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Accepted manuscript

1 **Extreme Storms in Southwest Asia (Northern Arabian Peninsula)**
2 **under Current and Future Climates**

3
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21 Abstract

22 Precipitation extremes will generally intensify in response to a warming climate. This
23 robust fingerprint of climate change is of particular concern, resulting in heavy rainfall and
24 devastating floods. Often this intensification is explained as a consequence of the Clausius-
25 Clapeyron law in a warmer world, under constant relative humidity. Here, based on an
26 ensemble of CMIP5 global climate models and high-resolution regional climate simulations,
27 we take the example of Southwest Asia, where extreme storms will intensify beyond the
28 Clausius- Clapeyron scaling, and propose an additional novel mechanism for this region: the
29 unique increase in atmospheric relative humidity over the Arabian Sea and associated deep
30 northward penetration of moisture. This increase in humidity is dictated by changes in
31 circulation over the Indian ocean. Our proposed mechanism is consistent with the recent, most
32 extreme storm ever observed in the region. Our findings advance a new understanding of
33 natural climate variability in this region, with substantial implications for climate change
34 adaptation of the region's critical infrastructure.

35

36 **Key words:** Extreme Storms, CMIP5, cut-off low, super-Clausius-Clapeyron, Indian Ocean
37 Dipole, Southwest Asia

38 **1. Introduction**

39 The enhancement of precipitation extremes under climate change has received much
40 attention in recent years as a robust fingerprint of a warming climate and because of its impacts
41 on human societies (Trenberth 1999; Kharin et al. 2007; O’Gorman and Schneider 2009;
42 O’Gorman 2015). Global Climate Models (GCMs) indeed project an intensification of extreme
43 precipitation events in the coming decades, consistent with observed trends in the historical
44 record (Min et al. 2011; Westra et al. 2013), although with significant regional differences. At
45 first order, this intensification can be understood as a thermodynamic response to increases in
46 water vapor saturation pressure with temperature following the Clausius-Clapeyron
47 relationship (Trenberth 1999; Allen and Ingram 2002). A dynamical contribution from large-
48 scale change in vertical velocity (Trenberth 1998; Pfahl et al. 2017) has also been found to
49 contribute to this enhancement and may explain why precipitation extremes are more sensitive
50 to climate warming in the tropics than in the extratropics (O’Gorman 2015). Local increases in
51 ascent associated with increased latent heating may also play an important role at the regional
52 scale and explain why some events exhibit super-Clausius-Clapeyron scaling (Nie et al. 2018).
53 Other factors like changes in the temperature lapse-rate and in the vertical structure of moisture
54 and diabatic heating are also believed to modulate trends in extreme precipitation (O’Gorman
55 and Schneider 2009; Sugiyama et al. 2010).

56 Still, one aspect of precipitation extremes that has attracted little interest is the potential role of
57 increases in relative humidity (RH) in certain regions of the world. Generally, lower-
58 tropospheric RH is expected to remain constant, or decline (Sherwood and Meyer 2006),
59 particularly over the subtropics and mid-latitudes (Sherwood et al. 2010). Robust increases are
60 however projected in the equatorial mid-troposphere, connected to changes in the Hadley
61 circulation (Lau and Kim 2015). While predicted RH trends are generally small (Sherwood et
62 al. 2010), they can be very important for precipitation extremes due to positive feedbacks like

63 latent heating (Nie et al. 2018; 2020). Most analyses have focused on zonal-mean trends,
64 however, and to our knowledge no study has looked at possible regional RH increases outside
65 the tropics and their link to super-Clausius-Clapeyron changes in extreme precipitation.
66 Southwest Asia, in particular, is one of the few areas outside the tropics where robust increases
67 in RH and in extreme precipitation (Kharin et al. 2007; Pfahl et al. 2017) are projected by
68 GCMs. The increase is concentrated over the Arabian Sea, and extends to the neighboring
69 coastlines, but the sea in this region is a major source of moisture for storm events over much
70 of Southwest Asia (Kumar et al. 2015; De Vries et al. 2018; Tabari and Willems 2018). In
71 addition, Southwest Asia includes vast desert areas, especially vulnerable to extreme rainfall
72 due to their lack of vegetation cover and generally poor drainage systems. Among these, the
73 Northern Arabia Peninsula (NAP; Fig. 1-a) stands out due to its higher rainfall maxima
74 compared to surroundings (Almazroui et al. 2012; Fig. 1-a,b). Indeed, while most of the
75 Arabian Peninsula is characterized by severe dryness (Fig. 1-a), with an average annual rainfall
76 of less than 100 mm, the NAP features relatively wetter conditions (150 mm/year), mainly
77 because of more intense rainfall extremes that generally occur in November and December, at
78 the beginning of the rainy season (Fig. 1-b; Marcella and Eltahir 2008; Al-Nassar et al. 2020;
79 Atif et al. 2020). With its valuable infrastructure, including busy urban hubs and critical oil and
80 gas extraction sites and pipelines (Salimi and Al-Ghamdi 2020), the NAP is already under
81 substantial risk from extreme rainfall. This risk is likely to increase should rainfall extremes
82 intensify in the future. Yet, because the Arabian Peninsula is also a transition region between
83 the subtropics and mid-latitudes, one should see coarse-resolution GCM projections of heavy
84 precipitation with a critical eye. A detailed understanding of the mechanisms driving observed
85 heavy precipitation events is necessary to make informed projections and risk assessments in
86 the Arabian Peninsula for the upcoming century.

87 In this paper, we show how extreme storms and rainfall over the Arabian Peninsula in
88 late fall and early winter result from the rare combination of the passage of a mid-latitude
89 perturbation and concurrent deep penetration of moisture from the Arabian Sea. Rainfall
90 intensity evolves non-linearly with the intensity of the perturbation and the amount of lower-
91 tropospheric moisture. We further argue that enhanced relative humidity (RH) over the Arabian
92 Sea in the future will cause extreme rainfall in the Arabian Peninsula to intensify beyond the
93 Clausius-Clapeyron scaling. We base our analysis on the physical understanding of a recently
94 observed heavy storm in November 2018, and high-resolution regional climate simulations.
95 These allow for a better representation of processes relevant to heavy rainfall compared to
96 GCMs. The impact of higher RH is reinforced by the latent heat feedback, evidence for which
97 is given in observations and regional simulations. We also propose a novel explanation for the
98 increase in Arabian Sea RH, which we connect to SST trends and changes in circulation over
99 the Indian ocean.

100 2. Data and Experimental Design

101 2.1 Data

102 Gridded observed precipitation data is taken from the Tropical Rainfall Measuring
103 Mission (TRMM) 3B42 product (Huffman et al. 2007) with a daily temporal and 0.25°
104 spatial resolution for the period 1998–2018, and available at <http://daac.gsfc.nasa.gov/>. Daily
105 rainfall data is also available at 10 meteorological stations within Kuwait (Abdaly, Jal Aliyah,
106 Kuwait City, Managish, Mitribah, Salmy, Wafra, Salmiyah, Kuwait International Airport,
107 and Ahmadi; see Figs. 1-c and S1). ERA-Interim reanalysis data were obtained from the
108 European Centre for Medium-Range Weather Forecasts (ECMWF), from their website at
109 <http://www.ecmwf.int/en/research/climate-reanalysis/era-interim> (Uppala et al. 2008). We
110 compute daily potential vorticity (PV) on pressure levels from ERA-Interim data following
111 Holton (1992). Intrusions of high-PV stratospheric air are known to cause cyclonic
112 circulation, affecting storm development (Hoskins and Berrisford 1988; Holton 1992).
113 Previous studies have indeed linked high-PV intrusions over the Red Sea to rainfall extremes
114 over Arabia (Al-Nassar et al. 2020; Kumar et al. 2015; De Vries et al. 2018). Accordingly,
115 we compute a daily regional PV index for the month of November by averaging PV at 300
116 hPa over the Red Sea region ($21\text{-}35^\circ\text{N} / 28.5\text{-}47^\circ\text{E}$). We then define “storm events” as
117 periods when the PV index remains higher than 0.5 standard deviation above its mean (with
118 standard deviation and mean calculated over the 1998-2018 period) for at least two
119 consecutive days. 38 events are thus identified (Table 2).

120 For future climate projections, we use regional simulations (described in section 2.2), as well
121 as the output from 30 GCMs of the CMIP5 archive (Taylor et al. 2012), under the historical
122 (1976-2005) and (2071-2100) RCP8.5 scenarios. The list of selected GCMs is given in Table
123 3. All GCM data are interpolated prior to analysis to a common $1.5^\circ \times 1.5^\circ$ grid.

124 Finally, in the analysis of current Sea-Surface Temperature (SST) variability and future
125 trends over the Indian Ocean, we rely on the Indian Ocean Dipole (IOD) index, defined as the
126 anomalous difference between western equatorial Indian Ocean (50-70°E and 10°S-10°N)
127 and south eastern equatorial Indian Ocean SSTs (90-110°E and 10°S-0°N) (Saji et al. 1999).
128 The time series of the IOD index can be downloaded from
129 https://www.esrl.noaa.gov/psd/gcos_wgsp/Timeseries/DMI/.

130

131 **2.2 Model Description and Experimental Design**

132 The MIT Regional Climate Model (MRCM) is based on the Abdus Salam
133 International Centre for Theoretical Physics Regional Climate Model Version 3 (RegCM3;
134 Pal et al. 2007), but with significant enhancements of model physics (Gianotti et al. 2012;
135 Gianotti and Eltahir 2014a, 2014b), and notably a coupling with the Integrated Biosphere
136 Simulator land surface scheme (IBIS; Winter et al. 2009). MRCM has been rigorously tested
137 against observations, in its ability to simulate key observed climate features, across several
138 regions (e.g., North America (Winter and Eltahir 2012), West Africa (Im and Eltahir 2018a),
139 the Maritime Continent (Im and Eltahir 2018b) and Southwest Asia (Pal and Eltahir 2016)).
140 We use high-resolution regional projections conducted with MRCM by Pal and Eltahir
141 (2016). They downscaled three carefully-selected CMIP5 GCMs (CCSM4, MPI-ESM-MR,
142 and NorESM1-M) to a 25km resolution over Southwest Asia (Fig. 1-b), and produced three
143 reference simulations for the period 1976–2005 and three time-slice simulations under the
144 business-as-usual anthropogenic emissions scenario (i.e., RCP8.5) for the period 2071-2100.
145 Their study demonstrated the performance of MRCM in simulating relative humidity over the
146 Arabian Peninsula as well as surrounding coastal regions. A detailed description of the
147 experimental design is given by Pal and Eltahir (2016).

