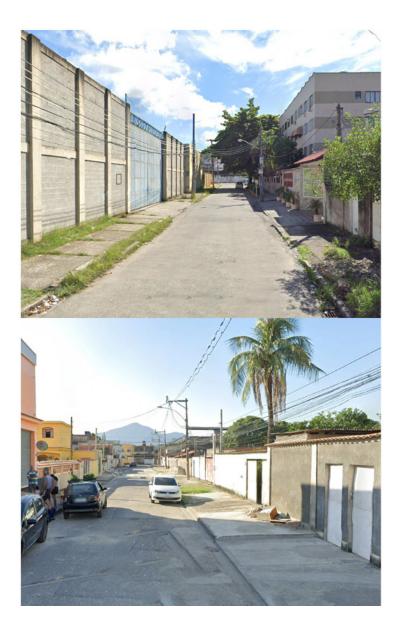
4 units approx. 40 sqm terrain: R\$ 213225 construction: R\$ 579296



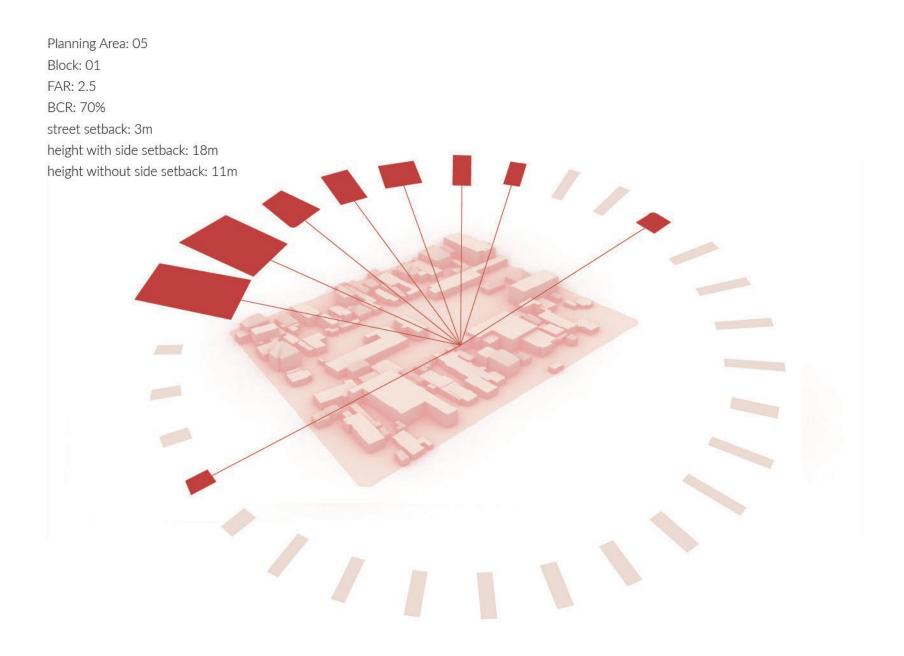
11 units approx. 39 sqm terrain: R\$ 485925 construction: R\$ 1416971



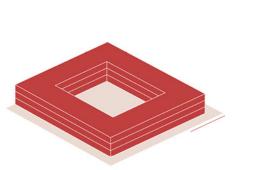


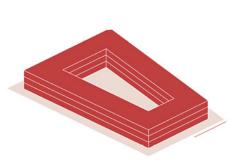


AP5



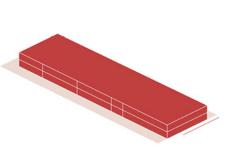
60 units approx. 36 sqm terrain: R\$ 198304 construction: R\$ 8095399





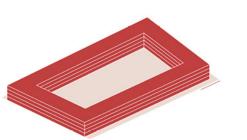
57 units approx. 42 sqm terrain: R\$ 233221 construction: R\$ 8798805

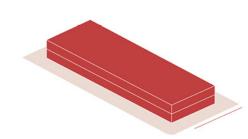
26 units approx. 47 sqm terrain: R\$ 132096 construction: R\$ 4482944



6 units approx. 41 sqm terrain: R\$ 41830 construction: R\$ 907448

184 units approx. 44 sqm terrain: R\$ 637266 construction: R\$ 29073971

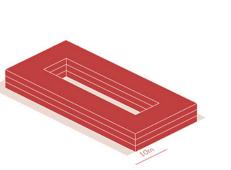




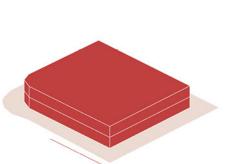
16 units approx. 43 sqm terrain: R\$ 89531 construction: R\$ 2520595

