## The Structure and Behavior of Plate Boundary Regions Through the Wilson Cycle

by

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# Submitted to the Department of Earth, Atmospheric, and Planetary Sciences in partial fulfillment of the requirements for the degree of

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### Abstract

This thesis explores the geochemical and geophysical properties of plate boundary regions in the Atlantic, East Africa, the New England Appalachians, and subduction zones around the Pacific Ocean. Chapter One presents geochemical constraints on the extent of enriched mantle from upwelling mantle plumes relative to the observed extent of topographic swells related to mantle flow. It builds on this data by presenting a new geophysical model of mantle flow around mantle plumes that constrains the viscosity structure of the upper mantle and emphasizes the role of dynamic pressure from flowing mantle in the generation and maintenance of plume swell topography. Chapter 2 presents new experimental constraints on subduction zone melts at 2.4 GPa and temperatures representative of conditions near the top of the subduction slab in the mantle wedge. Our experimental constraints support existing hypotheses that proposed erupted primitive high magnesian andesites are produced through mantle melting and mixing of melts in the mantle wedge, while also presenting novel constraints on the concentration of water that can be maintained in glass during quenching. Chapter 3 presents a field-based study of low melt fraction migmatites in central New Hampshire. In it, we utilize a unique approach, based on the compaction lengthscale, to calculate the shear viscosity of the migmatite during deformation associated with the Acadian-Neoacadian orogeny and the presence of an orogenic plateau. Chapter 4 presents a detailed macro- and microscale analysis of structures and deformation in southern New England related to contemporaneous strike-slip conjugate faulting in the upper crust. In it we present new electron backscatter diffraction (EBSD) data and in situ trace element and U-Pb isotopic compositions for monazite and titanite. These datasets provide quantitative constraints on the style and conditions of deformation in the weak middle crust beneath an orogenic strike-slip conjugate shear system (in the upper crust). Furthermore, this data constrains the late Paleozoic stress field in New England and the kinematics of collision between Gondwana and Laurasia.

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### **Chapter 1: Introduction**

The Earth is composed of three major layers on the basis of increasing density with depth below the surface: the crust, mantle, and core. The crust and uppermost mantle comprise a rigid, and largely elastic layer, termed the lithosphere. Most field-based geologic studies address the structure, behavior, and composition of the lithosphere and the interplay between the lithosphere and adjacent asthenosphere, atmosphere, cryosphere, and hydrosphere. The lithosphere and underlying ductile and convective asthenosphere are of utmost importance to geologists as these layers participate in plate tectonics. The theory of plate tectonics states that the lithosphere is fragmented into a series of plates, each of which move semi-independently on top of the underlying ductile asthenosphere (i.e., Wilson, 1963; 1966; 1968a). The "Wilson Cycle," or the cycle of continental breakup and supercontinent formation (e.g., Wilson, 1968; Burke and Dewey, 1973; Burke, 2007), is commonly used to reference the variety of observed structures in the lithosphere corresponding to the repeated creation and destruction of ocean basins and the occasional production of a singular "supercontinent" in Earth's lithosphere.

We have a relatively detailed understanding of the structure, behavior, and composition of the upper crust as this is the most accessible and continuously exposed section of the Earth's interior. Since the conception of modern plate tectonics in the 1960s, there is a large body of field-based work that has mapped out the structure of the upper crust in detail with associated discussions and interpretations regarding the behavior and composition of the upper crust (e.g., Crider and Peacock, 2004; Gratier et al., 2013). The deep lithosphere, composed of the lower crust and uppermost mantle, is not nearly as well studied as the upper crust (e.g., Burgmann and Dresen, 2008; Hacker et al., 2015). Much of our understanding of the deep lithosphere is derived from geophysical and remote sensing data as well as increasingly complex geodynamic models (Shinevar et al., 2015). Much of the recent work in solid earth geoscience over the last couple decades has focused on identifying and characterizing lower crustal exposures around the globe (Jagoutz and Schmidt, 2012; Getsinger et al., 2013; Jagoutz and Keleman, 2015; Dumond et al., 2018; Tassara et al., 2021), with the aim to improve our understanding of the composition and rheology of lower crustal rocks. The identification and detailed study of bedrock exposures, formerly held at extreme depth in the Earth, is of utmost importance to studying the structure of the deep lithosphere in detail and directly testing hypotheses born out of remote sensing data, geodynamic models of the lithosphere, and experimental work on lower crustal petrology and rheology.

In this thesis, we discuss the structure and behavior of the lithosphere, in particular the mid-lower crust and upper mantle in plate boundary regions. Plate boundary regions are the sub-linear zones between lithospheric plates which accommodate deformation due to their relative movement (Stein and Stella, 2013). The first chapter focuses on the behavior of the upper mantle surrounding upwelling mantle plumes in proximity to oceanic spreading centers (mid-ocean ridges). The second chapter presents the results of an experimental investigation on the composition of hydrous lherzolite melts in the deep mantle wedge (2.4 GPa). The last two chapters present field-based studies of the mid-lower crust in the late Paleozoic Appalachian orogen, produced during multistage continental collision and the formation of the supercontinent Pangea.