148 To identify the sensitivity of NAP extreme rainfall to atmospheric moisture, we carry out a
149 suite of numerical simulations using MRCM. Our simulation domain, centered at 24°N and
150 47°E, covers Southwest Asia and consists of 144×130 grid cells with 18 vertical levels (Fig.
151 1-b). The model configuration, including domain, spatiotemporal resolution, and physical
152 parameterizations, is the same as in Pal and Eltahir (2016). Our sensitivity experiments are
153 driven at the boundaries by 6-hourly ERA-Interim ($1.5^\circ \times 1.5^\circ$) and weekly NOAA OISST
154 v2 ($1^\circ \times 1^\circ$, Reynolds et al. 2007), with certain modifications described below. First, we carry
155 out a suite of simulations for the month of November 2018 which include a control run (CTL,
156 forced by unchanged ERA-Interim data) and seven sensitivity experiments (EXP50, EXP60,
157 EXP70, EXP80, EXP90, EXP110, and EXP120) in which specific humidity at the boundaries
158 is modified with respect to the CTL run. “EXPNN” indicates that specific humidity was set to
159 NN% of its original value. A one-month spin-up time is used in each case. Second, we run
160 similar experiments for all November months over 1982-2018, including a control run and a
161 run in which specific humidity is decreased by 25% (EXP75). Third, to distinguish the role of
162 moisture advection from the surrounding water bodies (the Red Sea and the Arabian Sea) in
163 shaping the magnitude of the November 2018 extreme rainfall event in the NAP, we conduct
164 two more idealized model experiments where specific humidity is set to 50% of its original
165 value for the regions above 20 degrees north (including the Red Sea; EXP50_RS) and below
166 20 degrees north (including the Arabian Sea; EXP50_AS) (see Supplementary Materials for
167 more details). We use a time-slice technique with each time slice starting on October 1st of
168 each year, including a one-month spin-up time as well. The overall sensitivity experimental
169 design is summarized in Table 1.

170 3. Results

171 3.1 November 2018 heavy rainfall event

172 Between November 13th and 15th 2018, the NAP experienced an extreme storm, the
173 largest on record, which brought in many places a year's worth of rain in just a few days (Fig.
174 1-c,d). Large population centers in Kuwait experienced severe flooding, forcing Kuwait City
175 to shut down for three days as the country slowly recovered. The rainfall was very localized:
176 the highest amounts were concentrated along a narrow line extending southwest from Kuwait
177 into central Saudi Arabia, with satellite-based TRMM data showing maximum three-day
178 totals above 110 mm around 27°N/46°E (Fig. 1-c). Station data also highlights the
179 exceptional magnitude of this event: daily rainfall at Al Ahmadi, where Kuwait's major
180 refinery is located, topped 100 mm on November 14, 2018, while Kuwait International
181 Airport saw a record 79 mm of rain that same day (Fig. 1-c; Figs. S1 and S2). Total
182 accumulated rain during November 2018 was more than twice as large as all previously
183 recorded monthly totals at Kuwait International Airport in the last 58 years (Fig. 1-e). Many
184 other stations in Kuwait also experienced heavy rainfall at this time, with significant
185 variability in space well-captured by TRMM estimates (Fig. S1).

186 This heavy rainfall event over the NAP was preceded by well above-average atmospheric
187 humidity in the lower troposphere for a whole week, and by anomalous southerlies over most
188 of the Arabian Peninsula that persisted for several days leading up to the event (Fig. 2-a,b).
189 The prevailing southerlies were directed against the mean north-south moisture gradient and
190 consequently advected anomalously large amounts of moisture from the Arabian Sea towards
191 the densely populated Persian Gulf coast. Substantial moisture advection from the Red Sea
192 also occurred at the same time, but mostly towards the western parts of the Arabian Peninsula
193 (Fig. 2-a). It did not contribute much directly to moisture anomalies over the NAP (Fig. S3;
194 see Supplementary Materials for more details). High-humidity conditions persisted for much

195 of November 2018, making it the second most humid for the region in the 40-year ERA-
196 Interim record (Fig. 2-c), when precipitation was the largest recorded over that same period.
197 In fact, inter-annual variability in November monthly precipitation over the NAP closely
198 follows that in monthly-mean specific humidity at 850 hPa (Fig. 2-c).
199 Low-level humidity leads to conditional instability of the lower troposphere, but for extreme
200 rainfall to occur, a perturbation is required to lift the lower tropospheric layers and to trigger
201 condensation and precipitation (Fig. S4). For the November 2018 event, this perturbation
202 was the result of a PV streamer that formed around November 10 over the Central
203 Mediterranean and which evolved into a cut-off low as it reached the Red Sea (Fig. 3-a,b,c;
204 Fig. S4). As it advanced towards the NAP, it led to strong quasi-geostrophic lifting of the
205 anomalously moist lower atmospheric layer on its downstream (eastern) side (Fig. 3-f). This
206 in turn resulted in extreme rainfall over the NAP.

207

208 **3.2 Sensitivity of rainfall extremes to background moisture**

209 For the November 2018 event, high humidity conditions preceded the PV intrusion
210 by several days (Figs. 2, 3). They were caused by circulation anomalies to the south of the
211 region, independent from the PV intrusion itself. Looking now at all high-PV intrusions that
212 occurred in November between 1998 and 2018, we find that the magnitude of low-level
213 specific humidity before the intrusion strongly modulates the rainfall amount triggered by
214 the perturbation (Fig. 4). High-PV intrusions that occurred at times of low 850 hPa humidity
215 (<5 g/kg) did not trigger particularly heavy rainfall over the NAP, in contrast to the ones that
216 occurred against a background of high humidity levels (Fig. 4-a). The remaining spread may
217 be related to the magnitude and extent of the PV intrusion, which is also related to rainfall
218 intensity (Fig. S5), or to the geographical characteristics of the moisture anomaly field. It is
219 important to note that we refer here to humidity levels preceding the PV intrusion, and

220 therefore independent of the characteristics of the PV intrusion itself. MRCM shows
221 reasonable performance in reproducing the main features (e.g., spatial pattern, rainfall
222 magnitude and PV field) of the November 2018 heavy rainfall event over the NAP (Figs. S6
223 and S7). Additionally, MRCM also correctly simulates mean rainfall over the NAP for all
224 identified storm events as evidenced by an r^2 value of 0.71 (p -value < 0.01 ; Fig. S6c).
225 The results of sensitivity experiments confirm the substantial nonlinear (exponential)
226 sensitivity of NAP rainfall intensity to background humidity conditions (Fig. 4-b,d). For the
227 November 2018 event, reducing total column humidity by 50% brings total precipitation
228 down by a factor of 3. The rate of increase becomes clearly exponential when humidity
229 increases beyond the CTL value. In parallel, vertical velocity over the NAP increases
230 roughly exponentially with background humidity (Fig. 4-c). This points to a latent heat
231 feedback between low-level humidity and storm intensity. For the simulations that include
232 all November storm events, a sharp decline of the likelihood of extreme rainfall with
233 reduced humidity is evident, while more moderate rainfall intensities are less affected,
234 consistent with the proposed nonlinear response (Fig. 4-d).

235

236 **3.3 Sources and variability of atmospheric moisture**

237 At the scale of individual storm events, observational evidence suggests a significant
238 nonlinear relationship between the average humidity in the week preceding a storm event and
239 the total rainfall during that event (Fig. 4-a). Abundant moisture over the NAP seems to
240 significantly increase the likelihood of extreme rainfall, as was the case during the November
241 2018 event. At the interannual time scale, November mean rainfall and specific humidity are
242 also significantly correlated ($r = 0.82$ over the 1998-2018 period).

243 The Indian Ocean is known to be a major source of moisture for Southwest Asia, and the
244 NAP in particular (Kumar et al. 2015, De Vries et al. 2016). Water vapor transport depends to

245 a large extent on the distribution of winds, which over the Indian Ocean is largely influenced
246 by the Indian Ocean Dipole (IOD). This basin-scale mode of variability consists of a sea-
247 surface temperature (SST) dipole pattern along the equator (Saji et al. 1999). The Pearson
248 correlation coefficient between monthly-mean 850 hPa atmospheric humidity over the NAP
249 and concurrent IOD values indicates a strong connection between the two variables during
250 the months of October and November (Fig. 5-a). The positive IOD phase is indeed
251 characterized by warmer than average SSTs over the western Indian Ocean and cooler than
252 average near Indonesia. This pattern triggers strong anomalous south-easterlies over Arabia
253 along with anomalous subsidence motion (i.e., decreased rainfall) over the equatorial eastern
254 Indian Ocean (Fig. 5-b,c,e). Southeasterlies bring large amounts of moisture across the sharp
255 gradient separating the warm ocean and the dry land (Fig. 5-b,d), thus causing anomalously
256 humid seasons during which bursts of extreme humidity are common over the Arabian
257 Peninsula, reaching up to the NAP. If these coincide with a passing storm (i.e., high-PV
258 intrusion), extreme rainfall is likely to occur. Later, during winter, the connection to the IOD
259 is absent (Fig 5-a), and the lack of this moisture source makes rainfall extremes less frequent.
260

261 Average November-mean humidity over the NAP has significantly increased over the
262 last few decades, alongside average rainfall (Fig. 2-c) and IOD index (Fig. 6). One expects a
263 steady NAP moistening from the shift of the IOD towards positive anomalies. The extremely
264 humid 2018 episode was tied to the 5th largest November-mean IOD value on record. Still,
265 monthly-mean humidity was comparable to 1997, when the IOD was twice as high. To
266 account for the potential recent increase in humidity unrelated to IOD variability, we fit a
267 simple regression of NAP-average November 850 hPa humidity against the IOD index using
268 1900-1930 November humidity values from the ERA-20C reanalysis (Poli et al. 2016), bias-
269 corrected (at the daily time scale) with ERA-Interim over their overlapping period (1979-

270 2010). 1979-2018 humidity is then predicted based on observed IOD index values during this
271 period. Results suggests that only about half of the recent increase can be attributed to the
272 upward IOD trend, with the remainder highly consistent with recent trends simulated by
273 CMIP5 models under observed GHG forcing (Fig. 6).

