## The Power of Team Exploration: Two Robots Can Learn Unlabeled Directed Graphs

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#### Abstract

We show that two cooperating robots can learn exactly any strongly-connected directed graph with n indistinguishable nodes in expected time polynomial in n. We introduce a new type of homing sequence for two robots, which helps the robots recognize certain previously-seen nodes. We then present an algorithm in which the robots learn the graph and the homing sequence simultaneously by actively wandering through the graph. Unlike most previous learning results using homing sequences, our algorithm does not require a teacher to provide counterexamples. Furthermore, the algorithm can use efficiently any additional information available that distinguishes nodes. We also present an algorithm in which the robots learn by taking random walks. The rate at which a random walk on a graph converges to the stationary distribution is characterized by the conductance of the graph. Our random-walk algorithm learns in expected time polynomial in n and in the inverse of the conductance and is more efficient than the homing-sequence algorithm for high-conductance graphs.

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## 1 Introduction

Consider a robot trying to construct a street map of an unfamiliar city by driving along the city's roads. Since many streets are one-way, the robot may be unable to retrace its steps. However, it can learn by using street signs to distinguish intersections. Now suppose that it is nighttime and that there are no street signs. The task becomes significantly more challenging.

In this paper we present a probabilistic polynomial-time algorithm to solve an abstraction of the above problem by using two cooperating learners. Instead of learning a city, we learn a strongly-connected directed graph G with n nodes. Every node has d outgoing edges labeled from 0 to d-1. Nodes in the graph are indistinguishable, so a robot cannot recognize if it is placed on a node that it has previously seen. Moreover, since the graph is directed, a robot is unable to retrace its steps while exploring.

For this model, one might imagine a straightforward learning algorithm with a running time polynomial in a specific property of the graph's structure such as cover time or mixing time. Any such algorithm could require an exponential number of steps, however, since the cover time and mixing time of directed graphs can be exponential in the number of nodes. In this paper, we present a probabilistic algorithm for two robots to learn any strongly-connected directed graph in  $O(d^2n^5)$ steps with high probability.

The two robots in our model can recognize when they are at the same node and can communicate freely by radio. Radio communication is used only to synchronize actions. In fact, if we assume that the two robots move synchronously and share a polynomial-length random string, then no communication is necessary. Thus with only minor modifications, our algorithms may be used in a distributed setting.

Our main algorithm runs without prior knowledge of the number of nodes in the graph, n, in time polynomial in n. We show that no probabilistic polynomial-time algorithm for a single robot with a constant number of pebbles can learn all unlabeled directed graphs when n is unknown. Thus, our algorithms demonstrate that two robots are strictly more powerful than one.

#### 1.1 Related Work

Previous results showing the power of team learning are plentiful, particularly in the field of inductive inference (see Smith [Smi94] for an excellent survey). Several team learning papers explore the problems of combining the abilities of a number of different learners. Cesa-Bianchi *et al.* [CBF+93] consider the task of learning a probabilistic binary sequence given the predictions of a set of experts on the same sequence. They show how to combine the prediction strategies of several experts to predict nearly as well as the best of the experts. In a related paper, Kearns and Seung [KS93] explore the statistical problems of combining several independent hypotheses to learn a target concept from a known, restricted concept class. In their model, each hypothesis is learned from a different, independently-drawn set of random examples, so the learner can combine the results to perform significantly better than any of the hypotheses alone.

There are also many results on learning unknown graphs, but most previous work has concentrated on learning undirected graphs or graphs with distinguishable nodes. For example, Deng and Papadimitriou consider the problem of learning strongly-connected, directed graphs with *labeled* nodes, so that the learner can recognize previously-seen nodes. They provide a learning algorithm whose competitive ratio (versus the optimal time to traverse all edges in the graph) is exponential in the deficiency of the graph [DP90, Bet92]. Betke, Rivest, and Singh introduce the notion of *piecemeal* learning of undirected graphs with labeled nodes. In piecemeal learning, the learner must return to a fixed starting point from time to time during the learning process. Betke, Rivest, and Singh provide linear algorithms for learning grid graphs with rectangular obstacles [BRS93], and with Awerbuch [ABRS95] extend this work to show nearly-linear algorithms for general graphs.

Rivest and Schapire [RS87, RS93] explore the problem of learning deterministic finite automata whose nodes are *not* distinguishable except by the observed output. We rely heavily on their results in this paper. Their work has been extended by Freund *et al.* [FK<sup>+</sup>93], and by Dean *et al.* [DA<sup>+</sup>92]. Freund *et al.* analyze the problem of learning finite automata with average-case labelings by the observed output on a random string, while Dean *et al.* explore the problem of learning DFAs with a robot whose observations of the environment are not always reliable. Ron and Rubinfeld [RR95] present algorithms for learning "fallible" DFAs, in which the data is subject to persistent random errors. Recently, Ron and Rubinfeld [RR95b] have shown that a teacher is unnecessary for learning finite automata with small cover time.

In our model a single robot is powerless because it is completely unable to distinguish one node from any other. However, when equipped with a number of pebbles that can be used to mark nodes, the single robot's plight improves. Rabin first proposed the idea of dropping pebbles to mark nodes [Rab67]. This suggestion led to a body of work exploring the searching capabilities of a finite automaton supplied with pebbles. Blum and Sakoda [BS77] consider the question of whether a finite set of finite automata can search a 2 or 3-dimensional obstructed grid. They prove that a single automaton with just four pebbles can completely search any 2-dimensional finite maze, and that a single automaton with seven pebbles can completely search any 2-dimensional infinite maze. They also prove, however, that no collection of finite automata can search every 3-dimensional maze. Blum and Kozen [BK78] improve this result to show that a single automaton with 2 pebbles can search a finite, 2-dimensional maze. Their results imply that mazes are strictly easier to search than planar graphs, since they also show that no single automaton with pebbles can search all planar graphs. Savitch [Sav73] introduces the notion of a maze-recognizing automaton (MRA). which is a DFA with a finite number of distinguishable pebbles. The mazes in Savitch's paper are n-node 2-regular graphs, and the MRAs have the added ability to jump to the node with the next higher or lower number in some ordering. Savitch shows that maze-recognizing automata and  $\log n$ space-bounded Turing machines are equivalent for the problem of recognizing threadable mazes (i.e., mazes in which there is a path between a given pair of nodes).

Most of these papers use pebbles to model memory constraints. For example, suppose that the nodes in a graph are labeled with  $\log n$ -bit names and that a finite automaton with  $k \log n$ bits of memory is used to search the graph. This situation is modeled by a single robot with kdistinguishable pebbles. A robot dropping a pebble at a node corresponds to a finite automaton storing the name of that node. In our paper, by contrast, we investigate time rather than space constraints. Since memory is now relatively cheap but time is often critical, it makes sense to ask whether a robot with any reasonable amount of memory can use a constant number of pebbles to learn graphs in polynomial time.

Cook and Rackoff generalized the idea of pebbles to jumping automata for graphs (JAGs) [CR80]. A jumping automaton is equipped with pebbles that can be dropped to mark nodes and that can "jump" to the locations of other pebbles. Thus, this model is similar to our two-robot model in that the second robot may wait at a node for a while (to mark it) and then catch up to the other robot later. However, the JAG model is somewhat broader than the two-robot model. Cook and Rackoff show upper and lower bounds of  $\log n$  and  $\log n / \log \log n$  on the amount of space required to determine whether there is a directed path between two designated nodes in any *n*-node graph. JAGs have been used primarily to prove space efficiency for *st*-connectivity algorithms, and they have recently resurfaced as a tool for analyzing time and space tradeoffs for graph traversal and connectivity problems (*e.g.* [BB<sup>+</sup>90, Poo93, Edm93]).

Universal traversal sequences have been used to provide upper and lower bounds for the exploration of undirected graphs. Certainly, a universal traversal sequence for the class of directed graphs could be used to learn individual graphs. However, for arbitrary directed graphs with n nodes, a universal traversal sequence must have size exponential in n. Thus, such sequences will not provide efficient solutions to our problem.

#### 1.2 Strategy of the Learning Algorithm

The power behind the two-robot model lies in the robots' abilities to recognize each other and to move independently. Nonetheless, it is not obvious how to harness this power. If the robots separate in unknown territory, they could search for each other for an amount of time exponential in the size of the graph. Therefore, in any successful strategy for our model the two robots must always know how to find each other. One strategy that satisfies this requirement has both robots following the same path whenever they are in unmapped territory. They may travel at different speeds, however, with one robot scouting ahead and the other lagging behind. We call this a *lead-lag strategy*. In a lead-lag strategy the lagging robot must repeatedly make a difficult choice. The robot can wait at a particular node, thus marking it, but the leading robot may not find this marked node again in polynomial time. Alternatively, the lagging robot can abandon its current node to catch up with the leader, but then it may not know how to return to that node. In spite of these difficulties, our algorithms successfully employ a lead-lag strategy.

