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Solar Steam Generator for Medical Sterilization*

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# A passive high-temperature high-pressure solar steam generator for medical sterilization

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## Summary

Saturated steam (>121 °C and >205 kPa) is widely used in the medical sterilization process known as autoclaving. However, solar-driven steam generation at such high temperature and pressure requires expensive optical concentrators. We demonstrate a passive solar thermal device mostly built from low-cost off-the-shelf components capable of delivering saturated and pressurized steam to drive sterilization cycles even under hazy and partly cloudy weather. Enabled by an optimized ultra-transparent silica aerogel, the device utilizes an efficient thermal concentration strategy to locally increase the heat flux and temperature obviating the need for active optical concentrators. With more than 2x higher energy efficiency (56%) than those previously reported at 100 °C, the device demonstrated successful sterilization in a field test performed in Mumbai, India. In addition to enabling passive sterilization, this work promises the development of solar thermal energy systems for saturated steam generation in energy conversion, storage, and transport applications.

## Keywords

Solar thermal energy, steam generation, aerogel, sterilization, thermal concentration

## 25 **Introduction**

26 Health care-associated infection (HCAI) represents the most frequent adverse medical events especially in  
27 developing countries. According to a recent report published by the World Health Organization, more than  
28 19% of patients are affected by HCAI in low- and middle-income countries, resulting in increased  
29 antimicrobial resistance, nearly 30% higher mortality rates for critical patients, prolonged hospital stays up  
30 to weeks, and massive cost burden for the health care systems and the patients.<sup>1,2</sup> Besides implementing  
31 standard precautions and promoting awareness, a critical step in alleviating this challenge is ensuring proper  
32 sterilization of invasive medical equipment. The most effective and widely adopted procedure to sterilize  
33 medical equipment and materials is known as autoclaving, where saturated steam at elevated temperature  
34 and pressure ( $>121$  °C and  $>205$  kPa or 2.05 bar) condenses and rapidly releases its latent heat to destroy  
35 the infectious agents.<sup>3</sup> Compared with other heat-based sterilization methods, the autoclaving process  
36 leverages the large latent heat of water to achieve effective sterilization in a short period ( $\approx 30$  mins).  
37 However, in order to generate the required saturated steam, commercially available autoclaves rely on either  
38 electricity or fuel which is inaccessible to many resource-limited regions, resulting in higher infection rates.<sup>1</sup>

39

40 Recent developments in small-scale solar-driven technology have enabled utilization of the abundant solar  
41 energy for water vapor generation in remote areas.<sup>4-11</sup> Leveraging interfacial solar heat localization,  
42 improved thermal design, and enthalpy recycling, substantially higher solar-to-vapor conversion  
43 efficiencies have been reported.<sup>12-18</sup> However, these high efficiency systems typically operate at relatively  
44 low temperatures ( $<50$  °C), which are insufficient for medical sterilization. Although high-temperature  
45 solar steam generation has been demonstrated in recent studies, their solar-to-steam conversion efficiency  
46 is limited ( $\approx 20\%$ ) due to higher heat losses at elevated temperatures ( $>100$  °C).<sup>19-22</sup> Therefore, new  
47 strategies are required to enable efficient solar steam generation processes at high temperatures.

48

49 Realizing high-efficiency high-temperature solar steam generation in a small-scale system is a critical  
50 technological challenge that could enable novel applications of solar thermal energy systems. A common  
51 way to generate saturated steam is through boiling when rapid liquid-to-vapor phase change takes place.  
52 For water at atmospheric pressure, the minimum heat flux at the onset of nucleate boiling is about  $7 \text{ kW/m}^2$   
53 – seven times higher than the peak solar irradiance on Earth  $\approx 1 \text{ kW/m}^2$ .<sup>23,24</sup> This substantial energy flux  
54 mismatch necessitates the use of active optical concentrators (10-1000x), similar to those used in large-  
55 scale solar thermal plants, to boil water with sunlight.<sup>25-27</sup> An optical concentrator focuses the incoming  
56 solar radiation to an absorber, as shown in Figure 1A (left), increasing its temperature and heat flux beyond

57 the boiling threshold to initiate steam generation. However, the cost and complexity of bulky optical  
58 concentrators and associated tracking systems have prevented widespread adoption of solar steam  
59 generation, especially in remote and resource-limited areas.