0.Serra |104

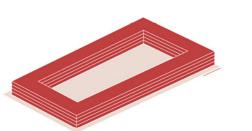


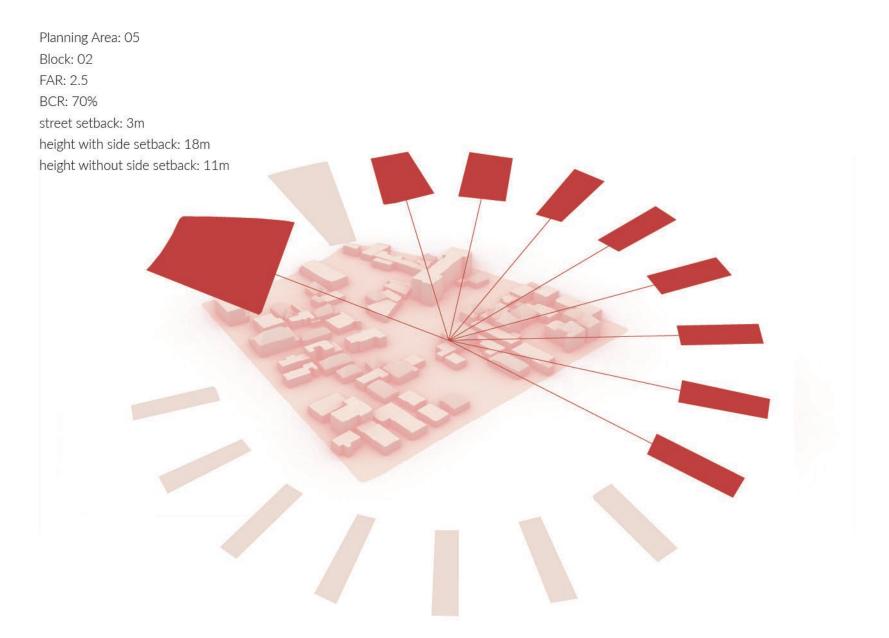


14 units approx. 46 sqm terrain: R\$ 78064 construction: R\$ 2360144



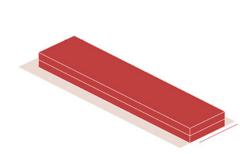
168 units approx. 50 sqm terrain: R\$ 674092 construction: R\$ 30600939





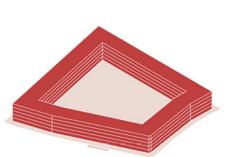
38 units approx. 42 sqm terrain: R\$ 166021 construction: R\$ 5917838





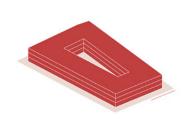
22 units approx. 45 sqm terrain: R\$ 114051 construction: R\$ 3629894

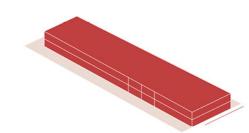
280 units approx. 40 sqm terrain: R\$ 811063 construction: R\$ 36310320



120 units approx. 40 sqm terrain: R\$ 380134 construction: R\$ 14954658

63 units approx. 47 sqm terrain: R\$ 232451 construction: R\$ 8852089





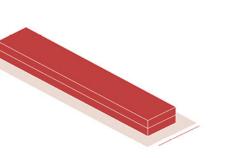
22 units approx. 45 sqm terrain: R\$ 113931 construction: R\$ 3634818

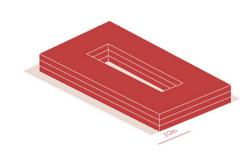
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22 units approx. 43 sqm terrain: R\$ 112886 construction: R\$ 3488264