In Chapter 1, we present geochemical constraints on the extent of plume material in the upper mantle relative to the extent of topographic swells related to uplift around the plume. Previous studies highlight a discrepancy between the extent of geochemical anomalies away from the center of upwelling mantle plumes and the extent of topographic uplift around the plumes. Geochemical anomalies are typically limited to within ~400-700 km of the center of the mantle plume, while topographic swells extend for ~1500-2000 km from the plume center. Many past geophysical models have hypothesized that the majority of topographic uplift around mantle plumes is due to a combination of i) crustal thickness (Darbyshire et al., 1998; Ito et al., 1999; Canales et al., 2002), ii) thermal erosion of the lithosphere (Davies, 1994), iii) isostatic

compensation of the relatively low-density plume (Zhoe and Dick, 2013), and iv) dynamic pressure and uplift related to mantle flow (Sleep, 1990; Yamamoto et al., 2007). Of these four hypotheses, the first three are much more prevalent in the literature with only a handful of studies arguing for significant dynamic compensation of mantle plume swells. As part of our work, we calculated the mantle potential temperature beneath spreading ridges that transect the Iceland, Afar, and Azores mantle plumes following the method of Krein et al. (2021). The results of this analysis are in rough agreement with previously published studies on the extent of plume material from geochemical anomalies. Together with available crustal thickness data around Iceland and the Azores (i.e., Weir et al., 2001; Ferreira et al., 2020), we find that elevated crustal thickness, thermal erosion, and isostatic compensation of low-density plume material are insufficient to explain the majority of dynamic topography, principally beyond the extent of geochemical anomalies associated with hot, enriched plume material in the upper mantle. In this chapter, we present a new model of dynamic topography and mantle flow around upwelling mantle plumes, with the modelled plume extent (and plume viscosity) constrained by the extent of geochemical anomalies and the majority of model topography is constrained by a combination of plume volume flux and upper mantle viscosity. Our model strongly supports the hypothesis that plume swells are primarily supported by dynamic pressure due to mantle flow away from the upwelling plume center. Moreover, the dependence of the model on dynamic pressure, viscosity, and shear stress terms, allows us to provide new constraints regarding the viscosity structure of the upper mantle beneath the oceanic lithosphere. We find that a thin  $(\sim 30)$ km), low viscosity ( $\sim 10^{19}$  Pa·s) channel beneath the lithosphere is required for consistency with previous constraints on the shear stress at the base of the lithosphere.

In Chapter 2, we present the results of experiments on hydrous lherzolite melting at 2.4 GPa corresponding to the deep mantle wedge in subduction zones. There is a long standing debate regarding whether primitive high magnesian andesites (HMAs) such as those found the Cascade range in the Northwest United States are the product of primary mantle melting or

crustal assimilation and differentiation (Strek et al., 2007; Keleman and Yogodzinski, 2007; Barr et al., 2007; Streck and Leeman, 2018; Phillips and Till, 2022). As we cannot directly observe the structure and composition of the upper mantle wedge in subduction zones, we can constrain the composition of primary mantle melts through high temperature, high pressure experimentation. Previous experimental results (i.e., Mitchell and Grove, 2015; Grove and Till, 2019) support an interpretation where primitive HMAs are produced through hydrous mantle melting and mixing between deep mantle melts close to the slab (~3 GPa) and shallow (~1-1.2 GPa), harzburgite melts. However, we still do not have detailed constraints on the composition of melts intermediate between the deep and shallow mantle wedge and observed alkali compositional systematics (Na and K) remain elusive. Our results provide further support for the hypothesis of Grove and Till (2019) suggesting that primitive HMAs are produced via mixing of mantle melts between the dehydrating oceanic slab and shallow mantle wedge. The compositions of experiments at 2.4 GPa are either intermediate between shallow and deep experiments, or further bracket the range of erupted compositions in conjunction with past experiments. In particular, deep melts (this chapter, Grove and Till, 2019) are better able to explain the observed low Ca and relatively high Na and K concentrations of erupted HMAs in comparison to past experiments. Additionally, our experiments were terminated through pressure quenching as opposed to quenching by turning off the thermocouple (as in previous experiments). Pressure quenching, while also turning off the thermocouple, prevents quench crystal growth and preserves more of the primary glass textures in the experiment. By utilizing this quenching method, we preserved a range of glass textures between the high and low temperature experiments in our study. Related to the glass textures, the inferred water contents of the glass from major element compositional totals range from ~6-10 % depending on the experimental temperature. Higher temperature experiments typically result in glass with lower concentrations of water and a mix of smooth, continuous glass and vesiculated glass. Lower temperature experiments result in glass with relatively high, but consistent concentrations of

water at ~9 wt.% associated with strongly vesiculated glass or isolated, small glass spheres. These findings are consistent with the recent study of Gavrilenko et al. (2019) which suggests that during quenching, and the associated melt to glass transition, only <10 wt.% water can be 'locked' into the structure of the glass while the remaining fluid must be released. These results suggest that existing constraints on magmatic water content from melt inclusions or erupted glass are biased toward upper crustal water solubilities and may inaccurately reflect the water solubilities and concentrations of melts in the deep crust and upper mantle.