Our work also builds on techniques of Rivest and Schapire [RS93]. They present an algorithm for a single robot to learn minimal deterministic finite automata. With the help of an equivalence oracle, their algorithm learns a homing sequence, which it uses in place of a reset function. It then runs several copies of Angluin's algorithm [Ang87] for learning DFAs given a reset. Angluin has shown that any algorithm for actively learning DFAs requires an equivalence oracle [Ang81].

In this paper, we introduce a new type of homing sequence for two robots. Because of the strength of the homing sequence, our algorithm does not require an equivalence oracle. For any graph, the expected running time of our algorithm is  $O(d^2n^5)$ . In practice, our algorithm can use additional information such as indegree, outdegree, or color of nodes to find better homing sequences and to run faster.

Note that throughout the paper, the analyses of the algorithms account for only the number of steps that the robots take across edges in the graph. Additional calculations performed between moves are not considered, so long as they are known to take time polynomial in n. In practice, such calculations would not be a noticeable factor in the running time of our algorithms.

Two robots can learn specific classes of directed graphs more quickly, such as the class of graphs with high conductance. Conductance, a measure of the expansion properties of a graph, was introduced by Sinclair and Jerrum [SJ89]. The class of directed graphs with high conductance includes graphs with exponentially-large cover time. We present a randomized algorithm that learns graphs with conductance greater than  $n^{-\frac{1}{2}}$  in  $O(dn^4 \log n)$  steps with high probability.

## 2 Preliminaries

Let G = (V, E) represent the unknown graph, where G has n nodes, each with outdegree d. An edge from node u to node v with label i is denoted  $\langle u, i, v \rangle$ . We say that an algorithm *learns* graph G if it outputs a graph isomorphic to G. Our algorithms maintain a graph map which represents the subgraph of G learned so far. Included in map is an implicit start node  $u_0$ . It is worth emphasizing the difference between the target graph G and the graph map that the learner constructs. The graph map is meant to be a map of the underlying environment, G. However, since the robots do not always know their exact location in G, in some cases map may contain errors and therefore may not be isomorphic to any subgraph of G. Much of the notation in this section is needed to specify clearly whether we are referring to a robot's location in the graph G or to its putative location in map.

A node u in map is called unfinished if it has any unexplored outgoing edges. Node u is mapreachable from node v if there is a path from v to u containing only edges in map. For robot k, the node in map corresponding to k's location in G if map is correct is denoted  $Loc_M(k)$ . Robot k's location in G is denoted  $Loc_G(k)$ .

Let f be an automorphism on the nodes of G such that

$$\forall a, b \in G, \langle a, i, b \rangle \in G \iff \langle f(a), i, f(b) \rangle \in G.$$

We say nodes c and d are equivalent (written  $c \equiv d$ ) iff there exists such an f where f(c) = d.

We now present notation to describe the movements of k robots in a graph. An action  $A_i$  of the *i*th robot is either a label of an outgoing edge to explore, or the symbol r for "rest." A k-robot sequence of actions is a sequence of steps denoting the actions of the k robots; each step is a k-tuple  $\langle A_0, \ldots, A_{k-1} \rangle$ . For sequences s and t of actions,  $s \circ t$  denotes the sequence of actions obtained by concatenating s and t.

A path is a sequence of edge labels. Let |path| represent the length of path. A robot follows a path by traversing the edges in the path in order beginning at a particular start node in map. The node in map reached by starting at  $u_0$  and following path is denoted final<sub>M</sub>(path,  $u_0$ ). Let s be a two-robot sequence of actions such that if both robots start together at any node in any graph and execute s, they follow exactly the same path, although perhaps at different speeds. We call such a sequence a lead-lag sequence. Note that if two robots start together and execute a lead-lag sequence, they end together. The node in G reached if both robots start at node a in G and follow lead-lag sequence s is denoted  $final_G(s, a)$ .

For convenience, we name our robots Lewis and Clark. Whenever Lewis and Clark execute a lead-lag sequence of actions, Lewis leads while Clark lags behind.

## 3 Using a Reset to Learn

Learning a directed graph with indistinguishable nodes is difficult because once both robots have left a known portion of the graph, they do not know how to return. This problem would vanish if there were a reset function that could transport both robots to a particular start node  $u_0$ . We describe an algorithm for two robots to learn directed graphs given a reset. Having a reset is not a realistic model, but this algorithm forms the core of later algorithms, which learn without a reset.

Algorithm **Learn-with-Reset** maintains the invariant that if a robot starts at  $u_0$ , there is a directed path it can follow that visits every node in *map* at least once. To learn a new edge (one not yet in *map*) using algorithm **Learn-with-Reset**, Lewis crosses the edge and then Clark tours the entire known portion of the map. If they encounter each other, Lewis's position is identified; otherwise Lewis is at a new node. The depth-first strategy employed by **Learn-Edge** is essential in later algorithms. In **Learn-with-Reset**, as in all the procedures in this paper, variables are passed by reference and are modified destructively.

**Lemma 1** The variable path in Learn-with-Reset denotes a tour of length  $\leq dn^2$  that starts at  $u_0$  and traverses all edges in map.



**Proof:** Every time Lewis crosses an edge, that edge is appended to *path*. Since no edge is added to *map* until Lewis has crossed it, *path* must traverse all edges in *map*. In each call to **Learn-Edge**, at most *n* edges are added to *path*. The body of the while loop is executed dn times, so  $|path| \leq dn^2$ .

#### **Lemma 2** Map is always a subgraph of G.

**Proof:** Initially map contains a single node  $u_0$  and no edges. Assume inductively that map is a subgraph of G after the cth call to **Learn-Edge** (when map has c edges). To learn the next edge, the algorithm chooses a node  $u_i$  in map and explores a new edge  $e = \langle u_i, l, v \rangle$ . By Lemma 1 and the inductive hypothesis, if Clark encounters Lewis at  $u_j$  then v is identified as  $u_j$ . Otherwise v is recognized to be a new node and named  $u_k$ . Therefore the updated map is a subgraph of G.

**Lemma 3** If map contains any unfinished nodes, then there is always some unfinished node in map map-reachable from final<sub>M</sub> (path,  $u_0$ ).

**Proof:** Suppose this assumption were false. Then there is some unfinished node in *map*, but all nodes of *map* in the strongly-connected component of  $final_M(path, u_0)$  are finished. Thus by Lemma 2, there are no additional edges of G leaving that component, so graph G is not strongly connected.

**Theorem 4** After  $O(d^2n^3)$  moves and dn calls to **Reset**, **Learn-with-Reset** halts and outputs a graph isomorphic to G.

**Proof:** The correctness of the output follows from Lemmas 1-3. For each call to Learn-Edge, each robot takes  $length(path) \leq dn^2$  steps. The algorithm Learn-Edge is executed at most dn times, so the algorithm halts within  $O(d^2n^3)$  steps.

## 4 Homing Sequences

In practice, robots learning a graph do not have access to a reset function. In this section we suggest an alternative technique: we introduce a new type of homing sequence for two robots.

Intuitively, a homing sequence is a sequence of actions whose observed output uniquely determines the final node reached in G. Rivest and Schapire [RS93] show how a single robot with a teacher can use homing sequences to learn strongly-connected minimal DFAs. The output at each node indicates whether that node is an accepting or rejecting state of the automaton. If the target DFA is not minimal, their algorithm learns the minimal encoding of the DFA. In other words, their algorithm learns the function that the graph computes rather than the structure of the graph.

In unlabeled graphs the nodes do not produce output. However, two robots can generate output indicating when they meet.

**Definitions:** Each step of a two-robot sequence of actions produces an output symbol T if the robots are together and S if they are separate. An *output sequence* is a string in  $\{T, S\}^*$  denoting

the observed output of a sequence of actions. Let s be a lead-lag sequence of actions and let a be a node in G. Then output(s, a) denotes the output produced by executing the sequence s, given that both robots start at a. A lead-lag sequence s of actions is a two-robot homing sequence iff  $\forall$  nodes  $u, v \in G$ ,

$$output(s, u) = output(s, v) \Rightarrow final_G(s, u) \equiv final_G(s, v).$$

Because the output of a sequence depends on the positions of both robots, it provides information about the underlying structure of the graph. Figure 1 illustrates the definition of a two-robot homing sequence. This new type of homing sequence is powerful. Unlike most previous learning results using homing sequences, our algorithms do not require a teacher to provide counterexamples.