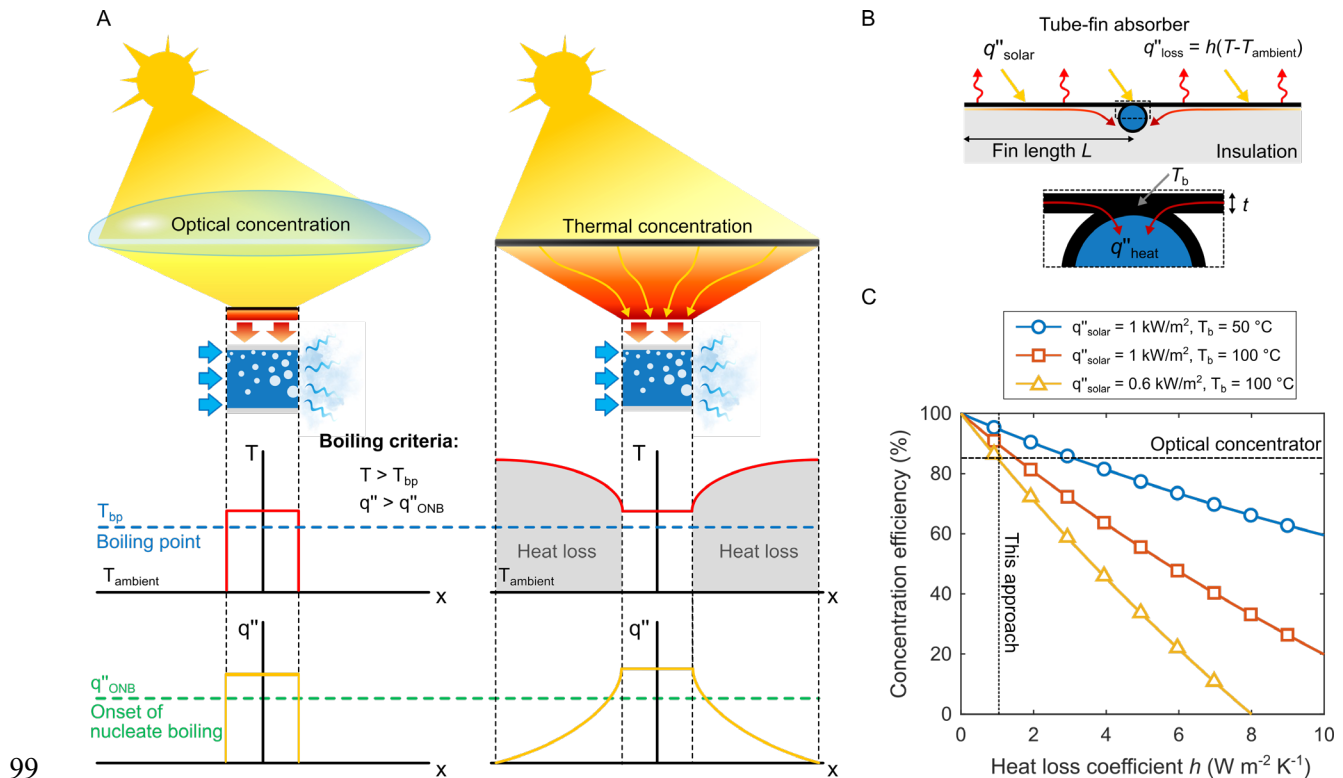
60

61 An alternative and simpler approach to locally increasing the temperature and heat flux is via thermal  
62 concentration. Here a larger solar absorbing surface converts sunlight to heat and conducts the heat to a  
63 smaller area, thereby increasing the heat flux to enable steam generation as shown in Figure 1A (right). The  
64 thermal concentration approach relies on heat conduction that can be easily realized using low-cost  
65 thermally conducting materials (*e.g.*, metals) without any moving parts.<sup>28</sup> Thermal concentration is already  
66 implemented in many existing solar thermal systems using a tube-fin solar absorber (Figure 1B), where a  
67 thin metallic fin absorbs solar radiation and concentrates heat towards the tube through the small fin  
68 thickness.<sup>29</sup> However, when compared with the optical approach, the additional heat loss due to (1) the  
69 larger absorber surface area and (2) the temperature gradient along the absorber (Figure 1A, middle panel)  
70 severely deteriorates the thermal concentration performance at elevated temperatures. The efficiency of  
71 thermal concentration, *i.e.*, the ratio of the concentrated thermal energy to the total incoming solar energy  
72  $\eta_{th} = Q_{\text{heat}}/Q_{\text{solar}}$ , strongly depends on the base temperature of the absorber fin  $T_b$  and the heat loss  
73 coefficient  $h$  as shown in Figure 1C. When  $h$  approaches  $10 \text{ W m}^{-2} \text{ K}^{-1}$  (typical for open systems in air), the  
74 energy efficiency quickly drops to below 20% for  $T_b = 100 \text{ }^\circ\text{C}$ , especially when the input solar flux is lower  
75 than  $1 \text{ kW/m}^2$  due to atmospheric conditions and cosine loss (see supplemental note 1 for detailed thermal  
76 analysis). This result is consistent with recent studies that demonstrate saturated steam generation at  $100$   
77  $^\circ\text{C}$  using  $>1000\times$  thermal concentration but achieve energy efficiency  $\approx 20\%$ .<sup>19,21</sup> Due to this challenge, state-  
78 of-the-art steam generation at sterilization conditions ( $>121 \text{ }^\circ\text{C}$  and  $>205 \text{ kPa}$ ) still relies on optical  
79 concentration with active tracking despite the system complexity and cost.<sup>30-33</sup>

80

81 In this work, we present a passive solar thermal device that can generate saturated steam at the required  
82 sterilization conditions even under partly cloudy and hazy skies without any moving parts. Enabled by an  
83 optimized silica aerogel layer, the device uses an off-the-shelf tube-fin solar absorber to reach the required  
84 temperature and heat flux via thermal concentration. In order to overcome the heat loss limitation, optically  
85 transparent and thermally insulating silica aerogel tiles were fabricated and integrated into the device to  
86 reduce the effective heat loss coefficient  $h$  to about  $1 \text{ W m}^{-2} \text{ K}^{-1}$  – a 10x suppression compared to typical  
87 open solar vapor systems. This extremely low heat loss allows the thermal concentration process to be as  
88 efficient as the optical concentration counterpart (Figure 1C). In a field test performed in Mumbai, India

89 (19° 7' 48" N, 72° 55' 8" E), the device generated saturated steam at 100 °C with 56% efficiency (solar flux  
 90  $\approx 0.7 \text{ kW/m}^2$ ) – more than 2x higher than the highest-reported efficiency achieved in a controlled laboratory  
 91 environment with constant 1 sun illumination.<sup>19,20</sup> In addition to the highly efficient thermal concentration  
 92 process, the planar absorber geometry facilitates the use of a simple non-tracking compound-parabolic  
 93 concentrator (CPC) to further increase the power capacity of the device at the expense of a small efficiency  
 94 drop to 47%. Enabled by the high energy efficiency, the sterilization process at 128 °C and 250 kPa was  
 95 successfully demonstrated and verified using a standard autoclave indicator tape. This work provides a new  
 96 low-cost pathway to generate saturated steam with natural sunlight, which could help alleviate avoidable  
 97 infections in resource-limited regions and facilitate the adoption of solar thermal technology in applications  
 98 such as power generation and industrial process heat.

