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# Variations in Seismic Wavespeed and Vp/Vs Ratio in the North American Lithosphere

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### **Key Points:**

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- We introduce an inversion for  $V_P$ ,  $V_S$ , and  $V_P/V_S$  variations at lithospheric scales
- $V_P/V_S$  variations contain novel, geologically significant, information
- Strong  $V_P/V_S$  variations may indicate regions of partial melt within or at the base of the lithosphere

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#### Abstract

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Seismic wavespeed is controlled by a number of factors, including temperature and chemical composition, as well as the presence of volatiles and partial melt. Tomography provides a powerful constraint on wavespeed variations, but if only  $V_P$  or  $V_S$  variations are imaged it is challenging to separate the competing effects of these factors and make a full interpretation of seismic anomalies. In this study, we generate models of variations in the  $V_P/V_S$  ratio, which introduce new constraints on geologic structures, compositions. and processes. We invert P and S wave arrival times, as well as Rayleigh wave phase velocities, utilizing the sensitivity of Rayleigh waves to both  $V_P$  and  $V_S$  to form mutuallyconstrained but independent models of  $V_P$  and  $V_S$  structure at lithospheric depths below the continental United States and Southeastern Canada. From this we can examine variations in  $V_P/V_S$ , highlighting a distinct pattern of anomalies which are less readily observed in  $V_P$  or  $V_S$  alone. A clustering analysis is performed to relate 1D profiles of wavespeed as a function of depth to tectonic provinces. While the first-order structure of  $V_P$  and  $V_S$  appears to be dominated by the thermal contrast between the Eastern and Western US, the strongest control over  $V_P/V_S$  ratio perturbations within the mantle lithosphere appears to be the presence of melt. Certain higher- $V_P/V_S$  anomalies within the cratonic interior may reflect compositional anomalies and variations in Moho structure. This work provides a continental-scale framework for future quantitative analyses of thermal and compositional heterogeneity, and for targeted geologic interpretation.

#### Plain Language Summary

We present a model of 3D wavespeed variations down to 100 km depth below the continental United States and Southeastern Canada. Our inversion includes data from body waves and surface waves, and allows us to constrain both compressional  $(V_P)$  and shear  $(V_S)$  wavespeed variations. The quantity defined by their ratio,  $V_P/V_S$ , can provide additional information about the chemical, thermal, or other origins of seismic anomalies within the crust and upper mantle. Patterns of  $V_P$  and  $V_S$  variations are similar to one another and to previous models, showing fast wave propagation in the Eastern US and slow propagation in the West, likely controlled mainly by temperature. Meanwhile,  $V_P/V_S$  seems to be sensitive to other processes in the uppermost 60 km, as the east-west dichotomy is not the dominant visual feature. We suggest that partial melting within or at the base of the lithosphere is responsible for the strongest  $V_P/V_S$  ratio perturbations, and thus plays an important role in shaping the seismic signature and the dynamics of the lithosphere. Variations in mineral composition of mantle rocks may be responsible for other features in the stable eastern portion of the continent.

#### 1 Introduction

Seismic tomography below the continental United States shows that P and S wavespeed anomalies are highly correlated in the crust and upper mantle (Burdick et al., 2017; Schmandt et al., 2015; Schmandt & Lin, 2014; Shen & Ritzwoller, 2016; Porritt et al., 2014; Porter et al., 2016; Zhu et al., 2017), and both  $V_P$  and  $V_S$  have historically been assumed to depend on temperature (Goes & van der Lee, 2002; Priestley & Tilmann, 2009). In contrast, variations in the ratio of  $V_P$  to  $V_S$  ( $V_P/V_S$ ), related to Poisson's ratio, are sensitive to a range of thermal, chemical, and anelastic processes (Christensen & Mooney, 1995; Karato & Karki, 2001; Masters et al., 2000; Trampert et al., 2001). Systematic analysis of variations between  $V_P$ ,  $V_S$ , and  $V_P/V_S$  may therefore provide insight into the interplay between these processes and how they influence seismic anomalies.

 $V_P/V_S$  is less sensitive to temperature than isotropic  $V_P$  or  $V_S$  alone, but is strongly sensitive to the presence of fluids and melt (Chantel et al., 2016; Hammond & Humphreys, 2000). This is in part due to the melt itself, as porous media have reduced shear wavespeeds,

and in fact the geometry and connectivity of individual pockets is important (Hammond & Humphreys, 2000; Takei, 2002). In addition, anelasticity within near-solidus rock strongly increases  $V_P/V_S$  (Faul & Jackson, 2005; Takei, 2017). At lithospheric scales, the presence and scale of melt can provide insight into tectonic processes and mantle flow by indicating asthenospheric upwelling, fluid release, and perhaps anatectic melting (Patiño Douce et al., 1990). Furthermore, melt is thought to play an important role in defining the base of the lithosphere, especially in active tectonic settings (Rychert & Shearer, 2009; Tharimena et al., 2017). Therefore, identifying and mapping the distribution of melt facilitates understanding of the factors that govern lithospheric structure, plate tectonic evolution, and lithosphere-asthenosphere interactions, and  $V_P/V_S$  may be a crucial tool for doing so.

Mineral-scale processes, for instance the garnet-spinel phase transition or the formation of pyroxene, also uniquely affect  $V_P/V_S$  (Afonso et al., 2010; Baptiste & Tommasi, 2014; Lee, 2003), so this ratio may be used to infer the presence of specific mineral phases. Phase stability is dependent on pressure and temperature, but may also evince chemical alteration and thus yield insight into the geologic history of the mantle. Apart from the aforementioned anelastic effects of partial melt, as melt is removed from the host rock components such as iron, aluminum, and volatiles are preferentially extracted (Jordan, 1988; Schutt & Lesher, 2006, 2010, and references therein), and this signature remains after the melt-generating processes have dissipated. In the olivine and pyroxene mineral systems, which dominate ultramafic mantle rocks, the loss of iron endmembers (or relative enrichment of magnesium) is often used as a proxy for tracking the thermochemical evolution of the lithospheric mantle (e.g. Perry et al., 2003). Other relevant chemical changes that affect  $V_P, V_S$ , and  $V_P/V_S$  include metasomatic enrichment of silica as a result of interaction with fluids, which is often manifested as an increase in orthopyroxene (Boyd, 1989; Kelemen et al., 1998; Wagner et al., 2008). Additionally, the formation of hydrous minerals can lead to velocity reduction and rheologic weakening, and may indicate subduction or other fluid-generating or transporting processes (Humphreys et al., 2003).