In fact, two robots on a graph define a DFA whose states are pairs of nodes in G and whose edges correspond to pairs of actions. Since the automata defined in this way form a restricted class of DFAs, our results are not inconsistent with Angluin's work [Ang81] showing that a teacher is necessary for learning general DFAs.

#### **Theorem 5** Every strongly-connected directed graph has a two-robot homing sequence.

**Proof:** The following algorithm (based on that of Kohavi [Koh78, RS93]) constructs a homing sequence: Initially, let h be empty. As long as there are two nodes u and v in G such that output(h, u) = output(h, v) but  $final(h, u) \not\equiv final(h, v)$ , let x be a lead-lag sequence whose output distinguishes final(h, u) from final(h, v). Since  $final(h, u) \not\equiv final(h, v)$  and G is strongly connected, such an x always exists. Append x to h.

Each time a sequence is appended to h, the number of different outputs of h increases by at least 1. Since G has n nodes, there are at most n possible output sequences. Therefore, after n-1 iterations, h is a homing sequence.

In Section 5 we show that it is possible to find a counterexample x efficiently. Given a stronglyconnected graph G and a node a in G, a pair of robots can verify whether they are together at a node equivalent to a on some graph isomorphic to G. We describe a verification algorithm Verify(a, G) in Section 5. The sequence of actions returned by a call of Verify(u, G) is always a suitable counterexample x. Using the bound from Corollary 8, we claim that this algorithm produces a homing sequence of length  $O(n^4)$  for all graphs. Note that shorter homing sequences exist; the homing sequence produced by algorithm Learn-Graph in Section 5 has length  $O(dn^3)$ .

#### 4.1 Using a Homing Sequence to Learn

Given a homing sequence h, an algorithm can learn G by maintaining several running copies of **Learn-with-Reset**. Instead of a single start node, there are as many as n possible start nodes, each corresponding to a different output sequence of h. Note that many distinct output sequences may be associated with the same final node in G.

The new algorithm **Learn-with-HS** maintains several copies of *map* and *path*, one for each output sequence of h. Thus, graph  $map_c$  denotes the copy of the map associated with output



Figure 1: Illustration of a two-robot homing sequence and a lead-lag sequence. Note that both h and s are lead-lag sequences. However, sequence h is a two-robot homing sequence, because for each output sequence there is a unique end node. (Note that the converse is not true.) Sequence s is not a two-robot homing sequence, because the robots may end at nodes a or c and yet see the same output sequence ST.

sequence c. Initially, Lewis and Clark are at the same node. Whenever algorithm **Learn-with-Reset** would use a reset, **Learn-with-HS** executes the homing sequence h. If the output of h is c, the algorithm learns a new edge in  $map_c$  as if it had been reset to  $u_0$  in  $map_c$  (see Figure 2). After each execution of h, the algorithm learns a new edge in some  $map_c$ . Since there are at most n copies, each with dn edges to learn, eventually one map will be completed. Recall that a homing sequence is a lead-lag sequence. Therefore, at the beginning and end of every homing sequence the two robots are together.

**Theorem 6** If Learn-with-HS is called with a homing sequence h as input, it halts within  $O(d^2n^4 + dn^2|h|)$  steps and outputs a graph isomorphic to G.

**Proof:** The algorithm **Learn-with-HS** maintains at most *n* running versions of **Learn-with-Reset**, one for each output of the homing sequence. In particular, whenever the two robots execute

```
Learn-with-HS(h):
1
    done := FALSE
\mathbf{2}
    while not done
3
       do execute h; c := the output sequence produced
                                                                                   { instead of a reset }
4
           if map_c is undefined
                                              \{ map_c = \text{graph consisting of node } u_0 \text{ and no edges } \}
5
               then map_c := (\{u_0\}, \emptyset)
6
                   path_c := empty path
                                                          \{ path_c \text{ is the null sequence of edge labels } \}
7
                   k_c := 1
                                                           \{k_c \text{ counts the number of nodes in } map \}
8
           Learn-Edge(map_c, path_c, k_c)
9
           if map_c has no unfinished nodes
10
               then done := TRUE
11 return map_c
```

a homing sequence and obtain an output c, they have identified their position as the start node  $u_0$  in  $map_c$ , and can learn one new edge in  $map_c$  before executing another homing sequence.

Eventually, one of the versions halts and outputs a complete  $map_c$ . Therefore, the correctness of **Learn-with-HS** follows directly from Theorem 4 and the definition of a two-robot homing sequence.

Let  $r = O(d^2n^3)$  be the number of steps taken by **Learn-with-Reset**. Since there are at most n start nodes, **Learn-with-HS** takes at most  $nr + dn^2|h|$  steps.

## 5 Learning a Homing Sequence

Unlike a reset function, a two-robot homing sequence can be learned. The algorithm Learn-Graph maintains a candidate homing sequence h and improves h as it learns G.

**Definition:** Candidate homing sequence h is called a bad homing sequence if there exist nodes  $u, v, u \neq v$ , such that output(h, u) = output(h, v), but  $final_G(h, u) \not\equiv final_G(h, v)$ .

**Definition:** Let a be a node in G. We say that  $map_c$  with start node  $u_0$  is a good representation of  $\langle a, G \rangle$  iff there exists an isomorphism f from the nodes in  $map_c = (V^c, E^c)$  to the nodes in a subgraph G' = (V', E') of G, such that  $f(u_0) = a$ , and

$$\forall u_i, u_j \in V^c, \langle u_i, \ell, u_j \rangle \in E^c \iff \langle f(u_i), \ell, f(u_j) \rangle \in E'.$$

In algorithms **Learn-with-Reset** and **Learn-with-HS**, the graphs map and map<sub>c</sub> are always good representations of G. In **Learn-Graph** if the candidate homing sequence h is bad, a particular map<sub>c</sub> may not be a good representation of G. However, the algorithm can test for such maps. Whenever a map<sub>c</sub> is shown to be in error, h is improved and all maps are discarded. By the proof of Theorem 5, we know that a candidate homing sequence must be improved at most n - 1 times. In Section 5.1 we explain how to use adaptive homing sequences to discard only one map per improvement.

We now define a test that with probability at least 1/n detects an error in  $map_c$  if one exists.

STTT	STST	TTST	output of homing sequence
С	6	6	starting node of <i>map</i>
$ \begin{array}{cccc} \bullet & \bullet & \bullet \\ c & 0 & a & 1 & b \end{array} $	b $1$ $a$	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	map

execute h again and see output STST. Thus, they go on to learn some edge in  $map_{STST}$ . that the robots execute h and see output TTST. Then the robots are together at node b. Lewis following example demonstrates how the robots learn a new edge using Learn-with-HS. Suppose 1,0,1. Since Clark sees Lewis after 2 steps, the dotted edge is added to  $map_{TTST}$ . Next, the robots follows path 1,0 to unfinished node c and then crosses the edge labeled 1. Now Clark follows path from Figure 1. (Node names in maps are *not* known to the learner, but are added for clarity.) The **HS.** The robots are learning the graph G from Figure 1 using the two-robot homing sequence hFigure 2: A possible "snapshot" of the learners' knowledge during an execution of Learn-with-

of actions: (1) Lewis follows  $path_c$  to the first occurrence of  $u_i$ ; (2) Clark follows  $path_c$  to the first appearance in  $path_c$ . If both robots are at  $u_0$  then  $test_c(u_i)$  denotes the following lead-lag sequence occurrence of  $u_i$ ; (3) Lewis follows  $path_c$  to the end; (4) Clark follows  $path_c$  to the end. every edge in  $map_c = (V^c, E^c)$ . Let  $u_0 \ldots u_m$  be the nodes in  $V^c$  numbered in order of their first Definition: Let  $path_c$  be a path such that a robot starting at  $u_0$  and following  $path_c$  traverses

the expected output if  $map_c$  is correct and if both robots start at node  $u_0$  and execute sequence t. We abbreviate  $expected(test_c(u_i),map_c)$  by  $expected(test_c(u_i))$ . Definition: Given map<sub>c</sub> and any lead-lag sequence t of actions, define  $expected(t, map_c)$  to be

a robot starting at  $u_0$  and following path<sub>c</sub> traverses every edge in map<sub>c</sub>. **Lemma 7** Suppose Lewis and Clark are both at some node a in G. Let path<sub>c</sub> be a path such that  $representation of \langle a, G \rangle iff \forall u_i \in V^c, output (test_c(u_i)) = expected (test_c(u_i)).$ Then  $map_c$  is a good

# Proof:

 $(\Longrightarrow)$ : By definition of good representation and *expected*(test<sub>c</sub>(u<sub>i</sub>)).