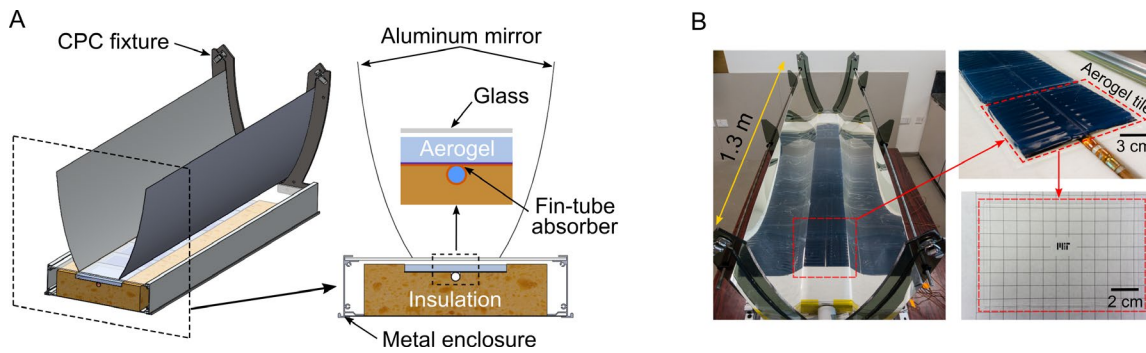


100 **Figure 1.** Thermal concentration enabled saturated steam generation. (A) Increasing local heat flux by  
 101 optical (left) and thermal (right) concentration. To initiate nucleate boiling of water, the surface temperature  
 102 must be higher than the boiling point  $T_{bp}$  (wall superheat) and the local heat flux needs to surpass the value  
 103 at the onset of nucleate boiling  $q''_{ONB}$ . The middle and bottom panel illustrate the corresponding temperature  
 104 and heat flux distribution along the solar absorber surface for optical and thermal concentration. The shaded  
 105 area in the temperature distribution of thermal approach indicates the additional heat loss due to the enlarged  
 106 absorber area. (B) Schematic of a typical tube-fin solar absorber. (C) Thermal concentration efficiency as  
 107 a function of the surface heat loss coefficient  $h$  for different solar flux and base temperatures. The horizontal  
 108 dashed line shows the performance of a typical optical concentrator and the vertical dashed line shows the  
 109 comparable thermal concentration performance  $q''$  achieved in this work. (see supplemental note 1 for detailed  
 110 thermal analysis)

111 **Results**

112 The design and construction of the steam generator prototype is shown in Figure 2. A tube-fin absorber  
113 (Thermafin Manufacturing, 127 mm × 965 mm) made of copper with a selective solar absorbing coating  
114 was used for its high solar absorptance, low infrared emittance, and high thermal conductivity. The backside  
115 of the absorber was insulated by a 50 mm thick fiberglass sheet. The absorber was enclosed in a protective  
116 aluminum enclosure and the top aperture was covered by a sheet of borosilicate glass (Swift Glass, 3.3 mm  
117 thick). Ten transparent aerogel tiles (150 mm × 100 mm × 7 mm) were placed on top of the absorber surface  
118 in place of the air gap in conventional solar collectors. A non-tracking CPC with a geometric concentration  
119 ratio of 2.13x (acceptance angle = 25°) was fabricated by bending two pieces of thin aluminum mirrors into  
120 a parabolic shape. The CPC provides a simple way to increase the input solar power without active diurnal  
121 tracking.<sup>34</sup> Additionally, because of the low concentration ratio, the CPC is also able to harness a fraction  
122 of the diffuse solar radiation which is beneficial for operation during cloudy and hazy weather conditions.

123



124

125 **Figure 2.** Design and fabrication of the steam generator prototype. (A) CAD schematic and (B) photograph  
126 of the assembled prototype. An aluminum enclosure was built to house the fin-tube solar absorber and  
127 fiberglass insulation was used to reduce the backside heat loss. Ten monolithic transparent aerogel samples  
128 were tiled to cover the entire solar absorbing surface (red-dashed box in B). The non-tracking CPC was  
129 constructed by bending two aluminum mirrors into the designed parabolic shape, which were held by plastic  
130 fixtures.