As these thermal, melt-related, and geochemical processes may coexist and interact with one another, simultaneous constraints from multiple seismic and geophysical observables help reduce the nonuniqueness of interpretation. Kennett et al. (1998) recognized this in an early global inversion for both shear wavespeed and bulk sound speed. In this study we focus on  $V_P/V_S$  as a tool to distinguish the thermal and geochemical fingerprints of various tectonic and geodynamic processes, in order to better understand the assembly and evolution of continents.

Variations in  $V_P/V_S$  have been assessed from seismic observations as well as thermodynamic modeling. Studies have estimated  $V_P/V_S$  from H- $\kappa$  stacking for regional (Purevsuren, 2014; Zandt et al., 1995; Zhu & Kanamori, 2000) and continental (Chevrot & van der Hilst, 2000; Ma & Lowry, 2017; Ramesh et al., 2002) regions of study, but this method has high uncertainty in  $V_P/V_S$ . Furthermore, H- $\kappa$  stacking cannot account for complicated or dipping Moho structures (Zhang et al., 2016), and it is not designed for investigating the lithospheric mantle.

Quantifying 3D variations of  $V_P/V_S$  throughout the continental lithosphere is important for interpreting  $V_P$  and/or  $V_S$  maps to answer questions related to larger-scale features in the Earth, but this property has not been evaluated extensively at whole-lithospheric scales. Fang et al. (2016, 2019) develop tomographic models of  $V_P/V_S$  at a smaller scale within Southern California, but they rely on local earthquake data and their technique cannot yet be transferred to continental scales due to computational restrictions. Studies that do report  $V_P/V_S$  over larger areas (Hejrani et al., 2015; Schmandt & Humphreys, 2010; Tesoniero et al., 2015; Wei et al., 2018) derive these estimates from separate models of  $V_P$  and  $V_S$ , which may introduce bias from different ray coverage, smoothing, and other regularization strategies that have been applied (Kennett et al., 1998).

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In this paper we introduce a model of variations in  $V_P$  and  $V_S$  beneath the continental United States using a ray-based joint inversion of body wave and surface wave travel-time data. Incorporating data from multiple seismic phases improves resolution because they are sensitive to the Earth's structure in complementary ways (Golos et al., 2018; Obrebski et al., 2011; Rawlinson & Fishwick, 2012). We estimate variations in  $V_P/V_S$ from these two quantities; as  $V_P$  and  $V_S$  are independent parameters of the same model, we avoid the aforementioned problems associated with estimating  $V_P/V_S$  from separate inversions. As we will discuss, weighing different data sets present challenges, but obtaining two independent and mutually constrained parameters enables a more robust interpretation than can be done from just  $V_P$  or  $V_S$  alone. Adjoint tomography is another way to incorporate information from multiple frequencies to constrain multiple geophysical parameters (Fichtner et al., 2009; Zhu et al., 2017), but the computational burden of such methods poses a disadvantage. We refer to Golos et al. (2018) for a justification of the use of a linearized travel-time framework.

We perform a global inversion, though interpretation is restricted to the continental United States where data coverage is relatively dense and uniform. The resulting model is largely consistent with prior models of  $V_P$  and  $V_S$ , but variations in  $V_P/V_S$  provide novel information that sheds light on several geologic processes. Furthermore, we perform a clustering exercise to demonstrate that all three parameters show spatial variations that are consistent with known tectonic provinces, and that their geologic history governs seismic properties even at depth within the lithosphere. The contrast between the eastern and western portions of the continent, which is largely a thermal difference, is sufficient to explain the first-order structures, but in other regions different processes must be invoked. In particular, we highlight several regions where partial melt could be responsible for strong anomalies in  $V_P/V_S$ . This method will aid in the quantification of large-scale thermal and chemical heterogeneity within the lithosphere, but such analysis is left to future papers.

### 2 Methods

The inversion method followed here is an extension of that presented by Golos et al. (2018), who used a linearized explicit joint inversion of surface and body waves to examine deviations in shear wave slowness. Here the inversion is reformulated to include P body wave data and so account for variations in compressional and shear slowness ( $\delta S_P$  and  $\delta S_S$ , respectively). In this study we use ray theory to describe traveltime data and sensitivity kernels, but finite-frequency tomography could also be used.

For body waves, S and P slowness variations are parameterized following ray theory (Aki & Lee, 1976; Spakman & Nolet, 1988), and we compute travel-time deviations from the AK135 reference model (Kennett et al., 1995). For an observation of a P wave phase (e.g., P, Pn, pP), we have

$$\delta t^P = \sum_{k=1}^K \delta S_{P,k} \Delta l_k, \tag{1}$$

where  $\delta t^P$  is the travel-time residual,  $\delta S_{P,k}$  refers to compressional wave slowness (that is,  $\frac{1}{V_P}$ ) perturbations, and  $\Delta l_k$  signifies summation along the raypath. In matrix form, we have

$$\delta t^P = G^P_{V_P} \delta S_P. \tag{2}$$

Similarly, for S waves:

$$\delta t^{S} = G^{S}_{V_{S}} \delta S_{S}. \tag{3}$$

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For Rayleigh waves, we recall the direct-dispersion linear formulation from Fang et al. (2015); Golos et al. (2018) (adapted to the notation used here):

$$\delta t^{SW}(\omega) = \sum_{k=1}^{K} \frac{\nu_k}{C_k^2(\omega)} \sum_{j=1}^{J} [R_\rho(z_j) \frac{\partial C_k(\omega)}{\partial \rho_k(z_j)} + R_\alpha(z_j) \frac{\partial C_k(\omega)}{\partial V_{P,k}(z_j)} + \frac{\partial C_k(\omega)}{\partial V_{S,k}(z_j)}] V_{S,k}(z_j)^2 \delta S_{S,k}(z_j).$$