In Chapter 3, I present new structural observations and simple geophysical modelling of a late Devonian (~360 Ma) migmatite in Central New Hampshire. Studies of major collisional orogens such as the Tibetan-Himalaya in Asia or the Andes in South America commonly suggest a weak (<10<sup>18</sup> Pa·s) and flowing mid-crustal layer (e.g., Clark and Royden, 2000). Geophysical models of the Tibetan plateau require this weak layer to explain the elevated, broad, and flat topography of the plateau. Isolated field investigations as well as seismic and magnetotelluric data for the Tibetan and Andean plateaus suggest that the weak middle crust is produced due to extensive partial melting and associated melt-induced weakening (Schmitz et al., 1997; Schilling and Partzsch, 2001; Li et al., 2003; Schilling et al., 2006; Jamieson et al., 2011; Hacker et al., 2014; Xie et al., 2021). In Central New Hampshire, we identified an exposure of low melt fraction (<~9%) discordant migmatite which formed in the middle crust of the late Devonian Acadian orogen contemporaneous with an Acadian-Neoacadian orogenic plateau (Hillenbrand et al., 2021). As the original structure of the pre-migmatitic schist is preserved due to the low degrees of melting, this exposure provides an unprecedented opportunity to study the effect of partial melting on mid-crustal structure and weakening. We utilize the compaction lengthscale of McKenzie (1984) to estimate the shear viscosity of the migmatite contemporaneous with late Devonian deformation. The compaction lengthscale can be directly related to the spacing of discordant leucosomes, or bodies of partial melt, in the lithology. Therefore, by measuring the spacing of leucosomes and placing field-based and geochemical constraints on permeability and

melt viscosity, we are able to calculate a shear viscosity for the migmatite during late Devonian deformation. We find that the migmatite deformed with a shear viscosity of 10<sup>17-18</sup> Pa·s in the middle crust of the Acadian-Neoacadian plateau, corresponding to a 2-3 order of magnitude reduction in viscosity relative to a completely solid-state assemblage with no melt. This finding is in exact agreement with geophysical estimates of mid-lower crustal viscosity in Tibet and the Andes. Furthermore, our estimates of partial melt volume both in the field area and throughout Central New Hampshire are remarkably consistent with seismic and magnetelluric estimates of partial melt volume beneath the Tibetan and Andean plateaus. Ultimately, we find that high volumes of partial melt are not required to significantly affect the rheology of the mid-crust (~20-60 % melt) and that low volumes of melt and increasing melt connectivity are sufficient to reduce mid-crustal viscosity in accordance with mid-lower crustal ductile flow.

In Chapter 4, we present the results of a detailed structural and deformation analysis of Alleghenian deformation in southern New England. In this chapter, we seek to test whether conjugate strike slip shear zones, active in the Carboniferous during the early Alleghenian orogeny (~330-300 Ma), crosscut the entire crust or lithosphere (e.g., Tapponnier, 2001) or sole out in a mid-crustal weak zone (e.g., Van Buer et al., 2015). Furthermore, we investigate dynamic mechanism driving conjugate shear relative to the convergence direction of Gondwana and Laurasia, plate boundary orientation, and the presence or absence of orogen parallel flow in the mid-lower crust. We first present a regional compilation of macrostructural data (foliation, mineral lineation, and fold axis lineations) from Connecticut and Central Massachusetts bedrock geologic maps. The regional macrostructural data demarcates a significant transition between the medial Central Maine Terrane (CMT) in central and northern New England and the underlying Merrimack Terrane in eastern Connecticut. While the CMT consists of moderately to steeply dipping foliations both in its interior and on bounding strike-slip shear zones, the Merrimack Terrane is almost entirely subhorizontal and shallowly dipping. Subvertical conjugate strike slip shear zones, well documented in northern New England (dextral

Norumbega fault zone, West and Lux, 1993; sinistral Western Bronson Hill Shear Zone (WBHSZ), Massey and Moecher, 2013; McWilliams et al., 2013), transition to moderately and shallowly dipping flattening and dip-slip shear zones in eastern Connecticut. To further quantify the style and grade of deformation related to Alleghenian deformation in New England, we collected new EBSD data for ~10 thin sections of Alleghenian shear zones and high grade metamorphic rocks. The results of microstructural analysis and interpretation of the EBSD data suggest that the macro-structural transition identified above also corresponds to a transition in deformation style from rigid behavior and mylonitic strike-slip deformation in the upper crust (on the margins of the CMT) to ductile flattening and dip-slip deformation in the middle crust, exposed in eastern Connecticut. To ensure that the studied deformation is associated with upper crustal conjugate shear and Alleghenian deformation, we dated late-post kinematic monazite in the CMT and synkinematic titanite in the Merrimack Terrane. Monazite growth primarily reflects Acadian-Neoacadian metamorphism and deformation in the CMT; however, at the southern terminus along the Eastford fault, young monazite rims date top-to-southeast dip-slip deformation at ~330-300 Ma, contemporaneous with upper crustal conjugate shear. U-Pb titanite ages constrain the age of subhorizontal, dip-slip deformation in the middle crust to ~320-290 Ma, and late subhorizontal flattening to ~280 Ma. Altogether, these datasets suggest that strike-slip conjugate shear was confined to the upper crust and transitions to subhorizontal flattening and dip-slip shear along a weak mid-crustal decollement during Alleghenian orogenesis. Furthermore, estimates of paleostress direction from EBSD data provide new constraints on the Carboniferous stress field associated with Alleghenian deformation in New England, and suggest that deformation related to convergence is strongly partitioned into mylonitic strike-slip shear zones in the upper crust and distributed ductile shear in the midlower crust. The regional convergence direction and stress field suggest that the conjugate shear system is accommodating lateral escape of the CMT away from the zone of head-on collision in the central and southern Appalachians.