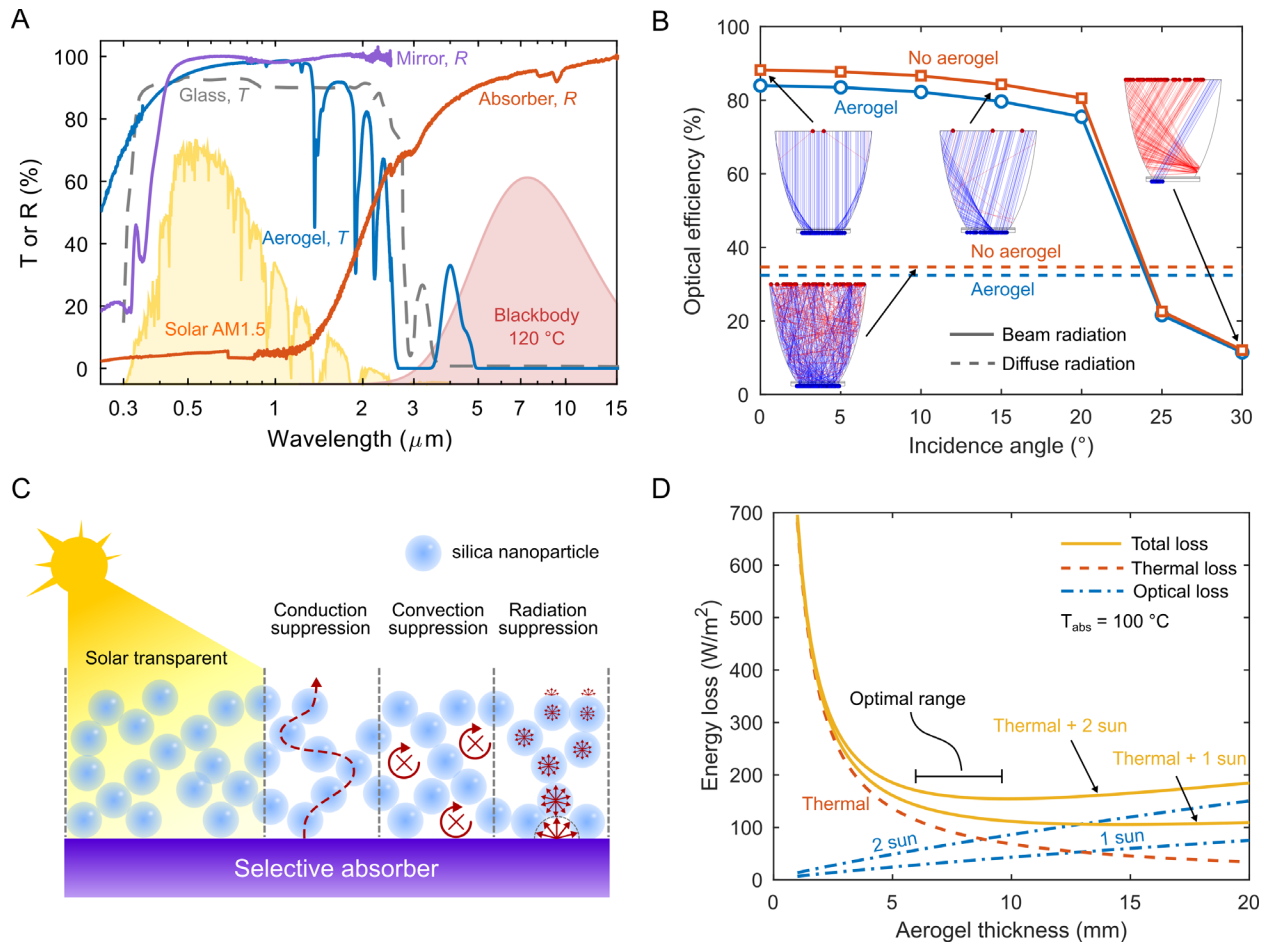
131

132 Optimization of optical and thermal transport in the steam generator prototype enables efficient thermal  
133 concentration. First, solar radiation is captured and absorbed with minimal loss. As shown in Figure 3A,  
134 the high solar transmittance of the silica aerogel and glass in combination with the high reflectance of the  
135 concentrator mirror ensures that the majority of incident solar radiation reaches the absorber and is  
136 converted into heat. Unlike conventional translucent silica aerogel,<sup>35–39</sup> we have recently shown it is  
137 possible to achieve ultra-high solar transmittance ( $\approx 95\%$ ) by optimizing the microstructure of the aerogel  
138 to reduce scattering.<sup>40–44</sup> The low scattering coefficient, *i.e.*, longer photon mean free path, is especially

139 beneficial when solar radiation is incident from oblique angles due to the diurnal movement of the sun and  
140 atmosphere scattering from clouds and particulate matter (see supplemental note 2 for aerogel  
141 characterization details). To quantify the optical effect of adding the aerogel layer, we performed ray tracing  
142 simulations (Figure 3B) which showed that the additional aerogel layer had a minimal impact ( $\approx 5\%$ ) on  
143 the system's optical efficiency (see supplemental note 3 for ray tracing details). Specifically, within the  
144 acceptance angle of the CPC, the optical efficiency is over 80% for beam radiation and over 32% for diffuse  
145 radiation with the aerogel layer present. Second, the optimized silica aerogel significantly suppresses the  
146 heat loss from the absorber surface as depicted in Figure 3C. The aerogel's inherent porous structure with  
147 pores ( $\approx 20$  nm average diameter) smaller than the air mean free path ( $\approx 70$  nm) and tortuous backbone  
148 reduces the gas- and solid-phase heat transfer. The high extinction in the infrared spectrum (Figure 3A)  
149 diminishes radiative heat transfer within the aerogel, which becomes the dominant heat transfer mode at  
150 elevated temperatures ( $>100$  °C).<sup>45</sup> Additionally, the selective absorber further reduces the radiation loss  
151 by imposing a low-emissivity boundary condition on the aerogel especially in the spectral range where the  
152 blackbody spectrum starts to overlap with the transparent window of the aerogel in the 3-5  $\mu\text{m}$  wavelength  
153 range (Figure 3A).

154

155 To fully leverage the benefit of the aerogel, its thickness needs to be carefully chosen to balance the gain  
156 in thermal insulation and the loss in optical transmittance. As the aerogel thickness increases, the solar  
157 transmittance deteriorates while the thermal insulation improves. The optimal thickness depends on the  
158 operating conditions such as absorber temperature and solar radiation flux. Figure 3D illustrates the trend  
159 of optical and thermal loss as a function of the aerogel thickness for two representative solar fluxes (1, 2  
160  $\text{kW/m}^2$ ) at 100 °C. For both cases, the total energy loss quickly decreases with increasing aerogel thickness  
161 at small aerogel thicknesses ( $< 5$  mm). When the aerogel thickness is greater than 10 mm, the total energy  
162 loss starts to level and eventually increase due to the dominance of optical losses. This trade-off results in  
163 an optimal aerogel thickness range of 6 - 9 mm which represents the most favorable balance between optical  
164 and thermal loss. Therefore, we used 7 mm thick aerogel tiles in the prototype. The optimized aerogel was  
165 a key feature that enabled the prototype – otherwise built from off-the-shelf components – to be a high-  
166 performance solar steam generator capable of achieving temperatures and pressures required for  
167 sterilization without relying on complicated optical systems or expensive infrastructure.



168

169 **Figure 3.** Optical and thermal properties of prototype components. (A) Transmittance (T) and reflectance  
 170 (R) spectra of the aerogel, absorber, glass, and mirror in the solar and infrared region. (B) Ray tracing  
 171 results for both collimated beam radiation and diffuse radiation. The optical efficiency is defined as the  
 172 ratio of the incident solar flux intercepted by the CPC aperture and the flux absorbed by the absorber. Inset:  
 173 snapshots of the ray path (blue trajectory - ray that reaches the solar absorber, red trajectory - ray that misses  
 174 the absorber). Because of the aerogel's high transparency in the solar spectrum, it has a minimal impact ( $\approx$   
 175 5%) on the overall optical efficiency at the designed thickness. (C) Schematic of the aerogel optical and  
 176 thermal characteristics. The aerogel is transparent to solar radiation because of the ultra-small particle size  
 177 and the lack of intrinsic absorption of silica in the solar spectrum. Meanwhile, the tortuous particle backbone,  
 178 nanometer-size pores, and high infrared extinction suppress heat transfer via conduction, convection, and  
 179 radiation. (D) Optical, thermal, and total energy loss as a function of aerogel thickness for input solar flux  
 180 of 1 and 2  $\text{kW}/\text{m}^2$  and absorber temperature of 100 °C.