16 units approx. 44 sqm terrain: R\$ 98327 construction: R\$ 2618066

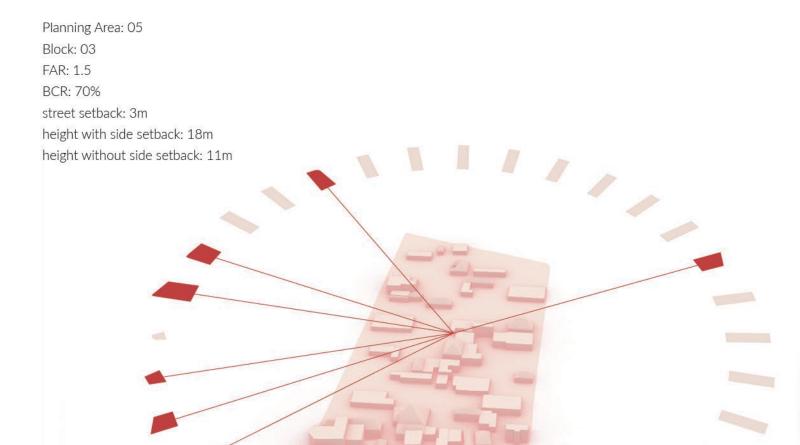




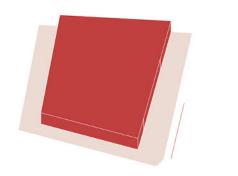
81 units approx. 37 sqm terrain: R\$ 222421 construction: R\$ 9017437

26 units approx. 46 sqm terrain: R\$ 132524 construction: R\$ 4370338

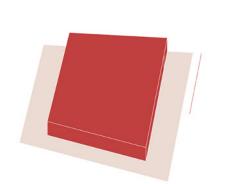




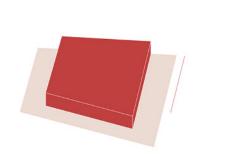
11 units approx. 43 sqm terrain: R\$ 277871 construction: R\$ 1754504

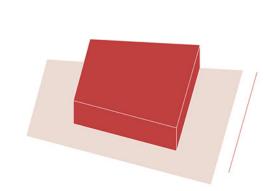


6 units approx. 46 sqm terrain: R\$ 175969 construction: R\$ 1013688



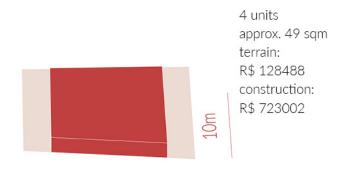
7 units approx. 26 sqm terrain: R\$ 125770 construction: R\$ 679537





2 units approx. 39 sqm terrain: R\$ 67457 construction: R\$ 285043

7 units approx. 43 sqm terrain: R\$ 209993 construction: R\$ 1115397



6 units	
approx. 42 sqm	
terrain:	
R\$ 191363	
construction:	
R\$ 925606	

## SEEDLING

This chapter will be an evaluation of the new CPBU delineation, using the catalog of parcels to calculate potential new units and evaluate how construction costs would compare to the costs of Minha Casa Minha Vida (MCMV) developments.

The methodology to calculate the potential units within each AP was the following: the number of vacant houses<sup>68</sup> and abandoned establishments<sup>69</sup> was collected. The vacant houses value was multiplied by the percent of buildings within the ap with less than three floors<sup>70</sup> and then reduced to 10%. This was made to account for vacant apartments in buildings and seasonal migration, since the data collected doesn't specifically attribute if the homes are abandoned.

The information for abandoned establishments was fully considered since the criteria for abandonment is detailed. These values were summed and multiplied by the percent of buildings within the delimited CPBU area versus the total of buildings within the AP. That total was then multiplied by the ratio of usable parcels and finally by the average amount of units per parcel by AP. The equation for the total of units per AP:  $((VC \times BB \times 10\%) + AE)^*BC^*UU^*AU$ VC= vacant houses

BB= percent of buildings below 10m versus the total amount of buildings within the AP

AE= abandoned establishments

BC= percent of buildings within CPBU areas versus the total amount of buildings within the AP

UU=ratio of usable parcels within each AP (considering the catalog of parcels)

AU=average amount of units that could be built in a parcel within each AP (considering the catalog of parcels)

<sup>70</sup> "Data Rio."

<sup>&</sup>lt;sup>68</sup> Souza.

<sup>&</sup>lt;sup>69</sup> Souza.

Using this calculation method, the number of units that could be produced by AP was the following:

AP1 - 5,496 units

AP2 - 66,279 units

AP3 - 41,899 units

AP4 – 7,204 units

AP5 – 12,353 units

That would total 133,231 units when the estimated housing deficit for Rio in 2019 was 220,000<sup>71</sup> units. From 2006 to 2016, 114,399<sup>72</sup> units were built in the state of Rio de Janeiro through the Minha Casa Minha Vida program.