$$\tag{4}$$

The superscript SW indicates surface wave measurements;  $\nu_k$  is the bilinear interpolation coefficient for entry k;  $C_k(\omega)$  is the phase velocity at frequency  $\omega$ ;  $R_{\rho}$  accounts for scaling between density,  $\rho$ , and  $V_S$ ;  $R_{\alpha}$  accounts for scaling between  $V_P$  and  $V_S$ . We retain  $\delta S_P$  as an independent parameter and include the scaling for density in this  $S_P$  term. Now we have:

$$\delta t^{SW}(\omega) = \sum_{k=1}^{K} \frac{\nu_k}{C_k^2(\omega)} \left[ \sum_{j=1}^{J} \left[ R_\rho(z_j) \frac{\partial C_k(\omega)}{\partial \rho_k(z_j)} + \frac{\partial C_k(\omega)}{\partial V_{P,k}(z_j)} \right] V_{P,k}(z_j)^2 \delta S_{P,k}(z_j) + \sum_{j=1}^{J} \frac{\partial C_k(\omega)}{\partial V_{S,k}(z_j)} V_{S,k}(z_j)^2 \delta S_{S,k}(z_j) \right]$$
(5)

In matrix form:

$$\delta t^{SW} = G_P^{SW} \delta S_P + G_S^{SW} \delta S_S. \tag{6}$$

P, S, and surface wave data are inverted simultaneously for  $\delta S_P$  and  $\delta S_S$  using a LSQR iterative solver.

We minimize the cost function

$$\epsilon = ||Gm - d||^2 + c_1 ||Lm||^2 + c_2 ||m||^2.$$
(7)

G is a block matrix containing the P, S, and Rayleigh wave sensitivity expressions, m is the model containing  $\delta S_S$  and  $\delta S_P$ , and d contains all traveltimes. The matrix L is a first-derivative smoothing operator. The constants  $c_1$  (which controls smoothing) and  $c_2$  (which controls damping on the L2-norm) are chosen based on the L-curve method, in which the fit of data and effects of regularization are balanced. As in Li et al. (2008); Burdick et al. (2017); Golos et al. (2018), we perform the inversion on a grid with adaptive spacing, where smaller cells in regions of high ray density allow resolution of smaller-scale features.

From the model of  $\delta S_P$  and  $\delta S_S$ , we use a chain rule expansion to estimate variations in  $V_P/V_S$ , assuming the deviations are small (of the order of a few percent):

$$\delta(V_P/V_S) = -\frac{V_P^2}{V_S}\delta S_P + V_P\delta S_S = \frac{1}{V_S}\delta V_P - \frac{V_P}{V_S}\delta V_S.$$
(8)

The inversion problem may also be parameterized in terms of  $V_P$  and  $V_P/V_S$ . The latter approach has been used in local and regional studies (Eberhart-Phillips & Fry, 2017; Fang et al., 2019; Rocker et al., 2006; Thurber et al., 1995) but has not previously been used on continental scales. In the Supporting information we describe this alternate formulation and compare its results and performance on synthetic tests to the inversion described in detail above. For the purposes of this paper we are interested in image reconstruction, and the  $V_P + V_S$  formulation resolves features more robustly.

#### 3 Data

#### 3.1 Body Wave Data

The majority of body wave data used are from the USArray Transportable Array, but we also leverage several regional networks. The data are clustered so that rays that are over-represented in the data are averaged and do not bias the inversion.

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For P waves, we use >4 million arrivals from USArray and the EHB-ISC bulletin (Engdahl et al., 1998), which are clustered into 1.6 million summary rays. We also incorporate about 12,000 picks from the dataset of Boyce et al. (2016), who combine several regional networks in Southeastern Canada, and about 670 P arrivals from the Archean-Proterozoic Transect (APT) experiment (Silver et al., 1993). These regional picks were originally made as relative travel-times and the Absolute Arrival-Time Recovery Method (AARM) was used to convert to absolute travel time residuals with respect to AK135 (Boyce et al., 2017). Incorporation of data from Southern Canada improves resolution near the Great Lakes and reduces artifacts at the northern edge of the USArray network. Finally, we include 145,000 clustered Pn arrivals from the EHB-ISC bulletin, which provide additional sensitivity at the top of the lithospheric mantle. Figure 1a-b show source and receiver distributions for P and Pn data, respectively.

**Figure 1.** Source-receiver distribution for the body wave data set: Locations of seismograph stations (blue) and epicenters of earthquakes (red) from the USArray and EHB data used in our inversions. (a) Direct P arrivals; (b) Pn phase arrivals; (c) Direct S arrivals.

The S wave dataset includes about 600,000 direct S arrival times from the TA network, the EHB-ISC Bulletin, and the Canadian networks; after clustering, there are about 370,000 unique summary rays. The station and event locations for S waves are depicted in Figure 1c.

All body wave arrival times are corrected for the travel-time anomalies due to Earth ellipticity and station elevation, as in Burdick et al. (2008). In addition, we provide a correction to account for the difference in Moho depth between the AK135 model and a more accurate Moho depth model (Schmandt et al. (2015) within the U.S., Szwillus et al. (2019) outside of the U.S.).

We do not correct for radial or azimuthal anisotropy, nor for attenuation. The effects of these were shown to be small in a linear, ray theoretical inversion scheme by Golos et al. (2018). We refer readers to the discussion in that study.

### 3.2 Surface Wave Data

We calculate travel-time anomalies from phase velocities of Rayleigh waves from several sources. We utilize 160,000 measurements from the USANT dataset of Ekström (2017), which are taken from ambient noise cross-correlations between USArray stations at periods of 5-40 s. The stations used in these measurements are shown in Figure 2a. At longer periods, we use 140,000 data from Schaeffer and Lebedev (2014), which are derived from earthquake recordings, and span periods of 45 - 290 s (Figure 2b).

Additionally, to improve resolution below the Great Lakes and in Southern Canada we use about 22,000 phase velocities from periods of 10-290 s from Petrescu et al. (2017), and about 76,000 phase velocities at 8-210 s from the dataset of Foster et al. (2020). Both studies used the two-station method to obtain path-averaged phase velocity measurements between stations, the locations of which are shown in Figure 2c.

Figure 2. Surface wave data used in  $V_P + V_S$  inversion. (a) Stations used in cross-correlation from USANT15 database (Ekström, 2017). (b) Event (red) and receiver (blue) locations used from SL2013NA database (Schaeffer & Lebedev, 2014). (c) Northern US/Southern Canada stations used by Petrescu et al. (2017) and Foster et al. (2020).