In summary, the chapters within this thesis present new geochemical and field-based constraints on the physical behavior and structure of plate boundary regions as well as the rheology and composition of the upper mantle. Each chapter is intended to represent a separate manuscript. Chapter 2 is in preparation but will be resubmitted to Geology, Geochemistry, and Geosystems following reviews received in 2023. Chapter 2 is currently in preparation and will be submitted to Contributions to Mineralogy and Petrology. Chapter 4 has been submitted to Earth, Planetary, and Science Letters as of January, 2024 and is currently under review. Chapter 5 is currently in preparation and will be submitted to the American Journal of Science.

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### Chapter 2: Dynamic Pressure as the Primary Compensation Mechanism around Mantle Plume Swells

#### Abstract

Mantle plumes are associated with diapiric upwelling in the mantle and are commonly overlain by a region of surface uplift. We present new geochemical data from the Iceland, Afar and Azores plumes, consisting of mantle potential temperature, and Sr and Pb isotopic measurements, indicate that 'plume-mantle' material is restricted to a region within ~700 km from the plume center. In contrast, the attendant topographic swells extend for ~2000 km from the plume center. These data indicate that compensation for the uplifted regions, beyond a radius of 500-700 km, is not due to the presence of hot, low-density material or crustal thickness. We use a simple model for viscous flow in a two-layer upper mantle, to model the injection of hot, low viscosity plume material into the upper mantle and the development of swell topography due to dynamic pressure in the upper mantle. The dynamic pressure is developed as plume material and "normal" asthenosphere flow away from the plume center. Model results show good correspondence with the geochemical data and observed topography around the Afar, Azores, and Iceland plume centers, and suggest that most of the swell topography beyond the plume radius is compensated by elevated dynamic pressure within the upper mantle. Our results suggest a volume flux of plume material into the upper mantle of 10<sup>5</sup>-10<sup>6</sup> km<sup>3</sup>/m.y. Our model has important implications for the viscosity structure of the upper mantle, supporting the existence of a thin (~30 km) oceanic low viscosity (~10<sup>19</sup> Pa·s) layer below the lithosphere.

#### 1 2.1 Introduction

Mantle plumes are buoyant upwellings in the Earth's mantle that source oceanic and continental hot spots, including those observed at Iceland, the Azores, Afar, Kerguelen, Hawaii, and the Galapagos (Morgan, 1972; Wilson, 1973). Plumes are hypothesized to be composed of hot, low-density mantle that rises to the base of the lithosphere and are associated with topographic swells that surround the plume center (e.g., Almond, 1986). Only a small fraction of plume-sourced magma erupts onto or intrudes the shallow crust while the remainder is underplated or intrudes the lower crust (Duncan and Richards, 1991).

The total volume of plume material, flux of plume material into the upper mantle, and 9 mode of compensation of the associated swells are hotly debated (Courtney and White, 1986; 10 Monnereau and Cazenave, 1990; Sleep, 1990; Marks and Sandwell, 1991; Canales et al., 2002). 11 Geochemical proxies, including isotopic ratios and whole rock composition, suggest that the 12 13 lateral extent of Icelandic plume material is limited to a distance of less than ~700 km from its plume center (Hart et al., 1973; Schilling, 1973; Unni and Schilling, 1978; Fitton et al., 1997; 14 Murton et al., 2002, Shorttle et al., 2015). In contrast, many geophysical and geodynamic 15 studies of mantle plumes conclude that the topographic swells, which typically have a radius 16 much greater than 700 km (typically 1000-2000 km, e.g., Jones and White, 2003; Hoggard et 17 al., 2017), are largely supported by low density plume material in the upper mantle and by 18 crustal thickness variations (Sleep, 1990; Ito et al., 1999; Canales et al., 2002). 19

In this paper, we better constrain the radial extent of plume material and the mode of
swell compensation at three plumes transected by oceanic spreading ridges: Iceland, Afar, and
the Azores. We present a new dataset of the mantle potential temperatures that constrain the
hot plume material in all three plumes to distances less than ~700 km from each plume center.
This new dataset places strong constraints on the mode of swell compensation at
distances greater than ~700 km from the plume centers and on the viscosity structure of the
upper mantle. Using a quantitative model for upper mantle flow above and adjacent to plume

sources, we demonstrate that the swell topography at radial distances greater than ~700 km
from the plume sources can be readily explained by the dynamic pressure of fluid flow within
the upper mantle. The results of this study have significant implications for estimates of upper
mantle viscosity structure and support the existence of a thin low viscosity layer directly beneath
the (young) oceanic lithosphere (i.e., Scoppola et al., 2006; Barnhoorn et al., 2011).

32 **2.2** 

#### **Geochemistry and Topography of Mantle Plumes**

Plume-derived volcanic rocks are more abundant where oceanic spreading ridges 33 intersect the plume (Schilling, 1973). In this setting, basaltic rocks erupted along the ridge can 34 be sampled for the presence of plume-derived material as a function of distance from the plume 35 center. Furthermore, the excess topography associated with the plume can be determined 36 directly from ridge bathymetry without corrections for pre-existing variations in topography, 37 such as age-depth relations in the oceanic lithosphere. Thus, plumes intersected by spreading 38 39 ridges provide an excellent opportunity to constrain the lateral extent of plume material and the compensation mechanism for swell topography. 40

#### 41 2.2.1 *Geochemistry*

A wide range of isotopic and whole rock analyses of erupted rocks have been published 42 for the Reykjanes ridge, including Hart et al. (1973), Schilling (1973), Unni and Schilling (1978), 43 Fitton et al. (1997), Murton et al. (2002), Shorttle et al. (2015). These studies, taken together, 44 suggest that depleted MORB geochemical properties are representative of the erupted basalts at 45 distances greater than ~700 km from the Iceland plume center, while basalts in close proximity 46 to the plume are relatively enriched in trace elements and isotopes. In order to test and refine 47 48 this result, we compute the mantle potential temperatures of magmatic rocks sampled on spreading ridges that intersect the Iceland, Afar, and Azores mantle plumes. 49

50 We use the major element geochemical model of Krein et al. (2021) to calculate mantle 51 potential temperature  $(T_p)$  as a function of distance from the mantle plume center. This method 52 'reverse fractionates' the major element geochemical data by incrementally correcting the 53 erupted composition for multiphase fractionation of olivine, clinopyroxene, and/or plagioclase.