 $f(u_0) = a$ . Let  $p(u_i)$  be the prefix of  $path_c$  up to the first occurrence of  $u_i$ . Define  $f(u_i)$  to be the  $\Leftarrow$ ): Suppose that all tests produce the expected output. We define a function f as follows: Let

Learn-Graph(): done := FALSE1  $\mathbf{2}$  $h := \Lambda$  (empty sequence) while not *done* 3 do execute h; c := the output sequence produced { instead of a reset } 4 5if  $map_c$  is undefined 6 then  $map_c := (\{u_0\}, \emptyset)$  $\{map_c \text{ is the graph consisting of node } u_0 \text{ and no edges }\}$ 7  $path_c := empty path$  $\{ path_c \text{ is the null sequence of edge labels } \}$ 8  $k_c := 1$  $\{k_c \text{ counts the number of nodes in } map \}$ if  $map_c$  has no unfinished node map-reachable from  $final_M(path_c)$ 9 then Lewis and Clark move to  $final_M(path_c)$ 10  $comp := \text{maximal strongly-connected component in } map_c \text{ containing } final_M(path_c)$ 11 12h-improve := Verify(final<sub>M</sub>(path<sub>c</sub>), comp) 13if h-improve =  $\Lambda$ 14 then done := TRUE $\{ map_c \text{ is complete } \}$ 15else  $\{h\text{-}improve \neq \Lambda, \text{ error detected}\}$ { improve homing sequence ... } 16append h-improve to end of h{ ... and start learning maps from scratch 17discard all maps and paths 18 else v := value of a fair 0/1 coin flip{ learn edges or test for errors? } **if** v = 0{ test for errors } 1920then  $u_i :=$  a random node in  $map_c$ { randomly pick node to test } h-improve := **Test**(map<sub>c</sub>, path<sub>c</sub>, i) 2122if h-improve  $\neq \Lambda$ { error detected } 23**then** append h-improve to end of h{ improve homing sequence ... } 24discard all maps and paths { ... start learning maps from scratch } 25else Learn-Edge $(map_c, path_c, k_c)$ 26 return  $map_c$  $\{u_0, u_1, \ldots, u_k = \text{the nodes in } map_c \text{ indexed by first appearance in } path_c \}$  $\mathbf{Test}(map_c, path_c, i)$ : h-improve := the following sequence of actions: 1  $\mathbf{2}$ Lewis follows  $path_c$  to the first occurrence of  $u_i$  in  $path_c$ 3 Clark follows  $path_c$  to the first occurrence of  $u_i$  in  $path_c$ 4 Lewis follows  $path_c$  to the end Clark follows  $path_c$  to the end 5if  $output(h-improve) \neq expected-output(h-improve)$ 6 { if error detected } then return *h*-improve { return  $test_c(u_i)$  } 7 8 else return  $\Lambda$ { return empty sequence } Verify $(v_0, map)$ :  $\{v_0, v_1, \ldots, v_k \text{ are the nodes in } map \text{ ordered by first appearance in } p\}$ path := path such that a robot starting at  $v_0$  in map and following path visits all nodes 1 in map and returns to  $v_0$  $\mathbf{2}$ for each  $i, 0 \le i < k$ do h-improve := Test (map, path, i) 3 4 if h-improve  $\neq \Lambda$ 5then return *h*-improve 6  $\operatorname{return}\Lambda$ 

node in G that a robot reaches if it starts at a and follows  $p(u_i)$ . Let G' = (V', E') be the image of  $f(map_c)$  on (V, E).

We first show that f is an isomorphism from  $V^c$  to V'. By definition of G', f must be surjective. To see that f is injective, assume the contrary. Then there exist two nodes  $u_i, u_j \in V^c$  such that  $i \neq j$  but  $f(u_i) = f(u_j)$ . But then  $output(\text{test}_c(u_i)) \neq expected(\text{test}_c(u_i))$ , which contradicts our assumption that all tests succeed. Next, we show that  $\langle u_i, \ell, u_j \rangle \in V^c \iff \langle f(u_i), \ell, f(u_j) \rangle \in V'$ , proving that  $map_c$  is a good representation of  $\langle a, G \rangle$ .

( $\Leftarrow$ ): By definition of G', the image of  $map_c$ .

 $(\Longrightarrow)$ : Inductively assume that  $\langle u_i, \ell, u_j \rangle \in V^c \iff \langle f(u_i), \ell, f(u_j) \rangle \in V'$  for the first *m* edges in *path<sub>c</sub>*, and suppose that this prefix of the path visits only nodes  $u_0 \ldots u_i$ . Now consider the (m+1)st edge  $e = \langle a, \ell, b \rangle$ . There are two possibilities. In one case, edge *e* leads to some new node  $u_{i+1}$ . Then by definition  $f(u_{i+1})$  is *b*'s image in *G*, so  $\langle f(a), \ell, f(b) \rangle \in G'$ . Otherwise *e* leads to some previously-seen node  $u_{i-k}$ . Suppose that  $f(u_{i-k})$  is *not* the node reached in *G* by starting at  $u_0$  and following the first m + 1 edges in  $path_c$ . Then  $output(test_c(u_{i-k})) \neq expected(test_c(u_{i-k}))$ , so  $test_c(u_{i-k})$  fails, and we arrive at a contradiction. Therefore  $f(b) = f(u_{i-k})$  and  $\langle f(a), \ell, f(b) \rangle \in G'$ .

**Corollary 8** Suppose Lewis and Clark are together at  $u_0$  in map<sub>c</sub>. Let map<sub>c</sub> be strongly connected and have n nodes,  $u_0, \ldots u_{n-1}$ . Then the two robots can verify whether map<sub>c</sub> is a good representation of  $\langle Loc_G(Lewis), G \rangle$  in  $O(n^3)$  steps.

**Proof:** Since  $map_c$  is strongly connected, there exists a path  $path_c$  with the following property: a robot starting at  $u_0$  and following  $path_c$  visits all nodes in  $map_c$  and returns to  $u_0$ . Index the remaining nodes in order of their first appearance in  $path_c$ . The two robots verify whether, for all  $u_i$  in order,  $output(test_c(u_i)) = expected(test_c(u_i))$ . Note that Lewis and Clark are together at  $u_0$ after each test. By Lemma 7, this procedure verifies  $map_c$ . Since  $path_c$  has length  $O(n^2)$ , each test has length  $O(n^2)$ , so verification requires  $O(n^3)$  steps.

In Learn-Graph after the robots execute a homing sequence, they randomly decide either to learn a new edge or to test a random node in  $map_c$ . The following lemma shows that a test that failed can be used to improve the homing sequence.

**Lemma 9** Let h be a candidate homing sequence in **Learn-Graph**, and let  $u_k$  be a node such that  $output(test_c(u_k)) \neq expected(test_c(u_k))$ . Then there are two nodes a, b in G that h does not distinguish but that  $h \circ test_c(u_k)$  does.

**Proof:** Let a be a node in G such that when both robots start at a,  $output(test_c(u_k)) \neq expected(test_c(u_k))$ . Suppose that at step i in  $test_c(u_k)$ , the expected output is T (respectively S), but the actual output is S (resp. T). Each edge in  $path_c$  and  $map_c$  was learned using **Learn-Edge**. If  $map_c$  indicates that the *i*th node in  $path_c$  is  $u_k$ , there must be a start node b in G where  $u_k$  really is the *i*th node in  $path_c$ . Since  $output(test_c(u_k)) \neq expected(test_c(u_k))$ , the sequence  $h \circ test_c(u_k)$  distinguishes a from b.

The algorithm **Learn-Graph** runs until there are no more map-reachable unexplored nodes in some  $map_c$ . If  $map_c$  is not strongly connected, then it is not a good representation of G. In this case, the representation of the last strongly-connected component on *path* must be incorrect. Thus, calling **Verify** on this component from the last node on *path* returns a sequence that improves h. If  $map_c$  is strongly connected, then either **Verify** returns an improvement to the homing sequence, or  $map_c$  is a good representation of G.

Before we can prove the correctness of our algorithm, we need one more set of tools. Consider the following statement of Chernoff bounds from Raghavan [Rag89].

**Lemma 10** Let  $X_1, \ldots, X_m$  be independent Bernoulli trials with  $E[X_j] = p_j$ . Let the random variable  $X = \sum_{j=1}^m X_j$ , where  $\mu = E[X] \ge 0$ . Then for  $\delta > 0$ ,

$$\Pr[X > (1+\delta)\,\mu] < \left[\frac{e^{\delta}}{(1+\delta)^{1+\delta}}\right]^{\mu},$$

and

$$Pr[X < (1 - \delta) \mu] < e^{-\mu \delta^2/2}$$

In our analysis in this section and in Section 6, the random variables may not be independent. However, the following corollary bounds the conditional probabilities. The proof of this corollary is exactly analogous to that of a similar corollary by Aumann and Rabin [AR94, Corollary 1].