181

182 To evaluate the prototype performance under realistic weather conditions, we performed steam generation  
 183 and sterilization demonstrations in Mumbai, India as a representative field test location in the developing  
 184 world. The experiments were conducted on the rooftop of the Department of Energy Science and  
 185 Engineering building in the campus of Indian Institute of Technology Bombay (IITB). In the first set of  
 186 experiments, we investigated the steam generation rate at 100 °C under atmospheric pressure. As shown in



187 Figure 4A, the experimental loop consists of the steam generator prototype, with the inlet connected to a  
188 water tank and the outlet open to the atmosphere. The water tank was placed on a mass balance  
189 (SJX6201N/E, Ohaus) to monitor its weight change with time. After filling with water, the water tank  
190 opening was capped, ensuring negligible dark evaporation contribution. By setting the water tank at an  
191 appropriate height, water was continuously driven into the prototype by gravity and maintain a constant  
192 water level ( $\approx 2/3$  full) in the prototype. Thermocouples located at the inlet ( $T_1$ ), the middle ( $T_2, T_4, T_5$ ), the  
193 outlet ( $T_3$ ), and the steam vent ( $T_{\text{steam}}$ ) of the prototype were attached to monitor the internal temperature of  
194 the prototype and an extra thermocouple (shielded from direct sunlight) was used to measure the ambient  
195 temperature. A pyranometer (LP-02, Hukseflux) was placed near the prototype to measure the global  
196 horizontal solar irradiance (GHI). All the measurements were recorded by a data acquisition module  
197 (34972A, Agilent) connected to a computer. Figure 4B shows a photograph of the prototype during the  
198 experiment. The prototype was aligned towards the south and tilted at  $40^\circ$  to match the zenith angle of the  
199 sun at solar noon. Figures 4C-E show the temperature evolution, GHI, and mass change during the  
200 experiment, respectively. The experiment started around 11:30 (solar time) when the ambient temperature  
201 and humidity were around  $29^\circ\text{C}$  and 53%. Shortly after the start, we exposed the prototype to sunlight  
202 which begun heating. Within 10 minutes into the experiment, the steam vent temperature abruptly increased  
203 to  $100^\circ\text{C}$  indicating the onset of steam generation. The temperature spike at the prototype outlet ( $T_3$ ) was  
204 due to the initial dryout, which was then stabilized at  $100^\circ\text{C}$  after a steady flow of steam was established  
205 (Figure 4C). Despite the low incident solar flux ( $\approx 0.65\text{ kW/m}^2$ ) due to hazy weather conditions, constant  
206 steam generation was maintained throughout the experiment ( $\approx 1$  hour) as shown by the steady steam  
207 temperature at  $100^\circ\text{C}$ . The fin edge temperatures were about  $15^\circ\text{C}$  higher than the center of the absorber  
208 ( $T_{4,5}$  versus  $T_2$ ) to establish the temperature gradient necessary to thermally concentrate the heat flux from  
209 the fin edge to the tube.

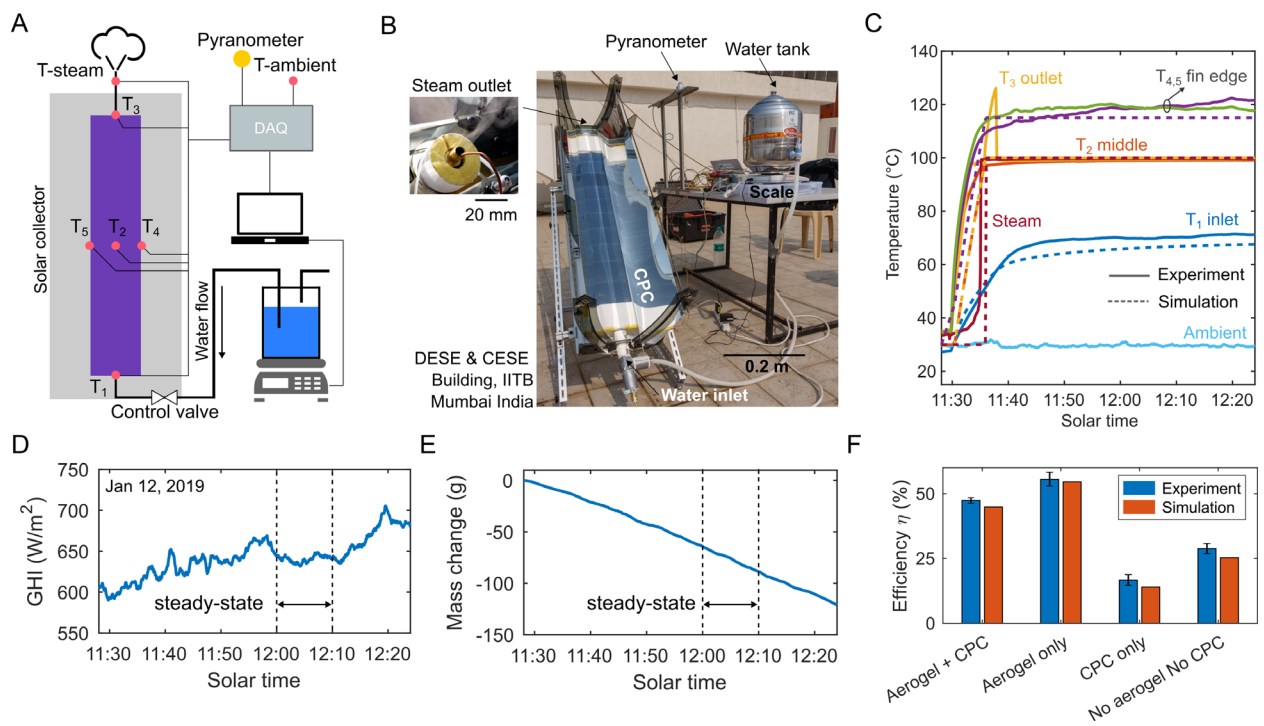
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211 The performance of the prototype can be quantified by the steam generation rate and energy efficiency. We  
212 calculated the steam generation rate when the prototype reached a quasi-steady-state from 12:00 to 12:10  
213 and the energy efficiency can be quantified as

$$\eta = \frac{\dot{m}(h_{fg} + c_p\Delta T)}{CAq''_{\text{solar}}} \approx \frac{\dot{m}h_{fg}}{CAq''_{\text{solar}}} \times 100\% \quad (1)$$