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Figure 3. Depth-varying sensitivity of Rayleigh waves to  $V_P$  and  $V_S$ . (a) Periods of 10 - 100s. Sensitivity was determined using *surfdisp96* (Herrmann, 2013). (b) Periods > 100s. Sensitivity was calculated using the *Mineos* package.

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For phase velocity measurements at periods <100 s, we use *surfdisp96* (Herrmann, 2013) to calculate the 1D sensitivity-depth relationship. We use a modified AK135 reference model, which has vertical cell spacing of  $\sim 11$  km and monotonically-increasing wavespeeds with depth in the crust. For periods >100 s, we use the *Mineos* code of Masters et al. (https://geodynamics.org/cig/software/mineos/) to generate sensitivity kernels. Figure 3 shows the depth-sensitivity relationship for  $V_P$  and  $V_S$  for a selection of periods represented in our data. We note that  $V_P$  sensitivity begins to diminish below about 60 km, even for the longest-period measurements in our dataset. The Pn data somewhat combat this effect.

#### 4 Results

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#### 4.1 Uncertainty and Resolution

### 4.1.1 Model Uncertainty and Data Fit

Quantifying error in travel-time tomography models is difficult as the true model is not known. What can be examined is relative uncertainty introduced by the inversion as well as the variance reduction of the data misfit. As other published models are derived using different inversion schemes, and do not usually report model uncertainty, there is no metric we can easily rely on to evince improvements offered by our method. Indeed, no other model known to the authors estimates 3D variations of  $V_P/V_S$  for the entire United States at the resolution offered by the TA.

A bootstrapping analysis was performed to estimate uncertainty in the  $\delta V_P$  and  $\delta V_S$  models of MITPS\_20. 50 inversions were performed using 90% of the full dataset; furthermore, the errors in data fit were randomly resampled in order to add noise to the bootstrapping inversions. The standard deviation of the resulting models may be used as a proxy for uncertainty from the inversion. Mean uncertainty is around 0.1% for  $\delta V_P$  (rarely exceeding 0.4%), and 0.2% for  $\delta V_S$  (rarely exceeding 0.5%). As these anomalies are roughly a tenth of the magnitude of anomalies in MITPS\_20, we conclude that stability and precision of our inversion are satisfactory. Maps displaying the geographic distribution of uncertainty may be found in the Supporting Information.

-	Variance Reduction	$\operatorname{std}(\boldsymbol{\delta t})$	$\operatorname{std}(\boldsymbol{\delta t} - \mathbf{Gm})$
Rayleigh Waves	93.7%	9.6 s	2.4 s
S waves	54.3%	$5.0 \mathrm{~s}$	$3.4 \mathrm{~s}$
P waves	27.2%	$2.1 \mathrm{~s}$	1.8 s
All data	81.0%	$5.4 \mathrm{~s}$	2.3 s

**Table 1.** Variance reduction for different data sets in model MITPS\_20. Left column: variance reduction. Center column: standard deviation of all travel-time perturbations (the input data for inversion). Right column: standard deviation of data residuals, i.e. observed - modeled traveltime perturbations.

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Figure 4. Checkerboard test results for  $V_P+V_S$  inversion. Top: 5-by-5° synthetic model of  $V_P$ ,  $V_S$ , and  $V_P/V_S$  variations. Bottom: inversion results for  $V_P$ ,  $V_S$ , and  $V_P/V_S$  models at depths of 20, 60, and 100 km.

Figure 5. Checkerboard test results for  $V_P+V_S$  inversion. Top: 1.5-by-1.5° synthetic model of  $V_P$ ,  $V_S$ , and  $V_P/V_S$  variations. Bottom: inversion results for  $V_P$ ,  $V_S$ , and  $V_P/V_S$  models at depths of 20, 60, and 100 km.

To quantify how well we fit different data, we calculate the variance reduction (VR) for our overall dataset, as well as for surface waves, P waves, and S waves. Table 1 reports these values, as well as standard deviations of the input data and data residuals  $(\delta t - G\hat{m})$ . The values of VR for surface wave and S wave data are comparable to those obtained in the  $V_S$ -only model of Golos et al. (2018), so including  $V_P$  as a parameter does not appreciably change the overall fit of those data. VR is lower for the P-wave data (P and Pn phases), but this is because the magnitudes of travel-time anomalies are low, so the data residuals, although also small, do not decrease dramatically. Tesoniero et al. (2015) also find that VR of P wave arrival data is about half of that of S waves. A test which included a correction for radial anisotropy within the S-wave data did not appreciably change any of the variance reductions.

#### 4.1.2 Checkerboard Tests

We perform checkerboard resolution tests for 5°-x-5° and 1.5°-x-1.5° and checkerboard patterns. The synthetic models and corresponding inversion results are shown in Figures 4 and Figure 5. The diagnostic value of such a test is limited (van der Hilst et al., 1993; Lévěque et al., 1993), but it does provide a qualitative sense of horizontal resolution, particularly where the shapes and amplitudes of input anomalies are not recovered accurately.

 $\delta V_S$  is recovered adequately and uniformly across the US and Southeastern Canada for both the 5° and 1.5° tests. Amplitudes diminish below 60 km depth, but lateral resolution of features remains good.  $\delta V_P$  is not recovered as consistently at 60 km; because Pn waves tend to come from sources in the southwest, the model below the Western US preserves amplitudes better than the Eastern part of the country. Despite this discrepancy, the geometry and amplitudes of the  $V_P/V_S$  anomalies are recovered adequately at 20 and 60 km, and the geometry is still preserved at 100 km depth.

The major challenge with the  $V_P+V_S$  inversion is that the maximum sensitivity of Rayleigh waves to  $V_P$  occurs at shallower depths compared to  $V_S$  for a given frequency (Figure 3). This discrepancy is exacerbated at longer periods. For the modes and frequencies used in our surface wave data, amplitudes of features in the  $\delta V_P$  model cannot be recovered adequately below about 100 km depth. Therefore, while we may still interpret features in the  $\delta V_S$  portion of the model below 100 km, we do not examine  $\delta V_P$ or  $\delta (V_P/V_S)$  variations at these depths.