By calculating the primary melt compositions from numerous multiphase fractionation paths and comparing them to a regression of dry mantle melting experiments, the composition, melting temperature, and melting pressure of the primary melt can be constrained (see Section 2 in Krein et al., 2021 for a detailed methodology). The average global  $T_p$ , as constrained by Krein et al. (2021), for mid-ocean ridge basalts not affected by mantle plumes, is  $1322 \pm 56^{\circ}$ C. (A list of references for samples used in this paper can be found in Appendix 1 (A1.4), along with the EarthChem search criteria for each plume.)

We also use two isotopic systems, <sup>87</sup>Sr/<sup>86</sup>Sr and <sup>206</sup>Pb/<sup>204</sup>Pb, to constrain the lateral 61 extent of plume-derived melts (Schilling et al., 1992; Tayler et al., 1997; Harpp and White, 62 2001). Because Sr and Pb isotopes are thought to reflect the composition of the melt source, 63 values of <sup>87</sup>Sr/<sup>86</sup>Sr and <sup>206</sup>Pb/<sup>204</sup>Pb close to that of average MORB are generally interpreted to 64 have little to no plume component in the melting region. Basalts with enriched Pb and Sr 65 66 isotopes relative to MORB are expected where some fraction of the melts are derived from the deep mantle (Hofmann and White, 1982). The isotopic ratios and the mantle potential 67 68 temperatures can then be used to constrain the lateral extent of plume material as a function of distance from the plume center, as is described in Section 2.2.3. 69

#### 70 2.2.2 Swell Topography

The topographic swells above and surrounding mantle plumes have been variously 71 attributed to: (i) elevated asthenospheric temperatures above and around the plume (Montelli et 72al., 2004); (ii) low density of plume material caused by non-thermal compositional differences 73 relative to the ambient mantle (Zhou and Dick, 2013); (iii) thermal erosion and/or heating of the 74 75 lithosphere (Davies, 1994); (iv) thickening of oceanic crust due to partial melting of plume material (Darbyshire et al., 1998; Ito et al., 1999; Canales et al., 2002). It is likely that some or 76 all these processes play some role in elevating the topography above plumes, especially near the 77 plume center. Several authors have also suggested that the longer-wavelength component of 78 swell topography may be due to the pressure of fluid flow in the asthenosphere, with increased 79

80 mantle pressure leading to topographic uplift above the plume (Sleep, 1990; Yamamoto et al.,

81 2007; see also Parsons and Daly, 1983 and McNutt, 1988)

#### 82 2.2.3 Iceland, Afar and Azores Plumes

The Iceland, Afar and Azores plumes intersect actively spreading mid-ocean ridges 83 bordered by plates with slow relative and absolute velocities (<10-20 mm/yr) in an Indo-84 Atlantic hot spot frame (DeMets et al., 2010; Koivisto et al., 2014; Barnett-Moore et al., 2017). 85 86 This is in contrast with plumes located in the Pacific and Indian oceans, where the over-riding plates move at rates > 50 mm/yr in most hot spot frames of reference (i.e., Morgan and Morgan, 87 2007; Kovisto et al., 2014; Wang et al., 2018). By studying plumes overlain by slowly moving 88 plates, we can, to first order, ignore the velocity of the plates, simplifying analysis of the plumes 89 and the associated swells. 90

91

#### 2.2.3.1 Iceland Plume

92 The Iceland plume underlies the North Atlantic spreading ridge, which rises above sea 93 level over the plume center (Figure 2.1A). Here, full spreading rates across the North Atlantic 94 ridge (from 50-70°N) are between 15 and 20 mm/yr (Muller et al., 2008). The Iceland plume 95 appears to have been located near its present position along the north Atlantic ridge for ~20-30 96 Ma and to have become active no earlier than 130 Ma (Lawver and Muller, 1994).

We define the location of the Iceland plume conduit as the region having S-wave speed 97 perturbations ( $\delta V_s/V_s$ %) less than -2% at a depth of 135 km (Montelli et al., 2004), placing the 98 Iceland plume conduit directly beneath the North Atlantic ridge (Figure 2.1A). Topography 99 (bathymetry) profiles along the spreading ridges (Kolbeinsey and Reykjanes ridges, Figures 2.1A 100 101 and 2A) were computed along the ridges using data from Ryan et al. (2009) and a centered moving average from a 100 km window (the same moving window was applied to the Afar and 102 Azores topography along each profile; Figure 2.2). The topographic profiles display a swell with 103 a radius of ~1500-2000 km and a maximum height of ~3000 m (Figure 2.2A). 104

105 The  $T_p$  for basalts collected from the Iceland ridge system are binned by radius, with bin 106 increments of 100 km for the innermost bins and 200 km at greater distances from the plume