214 where  $\dot{m}$  is the steam generation rate estimated from the slope of the mass change data,  $h_{fg}$  is the enthalpy  
215 of water vaporization,  $c_p\Delta T$  is the sensible heat contribution ( $c_p$  – heat capacity,  $\Delta T$  – temperature rise from  
216 the water inlet temperature to boiling temperature),  $C$  is the optical concentration ratio,  $A$  is the absorber  
217 area ( $\text{m}^2$ ), and  $q''_{\text{solar}}$  ( $\text{kW/m}^2$ ) is the average solar flux incident at the prototype aperture (converted from

218 GHI based on the orientation of the prototype aperture). In this calculation, we used  $h_{fg} = 2,257$  kJ/kg  
 219 corresponding to the latent heat at 100 °C and excluded the sensible heat contribution to be conservative.  
 220 To quantify the performance enhancement due to the aerogel and CPC, we performed additional  
 221 experiments on three consecutive days with the aerogel tiles removed, the CPC removed, and both the  
 222 aerogel tiles and the CPC removed from the prototype (see details of the additional experiments in  
 223 supplemental note 4). The energy efficiency of each case is shown in Figure 4F. Comparing results of the  
 224 experiments with and without aerogel tiles, we observed a >2x energy efficiency enhancement – achieving  
 225 the highest efficiency of 56% for the “aerogel only” case followed by 47% for the “aerogel + CPC” case.  
 226 The corresponding volumetric steam generation rates are 2.5 L/min (aerogel only) and 4.2 L/min (aerogel  
 227 + CPC). The reduced efficiency with the CPC was due to the additional optical loss. This demonstrated  
 228 performance under realistic weather conditions with  $\approx 0.65$  kW/m<sup>2</sup> solar flux represents a significant  
 229 improvement from previous results (efficiency  $\approx 20\%$ ) under controlled lab environment and illustrates the  
 230 effectiveness of an optimized system using thermal concentration for solar steam generation.



231

232 **Figure 4.** Steam generation performance at 100 °C. (A) Schematic of the experimental setup. (B)  
 233 Photograph of the experiment performed on Jan 12, 2019 at IITB campus. (C) Temperature response of  
 234 various components of the prototype during the experiment. Simulated temperature evolution is shown as  
 235 dashed lines for comparison. (D) Measured GHI and (E) mass loss during the experiment. (F) Measured  
 236 and simulated energy efficiency of the prototype for four experimental configurations: (1) with aerogel tiles  
 237 and CPC, (2) aerogel tiles without CPC, (3) CPC without aerogel tiles, and (4) without aerogel tiles and  
 238 CPC.

239

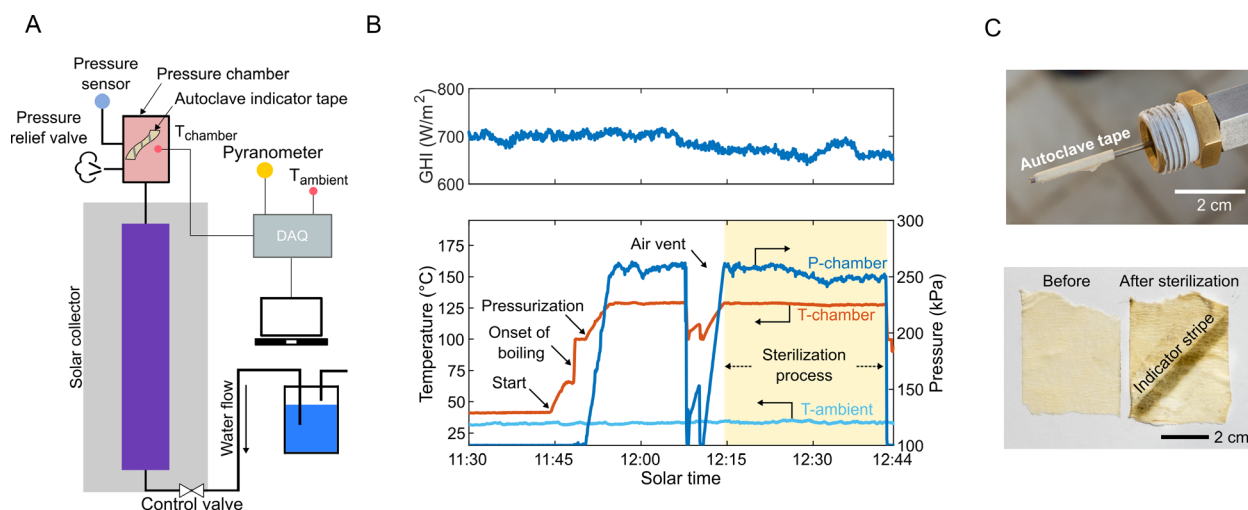
240 To fully understand the optical and thermal transport in the prototype, we also developed a numerical model  
241 that can capture the transient and steady-state behavior of the experimental setup. The model takes the  
242 prototype configuration, material properties, and weather conditions as inputs and predicts the temperature  
243 evolution as well as the energy efficiency of the steam generation process. The influence of atmospheric  
244 conditions on the total solar energy incident on the prototype was incorporated in the model via the sky  
245 clearness index which includes the effects of both clouds and particulate matters in the sky. As shown in  
246 Figures 4C and 4F, the simulation results are in good agreement with the experimental measurements. The  
247 small deviations are likely due to the uncertainties in experimental measurements and simplifications in the  
248 model (see modeling details in supplemental note 5). Nevertheless, the developed model can guide system  
249 design for a variety of applications and enable system performance optimization under different operating  
250 conditions.