Recovery improves at depths where body wave raypaths cross one another, about 300 km, in agreement with Golos et al. (2018). This is, however, deeper than most of the continental lithosphere we are interested in imaging.

#### 4.2 Model description

Figure 6. Horizontal slices through  $\delta V_P$  model. Geologic regions outlined in black are: Superior Province (SP), Appalachian Mountains (A), Mississippi Embayment (ME), Colorado Plateau (CP), Wyoming Province (WY), Basin and Range (BNR), Columbia Plateau (Col) from the USGS Physiographic Provinces database (https://water.usgs.gov/GIS/metadata/usgswrd/XML/physio.xml). Red curve shows the Grenville Front (GF) (Whitmeyer & Karlstrom, 2007). Figure 7. Horizontal slices through  $\delta V_S$  model. Regions outlined are the same as Figure 6.

Figure 8. Horizontal slices through  $\delta(V_P/V_S)$  model. Regions outlined are the same as Figure 6.

To a first order, the  $V_P$  and  $V_S$  anomalies observed in our model agree with other published models, and they resemble one another. Figures 6, 7, and 8 depict horizontal slices through the preferred model, MITPS\_20, for  $\delta V_P$ ,  $\delta V_S$ , and  $\delta (V_P/V_S)$ , respectively. Depths range from 20-200 km. Southeastern Canada broadly resembles the Northeastern and North-Central US in structure, with high  $\delta V_P$  and  $\delta V_S$ , and low  $\delta (V_P/V_S)$ . We do not include stations from Western Canada, therefore that portion of our model takes on the background value in each slice.

The Western US is characterized by low wavespeeds in the upper  $\sim 200$  km, whereas high wavespeeds dominate the the Central and Eastern US. Thermal effects are likely the primary control on this pattern, as the seismic anomalies show agreement with features in surface heat flow data (Blackwell et al., 2011), as well as temperature estimates of the upper mantle inferred from thermochemical modeling (Kaban et al., 2014; Khan et al., 2011; Perry et al., 2003) and from Pn tomography (Schutt et al., 2018).

In the Eastern US,  $\delta(V_P/V_S)$  is mostly negative at lithospheric depths but is punctuated by higher values, particularly within the 40 and 60 km depth slices. These higher  $\delta(V_P/V_S)$  regions are correlated with moderate-amplitude negative  $V_P$  and  $V_S$  anomalies, and generally trend northeast-to-southwest, consistent with the strike of the major Proterozoic tectonic boundaries (Whitmever & Karlstrom, 2007). Synthetic inversions indicate that these features are well resolved (see Section 4.1.2). This suggests a compositional origin for these anomalies, as Proterozoic features are unlikely to impart a thermal anomaly. Several of these features correspond to localities where thick or anomalous crust has been identified: these include the southern Wyoming Province, where crustal thickness may exceed 50 km depth (Shen & Ritzwoller, 2016); the Midcontinent Rift, which has a deep Moho due to sub-crustal underplating (Stein et al., 2018; Zhang et al., 2016); and the Illinois Basin/Reelfoot Rift area (Southern Illinois and Missouri), which exhibits a sharp increase in depth to Moho (Yang et al., 2017). In these regions of underplating or deep Moho, at 40-60 km depth our seismic data sense crustal or basaltic rocks, which have lower wavespeed and higher  $V_P/V_S$  than typical mantle compositions (e.g. Shillington et al., 2013).

The Gulf Coast and Mississippi Embayment region have positive  $\delta(V_P/V_S)$ , and negative  $\delta V_P$  and  $\delta V_S$  within the crust. No strong anomalies are observed from 40-60 km, but below that  $V_P/V_S$  is again elevated and wavespeed is low. The low wavespeeds at depth >60 km may occur because our data are sensitive to the asthenosphere below a thin lithosphere: the signature resembles that of the Western US, and furthermore Krauss and Menke (2020) suggest that there may be asthenospheric upwelling below the Gulf Coast. As in the Western US, additional mechanisms beyond a thermal contrast between the lithosphere and asthenosphere are needed to explain the  $V_P/V_S$  anomaly. The crustal anomaly may be due partially to sedimentation, but more work must be done to determine the origin of this anomaly.

Some differences are identified between our model and other studies. Firstly, the incorporation of data from surface waves allows us to image features at shallower depths than what is typically resolvable from body-wave tomography. Anomalies in the crust are distinct from the lithospheric mantle (for instance,  $\delta V_S$  decreases and  $\delta (V_P/V_S)$  increases substantially from 20 km to 80 km below central Colorado). Furthermore, the

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amplitudes of variation in the upper 100 km are greater than those derived from body
 waves only (e.g. Bedle & van der Lee, 2009; Burdick et al., 2017; Schmandt & Lin, 2014).

4.3 Qualitative comparison of  $V_P/V_S$  with other published models

Figure 9. Comparison of our  $\delta V_P/V_S$  model to Ma and Lowry (2017). Slices of  $\delta(V_P/V_S)$  from MITPS\_20 at crustal and near-crustal depths, and map of bulk crustal  $V_P/V_S$  reported by Ma and Lowry (2017).

We compare the 20-km depth slice of our 3D  $\delta(V_P/V_S)$  variations to the bulk crustal  $V_P/V_S$  values found via H- $\kappa$  stacking by Ma and Lowry (2017) in Figure 9. Although (Ma & Lowry, 2017) report uncertainty of 0.07 in  $V_P/V_S$ , which is larger than the magnitude of anomalies in MITPS\_20, both models image similar structures at crustal depths. Low  $\delta(V_P/V_S)$  is identified in the Basin and Range Province, most prominently in southern Arizona. California shows strong small-scale variations, with high values below the Sierra Nevada and low values in the adjacent Central Valley. The Snake River Plain and Yellowstone have higher  $V_P/V_S$  than adjacent regions. Some features are misaligned slightly between the two models (e.g. the strong negative anomaly of the Idaho Batholith in (Ma & Lowry, 2017) is further east in MITPS\_20), but this may be attributed to the effects of smoothing and/or uneven ray coverage near the edge of the USArray.