**Corollary 11** Let  $X_1, \ldots, X_m$  be 0/1 random variables (not necessarily independent), and let  $b_j \in \{0, 1\}$  for  $1 \le j \le m$ . Let the random variable  $X = \sum_{j=1}^m X_j$ . For any  $b_1, \ldots, b_{j-1}$  and  $\delta > 0$ , if  $Pr[X_j = 1 | X_1 = b_1, X_2 = b_2, \ldots, X_{j-1} = b_{j-1}] \le p_j$  and  $\mu = \sum_{j=1}^m p_j > 0$ , then

$$\Pr[X > (1+\delta)\mu] < \left[\frac{e^{\delta}}{(1+\delta)^{1+\delta}}\right]^{\mu},$$

and for any  $b_1, \ldots, b_{j-1}$  and  $\delta > 0$ , if  $Pr[X_j = 1 | X_1 = b_1, X_2 = b_2, \ldots, X_{j-1} = b_{j-1}] \ge p_j$  and  $\mu = \sum_{j=1}^m p_j > 0$ , then

$$Pr[X < (1 - \delta) \mu] < e^{-\mu \delta^2/2}.$$

**Theorem 12** The algorithm Learn-Graph always outputs a map isomorphic to G and halts in  $O(d^2n^6)$  steps with overwhelming probability  $(1 - e^{-cn})$ , where constant c > 0 can be chosen as needed).

**Proof:** Since Learn-Graph verifies  $map_c$  before finishing, if the algorithm terminates then by Corollary 8 it outputs a map isomorphic to G. It is therefore only necessary to show that the algorithm runs in  $O(d^2n^6)$  steps with overwhelming probability.

In each iteration of the while loop in **Learn-Graph**, if there are no map-reachable unfinished nodes, then the algorithm attempts to verify the map. Otherwise, the algorithm decides randomly whether to learn a new edge or to test a random node in the graph. It follows from Lemma 10 that a constant fraction of the random decisions are for learning and a constant fraction are for testing.

By Theorem 4 the total number of steps spent learning edges in each version of map is  $O(d^2n^3)$ . For each candidate homing sequence, there are n versions of map, and the candidate homing sequence is improved at most n times. Thus,  $O(d^2n^5)$  steps are spent learning nodes and edges.

We consider the number of steps taken testing nodes. Each test requires  $|path| = O(dn^2)$  steps. Once a map contains an error, the probability that the robots choose to test a node that is in error is at least 1/n. A map with more than dn edges must be faulty. Note that the candidate homing sequence is improved at most n - 1 times. Thus by Corollary 11, with overwhelming probability after  $O(n^2)$  tests of maps with at least dn nodes, the candidate sequence h is a homing sequence. Overall, the algorithm has to learn  $O(dn^3)$  edges, and therefore it executes  $O(dn^3)$  tests. Thus the total number of steps spent testing is  $O(d^2n^5)$ .

After each test or verification, the algorithm executes a candidate homing sequence. Since there are O(dn) edges in each map, candidate homing sequences are executed  $O(dn^3)$  times. Each improvement of the candidate homing sequence extends its length by |path|, so the time spent executing homing sequences is  $O(d^2n^6)$ . Thus, the total running time of the algorithm is  $O(d^2n^6)$ .  $\Box$ 

#### 5.1 Improvements to the Algorithm

The running time for **Learn-Graph** can be decreased significantly by using two-robot adaptive homing sequences. As in Rivest and Schapire [RS93], an adaptive homing sequence is a decision tree, so the actions in later steps of the sequence depend on the output of earlier steps. With an adaptive homing sequence, only one  $map_c$  needs to be discarded each time the homing sequence is improved. Thus the running time of **Learn-Graph** decreases by a factor of n to  $O(d^2n^5)$ .

Any additional information that distinguishes nodes can be included in the output, so homing sequences can be shortened even more. For example, a robot learning an unfamiliar city could easily count the number of roads leading into and out of intersections. It might also recognize stop signs, traffic lights, railroad tracks, or other common landmarks. Therefore, in any practical application of this algorithm we expect a significantly lower running time than the  $O(d^2n^5)$  bound suggests.

Graphs with high conductance can be learned even faster using the algorithm presented in Section 6.

#### 5.2 Limitations of a Single Robot with Pebbles

We now compare the computational power of two robots to that of one robot with a constant number of pebbles. Note that although **Learn-Graph** runs in time polynomial in n, the algorithm requires no prior knowledge of n. We argue here that a single robot with a constant number of pebbles cannot efficiently learn strongly-connected directed graphs without prior knowledge of n.

As a tool we introduce a family  $\mathcal{C} = \bigcup_n \mathcal{C}_n$  of graphs called *combination locks*.<sup>1</sup> For a graph

<sup>&</sup>lt;sup>1</sup>Graphs of this sort have been used in theoretical computer science for many years (see [Moo56], for example). More recently they have reemerged as tools to prove the hardness of learning problems. We are not sure who first coined the term "combination lock."

C = (V, E) in  $\mathcal{C}_n$  (the class of *n*-node combination locks),  $V = \{u_0, u_1, \ldots, u_{n-1}\}$  and either  $\langle u_i, 0, u_{i+1 \mod n} \rangle$  and  $\langle u_i, 1, u_0 \rangle \in E$ , or  $\langle u_i, 1, u_{i+1 \mod n} \rangle$  and  $\langle u_i, 0, u_0 \rangle \in E$ , for all  $i \leq n$  (see Figure 3a). In order for a robot to "pick a lock" in  $\mathcal{C}_n$  — that is, to reach node  $u_{n-1}$  — it must follow the unique *n*-node simple path from  $u_0$  to  $u_{n-1}$ . Thus any algorithm for a single robot with *no* pebbles can expect to take  $\Theta(2^n)$  steps to pick a random combination lock in  $\mathcal{C}_n$ .



Figure 3: (a) A combination-lock, whose combination is 0, 1, 0, 1, 1. (b) A graph in  $\mathcal{R}_{11}$ . Graphs in  $\mathcal{R} = \bigcup_{n=1}^{\infty} \mathcal{R}_n$  cannot be learned by one robot with a constant number of pebbles.

We construct a restricted family  $\mathcal{R}$  of graphs and consider algorithms for a single robot with a single pebble. For all positive integers n, the class  $\mathcal{R}_n$  contains all graphs consisting of a directed ring of n/2 nodes with an n/2-node combination lock inserted into the ring (as in Figure 3b). Let  $\mathcal{R} = \bigcup_{n=1}^{\infty} \mathcal{R}_n$ . We claim that there is no probabilistic algorithm for one robot and one pebble that learns arbitrary graphs in  $\mathcal{R}$  in polynomial time with high probability.

To see the claim, consider a single robot in node  $u_0$  of a random graph in  $\mathcal{R}$ . Until the robot drops its pebble for the first time it has no information about the graph. Furthermore, with high probability the robot needs to take  $\Theta(2^n)$  steps to emerge from a randomly-chosen *n*-node combination lock unless it drops a pebble in the lock. But since the size of the graph is unknown, the robot always risks dropping the pebble before entering the lock. If the pebble is dropped outside the lock, the robot will not see the pebble again until it has passed through the lock. A robot that cannot find its pebble has no way of marking nodes and cannot learn.

More formally, suppose that there were some probabilistic algorithm for one robot and a pebble to learn random graphs in  $\mathcal{R}$  in polynomial time with probability greater than 1/2. Then there must be some constant c such that the probability that the robot drops its pebble in its first c steps

is greater than 1/2. (Otherwise, the probability that the algorithm *fails* to learn in time polynomial in *n* is greater than 1/2.) Therefore, the probability that the robot loses its pebble and fails to learn a random graph in  $\mathcal{R}_{2c}$  efficiently is at least 1/2.

A similar argument holds for a robot with a constant number of pebbles. We conjecture that even if the algorithm is given n as input, a single robot with a constant number of pebbles cannot learn strongly-connected directed graphs. However, using techniques similar to those in Section 6, one robot with a constant number of pebbles and prior knowledge of n can learn high-conductance graphs in polynomial time with high probability.

## 6 Learning High Conductance Graphs

For graphs with good expansion, learning by walking randomly is more efficient than learning by using homing sequences. In this section we define *conductance* and present an algorithm that runs more quickly than **Learn-Graph** for graphs with conductance greater than  $\sqrt{\log n/dn^2}$ .