251  
252 To study the sterilization performance, we modified the prototype by connecting a closed chamber to the  
253 steam vent (Figure 5A). A pressure relief valve (Z000207, All American) was attached to the chamber to  
254 maintain a constant pressure at  $\approx 250$  kPa. The pressure and temperature of the chamber were monitored  
255 by a pressure sensor (PX309-030G5V, Omega Engineering) and a thermocouple probe. A piece of standard  
256 autoclave indicator tape (Lead Free Autoclave Steam Indicator Tape, 3M) was attached to the surface of  
257 the thermocouple probe. The autoclave tape is designed to show a dark stripe after exposed to the required  
258 sterilization temperature and pressure conditions for a sufficient amount of time. It is worth noting that  
259 maintaining the required steam conditions ( $>121$  °C and  $>205$  kPa) for at least 30 minutes is critical to  
260 realize effective sterilization of all common bacteria and microorganisms, as suggested by the Centers for  
261 Disease Control and Prevention.<sup>3</sup> Although steam at lower temperatures can also kill bacteria, it requires a  
262 much longer time to deliver the same Sterility Assurance Level (SAL). For example, to achieve equivalent  
263 microbial lethality, it would require 80 hours with steam at 100 °C but only requires 12 minutes at 121  
264 °C.<sup>46,47</sup> With continuous measurement of the temperature and pressure in the chamber, we can ensure  
265 sufficient steam exposure time at the required conditions is achieved. In addition, the autoclave tape as a  
266 commonly used chemical indicator serves as a secondary assurance.

267  
268 Before the experiment, water was filled to the top of the absorber tube to ensure sufficient water for the  
269 entire experiment. After filling, the control valve was closed to prevent water back flow due to  
270 pressurization. The pressure relief valve attached to the chamber was left open at the beginning of the  
271 experiment. The chamber pressure and temperature evolution as well as GHI are shown in Figure 5B. The

272 experiment started at around 11:45 and within 5 minutes boiling started, as indicated by the abrupt  
 273 temperature increase measured by the chamber temperature probe (labeled as “Onset of boiling” in Figure  
 274 5B). Shortly after the chamber temperature reached 100 °C, we closed the pressure relief valve to allow  
 275 both the temperature and pressure to build up (labeled as “Pressurization” in Figure 5B). The chamber  
 276 pressure increased quickly and eventually stabilized at 250 kPa (setpoint of the pressure relief valve) and  
 277 the chamber temperature reached 128 °C. To achieve effective sterilization, it is critical to create a pure  
 278 saturation environment and prevent any air pockets inside the chamber. Therefore, we followed the standard  
 279 procedure<sup>3</sup> by opening the pressure relief valve to vent the chamber before the sterilization process as shown  
 280 by the drop in pressure and temperature to 100 kPa and 100 °C at 12:08 (labeled as “Air vent” in Figure  
 281 5B). The actual sterilization process started at around 12:10 when we closed the pressure relief valve again.  
 282 The chamber pressure and temperature recovered quickly and stabilized at 250 kPa and 128 °C. The  
 283 sterilization conditions were maintained continuously for 30 minutes (12:14 to 12:44) despite the  
 284 fluctuating and less-than-ideal solar flux ( $\approx 0.7 \text{ kW/m}^2$ ). After the sterilization was completed, we opened  
 285 the chamber and examined the autoclave tape. As shown in Figure 5C, the dark stripe appeared after the  
 286 process, indicating successful realization of the sterilization cycle.

287



288

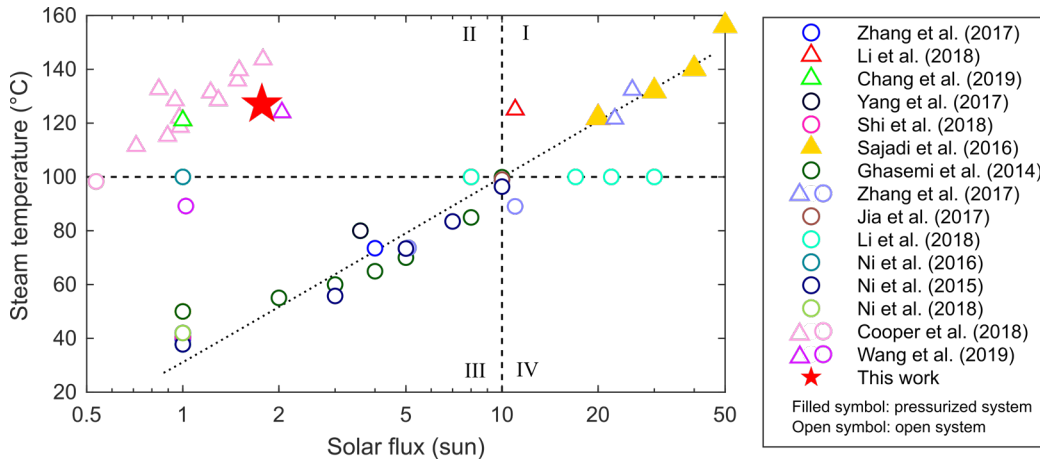
289 **Figure 5.** Sterilization demonstration. (A) Schematic of the experimental setup for the sterilization  
 290 demonstration. A pressure chamber was connected to the steam outlet of the collector and a pressure relief  
 291 value regulates the chamber pressure at  $\approx 250 \text{ kPa}$ . (B) Chamber pressure, temperature, and GHI during  
 292 the experiment. The yellow shaded region indicates the effective autoclaving period. (C) Verification of  
 293 effective sterilization – comparing a piece of the autoclave indicator tape before (left) and after (right) the  
 294 sterilization process.