274

275 **3.4 Looking ahead: future heavy rainfall risk in the NAP**

276 Future climate model projections exhibit further increases in specific humidity as the
277 atmosphere warms (IPCC 2014), which may substantially impact heavy rainfall events over
278 the NAP. To predict changes in future NAP rainfall extremes under climate change, we rely
279 on regional climate simulations using MRCM under the Representative Concentration
280 Pathways (RCP) 4.5 (RCP4.5), a mitigation scenario, and 8.5 (RCP8.5), a “business-as-
281 usual” emissions scenario (Riahi et al. 2011). While the total number of wet days between
282 October and December is projected to remain about constant by the end of this century, daily
283 rainfall extremes, on the other hand, increase sharply in both October and November in
284 RCP8.5 simulations (Fig 7-c,d), with the 100-year return level shifting from 45 to 65 mm, an
285 almost 50% increase. Results in RCP4.5 are more mixed: while there appears to be a slight
286 shift of the distribution of precipitation to larger values, changes are not significant (Fig 7-
287 a,b). That is, moderate mitigation efforts to lower greenhouse gas emissions could reduce the
288 risk of severe flood significantly. The clear relative enhancement of rainfall extremes in
289 RCP8.5 simulations is most pronounced during October, when heavy rainfall events are much
290 rarer in the current climate than during November. This suggests that the future seasonality of
291 heavy rainfall may extend to earlier in the year, along with the associated flood risk.
292 The projected changes in rainfall extremes appear particularly large, especially compared
293 against the Clausius-Clapeyron scaling. Under constant relative humidity, one would expect
294 from the 4K warming projected by the average of RCP8.5 simulations a 33% rise in

295 atmospheric moisture. This is roughly what we observe for the bottom half of the humidity
296 distribution; however, above that the increase is much sharper, particularly for extreme values
297 (Figs. 8-a, 9-a). To understand this trend, we consider regional projections by 30 GCMs from
298 the CMIP5 model archive. Extremely humid days over the NAP tend to be associated with
299 south-easterly moisture advection from the Arabian Sea (Fig. 8-b,c; for one specific example
300 Fig. 2-a), favored by the very sharp humidity gradient between the Arabian Peninsula and the
301 neighboring Indian Ocean (Figs. 8-d and 9-c). The Arabian Sea stands out in projections by a
302 large expected increase in low-to mid-tropospheric relative humidity of up to 5%, in absolute
303 magnitude, extending from the horn of Africa to north-western India, quite a unique feature
304 outside the equatorial area (Figs. 8-d, 9-b). This increase is consistent with enhanced moisture
305 convergence in the region, following the development of a cyclonic anomaly over Yemen
306 (Fig. 9-c), also evident in a decline of SLP over the Arabian Peninsula (Fig. S8). The winds
307 associated with this anomalous circulation blow, in part, along the steep humidity gradient
308 stretching from Somalia to Pakistan, leading to large changes in the moisture flux and
309 shifting the humidity gradient northward. The development of the cyclonic anomaly, a robust
310 feature of CMIP5 model projections, can be understood as the response to enhanced diabatic
311 heating of the lower troposphere (Fig. S8-a), the consequence of a robust increase of
312 precipitation just north of the equator (Fig. 9-d, Fig. S8; Gill 1980). The increase in
313 precipitation in turn is linked to a well-documented amplified SST warming over the western
314 Indian Ocean in response to greenhouse forcing (Cai et al. 2013; Zheng et al. 2013). The
315 robust recent increase in western Indian Ocean SSTs (Roxy et al. 2014) may also have
316 contributed to the shift toward more positive IOD values and the rapid rise in atmospheric
317 humidity over the Arabian Sea (Figs. 2-c and 6), consistently with our proposed mechanism.

318

319 **4. Discussion**

320 We find that two main independent phenomena are required to co-occur to cause an
321 extreme rainfall event in the NAP: (1) high values of low-level humidity and (2) the passage
322 of a mid-latitude storm, characterized by a high-PV intrusion, over the NAP (Figs. 3, S4, S5;
323 De Vries et al., 2018; Al-Nassar et al. 2020). These conditions are rare and at times one may
324 occur without the other, not leading to extreme storms (Fig. 4a and S5). This scenario
325 appears typical of extreme rainfall events in the Arabian Peninsula, as previously shown on
326 individual cases (Atif et al. 2020; Kumar et al. 2015; Almazroui et al. 2016; De Vries et al.,
327 2018). Rainfall extremes over the NAP are thus common around November due to the
328 particular dynamical and thermodynamical conditions that prevail at that time of the year.
329 First, storm events become more common over NAP as the mid-latitude jet intensifies and
330 shifts southward as winter approaches (Marcella and Eltahir 2008). Regular high-PV
331 intrusions over the Eastern Mediterranean, associated with small-scale blocking patterns in
332 the jet stream, lead to storm development (Hoskins and Berrisford 1988; Holton 1992; see
333 Section 2 for more details), typically linked to heavy rainfall over the NAP (Al-Nassar et al.
334 2020; Atif et al. 2020; Kumar et al. 2015; Almazroui et al. 2016; De Vries et al. 2018).
335 Second, high humidity conditions are more frequent in Arabia in October and November
336 through the IOD teleconnection. Even if the Red Sea and Arabian Gulf are also important
337 moisture sources for extreme rainfall events in the Arabian Peninsula (De Vries et al. 2013;
338 Kumar and Ouarda 2014; Kumar et al. 2015; Sandeep and Ajaymohan 2018; Al-Nassar et
339 al. 2020), rainfall extremes in the NAP are dominantly associated with south-easterly
340 moisture advection from the Arabian Sea (Kumar et al. 2015; Al-Nassar et al. 2020; Fig. S3;
341 see Supplementary Materials for more details). The circulation anomalies triggered by the
342 Indian Ocean Dipole also strengthen moisture advection from the Arabian Gulf towards the
343 NAP.
344

345 In future climate projections, while average humidity trends over the NAP remain close to
346 the Clausius-Clapeyron scaling, occasional south-easterlies will blow from a significantly
347 more humid region over the Arabian Sea and consequently advect air towards the NAP with
348 significantly more moisture than they do currently. This explains why northward penetration
349 of moisture becomes more frequent and why humidity extremes tend to intensify relatively
350 much more than the average, thus creating explosive conditions that can lead to rainfall
351 more extreme than anything seen in recent history.

352 From the perspective of annual-mean rainfall, the NAP is projected to see little change (Fig.
353 10). A drying trend during spring will compensate for the enhanced rainfall of the autumn
354 season, and the seasonality of rainfall will shift towards wetter conditions earlier in the
355 season (Tabari and Willems 2018). However, the enhancement of relative humidity over
356 Southern Arabia does lead to a substantial increase in wet day frequency and total rainfall
357 over the south-eastern “empty corner” and southern coast of the Arabian Peninsula.
358 Extremes there will remain much below NAP levels, but annual-mean rainfall may increase
359 locally by more than 100%.

360 The analysis of future storm activity in CMIP5 simulations appears to indicate a slight
361 decrease in the frequency of high PV intrusions over the NAP (Fig. S9), consistent with a
362 northward shift of the subtropical jet and the storm track, and with reduced blocking
363 frequency over Europe and the Mediterranean identified at this time of the year (Yin 2005;
364 Seidel et al. 2008; Masato et al. 2013; Peleg et al. 2015). However, changes are overall not
365 significant, in particular due to a rather large noise-to-signal ratio. Atmospheric
366 teleconnections associated with the El Niño Southern Oscillation (ENSO) and the North
367 Atlantic Oscillation (NAO) are also known to affect the position of the subtropical jet stream
368 over the Arabian Peninsula (Sandeep and Ajaymohan 2018; Kumar et al. 2016; Kumar and
369 Ouarda 2014). They may thus modulate the frequency of high-PV intrusions in this region.

370 Non-robust trends in these teleconnection indices add to the uncertainty in future storm
371 frequency over the NAP.

372 Additionally, different storm activity metrics yield different results: changes in the
373 frequency of blocking conditions over the region, based on daily geopotential fields, exhibit
374 non-significant or even increasing trends from October to November. High PV events over
375 the NAP typically occur once or twice every November (Table 2), and GCMs do not
376 simulate their absolute frequency very well. The analysis of the downscaled MRCM
377 experiments is also inconclusive on that aspect. A decline in the likelihood of such weather
378 systems over the NAP would certainly compensate for part of the increase in the risk of
379 heavy rainfall events; in any case, however, autumnal storms systems will most likely still
380 occur in this region, and, due to enhanced atmospheric humidity, the magnitude of the
381 associated rainfall extremes will increase.

382 Finally, while the three-model MRCM projections show little to no change in the frequency
383 and magnitude of southerlies over the Arabian Peninsula (Fig. S10), there is evidence, based
384 on a more comprehensive analysis of the CMIP5 archive, that extreme IOD events will
385 become much more frequent in the future (Cai et al. 2014), along with the moisture flux
386 over Arabia in their positive phase. Our projections might be therefore underestimating
387 future risk of heavy rainfall over the NAP.

388 Our analysis of regional circulation and moisture transport projections relies on 30 CMIP5
389 GCMs, though only three of them (CCSM4, MPI-ESM-MR, and NorESM1-M) are
390 downscaled at higher resolution with MRCM. Still, we find that the ensemble of the three
391 carefully selected GCMs yields similar conclusions as those obtained from the full 30-GCM
392 ensemble (Figs. S11 and S12). Some difference in spatial distribution and magnitude can be
393 seen but they do not affect our conclusions.

394

395 The projected future of extreme storms over Arabia point to a significant hazard due to
396 increasing intensity of what is currently regarded as extreme rainfall events. As discussed
397 earlier, the NAP region is home to several countries (Kuwait, Eastern Saudi Arabia, Bahrain,
398 and Qatar) where large investments were made during the last 50 years in infrastructure
399 development. New infrastructure systems (buildings, highways, air and sea ports, pipelines, oil
400 wells, and factories) were designed assuming characteristics of storms that were estimated
401 based on observed records in the past. Just like many similar systems around the world, these
402 infrastructure systems are vulnerable since historical rainfall extremes may not be
403 representative of future climates. The combined hazard from the projected change in the
404 climate of extreme rainfall events over Arabia, and the vulnerability of these critical
405 infrastructures shape a significant risk to infrastructures in Arabia under climate change. One
406 thing is clear from the November 2018 event: inter-annual climate variability, even with the
407 current level of humidity, is significant to bring about record-shattering rainfall and
408 infrastructure is currently not designed to withstand such events. Significant efforts will be
409 needed to revise existing designs and to upgrade existing infrastructure in order for the region
410 to adapt to future climate change.