Figure 10. Comparison of our  $\delta(V_P/V_S)$  model to Tesoniero et al. (2015).  $\delta(V_P/V_S)$  variations from MITPS\_20 and from SPani Tesoniero et al. (2015) at 100 km depth.

For the mantle structure, we compare our model to SPani (Tesoniero et al., 2015), which also describes  $V_P$  and  $V_S$  variations obtained from a linear inversion of body and surface wave data. Unlike the inversion described here, Tesoniero et al. (2015) solve for a radially anisotropic model which is then averaged to obtain isotropic  $\delta V_P$  and  $\delta V_S$ . Furthermore, SPani imposes additional constraints on  $V_P/V_S$  via regularization. SPani is designed to resolve global-scale structures, so its parameterization is coarser: the authors perform the inversion on a regularly-spaced grid of 5°-x-5° so features will necessarily appear smoothed compared to MITPS\_20.

 $\delta(V_P/V_S)$  variations from MITPS\_20 and SPani at 100 km depth are displayed in Figure 10. The values of SPani are shown as percent variations from the mean  $V_P/V_S$ in that slice, so the two models present comparable metrics. As SPani has coarser resolution in the United States, we cannot compare smaller-scale features. Nonetheless, the first-order patterns are similar, with high  $\delta(V_P/V_S)$  in the Western US and low  $V_P/V_S$ extending from the Great Plains over the Midwest. MITPS\_20 has mostly negative  $\delta(V_P/V_S)$ in the Eastern US with occasionally positive anomalies, particularly in New England, whereas SPani has a more pronounced positive  $V_P/V_S$  excursion over the Eastern states. Also, the magnitude of variation in SPani is stronger and on average higher than in MITPS\_20. Some of this discrepancy may reflect the choice of regularization, but large portions of the Western US in SPani have  $\delta(V_P/V_S) > 3\%$ , which is difficult to explain without invoking widespread partial melt. It is surprising that the variations are so large in SPani considering the additional inversion constraints designed to keep  $V_P/V_S$  variations near a value derived from mineral physics, and therefore should penalize strong heterogeneity.

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#### 5.1 Cluster Analysis

As a preliminary analysis, we connect 3D variations in our model to features that have been determined by surface and crustal geologic and geophysical studies. We have already noted regions where features in  $\delta V_P$  and  $\delta (V_P/V_S)$  correspond to well-known geologic provinces, such as the Rio Grande Rift, the Basin and Range, and the Mississippi Embayment. Here, we investigate whether such provinces extend throughout the lithosphere, and/or where deeper structures may play a role in determining seismic characteristics (and therefore guide tectonic/geodynamic response to forcings or yield insight about lithospheric evolution).

Rather than interpret our model within predefined geological provinces, as Simons et al. (1999) did for Australia, we follow Eymold and Jordan (2019) and determine provinces with similar depth structure according to MITPS\_20. We derive 1D profiles of  $\delta V_P$  and  $\delta(V_P/V_S)$  with depth and use the k-means++ package in Matlab (version R2020a) to define geographic clusters based on both of these parameters.

Figure 11. Normalized variance for all profiles and all clusters. Results are displayed with number of clusters k ranging from 2 to 10, and for 50 trials.

The number of clusters is a subjective choice. To inform this choice, we calculate the total variance  $\operatorname{Var}_k$  for all clusters in 50 trials while varying the number of clusters, k, following Eymold and Jordan (2019). k ranges from 2 to 12. We find that the initial seeding of clusters matters more than reported in previous studies, perhaps because our model encompasses a larger region. The results are shown in Figure 11. All values are normalized with respect to  $\operatorname{Var}_1$ , i.e. the total variance of the model. The optimal number of trials was chosen to be 9, as this number has a smaller spread of  $\operatorname{Var}_k$  among trials than 7 or 8, but k > 9 does not substantially improve  $\operatorname{Var}_k$ .

Figure 12. (a) Points on an interpolated regularly-spaced grid, colored according to the kmeans cluster from 50 trials. (b) Probability over 50 trials that k-means will assign a given point to the clusters shown above. (c) Vertical profiles of the cluster-averaged value of  $\delta V_S$  at lithospheric depths. (d) 1D wavespeed-depth profiles of the cluster-averaged value of  $\delta (V_P/V_S)$  at lithospheric depths.

Figure 12a displays the geographic cluster most frequently assigned to the points from our model in the trials described above. The separation between the tectonically active WUS and cratonic EUS is immediately apparent. The probability that a given trial places a point within its assigned cluster is shown in Figure 12b. This is a proxy for the stability of the configuration; points on the edges of clusters tend to have lower probability, particularly in regions associated with rapid changes from positive to negative wavespeed anomalies such as the transition from Eastern to Western US.

The center of the Superior Province (SP) has lower (though still ~60%) cluster probability because in 42% of trials this region is assigned to its own unique cluster, rather than the configuration shown here. The cratonic, Archean, SP has a unique seismic, thermal, and perhaps chemical, structure (e.g. Darbyshire et al., 2013; Jaupart et al., 1998, 2014; Pollack, 1986), and the relatively high likelihood of it being an independent cluster reflects its extreme properties. The adjacent areas that are always associated with

Cluster 1 include the southeasternmost Superior Province, but also the Penokean Province (P), which is comprised of Archean-aged bedrock but accreted more recently to the margin of Laurentia (Schulz & Cannon, 2007; van Schmus & Bickford, 1981). Its underlying lithosphere may have different properties than the SP. These differences are not large enough to warrant the creation of a new cluster, but they do emphasize the trade-offs associated with choosing k.

Other regions with (past or present) shared geologic history, such as the Proterozoic parts of the North American shield (Cluster 2) and the Basin and Range (Cluster 9), are identified by the clustering. The boundary between Clusters 2 and 3 is demarcated by the Grenville Front from Kentucky into Canada with high probability. One can conclude that these prominent features have distinct seismic characteristics not just at the surface, but well into the lithosphere.

Figures 12(c,d) depict the vertical structures represented by these clusters for  $\delta V_S$ and  $\delta (V_P/V_S)$ , respectively. Although  $\delta V_S$  was not explicitly used to form the clusters, the clusters have a meaningful association with changes in  $\delta V_S$  with depth. Additionally, since  $\delta V_S$  has more complete depth-resolution than the other parameters it is the best choice for examining whole-lithospheric properties.