#### 6.1 Conductance

The conductance [SJ89] of a graph characterizes the rate at which a random walk on the graph converges to the stationary distribution  $\pi$ . For a given directed graph G = (V, E), consider a weighted graph G' = (V, E, W) with the same vertices and edges as G, but with edge weights defined as follows. Let  $M = \{m_{i,j}\}$  be the transition matrix of a random walk that leaves i by each outgoing edge with probability  $1/(2 \cdot \text{degree}(i))$  and remains at node i with probability 1/2. Let  $P^0$  be an initial distribution on the n nodes in G, and let  $P^t = P^0 M^t$  be the distribution after t steps of the walk defined by M. (Note that  $\pi$  is a *steady state* distribution if for every node  $i, P_i^t = \pi_i \longrightarrow P_i^{t+1} = \pi_i$ . For irreducible and aperiodic Markov chains,  $\pi$  exists and is unique.) Then the edge weight  $w_{i,j} = \pi_i m_{i,j}$  is proportional to the steady state probability of traversing the edge from i to j. Note that the total weight entering a node is equal to the total weight leaving it; that is,  $\sum_j w_{i,j} = \sum_j w_{j,i}$ .

Consider a set  $S \subseteq V$  which defines a cut  $(S,\overline{S})$ . For sets of nodes S and T, let  $W_{S,T} = \sum_{s \in S, t \in T} w_{s,t}$ . We denote  $W_{S,V}$  by  $W_S$ , so  $W_V$  represents the total weight of all edges in the graph. Then the conductance of S is defined as  $\phi_S = W_{S,\overline{S}} / \sum_{i \in S} \pi_i = W_{S,\overline{S}} / W_S$ .

The conductance of a graph is the least conductance over all cuts whose total weight is at most  $W_V/2$ :  $\phi(G) = \min_S \{\max(\phi_S, \phi_{\overline{S}})\}$ . The conductance of a directed graph can be exponentially small.

Mihail [Mih89] shows that after a walk of length  $\phi^{-2} \log(2n/\epsilon^2)$ , the  $L_1$  norm of the distance between the current distribution P and the stationary distribution  $\pi$  is at most  $\epsilon$  (i.e.  $\sum_i |P_i - \pi_i| \leq \epsilon$ ). In the rest of this section, a choice of  $\epsilon = 1/n^2$  is sufficient, so a random walk of length  $\phi^{-2} \log(2n^5)$  is used to approximate the stationary distribution. We call  $T = \phi^{-2} \log(2n^5)$  the approximate mixing time of a random walk on an n-node graph with conductance  $\phi$ .

#### 6.2 An Algorithm for High Conductance Graphs

If a graph has high conductance it can be learned more quickly. In a high-conductance graph, we can estimate the steady state probability of node *i* by leaving Clark at node *i* while Lewis takes *w* random walks of  $\phi^{-2} \log (2n^5)$  steps each. Let *x* be the number of times that Lewis sees Clark at the last step of a walk. If *w* is large enough, x/w is a good approximation to  $\pi_i$ .

**Definitions:** Call a node *i* a *likely* node if  $\pi_i \ge 1/2n + 1/n^2$ . Note that every graph must have at least one such node. (The  $1/n^2$  term appears because of the distance  $\epsilon = 1/n^2$  from the stationary distribution. Its inclusion here simplifies the analysis later.) A node that is not likely is called *unlikely*.

Algorithm Learn-Graph2 uses this estimation technique to find a likely node  $u_0$  and then calls the procedure Build-Map to construct a map of G starting from  $u_0$ . The procedure Build-Map learns at least one new edge each iteration by sending Lewis across an unexplored edge  $\langle u, \ell, v \rangle$  of some unfinished node u in map. Clark waits at start node  $u_0$  while Lewis walks randomly until he meets Clark. (If  $u_0$  is a likely node, this walk is expected to take O(Tn) steps.) Lewis stores this random walk in the variable path. Thus,  $path_i$  is the label of the edge traversed at the *i*th step of the random walk,  $path[i \dots j]$  represents edges  $path_i$  to  $path_j$ , and |path| represents the length of path. We say that path-step(Lewis) = *i* if Lewis has just crossed the *i*th edge on path.

done:	= FALSE	
2 T := q	$\phi^{-2}\log\left(2n^5\right)$	{ the mixing time }
8 while	e (not (done))	
ł do	$\bullet \ map := (\{u_0\}, \emptyset)$	$\{map \text{ is the graph consisting of node } u_0 \text{ and no edges } \}$
Ś	lost := FALSE	
5	Lewis and Clark together	take a random walk of length $T$
7	Lewis takes $w$ random wa	alks of length $T = \{ approx. stationary prob. of Loc_G(Clark) \}$
3	x := number of walks wh	ere Lewis and Clark are together at the last step
)	path := the path Lewis for	bllowed since leaving Clark
10	if $x/w \leq B$	{ bound $B < 1/n$ chosen for ease of proof
1	then Clark follows pa	th to catch up to Lewis { not at a frequently-visited node }
12	else Lewis moves ran	domly until he sees Clark $\{ \text{ call node where they meet } u_0 \}$
19	$done := \mathbf{Build}_{\mathbf{M}}$	an(man M T)

The procedure **Compress-Path** returns the shortest subpath of *path* that connects v to  $u_0$ . Finally, **Truncate-Path-at-Map** compares nodes on the path with all nodes in *map* and returns the shortest subpath connecting v to some node in *map*. By adding the final path to the map, **Build-Map** connects the new node v to *map*, so *map* always represents a strongly connected subgraph of G. Figure 4 illustrates a single iteration of the main loop in **Build-Map**.

Algorithm Learn-Graph2 takes as input parameters the number of random walks w, a bound B to separate the probability of likely and unlikely nodes (we choose B to be approximately 3/4n), the mixing time T, and a quantity M. This quantity is chosen so that the probability of a robot's

**Build-Map**(map, M, T): while there are unfinished nodes in *map* and not *lost* { Lewis and Clark both at  $u_0$  } 1  $\mathbf{2}$ do  $u_i :=$  an unfinished node in map 3 restart := a minimal path in map from  $u_0$  to  $u_i$ 4 m :=largest index of the nodes in map5path := empty path6 Lewis follows *restart* and traverses unexplored edge  $\ell$ { Lewis crosses a new edge } 7 while length(path) < MT and robots are not together { Lewis walks randomly ... } do Lewis traverses a random edge  $\ell'$  and adds  $\ell'$  to end of *path* 8  $\{ \dots \text{ until he sees Clark at } u_0 \}$ 9 if robots are together 10 then both robots follow *restart* to  $u_i$  and cross edge  $\ell$ 11 path := Compress-Path(path, restart){ removes loops from *path* } 12*path*,  $u_i :=$ **Truncate-Path-At-Map**(*path*, *map*) { shortest path back to *map* } 13if |path| = 014 **then** add edge  $\langle u_i, \ell, u_j \rangle$  to map 15else add nodes  $u_{m+1}, \ldots, u_{m+|path|}$  to mapadd edges  $\langle u_i, \ell, u_{m+1} \rangle$  and  $\langle u_{m+|path|}, path_{|path|}, u_j \rangle$  to map1617 $\forall k, 1 \leq k < |path| \text{ add edges } \langle u_{m+k}, path_k, u_{m+k+1} \rangle$ 18both robots move to  $u_0$ 19 { if Lewis walks MT steps without seeing Clark } else 20Clark follows restart,  $\ell$ , path to catch up to Lewis 21lost := TRUE22 **if** *lost* 23then return FALSE else return TRUE 24**Compress-Path** (*path*, *restart*): 1 while Clark not at end of path { Lewis and Clark both at  $u_0$  }  $\mathbf{2}$ do while Lewis not at end of path 3 do Lewis traverses the next edge of *path* 4 if Lewis and Clark are together { found a loop in *path* — remove it } 5then path :=  $path[1...path-step(Clark) \circ path[path-step(Lewis) + 1...|path]$ 6 Lewis follows *restart* and edge  $\ell$ 7 Lewis traverses edges  $path[1 \dots path-step(Clark)]$ both robots are now together and traverse one edge of path 8 9 return path { Lewis and Clark both at  $u_0$  } **Truncate-Path-At-Map**(*path,map*): earliest := |path|{ first position on *path* that is a node already in *map* } 1 earliest-node :=  $u_0$ { the name of this node } 2for each node  $u_k$  in map3 Clark moves to  $u_k$ 4 5Lewis follows *restart* and edge  $\ell$ 6 while Lewis not at end of *path* 7 do if Lewis and Clark are together and path-step(Lewis) < earliest**then** *earliest* := *path-step*(Lewis) 8 9 earliest-node :=  $u_k$ 10Lewis traverses next edge on *path* 11 both robots move to  $u_0$ 12 **return** path [1...earliest], earliest-node