295

296 **Discussion**

297 To show the significance of the demonstrated thermal concentration approach, we compare our work with  
298 recent studies on solar steam generation. The results are presented in Figure 6 with the input solar flux as  
299 the  $x$ -axis and output steam temperature as the  $y$ -axis. The results space can be divided into four quadrants  
300 delineated by solar flux = 10 suns and steam temperature = 100 °C. As shown in Figure 6, a majority of the  
301 studies occupy quadrants I and III, roughly following a linear relationship between input solar flux and  
302 steam temperature. The intersection point (10 suns, 100 °C) indicates approximately a 10x optical  
303 concentration is necessary to generate steam over 100 °C in these studies. Therefore, to achieve sterilization  
304 with pressurization, previous systems require high optical concentration ratios (>10x), represented by the  
305 filled symbols in quadrant I. More recently, efforts have been focused on reducing the required optical  
306 concentration using low-cost efficient thermal designs. A few studies have shown the possibility to further  
307 boost the steam temperature via a subsequent superheating stage (open symbols in quadrant II).<sup>20,32,48</sup>  
308 However, due to the relatively low efficiency, pressurized saturated steam generation beyond 100 °C was  
309 only available in quadrant I in previous research. Enabled by the highly efficient thermal concentration  
310 approach, this work provides a viable solution for generating saturated and pressurized steam – represented  
311 by the filled star in quadrant II. As a stationary and passive device built from widely available low-cost  
312 components, the total estimated cost of the prototype is approximately \$38 (0.26 m<sup>2</sup> aperture area) including  
313 the solar absorber, glass, CPC, fiberglass insulation, enclosure, and silica aerogel (see cost analysis details  
314 in supplemental note 6). With a nominal solar input of 260 W, 3-6 units of the prototype will be sufficient  
315 to power a benchtop autoclave, illustrating its potential to alleviate the infection burden in remote and  
316 developing regions of the world.

317



318

319 **Figure 6.** Comparison of the measured steam temperature as a function of input solar flux reported in the  
 320 literature. Circles and triangles represent steam generation temperatures below and above the water boiling  
 321 point (100 °C), respectively. Filled and open symbols represent steam generation in pressurized systems  
 322 and open systems, respectively. To achieve high steam temperatures and pressurization, previous studies  
 323 relied on high solar flux indicated by the dotted line in quadrants I and III.<sup>12,15,19,31,33,49-55</sup> A few recent studies  
 324 employed a subsequent superheating stage to boost the steam temperature beyond 100 °C in open systems  
 325 (open symbols in quadrant II).<sup>20,32,48</sup> This work demonstrates that it is possible to generate saturated and  
 326 pressurized steam with low optical concentration represented by the only filled symbol in quadrant II.

327

328

329 In conclusion, we show that by using a thermal concentration approach and optimizing the optical and  
 330 thermal design, high efficiency saturated steam generation beyond 100 °C can be achieved using a simple  
 331 passive low-cost device without any moving parts even under partially haze and cloudy atmospheric  
 332 conditions. Enabled by the ultra-transparent and thermally insulating silica aerogel, a prototype device built  
 333 mostly from off-the-shelf components can generate saturated steam at 100 °C with 56% efficiency under  
 334 realistic weather conditions – more than two times higher than previously reported results in well-controlled  
 335 laboratory environments. When connected to a pressure chamber, the prototype can continuously deliver  
 336 pressurized steam at 128 °C and 250 kPa, sufficient for driving the medical sterilization cycle. As reliable  
 337 access to energy sources does not exist in many remote and resource-limited regions, conventional  
 338 electrical- or fuel-powered autoclaves cannot operate effectively. Therefore, the low-cost passive solar-  
 339 powered autoclaves similar to the one demonstrated in this work could help relieve health care-related  
 340 infection burden in those areas. The same design and optimization principle can also be applied to other  
 341 systems that require saturated steam such as power generation and industrial processes – representing an  
 342 exciting opportunity to promote solar thermal energy.

343

## 344 **Experimental Procedures**

### 345 Materials

346 Silica aerogel monoliths were synthesized by sol-gel polymerization of tetramethyl orthosilicate (TMOS,  
347 131903, Sigma-Aldrich) in a methanol solution, catalyzed by ammonia (NH<sub>3</sub>, 2.0 M in Methanol, 341428,  
348 Sigma-Aldrich) to promote both hydrolysis and condensation reactions. The mixing molar ratio of  
349 chemicals was NH<sub>3</sub> : TMOS : water : methanol = 0.0348:1:4:6.42. The solution was poured into a disposable  
350 polystyrene container (150 mm × 100 mm) with a target thickness of 7 mm. The solution gelled in the  
351 container within about 2 minutes and was then allowed to age in the air-tight container. After 2 weeks, the  
352 aged gel was taken out of the container for drying. The mother solvent was replaced with ethanol (EtOH,  
353 89234–848, VWR) for critical point drying (CPD, model 931, Tousimis) with liquid CO<sub>2</sub>. After drying, the  
354 monolithic aerogels were annealed at 200 °C for 24 h to remove water and any organic residue.

355

### 356 Compound parabolic concentrator (CPC) fabrication

357 The designed shape of the CPC mirror was transferred to an acrylic fixture (thickness = 0.25 inch) using  
358 high-precision laser cutting (Epilog Laser). The fixture had two separate pieces that were clamped along  
359 with the aluminum mirror to define its shape. Rubber strips (width = 0.25 inch, McMaster-Carr) were  
360 attached to the contacting surfaces of the fixture to ensure uniform clamping force on the mirror. For each  
361 mirror, two fixtures were used at each end. A support structure was added in the middle to reduce mirror  
362 warping. The assembled CPC was then attached to the prototype enclosure by mounting screws. See  
363 supplemental note 7 for CPC construction details.

### 364 Optical characterization

365 The optical properties of the aerogel, solar absorber, glass pane, and the mirror were characterized using a  
366 UV-vis-NIR spectrophotometer (Cary 5000, Agilent) with an integrating sphere (Internal DRA-2500,  
367 Agilent) in the solar spectrum and a Fourier transform infrared (FTIR) spectrometer (Nicolet 5700) with a  
368 Pike Technologies mid-IR integrating sphere in the infrared spectrum.

## 369 **Supplemental Information**

370 Supplemental information includes 7 figures and 4 tables can be found with this article online at

## 371 **Author Contributions**

372 L. Zhao and B. B. designed the experiments with inputs from all authors. L. Zhang, A. L., and L. Zhao  
373 developed the numerical heat and mass transfer model. L. Zhao, B. B., and M. K. Y. conducted the field  
374 test. E. S., S. Y., and L. Zhao optimized and prepared the aerogel samples. E. S. and B. B. measured the

375 optical properties. T. A. C., L. A. W., and L. Zhao designed the ray tracing study and interpreted the results.  
376 L. Zhao, B. B., and L. Zhang wrote the manuscript with inputs from all authors. A. M., S. B. K., G. C., and  
377 E. N. W. guided the overall project.

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