411 **5. Summary and conclusion**

412 In this study, we analyzed the physical mechanisms behind extreme storms in the
413 Arabian Peninsula, and discussed how climate change may impact their magnitude and
414 frequency. We found that record-shattering rainfall with potential for severe flooding
415 occurring in the NAP is caused by the unique combination of two independent phenomena:
416 passage of mid-latitude perturbations in the form of high-PV intrusions from the Eastern
417 Mediterranean, and deep northward penetration of moist air from the tropical Indian Ocean,
418 modulated by the Indian Ocean Dipole. Observational evidence from the November 2018
419 extreme rainfall event and idealized sensitivity experiments using MRCM indicate a
420 substantial nonlinear response of NAP rainfall intensity to background humidity conditions.
421 The most extreme rainfall occurs at times of abundant available moisture in the lower
422 troposphere. Future climate trends are assessed based on an ensemble of 30 CMIP5 GCMs
423 under the RCP4.5 and RCP8.5 scenarios and three high-resolution regional simulations with
424 MRCM, which is capable of reproducing extreme rainfall events over the NAP. Enhanced
425 relative humidity over the Arabian Sea (i.e., quite a unique feature outside the equatorial
426 region) induced by circulation forced by Indian Ocean SST trends will cause extreme
427 precipitation in the NAP to intensify beyond the Clausius-Clapeyron scaling. Although we
428 did not find a statistically significant change in the frequency of storms originating in the
429 Mediterranean and passing through the Arabian Peninsula, such storms are still expected to
430 occur in the warmer climate, and will likely lead to more intense extreme rainfall. As
431 exemplified by the recent November 2018 event, increased magnitude of rainfall extremes in
432 the future climate will require urgent climate change adaptation strategies (i.e., infrastructure
433 planning) in Southwest Asia.

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 608 stations (Ahmadi, Kuwait International Airport, and Abdaly) (coloured dots). (d)
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 617 850 hPa specific humidity (ERA-Interim, 1979-2018). The blue vertical bar in (b)
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 622 the region (21-35°N / 28.5-47°E) used to define the potential vorticity index.

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 624 based on PV index, see Section 2.1) against total rainfall during that event. The red
 625 line indicates a best second-order polynomial fit, along with the corresponding r-
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 629 the control run (CTL, see Section 2.2). (d) Probability distribution of MRCM-
 630 simulated November daily rainfall over the NAP (1982-2018) derived from our long-
 631 term CTL and EXP75 simulations. All the values used in the figure are NAP area-
 632 averaged values (24-31°N and 42-52°E).

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 634 ERA-Interim) to concurrent IOD index (1979-2019), and 95% confidence intervals
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 636 and dotted lines, respectively. Linear regression slopes of November (b) 850 hPa
 637 geopotential height and wind, (c) 700 hPa pressure-velocity, (d) 850 hPa specific
 638 humidity (normalised by its mean November value) and (e) precipitation (from
 639 GPCP), against concurrent IOD index values (1979-2019). Dots indicate 5%
 640 significance.

641 **Fig. 6.** (a) October IOD index, 1900-2018. The least-squares regression line (dashed) is
 642 shown for the 1979-2018 period. (b) 850 hPa October specific humidity trends (1979-
 643 2018, ERA-Interim). (c) Same as (b), but with effect of IOD removed (see Section 2).
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 645 (historical run until 2005 and RCP8.5 afterwards). The horizontal dashed blue
 646 (respectively red) line indicates the corresponding raw (respectively IOD-corrected)
 647 ERA-Interim value. Vertical confidence intervals are also shown to the right. (e-h)
 648 Same as (a-d), but for November.

649 **Fig. 7.** (a) Historical (solid blue, 1976-2005) and change (red) under RCP4.5 of NAP-average
 650 95% daily precipitation quantiles from September to December. For comparison, the
 651 corresponding TRMM values (1998-2019) are shown by the blue dashed line. (b)
 652 Historical (blue, 1976-2005) and future (red, 2071-2100, RCP4.5) probability
 653 distribution of NAP daily precipitation on wet days across the MRCM ensemble. A
 654 0.1 mm/day threshold is used to define wet days. (c-d) same as (a-b), but for RCP8.5
 655 scenario.

656 **Fig. 8.** (a) MRCM 3-model relative change (2071-2100 with respect to 1976-2005) in
 657 October-November NAP daily 850 hPa specific humidity percentiles. Boxes indicate
 658 confidence interval for the median and whiskers show the inter-model range. The
 659 horizontal grey dashed line indicates the relative change expected from Clausius-
 660 Clapeyron scaling under constant relative humidity and a 4K warming (\square 33%).
 661 Average 850 hPa wind during days when NAP specific humidity is in its (b) first and
 662 (c) tenth decile. (d) CMIP5 multi-model mean change (2071-2100 minus 1976-2005)
 663 in October-November 700-850 hPa relative humidity under RCP8.5. Dots indicate
 664 80% inter-model agreement on the sign of the change.

665 **Fig. 9.** (a) MRCM 3-model density of NAP October-November daily 850 hPa humidity
666 anomalies under historical (black, 1976-2005) and RCP8.5 (red, 2071-2100)
667 scenarios. Anomalies are defined with respect to each model's 1976-2100 mean. (b-d)
668 October-November CMIP5 multi-model (b) change in 850-700 hPa relative humidity
669 (shading) and moisture flux (arrows), (c) change in 850-700 hPa winds (arrows) and
670 historical 850-700 hPa specific humidity (shading), and (d) change in precipitation.
671 Changes are defined as the 2071-2100 average under the RCP8.5 scenario minus the
672 historical 1976-2005 average. Dots in (b) and (d) indicate at least 80% inter-model
673 agreement on the sign of the change.

674 **Fig. 10.** MRCM 3-model average (a) historical (1976-2005) total annual rainfall and (b)
675 change in annual rainfall under RCP8.5 (2071-2100 minus 1976-2005). (c-d) Same as
676 (a-b), but for annual number of wet days ($\geq 0.5\text{mm}$). Dots indicate agreement on the
677 sign of the change by the three models.

678 **Table 1** Summary of the control and sensitivity experiment with varying levels of specific
 679 humidity (hus).

	Integration period	Boundary forcing	Spin-up time
CTL	10/01/2018-11/30/2018	ERA-Interim hus	1 month
EXP120	10/01/2018-11/30/2018	1.2 x ERA-Interim hus	1 month
EXP110	10/01/2018-11/30/2018	1.1 x ERA-Interim hus	1 month
EXP90	10/01/2018-11/30/2018	0.9 x ERA-Interim hus	1 month
EXP80	10/01/2018-11/30/2018	0.8 x ERA-Interim hus	1 month
EXP70	10/01/2018-11/30/2018	0.7 x ERA-Interim hus	1 month
EXP60	10/01/2018-11/30/2018	0.6 x ERA-Interim hus	1 month
EXP50	10/01/2018-11/30/2018	0.5 x ERA-Interim hus	1 month
EXP50_RS	10/01/2018-11/30/2018	0.5 x ERA-Interim hus (above 20 degrees north, including Red Sea and the Persian Gulf)	1 month
EXP50_AS	10/01/2018-11/30/2018	0.5 x ERA-Interim hus (below 20 degrees north, including Arabian Sea)	1 month
EXP75	10/01-11/30 for the period 1982-2018 (time slice simulation)	0.75 x ERA-Interim hus	1 month every year

680

681

682 **Table 2** Selected storm events defined based on PV index (see Section 2)

Event #	Year	Begin date	Length (days)
1	1998	11-04	2
2	1998	11-10	2
3	2000	11-14	8
4	2000	11-29	2
5	2001	11-18	2
6	2002	11-17	4
7	2002	11-24	2
8	2002	11-29	2
9	2004	11-10	3
10	2004	11-19	2
11	2004	11-22	2
12	2005	11-15	2
13	2006	11-16	6
14	2006	11-23	6
15	2007	11-21	6
16	2008	11-01	6
17	2009	11-17	2
18	2009	11-26	3
19	2010	11-01	3
20	2010	11-07	8
21	2010	11-16	7
22	2011	11-04	3
23	2011	11-14	2
24	2011	11-19	4
25	2011	11-24	7
26	2012	11-07	8
27	2012	11-25	4
28	2013	11-01	2
29	2013	11-08	3
30	2013	11-16	5
31	2014	11-15	2
32	2014	11-21	8
33	2015	11-23	2
34	2016	11-23	6
35	2017	11-22	2
36	2018	11-02	9
37	2018	11-13	3
38	2018	11-23	3