107 center (Figure 2.2; the same binning procedure is used for the Afar and Azores plumes and for the isotopic data). For samples erupted > 500 km from the plume center, computed  $T_p$  is 108 consistent with the global average of 1300-1350°C for ridges without underlying plumes (Krein 109 110 et al., 2021) and there is little correlation with distance from the plume center. At radial distances < 400 km from the plume center, the average value of T<sub>p</sub> is  $\sim 1450$  °C, significantly 111 above the global average (Krein et al., 2021). In this domain, T<sub>p</sub> is also largely independent of 112 distance from the plume center. An abrupt change in  $T_p$  of basalts is present at a radial distance 113 of 400-500 km from the plume center. 114

<sup>87</sup>Sr/<sup>86</sup>Sr measurements from basalts show a nearly identical spatial pattern (Figure 115 116 2.2A).  ${}^{87}$ Sr/ ${}^{86}$ Sr values are ~0.7028 for samples located > 500 km from the plume center, consistent with the depleted MORB (DMM) mantle reference value of  $0.7026 \pm 0.0004$ 117 (Workman and Hart, 2005). In contrast, <sup>87</sup>Sr/<sup>86</sup>Sr ratios for samples located < 500 km from the 118 119 plume center range from 0.7030 to 0.7032, indicating a more radiogenic mantle source than DMM. The transition from enriched <sup>87</sup>Sr/<sup>86</sup>Sr ratios to depleted ratios occurs fairly abruptly at 120 ~500 km from the plume source. <sup>206</sup>Pb/<sup>204</sup>Pb measurements show a similar spatial pattern, 121 although the transition from enriched values to those consistent with typical DMM (18.275  $\pm$ 122 0.702 Workman and Hart, 2005), occurs somewhat gradually, between ~400 and ~750 km from 123 the plume center. 124

Taken together, geochemical data from the Iceland region suggests that anomalously hot mantle, with isotopic ratios indicating derivation from an enriched mantle source, extends radially for no more than ~700 km from the plume center. This contrasts with the ~2000 km radius of the swell topography.

To estimate the contribution of excess crustal thickness to the ridge topography we use crustal thickness data from Weir et al. (2001) (see also Smallwood et al., 1995, and Ito, 1999) and crustal thicknesses under Iceland from Kumar et al. (2007) and Jenkins et al. (2018). (This was computed as water-loaded topography using a crustal density of 3000 kg/m<sup>3</sup> and a density

of the uppermost asthenosphere of 3200 kg/m<sup>3</sup>.) Although topography due to excess crustal
thickness reaches ~1500 m near Iceland, it is less than 500 m outside of the ~700 km radius
that defines the limit of anomalously hot and enriched mantle.

136 2.2.3.2 Afar Plume

The Afar plume underlies oceanic and continental lithosphere and is overlain by the 137 young Red Sea and Gulf of Aden oceanic spreading systems (Figure 2.1B) (Ghebreab, 1998; 138 Almalki et al., 2015). The plume is thought to have originated at ~30 Ma (Hofmann et al., 1997), 139 with the initiation of oceanic spreading in the Gulf of Aden at 20 Ma (Leroy et al., 2012) and in 140 the Red Sea at around 13 Ma (Augustin, 2021). Spreading rates around the Afar triple junction 141 are slow to ultra-slow, with the Red Sea opening at rates between 7 mm/yr (north) to 15 mm/yr 142 (south) (ArRajehi et al., 2010) and the Gulf of Aden opening at rates between 16 mm/yr (west) 143 to 24 mm/yr (east) (Jestin et al., 1994). The East African rift, in continental crust, extends at a 144 145 rate of 6.5 mm/yr in the north to  $\sim 2.7$  mm/yr at its far southern extent (Stamps et al., 2008).

The location of the plume center (Figure 2.1B) was determined from anomalies in V<sub>s</sub>
perturbation, using the -2% contour at 135 km depth (Montelli et al., 2004). Because the old
continental lithosphere is in proximity to the rift zone, it is significantly thicker than 135 km
(Wolbern et al., 2012). Therefore, we also examined S-wave velocity anomalies at 225 km, which
yielded essentially the same results.

151 Bathymetry profiles along the Red Sea and Afar spreading ridges suggest a plume-related swell ~2000 km in radius and 3000 m in maximum elevation (Ryan et al., 2009; Figure 2.2B). 152 Continental regions surrounding the Afar plume appear to have been beveled to near sea level 153 154 prior to the arrival of the plume, as indicated by the presence of Cretaceous-Paleogene shallow marine sediments on the Arabia-Nubia Shield (Bevdoun, 1989; Bojar et al., 2002). This implies 155 156 that plume-related uplift did not begin until the latest Paleogene (Oligocene) and that the topography on these continental profiles can also be used to estimate swell topography 157 158 (although some effects of short wavelength rift flank uplift are evident near the plume center,

Figure 2.2B). To permit direct comparison of subaerial profiles with the submarine profiles, we adjusted this "air-loaded topography" to water-loaded topography, multiplying elevations by a factor equal to  $\frac{\rho_{mantle}}{\rho_{mantle}-\rho_{water}}$  (see Table 2.1 for values).