One of the imprecisions in this calculation is the unavailability of information on the parcels; a larger sample could radically change the ratio of usable parcels, for instance; and the inference of the number of abandoned houses, since the information available is on unoccupied dwellings. The value for the average amount of units is based on the volumes within the catalog of parcels. It doesn't consider the particularities that would

<sup>71</sup> "Rio Recebe 75 Mil Unidades Habitacionais Na Última Década, Pouco Menos de Um Terço Do Déficit de 220 Mil - Jornal O Globo." be accounted for in a specific design, which would significantly alter the number of units.

There are also a lot of setbacks when it comes to the current state of the parcels and their commercial values; lots in AP2, for instance, tend to be larger, having a higher percentage of usable parcels and average units, but the availability of terrains in that area is scarce, given the price. AP1 and AP3 have many preserved buildings that are not accounted, and could possibly not be redeveloped.

Even with these imprecisions, the study shows infilling, or redeveloping, with the use of CPBU is a relevant tool to implement social housing.

To calculate the price of the units, I had help from professor Luiz Henrique Costa Oscar from the Civil Engineering School at UFRJ.

The base for the cost calculation was the CUB<sup>73</sup> for social housing, which is the average construction cost per sqm in each state of Brazil. To make results more precise, the value for the structure was taken out of that

<sup>&</sup>lt;sup>72</sup> Moreira, "'MINHA CASA, MINHA VIDA' EM NÚMEROS:"
<sup>73</sup> "CUB/M<sup>2</sup> | CBIC."

average, and a new average was made with eighteen of the volumetric models from the catalog of parcels. The new value also considers costs for the design and supplementary projects, for the developer, construction companies and costs for sale and managing operations. Those costs are not contemplated within the CUB, but since they are costs within MCMV developments, they were also averaged for eighteen volumes and added to the sqm cost. The final price per sqm was R\$:3,690. Without the value of the terrains, elevator, and other indirect costs, constructing within the urban areas of Rio de Janeiro is less expensive than most wage limits<sup>74</sup> of the Minha Casa Minha Vida program.

Units below 26sqm are feasible within the lowest limit financed by the program, which is approximately R\$:96,000<sup>75</sup>. The recommended for Social Housing with two bedrooms is between 40sqm and 50sqm. Using an insterscalar methodology proved to be very useful. The use of the three-dimensional volumes was essential to understand the limitations of the blocks

<sup>74</sup> "Programa Minha Casa, Minha Vida (MCMV)."
 <sup>75</sup> Redação, "Quais são as faixas do Minha Casa Minha Vida em 2020?"

within the current urban morphology and the building capacity, estimate the costs, and think about implementation strategies. Other observations are related to the results by AP and to how strict these volumes become with the number of legal constraints. More strategies have to be considered to render this operation viable for lower incomes, and to allow for urban diversity. These could include having private partnerships and social rents. Brazilian laws don't currently allow mixed-financed and mixed-use developments to occupy the same volume in social housing, which could be defied.

Mixing multiple incomes could not only be beneficial for the neighborhoods<sup>76</sup> and morphologically, but it could finance other building possibilities. One pair of elevators with eight stops, for instance, costs about R\$195,000<sup>77</sup>, a sum that could easily be embedded in commercial units or market price units. That same login could be applied for social rents versus market price rents being mixed as an enterprise.

<sup>&</sup>lt;sup>76</sup> Talen, *Design for Diversity*.
<sup>77</sup> "CUB/M<sup>2</sup> | CBIC."

It's also important to have in mind that many Brazilians are not even capable of affording the lowest categories of the MCMV program, so splitting costs with the private initiative could be a way to make those units viable.

I should also highlight that there are other resources from the Federal Government that are supposed to be directly used to subsidize social housing in each of the Brazilian states. In the case of Rio it is estimated that in 2019 only 10% of that money was employed for that purpose<sup>78</sup>.

Regardless of how revolutionary the legislation, nothing will happen if there is no political will to apply it and if the citizens don't have the knowledge about it. I hope that this work is a clear example of the potential CPBU has to leverage social housing in Rio de Janeiro.

<sup>&</sup>lt;sup>78</sup> Redator, "A política habitacional no Rio de Janeiro e o desmonte do Fundo Estadual de Habitação de Interesse Social."

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