683

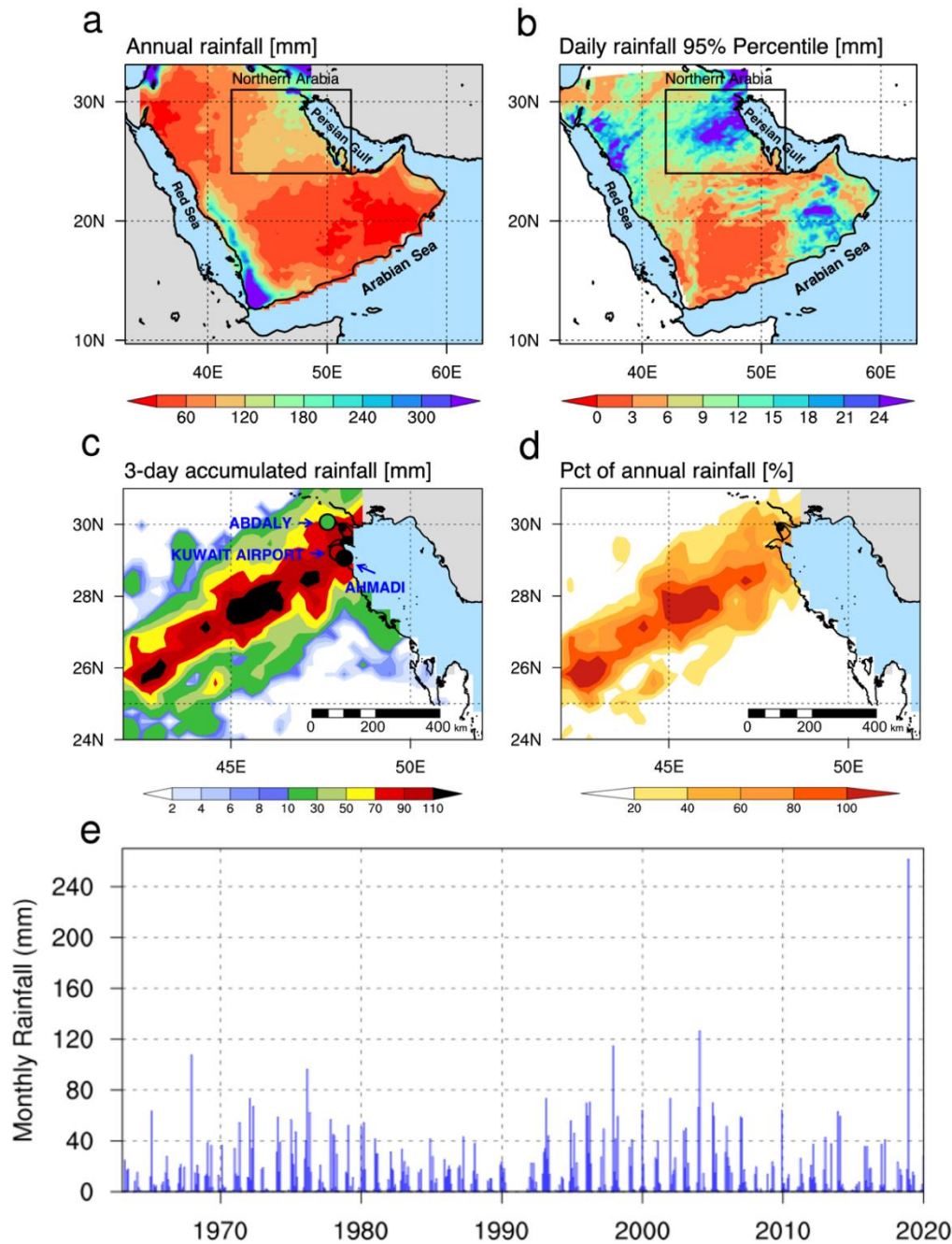
684

685 **Table 3** List of CMIP5 models used in this study. Resolution is in grid cells (latitude by
686 longitude).

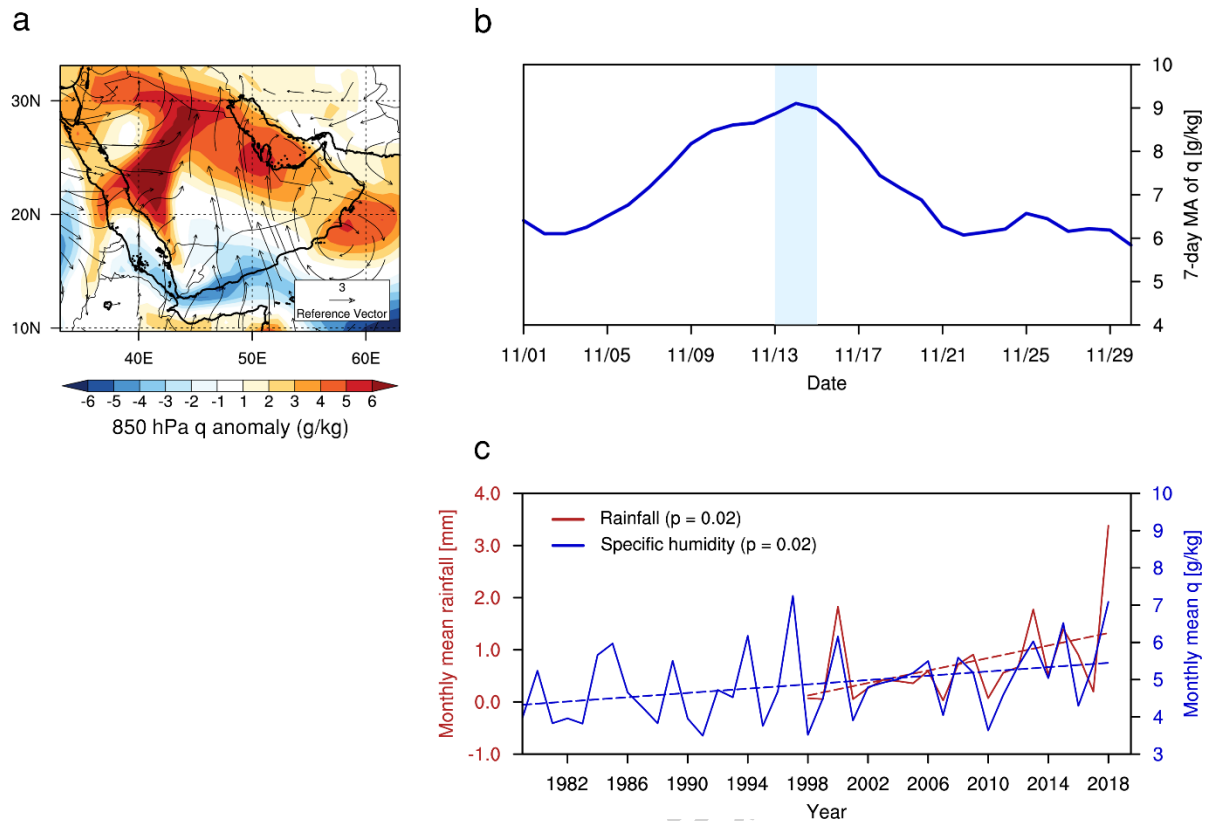
Name	Resolution	
	Atmosphere	Ocean
ACCESS1-0	144 × 192	300 × 360
ACCESS1-3	144 × 192	300 × 360
BCC-CSM1-1-m	160 × 320	232 × 360
BNU-ESM	64 × 128	-
CanESM2	64 × 128	192 × 256
CCSM4	192 × 288	384 × 320
CESM1-CAM5	192 × 288	384 × 320
CMCC-CESM	48 × 96	149 × 182
CMCC-CM	240 × 480	149 × 182
CMCC-CMS	92 × 192	149 × 182
CNRM-CM5	128 × 256	292 × 362
CSIRO-Mk3-6-0	96 × 192	189 × 192
FGOALS-gs	60 × 128	360 × 196
GFDL-ESM2G	90 × 144	210 × 360
GFDL-ESM2M	90 × 144	200 × 360
GISS-E2-R	90 × 144	144 × 90
GISS-ES-R-CC	90 × 144	144 × 90
HadGEM2-AO	144 × 192	216 × 360
HadGEM2-CC	144 × 192	216 × 360
HadGEM2-ES	144 × 192	216 × 360
INM-CM4	120 × 180	340 × 360
IPSL-CM5A-LR	96 × 96	149 × 182
IPSL-CM5A-MR	143 × 144	149 × 182
MIROC-ESM	64 × 128	192 × 256
MIROC-ESM-CHEM	64 × 128	192 × 256
MPI-ESM-LR	96 × 192	220 × 256
MPI-ESM-MR	96 × 192	404 × 802
MRI-CGCM3	160 × 320	368 × 360
NorESM1-M	96 × 144	384 × 320
NorESM1-ME	96 × 144	384 × 320

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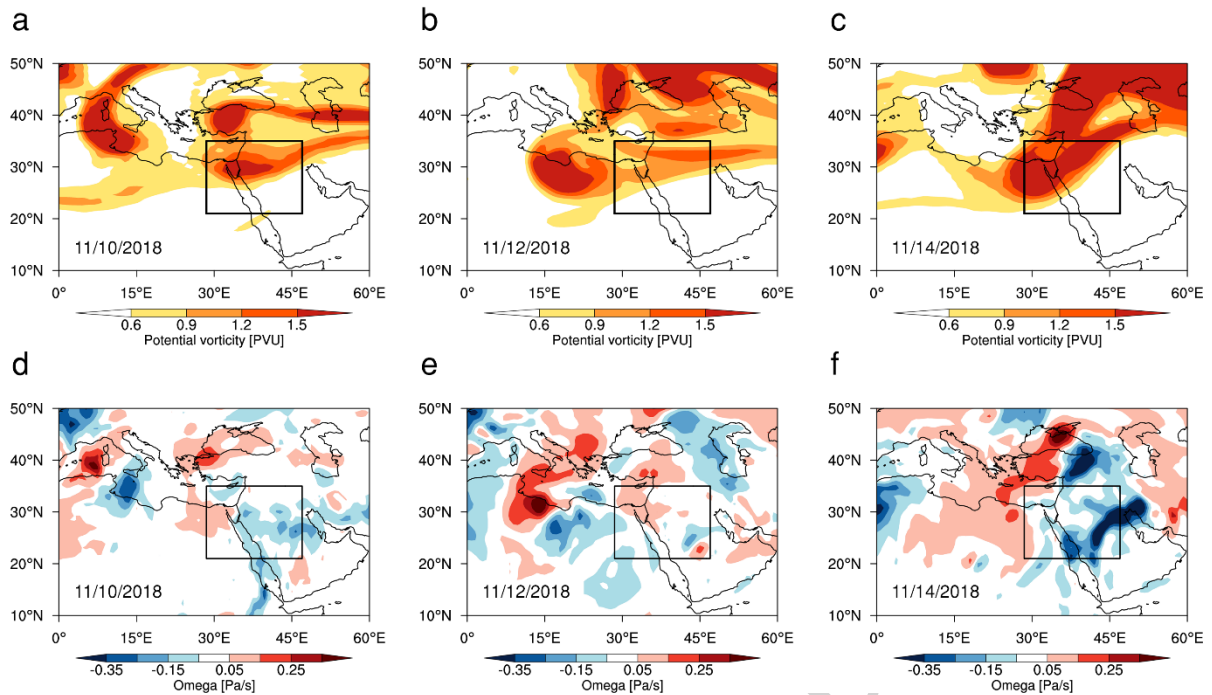


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 690 **Fig. 1** (a) Spatial distribution of annual total rainfall over Arabian Peninsula (TRMM 1998-
 691 2018 average), (b) November 95% daily precipitation quantiles over the NAP (MRCM
 692 simulation forced by ERA-interim), and (c) 3-day accumulated rainfall (November 13-15th
 693 2018) over the NAP (TRMM, shading) and at 3 meteorological stations (Ahmadi, Kuwait
 694 International Airport, and Abdaly) (coloured dots). (d) Ratio of 3-day accumulated rainfall
 695 (13-15 November 2018) to the climatological annual total rainfall over the NAP. (e) Time
 696 series of monthly rainfall at the Kuwait International Airport station over the 1962-2019
 697 period.