Figure 4: Procedure **Build Map** during one execution of the **while** loop. The ovals represent map, the portion of graph G learned so far. Note that map is strongly connected. Node  $u_0$ , the first node added to map, is with high probability a node with a large stationary probability (a *likely* node). The robots find  $u_0$  in procedure **Learn-Graph2** using random walks in line 2 of **Build Map**. The robots agree on a node  $u_i$  with unexplored outgoing edges (an unfinished node). Then Lewis moves to  $u_i$  and follows the unexplored edge  $\ell$ , while Clark stays at  $u_0$ . Since  $\ell$  is unexplored, Lewis is now at an unknown node. Lewis walks randomly until, visiting  $u_0$ , he finds Clark. The dotted line of Figure 4 [a] depicts this random walk, denoted path. Random walk path may pass through the same node many times. In procedure **Compress-Path**, the robots collectively remove all of the loops from the path (reducing the path to the solid line in [a] and [b]). In procedure **Truncate-Path-at-Map**, the robots find  $u_j$ , the first node in path already in map. All the nodes and edges of path until  $u_i$  (the bold line in [b]) are added to map.

starting at a likely node and walking randomly for MT steps without returning to its start node is very small.

In sections 6.3 and 6.4 we prove the following theorems.

**Theorem 13** When Learn-Graph2 halts, it outputs a graph isomorphic to G.

**Theorem 14** Suppose Learn-Graph2 is run on a graph G with  $w = \sqrt{(4+\tau)dn^3}$  and  $M = (4+\tau)dn^2$  for some constant  $\tau > 0$ , and  $B = \frac{3}{4n}(1+\frac{2}{n})$ . Then for sufficiently large n, with probability at least  $1-\epsilon$  Learn-Graph2 halts within  $O((4+\tau)dn^3T)$  steps, where  $\epsilon = e^{-\frac{1}{20}\sqrt{(4+\tau)nd}} + e^{-\frac{4}{2}\sqrt{(4+\tau)nd}} + e^{-\frac{4}{4}}$ .

## 6.3 Correctness of Learn-Graph2

In this section, we prove the correctness of each procedure in Learn-Graph2.

**Lemma 15** The procedure **Compress-Path** halts in O(n | path |) steps and returns a path in which no node occurs more than once.

**Proof:** We prove the following invariant by induction: in **Compress-Path**, whenever Lewis reaches the end of *path*, each node in *path* $[1 \dots path-step(Clark)]$  appears at most once in the entire *path*.

Assume that this claim holds after Clark has crossed the first k edges in path. By the inductive hypothesis, we know that when Clark crosses the (k + 1)st edge, he arrives at some new node not previously encountered along path. Now Lewis follows the entire path. Whenever the path loops back to  $\text{Loc}_G(\text{Clark})$ , the loop is removed from the path. Thus, all repeated occurrences of the new node are removed from the path, proving the inductive step.

Since there are *n* nodes in the graph, Clark can only make *n* moves before he returns to  $u_0$ . Lewis can move at most |path| steps for every move of Clark's, so the total running time is O(n |path|).  $\Box$ 

**Lemma 16** The procedure **Truncate-Path-At-Map** finds the index of the first path step leading to a node already in map. The algorithm runs in  $O(n^2)$  steps.

**Proof:** For each node  $u_k$  in *map*, Lewis traverses the path once while Clark waits at  $u_k$ . The procedure keeps track of the earliest node found that is already in *map*, so the procedure's correctness follows. Clark takes at most n steps to reach each node  $u_k$ . Lewis needs at most n steps to follow the compressed *path* and n more to return to the start of the path. Thus the algorithm requires no more than  $3n^2$  steps.

The algorithm **Learn-Graph2** halts only when **Build-Map** returns TRUE. The following lemma shows that whenever **Build-Map** returns TRUE, *map* is isomorphic to G.

**Lemma 17** In **Build-Map**, whenever Clark is at  $u_0$ , map is a good representation of  $(Loc_G(Clark), G)$ .

**Proof:** We inductively build a subgraph G' = (V', E') of G = (V, E) and construct an isomorphism f from map to G'. Initially, Lewis and Clark are both at  $u_0$  and map consists of the single node  $u_0$  and no edges. Let  $V' = Loc_G(\text{Clark}), E' = \emptyset$ , and  $f(u_0) = Loc_G(\text{Clark})$ . Then map is a good representation of  $(Loc_G(\text{Clark}), G)$ .

Consider the robots starting an iteration of the first **while** loop in **Build-Map**. Both robots are together at  $u_0$ . Inductively assume that *map* is a good representation of  $\langle Loc_G(\text{Clark}), G \rangle$  and that *map* is strongly-connected. Thus, Lewis can always reach an unfinished node in *map* if one exists. Lewis walks to an unfinished node  $u_i$ , crosses a new edge  $\ell$  to an unknown node v, and then walks randomly until he returns to  $u_0$ , where Clark is waiting. From Lemmas 15 and 16, after the algorithm executes subroutines **Compress-Path** and **Truncate-Path**,  $\ell \circ path$  is a path that begins at  $u_i$ , crosses edge  $\ell$ , ends at  $u_i$ , and whose intermediate nodes are not represented in *map*.

If path is empty after **Truncate-Path-At-Map**, then v is node  $u_j$  already in map. Adding edge  $\langle f(u_i), \ell, f(u_j) \rangle$  to E' and  $\langle u_i, \ell, u_j \rangle$  to map and therefore maintains the invariant that G' is a subgraph of G and preserves the isomorphism between map and G'.

If path is not empty, then by lemmas 15 and 16 all nodes reached by starting at  $u_i$  and following path to the end are distinct, and only the last node reached is already in map. Let m be the highest index so far of any node in map. The algorithm adds new nodes  $u_{m+1}, \ldots, u_{m+|path|}$  and new edges  $\langle u_{m+k}, path_k, u_{m+k+1} \rangle \forall k, 1 \leq k < |path|, \langle u_i, \ell, u_{m+1} \rangle$ , and  $\langle u_{m+|path|}, path_{|path|}, u_j \rangle$  to map. Let  $f(u_{m+k})$  be the location of Lewis in G after Lewis has crossed the kth edge of the path. Add the |path| - 1 new nodes to V', and edges  $\langle f(u_{m+k}), path_k, f(u_{m+k+1}) \rangle$  to E'. Then f is an isomorphism from map to  $G' \subseteq G$ , so map is a good representation of  $\langle Loc_G(\text{Clark}), G \rangle$ . Since path connects an unfinished node to another node in map, map remains strongly-connected.

When **Build-Map** halts and returns TRUE, there are no unfinished nodes in *map*. Since *map* is isomorphic to  $G' \subseteq G$  and has no unfinished nodes, *map* must have the same number of nodes and edges as G. Therefore, *map* is isomorphic to G when **Build-Map** returns TRUE, proving Theorem 13.

#### 6.4 Running Time and Failure Probability of Learn-Graph2

We proved that when the algorithm terminates it is correct. In this section, we prove Theorem 14 by analyzing the probability that the algorithm terminates in a reasonable amount of time. We say the algorithm fails if any of the following cases holds:

- 1. Algorithm Learn-Graph2 finds an unlikely node but estimates that it is a likely node.
- 2. Algorithm Learn-Graph2 fails to find a likely node in the allotted time. We allow  $w = \sqrt{(4+\tau)dn^3}$  iterations, each consisting of w random walks.
- 3. Algorithm Learn-Graph2 calls Build-Map from a likely node, but Build-Map returns FALSE.

In fact, these conditions overestimate the probability that the algorithm fails to run in  $O((4 + \tau)dn^3T)$  steps. The next three lemmas bound the probabilities of each of the three failure conditions.

At the end of the section, we analyze the running time of **Learn-Graph2** when no failure condition occurs.

**Lemma 18 (Failure Condition 1)** Suppose that **Learn-Graph2** is run with  $w = \sqrt{(4+\tau)dn^3}$ and  $M = (4+\tau)dn^2$ . Assume that the algorithm estimates node u's steady-state probability to be greater than  $B = \frac{3}{4n}(1+\frac{2}{n})$ . Then the probability that u is not a likely node is at most  $e^{-\frac{1}{20}\sqrt{(4+\tau)nd}}$ .