Figure 2.2B shows these topographic data plotted relative to an "unperturbed" baseline 162 of sea level for the continental regions and 3500 m depth for the spreading ridges (Le Douaran 163 and Francheteau, 1981). (3000-3500 m depth is approximately the depth of typical segments of 164 165 slow-spreading ridges.) The oceanic and continental profiles show a similar swell radius and 166 (water-loaded) amplitude and suggest approximately circular symmetry for the swell. Although, 167 a slight radial asymmetry in the swell morphology has been suggested along the eastern Red Sea margin (Chang and Van der Lee, 2011), the swell appears to be generally symmetrical around 168 the plume center. Although, the swell is arguably narrower in an east-west direction than in a 169 north-south direction. 170

Residual topography for the Afar plume places interesting constraints on the 171 compensation of swell topography at distances greater than 500-700 km from the plume center. 172 With the sub-aerial topography profiles converted to isostatically equivalent water-loaded 173 profiles, similar patterns and magnitudes of residual topography exist on profiles along the mid-174 ocean spreading ridges and on profiles through old continental lithosphere (Figure 2.2B). This 175 176 suggests a common mechanism for swell formation lies below the lithosphere, and that this mechanism is largely independent of lithospheric structure, age, and composition. It would be 177 fortuitous that, where the plume underlies the thick continental lithosphere, basal erosion of the 178 lithosphere generates residual topography that is nearly the same as that generated by excess 179 180 crustal thickness beneath the spreading ridges.

Basalts located within 500 km of the plume center yield average values of  $T_p$  equal to ~1450°C (Figure 2.2B). An abrupt transition in  $T_p$  occurs at a radial distance of ~500 km from the plume center; beyond this distance the average values of  $T_p$  are less than 1350 °C, consistent with average MORB. Likewise, enriched values of  ${}^{87}Sr/{}^{86}Sr$ , relative to DMM, are observed only

at distances < 500 km from the plume center. Radiogenic values of <sup>206</sup>Pb/<sup>204</sup>Pb are found up to a
700 km radial distance from the plume center.

187 The geochemical data and topographic observations from the Afar swell present a
188 pattern nearly identical to that observed for Iceland: anomalously hot mantle and more
189 radiogenic <sup>87</sup>Sr/<sup>86</sup>Sr and <sup>206</sup>Pb/<sup>204</sup>Pb compositions extend for no more than 500-700 km from
190 the plume center. This contrasts with the swell topography, which extends for ~1500 km from
191 the plume center.

192

#### 2.2.3.3 Azores Plume

The Azores plume is adjacent to the North Atlantic spreading ridge (Figure 2.1C), where 193 the full spreading rate is approximately 30 mm/yr (Muller et al., 2008). Gente et al. (2003) 194 estimate that the Azores plume was initiated by at least 85 Ma and has underlain the Mid-195 Atlantic ridge for ~30-50 m.y. In Section 2.7, we assume an age of 30 m.y. to place a maximum 196 197 constraint on the plume flux for the Azores. We determined the component of topography related to plume activity as equivalent to the residual topography of Muller et al. (2008). These 198 199 data indicate a topographic swell around the plume center with moderate circular symmetry and a radius of ~2000 km. Although the swell radius is similar to that of the Iceland and Afar 200 plumes, the swell amplitude is less than half that of those swells, being at most  $\sim 2500$  m (Figure 201 2.1C). 202

The location of the Azores plume center was determined from the perturbation in  $V_s$  at 135 km depth (Montelli et al., 2004), but using a -1% perturbation in S-wave speeds (in comparison to the -2% perturbation used to define the plume center for Iceland and Afar, Figure 2.1C). The smaller magnitude of perturbations in S-wave speed observed over the Azores plume, relative to Iceland and Afar, seem consistent with the smaller amplitude of swell topography and perhaps a smaller flux of plume material into the upper mantle.

The spreading ridge does not pass directly over the plume center, so zero-age basalt compositions for the Azores plume are sparse (Figure 2.1C). To mitigate this lack of data, we

211 analyzed young basalts erupted subaerially on the ocean islands in the Azores. The data show an 212 average  $T_p$  of 1450 - 1500 °C at distances < 300 km from the plume center, and an apparently 213 abrupt transition to values of 1300 to 1350 °C beyond 300 km. However, the data is sparser than 214 for the Iceland and Afar plumes, making spatial patterns more difficult to identify with 215 certainty. Virtually all of the samples near the plume center come from the oceanic islands while 216 samples far from the plume center (> 250 km) are derived from sea floor basalts. There are few 217 samples located in between.

Radiogenic <sup>87</sup>Sr/<sup>86</sup>Sr compositions, between 0.7035 and 0.7042, are found up to ~350 218 km from the plume center, with a transition to depleted MORB values at ~500 km from the 219 plume center. Highly elevated <sup>206</sup>Pb/<sup>204</sup>Pb ratios above 19.5 are also found on the oceanic islands 220 within 250 km of the plume center. There is a gap in the <sup>206</sup>Pb/<sup>204</sup>Pb coverage between 250 and 221 500 km from the plume center, beyond which  ${}^{206}Pb/{}^{204}Pb$  values are consistent with typical 222 223 NMORB (Figure 2.2C). Despite the smaller swell amplitude and limitations in determining the details of the spatial distribution of geochemically anomalous basalts, the Azores plume shows 224 the same basic features as the Iceland and Afar plumes, with a topographic swell extending 225 226 significantly beyond the much smaller radial extent of the geochemically anomalous basalts.