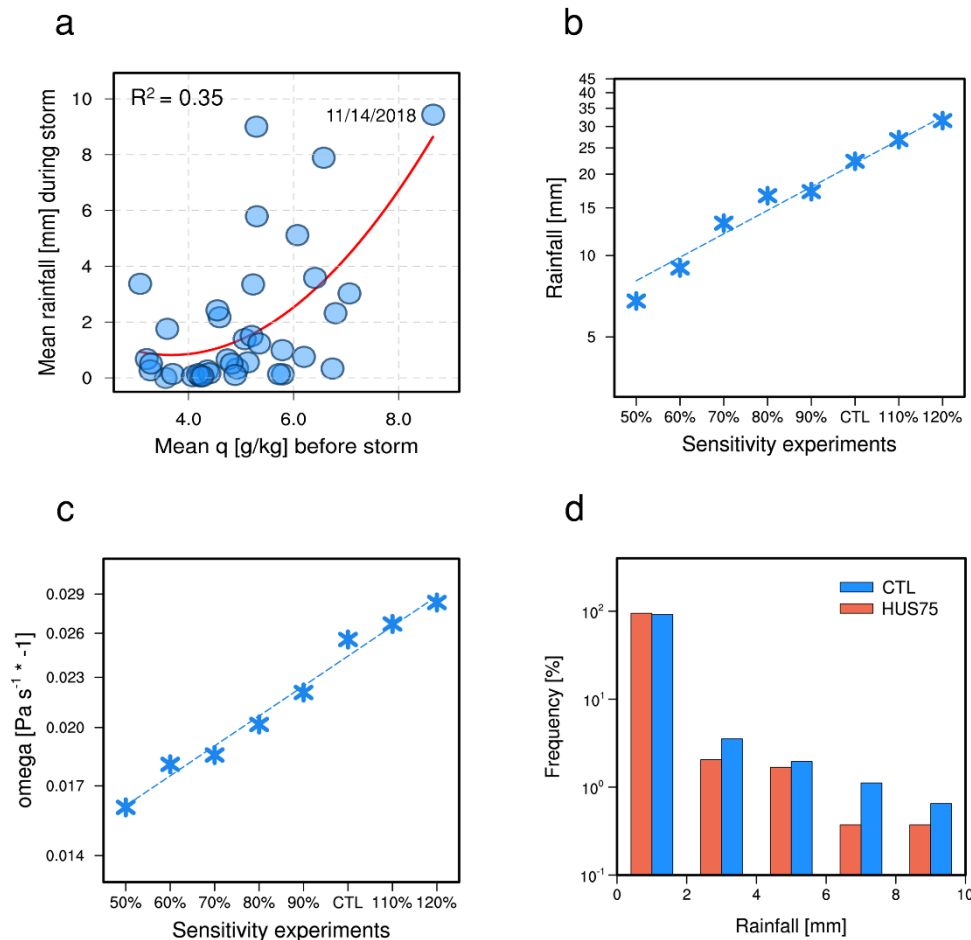
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Fig. 2 (a) Spatial distribution of specific humidity (shading) and horizontal wind anomalies (vectors) at 850 hPa (ERA-Interim, 1979-2018) on 14 November 2018. Anomalies are defined with respect to daily climatological-mean values (1979-2018). (b) Time series of the 7-day moving average of NAP specific humidity during November 2018. (c) Time series of November-mean NAP total rainfall (TRMM, 1998-2018, mm/day) and 850 hPa specific humidity (ERA-Interim, 1979-2018). The blue vertical bar in (b) highlights the period of intense rainfall (November 13-15th 2018).

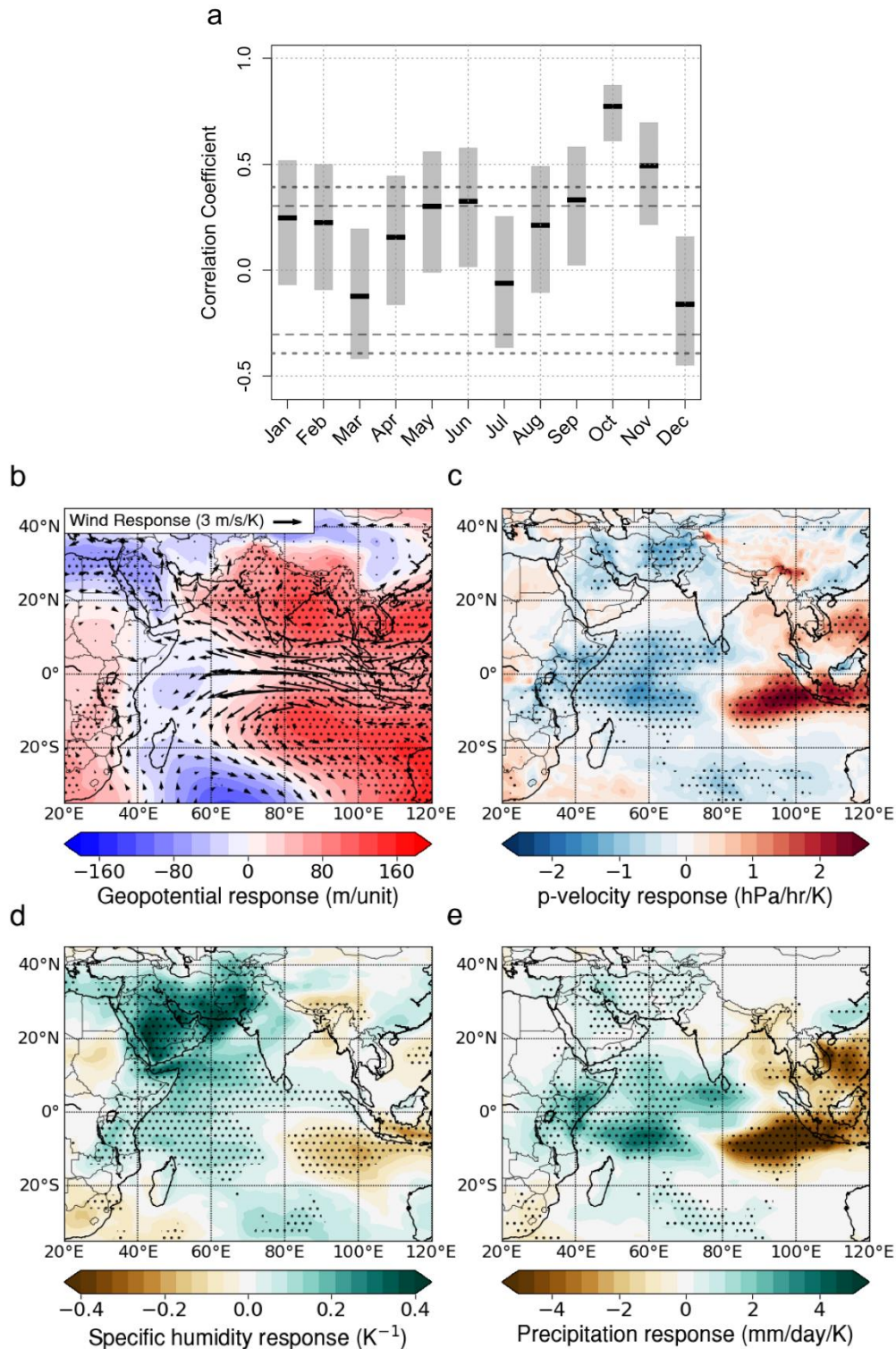


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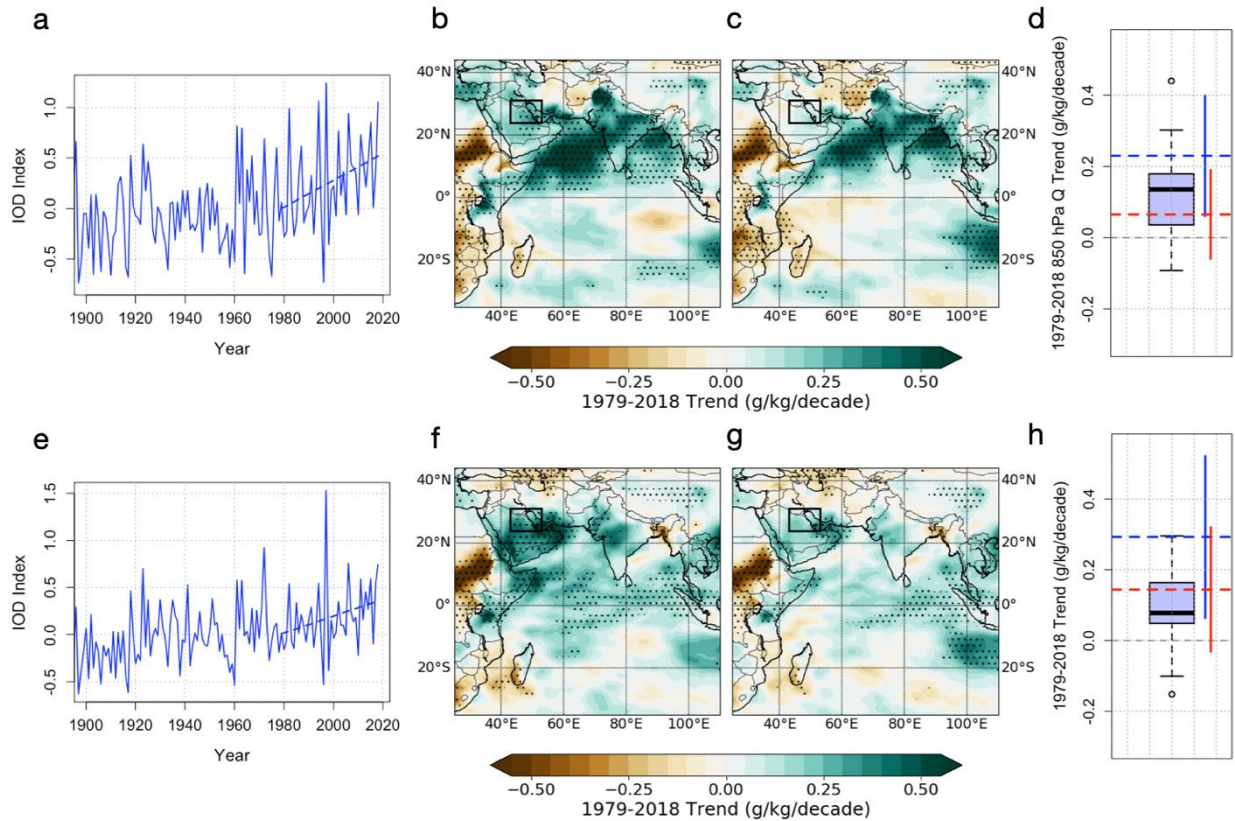
Fig. 3 Spatial distribution of potential vorticity at 300-hPa (ERA-Interim) on (a) November 10th, (b) 12th, and (c) 14th. Spatial distribution of vertical velocity at 500-hPa (ERA-Interim) on (d) November 10th, (e) 12th, and (f) 14th. Black rectangles in (a-f) denote the region (21-35°N / 28.5-47°E) used to define the potential vorticity index.