**Proof:** Call each random walk in Learn-Graph2 a *phase*. Let  $X_i$  be the random variable where

$$X_i = \begin{cases} 1 & \text{if Lewis and Clark are together at the end of phase } i \\ 0 & \text{otherwise.} \end{cases}$$

Then  $X = \sum_{i=1}^{w} X_i$  is the number of phases where Lewis and Clark end together. If u is an unlikely node, then  $E[X] \leq (w/2n)(1+(2/n))$ , because the estimation of  $\pi_u$  could be inaccurate by at most  $\epsilon = 1/n^2$ . We therefore bound the quantity

$$Pr\left[X > \frac{3w}{4n}\left(1 + \frac{2}{n}\right) \mid E[X] \le \frac{w}{2n}\left(1 + \frac{2}{n}\right)\right].$$

Using the Chernoff bound from Lemma 11 with  $\delta = 1/2$ , we get:

$$Pr\left[X \ge \frac{3w}{4n}\left(1+\frac{2}{n}\right)\right] \le \left[\frac{e^{\frac{1}{2}}}{\left(\frac{3}{2}\right)^{\frac{3}{2}}}\right]^{\frac{w}{2n}\left(1+\frac{2}{n}\right)}$$
$$\le \left(\sqrt{\frac{8e}{27}}\right)^{\frac{w}{2n}} \le e^{\ln\left(\sqrt{\frac{8e}{27}}\right)^{\frac{\sqrt{(4+\tau)dn}}{2}}} \le e^{\frac{-\sqrt{(4+\tau)dn}}{2}\ln\left(\sqrt{\frac{27}{8e}}\right)} \le e^{\frac{-\sqrt{(4+\tau)dn}}{20}}.$$

**Lemma 19 (Failure Condition 2)** The probability that **Learn-Graph2** fails to find and recognize a likely node after  $\sqrt{(4+\tau)dn^3}$  iterations is at most  $e^{-\frac{1}{2}\sqrt{(4+\tau)dn}}$  for sufficiently large n.

**Proof:** Define a good node to be a node with steady state probability at least 1/n. (Note that every graph has at least one good node.) We can bound the probability that we fail to find and recognize a likely node by the probability that we fail to identify a good node within w iterations.

First, we bound the probability that the algorithm fails to recognize a good node when testing one. Random variables  $X_i$  and X are defined as in Lemma 18. Then, since the stationary probability of a good node is greater than 1/n,

$$E(X) \ge w\left(\frac{1}{n} - \frac{1}{n^2}\right).$$

To simplify the math, note that for sufficiently large n,

$$w\left(\frac{1}{n} - \frac{1}{n^2}\right) \ge \frac{15w}{16n}\left(1 + \frac{2}{n}\right).$$

Then by the Chernoff corollary in Lemma 11, for  $\delta = 1/5$ ,

$$\Pr\left[X < (1-\delta)\frac{15w}{16n}\right] = \Pr\left[X < \frac{3w}{4n}\right] \le e^{-\frac{1}{2}\frac{15}{16}\frac{1}{25}\frac{w}{n}} \le e^{-\frac{3}{160}\sqrt{(4+\tau)dn}}$$

Define  $\gamma$  to be the quantity

$$\left(1-\frac{1}{n}\right)\left(1-e^{-\frac{3}{160}\sqrt{(4+\tau)dn^3}}\right)$$

The probability that the node reached at the end of a random walk of both robots is a good node and is identified as such is at least

$$\left(\frac{1}{n} - \frac{1}{n^2}\right) \left(1 - e^{-\frac{3}{160}\sqrt{(4+\tau)dn^3}}\right) = \frac{\gamma}{n}.$$

Therefore the probability that after w trials, no likely node is found and recognized is at most

$$\left(1-\frac{\gamma}{n}\right)^{\sqrt{(4+\tau)dn^3}} = \left(1-\frac{\gamma}{n}\right)^{\frac{-n}{\gamma}\left(-\gamma\sqrt{(4+\tau)dn}\right)} = e^{-\gamma\sqrt{(4+\tau)dn}}.$$

Note that  $\gamma$  approaches 1 as *n* increases. For sufficiently large  $n, \gamma > 1/2$ , so the probability that the robots fail to find a likely node after *w* trials is at most  $e^{-\frac{1}{2}\sqrt{(4+\tau)dn}}$ .

Now we analyze the running time of **Build-Map**. The procedure **Build-Map** executes the main while loop at most once for each of the dn edges in the graph. Let  $k_i$  be the length of the random walk in the *i*th iteration of the while loop. Then let  $K = \sum_{i=1}^{dn} k_i$  be the total length of all the random walks in the algorithm. Since by Lemmas 15 and 16 **Compress-Path** runs in  $O(nk_i)$  steps and **Truncate-Path-At-Map** runs in  $O(n^2)$  steps, the *i*th iteration takes  $O(n+k_i+nk_i+n^2)$  steps. Therefore the total running time of the algorithm is  $O(dn^3 + nK)$ .

**Lemma 20 (Failure Condition 3)** If  $u_0$  is a likely node, then with probability at least  $1 - e^{-\frac{\tau dn}{4}}$ ,  $K \leq (4 + \tau) dn^2 T$ .

**Proof:** We use an amortized analysis to prove the bound on K. First we subdivide all of Lewis' random walks during **Build-Map** into periods of  $T = \phi^{-2} \log 2n/\epsilon^2$  steps each, where T is the approximate mixing time. Recall that if Lewis starts from any node, after T steps Lewis is at node  $u_k$  with probability between  $\pi_k - 1/n^2$  and  $\pi_k + 1/n^2$ . Thus, Lewis' position after the kth period is almost independent of Lewis' position after the (k + 1)st period.

We associate a 0/1-valued random variable,  $X_k$ , with the kth period of Lewis' random walk.

$$X_k = \begin{cases} 1 & \text{if Lewis is at node } u_0 \text{ at the end of the } k \text{th period} \\ 0 & \text{otherwise.} \end{cases}$$

Since  $u_0$  is a likely node,  $X_k = 1$  with probability at least 1/2n. Let  $X = \sum_{k=1}^{(4+\tau)dn^2} X_k$  be the number of times Lewis returns to  $u_0$  in  $(4+\tau)dn^2$  periods. Note that E(X) is at least  $(4+\tau)dn/2$ .

Using Chernoff bounds with  $\delta = 1 - (2/(4 + \tau))$  we find:

$$Pr[X < (1-\delta)E[X]] < Pr[X < dn] < e^{\frac{-(4+\tau)dn}{4}\left(1-\frac{2}{4+\tau}\right)^2}$$

$$< e^{\frac{-(4+\tau)dn}{4}\left(1 - \frac{4}{4+\tau} + \frac{4}{(4+\tau)^2}\right)} < e^{\frac{-(4+\tau)dn}{4} + dn} < e^{\frac{-dn\tau}{4}}.$$

Thus, with high probability **Build-Map** runs in  $O(dn^3 + dn^3(4 + \tau)T)$  steps. Suppose none of the failure conditions occurs in a run of **Learn-Graph2**. Then the execution never calls **Build-Map** on an unlikely node, *does* call **Build-Map** on a likely node, and when it does, **Build-Map** returns TRUE. Therefore **Learn-Graph2** makes at most w steady-state probability estimates, each taking O(wT) steps, before calling **Build-Map** once. Therefore, the running time is  $O((4 + \tau)dn^3T)$ , proving Theorem 14.

#### 6.5 Exploring Without Prior Knowledge

Prior knowledge of n is used in two ways in Learn-Graph2: to estimate the stationary probability of a node and to compute the mixing time T. If T is known but n is not, the algorithm can forego estimating  $\pi_i$  entirely and simply run Build-Map after step 6. The removal of lines 7 - 12 from Learn-Graph2 yields a new algorithm whose expected running time is polynomially slower than the original. If we know neither n nor T, we can run this new algorithm using standard doubling to estimate the quantity MT. This quantity can be used in line 6 of Learn-Graph2 and also in line 7 of Build-Map as an upper bound on the length of the random walks. Thus no prior knowledge of the graph is necessary.

### 7 Conclusions and Open Problems

Note that with high probability, a single robot with a pebble can simulate algorithm **Learn-Graph2** with a substantial but polynomial slowdown. However, **Learn-Graph2** does not run in polynomial expected time on graphs with exponentially-small conductance. An open problem is to establish tight bounds on the running time of an algorithm that uses one robot and a constant number of pebbles to learn an *n*-node graph *G*. We conjecture that the lower bound will be a function of  $\phi(G)$ , but there may be other graph characteristics (*e.g.*, cover time) which yield better bounds. It would also be interesting to establish tight bounds on the number of pebbles a single robot needs to learn graphs in polynomial time.

Another direction for future work is to find other special classes of graphs that two robots can learn substantially more quickly than general directed graphs, and to find efficient algorithms for these cases.

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