The difference between the high- $V_S$  Eastern US (Clusters 1-5) and low- $V_S$  Western US (clusters 6-9) is persistent throughout the upper 300 km of the Earth. In the WUS,  $\delta V_S$  decreases steeply with depth until about 100 km depth; below this  $V_S$  anomalies are fairly steady, and then increase at ~150-170 km. We interpret the minimum as a lowvelocity zone (LVZ) below the lithospheric mantle, and the sharp decrease is inferred to be the LAB. In the Eastern US no LVZ is observed–in fact,  $\delta V_S$  increases slightly in the upper 50 km, and then decreases gradually. This decrease with depth is especially gradual in Clusters 1 and 2, consistent with RF observations of a diffuse and deep LAB in cratonic settings (Abt et al., 2010; Mancinelli et al., 2017).

 $\delta V_P/V_S$  (panel d) increases sharply in the WUS from ~50-80 km depth, then decreases at depths greater than 150 km. The EUS is marked by a decrease in  $\delta V_P/V_S$  which is sharpest at crustal depths, and then a gradual increase. The inverse relationship of  $V_S$  and  $V_P/V_S$  is apparent from this behavior, but clearly does not capture the complexity of the interplay of these variables. For instance, Clusters 1 and 2 both have maxima in  $\delta V_S$  at a similar depth within the crust, but the minimum  $\delta V_P/V_S$  occurs around 80 km for Cluster 2, and deeper, around 120 km, in Cluster 1. Both parameters therefore yield useful, independent, information about the lithosphere.

Clusters 7-9, in the West, are controlled by deep structures rather than bedrock geology. Cluster 8 (yellow) tracks near Clusters 7 and 9 in the upper 100 km. However, below this depth, a steep increase in  $\delta V_S$  with depth is apparent in Cluster 8. Likewise, the  $\delta(V_P/V_S)$  profile of Cluster 8 resembles its neighbors at shallow depths, but decreases more quickly below 100 km compared to the adjacent Clusters. In map view, Cluster 8 appears just east (downdip) of the Cascade Range, as well as in portions of Southern California; the former corresponds to subducted oceanic lithosphere, while the latter coincides spatially with the Isabella Anomaly. The Isabella anomaly, a high-wavespeed nearvertical feature extending to ~300 km depth (Bernardino et al., 2019), has been explained by either a fossil remnant of a subducting slab, or alternatively by foundering lithosphere from the Sierra Nevada (Bernardino et al., 2019; Wang et al., 2013). Both subduction zones and lithospheric drips predict cold, possibly eclogitized, lithospheric material in the asthenosphere, which would account for the increase in  $\delta V_S$  with depth. Above such features, fluid released from dehydration reactions may promote melt, which could explain the low  $\delta V_S$  and high  $\delta(V_P/V_S)$  around 100 km depth.

Below 80 km depth, Cluster 9 contains the lowest  $\delta V_S$  and the highest  $\delta (V_P/V_S)$ , but from ~35-65 km, Cluster 7 (orange) has more extreme values of both parameters.

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Figure 13. Vertical cross-sections of  $\delta V_S$  (top left), and  $\delta(V_P/V_S)$  (top right) variations across 3 cross sections. The map displays cross-section locations. The grey dashed lines in the profiles indicate depths of 35 km (typical continental Moho) and 100 km (typical lithosphere depth extent). The white lines in sections A-A' and B-B' depict where  $\delta(V_P/V_S) \geq 2.0\%$ , our inferred threshold for the presence of partial melt following Hammond and Humphreys (2000).

These points are proximal to the Yellowstone Hotspot, Rio Grande Rift, and parts of the Cascades Range—areas where partial melt could be expected due to high heat flow (for the former two), and subduction-related magmatism (the latter). The following Section examines more closely several regions where melt is most likely to be found.

#### 5.2 Inference of melt from $V_P/V_S$

As alluded to in the Introduction,  $V_P/V_S$  is highly sensitive to the presence of fluids and partial melt. Melt plays a crucial but thus far poorly-understood role in continental dynamics, particularly in defining the depth extent of the lithosphere; in understanding asthenospheric and deeper-mantle convection and flow; and in imparting largescale geochemical variations across the continental lithosphere (e.g. Rychert & Shearer, 2009; Tharimena et al., 2017). Constraining the 3D  $V_P/V_S$  structure on continental scales may provide information about the lateral distribution of melt-containing structures. Cognizant that we do not obtain consistently good resolution at the deepest LAB depths, which may exceed 200 km within cratonic regions (Artemieva, 2009; Jaupart et al., 1998; Jordan, 1975), we examine three regions within diverse tectonic settings which are associated with elevated  $V_P/V_S$ , and thus are candidates for the presence of melt.

In a local  $V_P$  tomography study probing the Yellowstone Hotspot, Farrell et al. (2014) use a 6% reduction in  $V_P$ , based on work by Hammond and Humphreys (2000), to infer the presence of partial melt. As  $V_P/V_S$  may be a more reliable indicator of melt, given the increased sensitivity of  $V_S$  to porous structures, we use a 2.0%  $\delta(V_P/V_S)$  anomaly as a proxy for partial melt, also following Hammond and Humphreys (2000). This threshold appears to be less sensitive to variations in the geometry and connectivity of melt patches than either  $V_P$  or  $V_S$ . The extent of partial melt determined this way is likely underestimated, as the damping and smoothing imposed by the inversion result in a reduction of amplitude of the anomalies in our model, compared to the real Earth. A more quantitative interpretation of melt would need to correct for this effect; for the purposes of this exercise the damped model is sufficient.

Figure 13 shows three vertical cross-sections across regions associated with positive  $\delta(V_P/V_S)$  anomalies. Section A-A' traverses the Columbia Plateau, the Yellowstone Hotspot, and extends into the Wyoming Craton.  $\delta(V_P/V_S)$  is negative, and  $\delta V_S$  is positive, from the surface to ~70-80 km depth throughout the Columbia Plateau. This is similar to the inferred LAB depth of Hopper et al. (2014), who used Common Conversion Point stacking of Sp receiver functions. We therefore infer the low  $\delta(V_P/V_S)$  layer to be the continental lithosphere. Below the Yellowstone Hotspot we see a continuous wedge-shaped positive  $V_P/V_S$  anomaly, interpreted as the upwelling Yellowstone Plume. East of this anomaly, negative  $\delta(V_P/V_S)$  extends to depths greater than 100 km, and possibly as deep as 150 km, consistent with a thicker lithosphere below the Archean Wyoming Province (Foster et al., 2014; Hopper et al., 2014).