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 712 **Fig. 4** (a) Average 850 hPa NAP humidity in the week preceding a storm event (defined
 713 based on PV index, see Section 2.1) against total rainfall during that event. The red line
 714 indicates a best second-order polynomial fit, along with the corresponding r-square. MRCM-
 715 simulated NAP (b) 3-day accumulated rainfall (November 13-15th 2018) and (c) daily
 716 vertical velocity (reversed sign) on November 14th 2018 for the various sensitivity
 717 experiments in which atmospheric humidity is modified relative to the control run (CTL, see
 718 Section 2.2). (d) Probability distribution of MRCM-simulated November daily rainfall over
 719 the NAP (1982-2018) derived from our long-term CTL and EXP75 simulations. All the
 720 values used in the figure are NAP area-averaged values (24-31°N and 42-52°E).

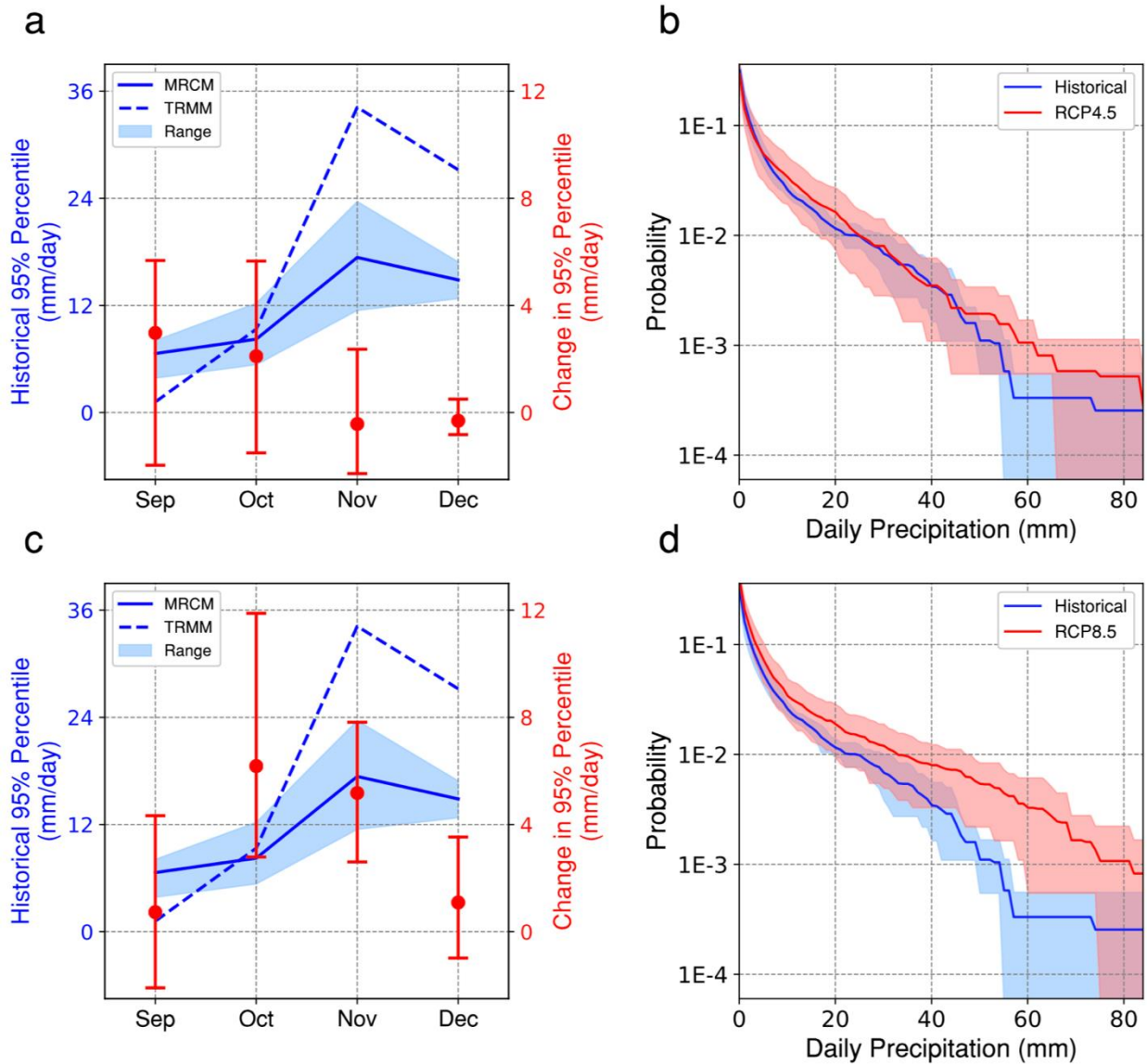


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 722 **Fig. 5** (a) Monthly Pearson correlation coefficient of NAP-average precipitable water (from
 723 ERA-Interim) to concurrent IOD index (1979-2019), and 95% confidence intervals (gray
 724 shading). 5% and 1% significance levels are indicated by the bold gray dashed and dotted
 725 lines, respectively. Linear regression slopes of November (b) 850 hPa geopotential height and
 726 wind, (c) 700 hPa pressure-velocity, (d) 850 hPa specific humidity (normalised by its mean
 727 November value) and (e) precipitation (from GPCP), against concurrent IOD index values
 728 (1979-2019). Dots indicate 5% significance.



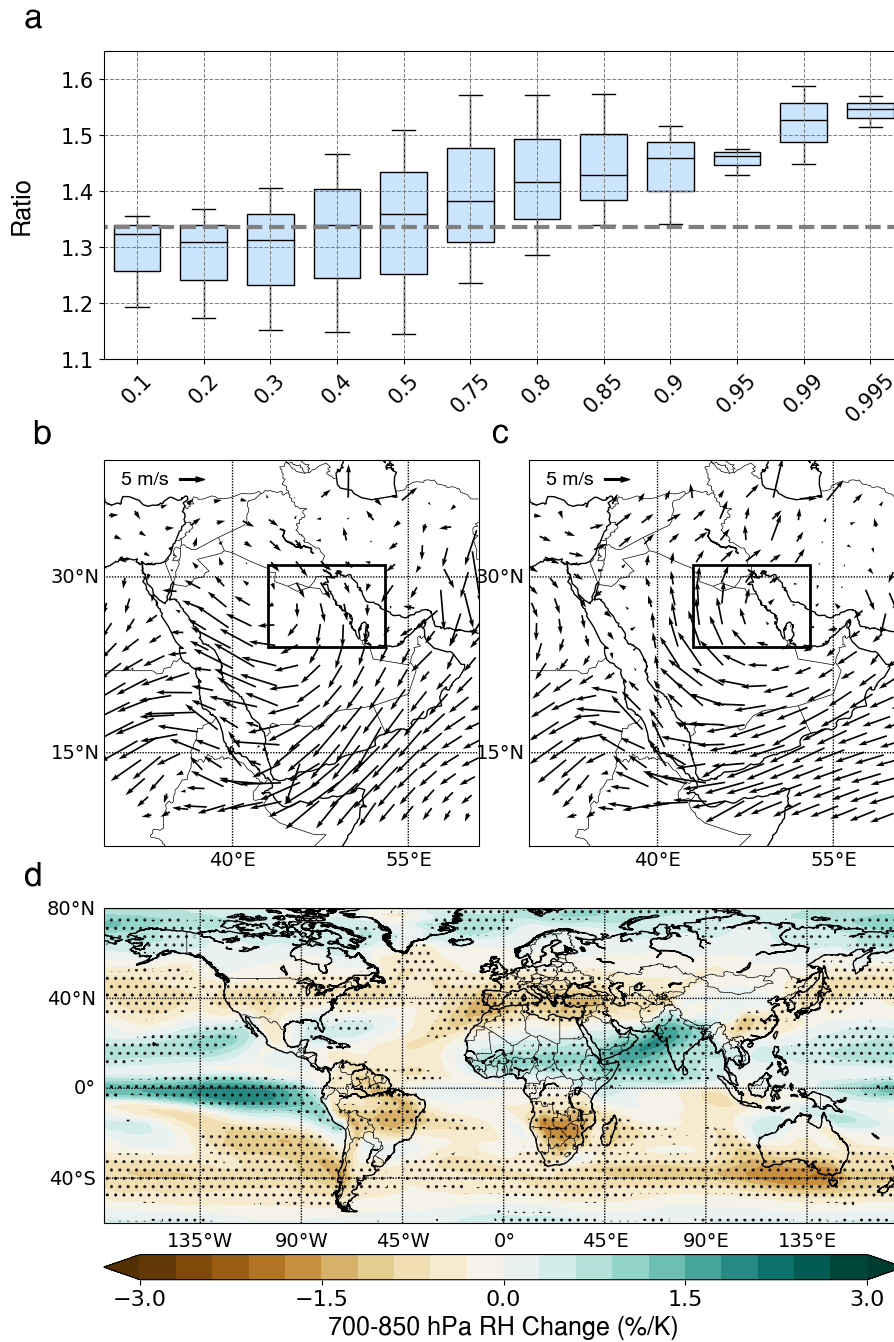
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Fig. 6 (a) October IOD index, 1900-2018. The least-squares regression line (dashed) is shown for the 1979-2018 period. (b) 850 hPa October specific humidity trends (1979-2018, ERA-Interim). (c) Same as (b), but with effect of IOD removed (see Section 2). (d) Boxplot of 1979-2018 CMIP5 850 hPa specific humidity trends over the NAP (historical run until 2005 and RCP8.5 afterwards). The horizontal dashed blue (respectively red) line indicates the corresponding raw (respectively IOD-corrected) ERA-Interim value. Vertical confidence intervals are also shown to the right. (e-h) Same as (a-d), but for November.



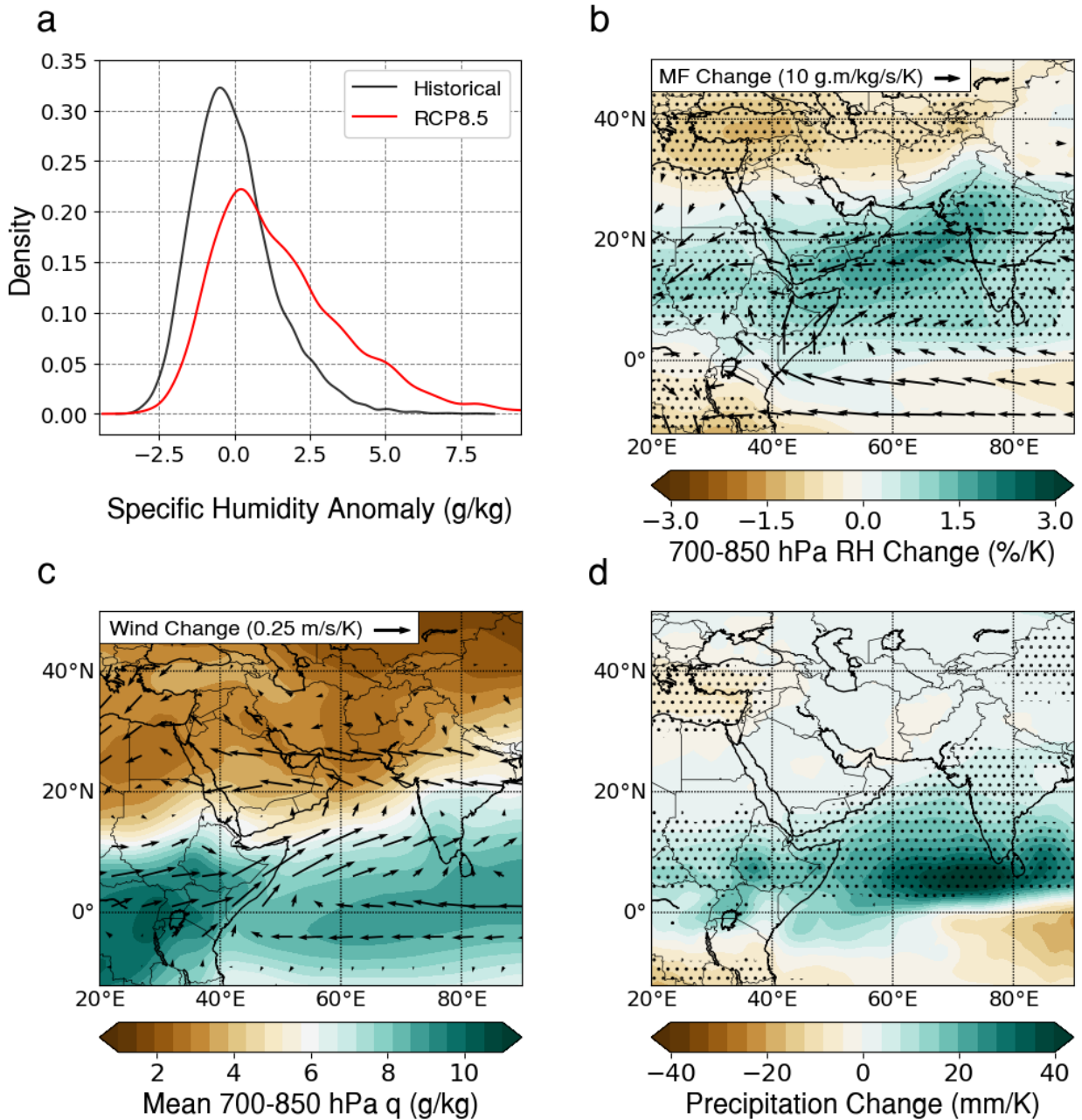
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Fig. 7 (a) Historical (solid blue, 1976-2005) and change (red) under RCP4.5 of NAP-average 95% daily precipitation quantiles from September to December. For comparison, the corresponding TRMM values (1998-2019) are shown by the blue dashed line. (b) Historical (blue, 1976-2005) and future (red, 2071-2100, RCP4.5) probability distribution of NAP daily precipitation on wet days across the MRCM ensemble. A 0.1 mm/day threshold is used to define wet days. (c-d) same as (a-b), but for RCP8.5 scenario.

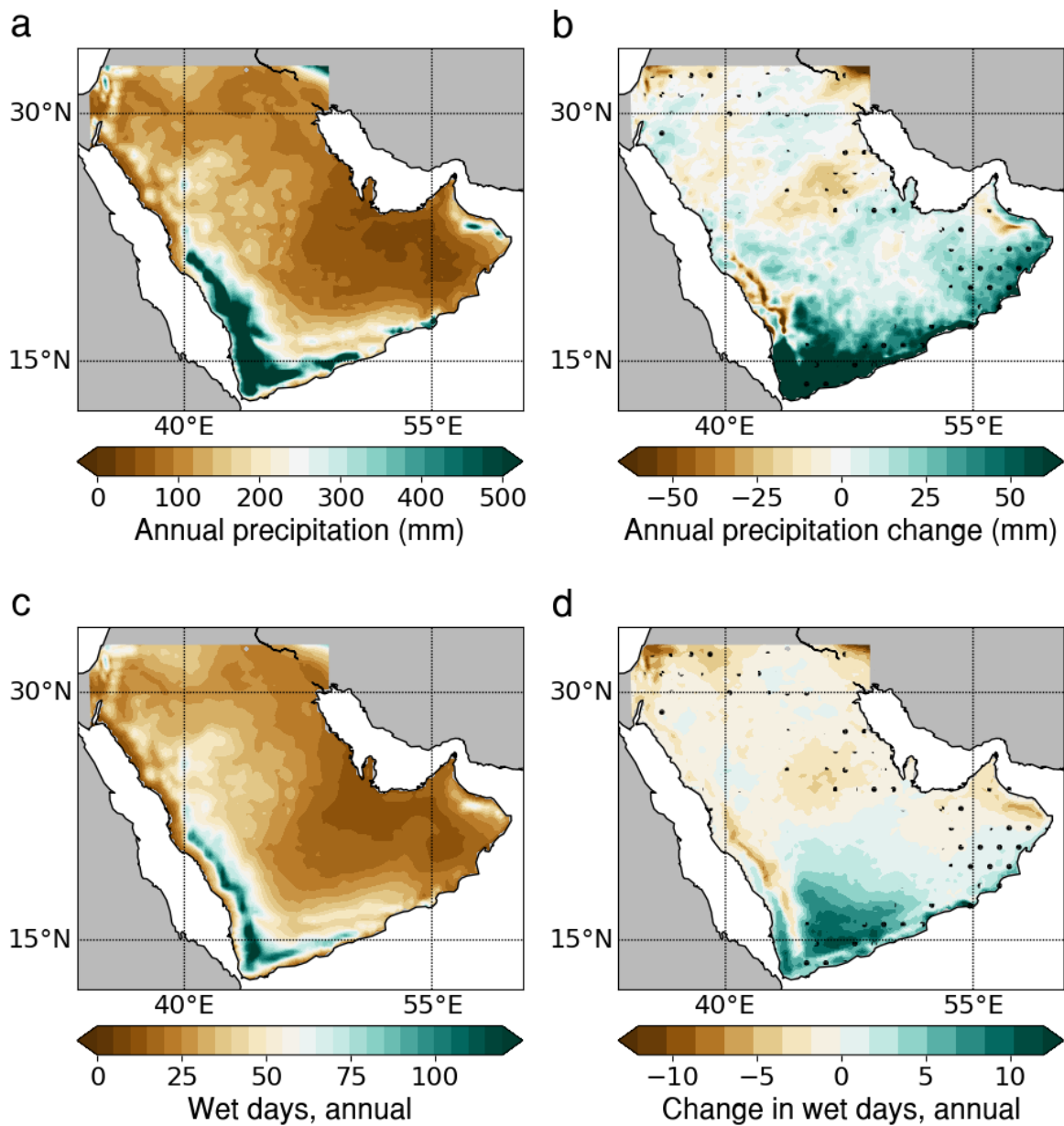


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747 **Fig. 8** (a) MRCM 3-model relative change (2071-2100 with respect to 1976-2005) in
 748 October-November NAP daily 850 hPa specific humidity percentiles. Boxes indicate
 749 confidence interval for the median and whiskers show the inter-model range. The horizontal
 750 grey dashed line indicates the relative change expected from Clausius-Clapeyron scaling
 751 under constant relative humidity and a 4K warming ($\approx 33\%$). Average 850 hPa wind during
 752 days when NAP specific humidity is in its (b) first and (c) tenth decile. (d) CMIP5 multi-
 753 model mean change (2071-2100 minus 1976-2005) in October-November 700-850 hPa
 754 relative humidity under RCP8.5. Dots indicate 80% inter-model agreement on the sign of the
 755 change.

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758 **Fig. 9** (a) MRCM 3-model density of NAP October-November daily 850 hPa humidity
 759 anomalies under historical (black, 1976-2005) and RCP8.5 (red, 2071-2100) scenarios.
 760 Anomalies are defined with respect to each model's 1976-2100 mean. (b-d) October-
 761 November CMIP5 multi-model (b) change in 850-700 hPa relative humidity (shading) and
 762 moisture flux (arrows), (c) change in 850-700 hPa winds (arrows) and historical 850-700 hPa
 763 specific humidity (shading), and (d) change in precipitation. Changes are defined as the 2071-
 764 2100 average under the RCP8.5 scenario minus the historical 1976-2005 average. Dots in (b)
 765 and (d) indicate at least 80% inter-model agreement on the sign of the change.



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767 **Fig. 10** MRCM 3-model average (a) historical (1976-2005) total annual rainfall and (b)
 768 change in annual rainfall under RCP8.5 (2071-2100 minus 1976-2005). (c-d) Same as (a-b),
 769 but for annual number of wet days (≥ 0.5 mm). Dots indicate agreement on the sign of the
 770 change by the three models.

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