<sup>497</sup> The highest  $\delta(V_P/V_S)$  is found in the center of the Yellowstone anomaly, as a fairly <sup>498</sup> thin, near-vertical feature. Here, at a depth around 60 km, the magnitude of  $\delta(V_P/V_S)$ <sup>499</sup> exceeds our 2% melt threshold. Huang et al. (2015) image several reservoirs of melt within <sup>500</sup> the lithosphere (the largest at 30-50 km depth) and within the plume itself, abutting the

base of the lithosphere at a depth of around 60 km. According to this interpretation, our melt anomaly is consistent with sublithospheric melting within the plume. Shallower melt patches may be below our limits of resolution, but it is clear that pervasive low  $\delta V_P$  and  $\delta V_S$ , and high  $\delta (V_P/V_S)$ , extend from below the lithosphere and are continuous through the entire lithosphere and that in these places melt is required by the seismic data.

In Section B-B', more variability is observed in the depth extent of the low  $V_P/V_S$ anomaly interpreted as the lithosphere. The lithosphere gradually thins to the southeast across the Basin and Range Province, then thickens below the Colorado Plateau, in agreement with imaging by Levander et al. (2011); Sine et al. (2008). It is thinnest at the boundary of the two provinces where it is underlain by high  $\delta(V_P/V_S)$ .  $\delta(V_P/V_S)$ in fact exceeds 2.0% near 150 km depth, indicating that partial melt may be present near the base of the lithosphere at the western edge of the Colorado Plateau, consistent with Reid et al. (2012); Rudzitis et al. (2016). Similarly, the low- $\delta(V_P/V_S)$  layer is thinner and underlain by a higher  $\delta(V_P/V_S)$  asthenosphere at the eastern boundary of the Plateau (near the Rio Grande Rift). Here, our inferred  $\delta(V_P/V_S)$  does not exceed the 2% threshold to require melt, though melt may exist on scales too small to be resolvable through our inversion.

Finally, section C-C' extends from Western New York/Lake Ontario, through New England, and into the Atlantic. This section spans the low-velocity North Appalachian Anomaly (NAA) (Levin et al., 2017; Menke et al., 2016; Yang & Gao, 2018). The thick keel of the cratonic lithosphere is visible as a broad low- $V_P/V_S$  anomaly which extends below 150 km depth. Thinning of the keel and increased  $\delta(V_P/V_S)$  are observed below the topographic highs of the Adirondack and White Mountain ranges. This anomaly does match the approximate location of the NAA.  $\delta(V_P/V_S)$  is not sufficiently high to invoke partial melt within this feature, but thinning of the lithosphere is consistent with passive convective upwelling at the edge of the North American craton (Levin et al., 2017; Menke et al., 2016). We lack the resolution to confirm whether a separate feature exists below the Adirondacks, as Yang and Gao (2018) suggest.

### 6 Conclusions

We have presented a workflow for determining multiple seismic properties via a joint inversion of multiple types of seismic data. Our inversion generates a model of  $\delta V_P$  and  $\delta V_S$ , but a novel application of this method is that it can be used to examine variations in the  $V_P/V_S$  ratio, which is influenced by elastic mineral properties as well as anelastic processes such as melting and attenuation. We have shown that 3D variations on the order of ~3% exist within the North American continental lithosphere over scales of several hundreds of km.

We have also performed a clustering exercise using depth profiles of our model parameters. Spatial patterns of variation in  $\delta V_P$ ,  $\delta V_S$ , and  $\delta (V_P/V_S)$  are related to tectonic units, and in some cases these units share seismic characteristics with depth throughout the entire lithosphere. This indicates that structures present at the time of lithospheric assembly can shape the patterns of seismic anomalies observed today, and may influence the future evolution of the continental lithosphere.

Both  $\delta V_P$  and  $\delta V_S$  show a first-order pattern of low wavespeeds in the tectonically 543 active Western US and high wavespeeds in the stable Eastern US, in agreement with decades 544 of prior tomography work. This contrast between the Eastern and Western United States 545 does not dominate the  $\delta(V_P/V_S)$  maps in the upper 60 km, where the highest  $V_P/V_S$  ex-546 cursions are observed below regions such as Yellowstone and the margins of the Colorado 547 Plateau. At 80 km depth and below, though, the east-to-west pattern dominates  $\delta(V_P/V_S)$ , 548 with negative values found in the East and positive in the West. As the base of the litho-549 sphere may be found at 80 km depth or shallower over much of the Western US (e.g. Hop-550

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<sup>551</sup> per & Fischer, 2018; Yuan et al., 2011), there we image the warmer, less viscous, and there-<sup>552</sup> fore strongly seismically anomalous, asthenosphere. We conclude that the first-order  $\delta(V_P/V_S)$ <sup>553</sup> patterns are primarily sensitive to melt and asthenosphere-lithosphere interactions.

Xenolith evidence indicates that the cratonic lithosphere imaged in the Eastern US at the depths considered here may be chemically depleted (Boyd, 1989; O'Reilly & Griffin, 2006; Saltzer et al., 2001) and/or have experienced widespread metasomatic alteration (Boyd, 1989; Kelemen et al., 1998). The distinct seismic character of the Eastern US may therefore have a geochemical component. Our joint derivation of  $\delta V_P$  and  $\delta (V_P/V_S)$ provides a tool to probe these changes in mineral composition, as  $V_P/V_S$  is sensitive to elastic properties in a different way than  $V_P$  or  $V_S$  alone. By constraining a diversity of seismic properties, combined with thermodynamic modeling of petrologic information, the thermal and compositional causes of seismic heterogeneity can be understood and distinguished. This topic will be the subject of future work.

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Figure 1.

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Figure 2.

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Figure 3.

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Figure 4.

# Synthetic model



Figure 5.

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Figure 6.



Figure 7.

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Figure 8.



Figure 9.

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Figure 10.



Figure 11.

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# Synthetic model







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