#### 227 2.2.4 Common Features for the Iceland, Azores, and Afar Plumes

The Iceland, Afar and Azores plume regions exhibit many of the same characteristics. 228 They have spatially focused regions of slow S-wave speed in the uppermost mantle, which most 229 probably defines a plume conduit and the "plume center." Mantle potential temperatures in 230 basaltic rocks are elevated, by 150°-200°C, only within a radial distance of 300-500 km of the 231 232 plume centers. Magmas with an enriched signature in both Pb and Sr isotopic systems, interpreted as the involvement of a radiogenic lower mantle source component, are also 233 234 restricted to a radial distance of approximately 400-700 km from the plume center. These data strongly suggest that anomalously hot, low-density plume material is limited to radial distances 235 less than 400-700 km from the plume center. 236

If this interpretation is correct, swell topography outside of a 400-700 km radius cannot 237 be supported by low-density plume material. Crustal thickness data for Iceland indicate that, 238 beyond the limit of the plume material, only a small fraction of the swell topography is 239 240 compensated by excess crustal thicknesses. Although we do not have similar crustal thickness data for the other two plumes, excess crustal thickness along spreading ridges is thought to be 241 correlated with excess melting of anomalously high temperature mantle (White and McKenzie, 242 1989). Initial estimates of crustal thickness for the Azores archipelago suggest values in the 243 range of 13-16 km (Ferreira et al., 2020). The spreading ridge adjacent to the Azores yields 244 comparable crustal thicknesses to the rest of the Mid-Atlantic ridge (6-7 km, Gente et al., 2003). 245 246 Based on the data for Iceland and the Azores, crustal thicknesses beneath the oceanic lithosphere outside the region of elevated mantle temperature are unlikely to be anomalously 247 thick. 248

Taking all of these data together, for all three plumes, we propose that plume material in the upper mantle is limited to a radius of 400-700 km around the plume centers. Outside of this region, we propose that swell topography is dynamically supported. In particular, we propose that the dynamic pressure surrounding the plumes is related to radial flow of upper mantle material away from the plume centers, as is investigated in the following sections.

**Viscous Flow in the Upper Mantle Surrounding Plumes** 254 2.3 We investigate whether dynamic pressure related flow can plausibly explain the 255apparently dynamic topography that exists outside of the region where plume material is 256 present. If this interpretation is correct, then the topography surrounding each plume should be 257 258 a direct measure of the dynamic pressure needed to drive mantle flow away from the plume centers (at least outside of the region containing plume material). The viability of this 259 260 hypothesis is examined in the remainder of this paper, where we test if a quantitative model of 261 fluid flow around plumes is consistent with the observations.

262 Lateral flow within the upper mantle near plumes is assumed to be driven by the upward flux of plume material from the lower mantle into the upper mantle through a localized plume 263 conduit (Sleep, 2006). Plume material, being hotter and less dense than the surrounding 264 265 asthenosphere (Schilling, 1973), is expected to rise to the base of the overlying lithosphere, forming a "plume pillow" in the upper part of the asthenosphere (Figure 2.3). As the volume of 266 plume-derived material increases, outward radial flow occurs within the plume pillow, beyond 267 the plume pillow within the "normal" depleted asthenosphere, and beneath the plume pillow. 268 Because flow occurs from regions of high pressure to regions of low pressure, high dynamic 269 pressure and high swell topography is expected to develop near the plume center and to 270 271 decrease with radial distance in a way that is consistent with outward radial flow. Simply defined, the dynamic pressure of a fluid is the component of total pressure due to the movement 272 (or kinetic energy) of the fluid. 273

Where plume material is present, we expect that a variety of phenomena may contribute to the residual topography, including the low density of the plume material and an anomalously thick oceanic crust. Thus, the best test of our hypothesis lies in examining the swell topography outside of the plume radius, which should reflect the rate of outward flow of mantle material, the thickness of the conduit – or channel – within which mantle flow occurs, and the viscosity structure within the upper mantle.

280

#### 2.4 A Quantitative Plume Flux Model

To investigate the swell topography resulting from lateral flow of asthenosphere away from the plume center, we construct a radially symmetric flow model using a two-layer Hele-Shaw approximation. Hele-Shaw flow neglects shear stresses and differential normal stresses on vertical planes and assumes a dynamic (viscous) pressure that is invariant with depth (e.g., see Royden and Holt, 2020, for a detailed derivation and application of Hele-Shaw flow to the upper mantle). Hele-Shaw flow provides a good to excellent approximation to the flow of fluid in a thin channel when the horizontal length scales of interest are greater than one-third to one-half of the thickness of the viscous layer and are appropriate for investigating lateral flow in the uppermantle surrounding plumes.

The assumption of radial symmetry is an acceptable approximation for the plumes investigated in this paper because the overriding plates move relatively slowly (~20 mm/yr, Iceland; < 15 mm/yr, Afar, ~20 mm/yr, Azores, ~30 mm/yr) with respect to the plume source so that, as a first approximation, we can set their velocities to zero to maintain the simplicity of radial symmetry. We neglect the sphericity of the Earth, which should have a negligible effect on upper mantle processes over length scales examined here and use cylindrical coordinates with r=0 at the center of the plume source.

297 We model the sub-lithospheric part of the upper mantle as two channels of infinite horizontal extent. The upper channel, with thickness  $c_{\mu}(r)$ , contains the plume material and 298 initially has zero thickness. The lower channel, with thickness  $c_{\ell}(r)$ , consists of "normal" 299 asthenosphere and initially comprises a portion of the sub-lithospheric upper mantle (see 300 Section 2.6 for discussion of initial channel thickness). The channels are assigned different 301 densities and viscosities reflecting the higher temperature of the plume material. The "plume" is 302 initiated and maintained by a radially symmetric flux of low density, low viscosity material from 303 a 100 km wide gaussian source region around the plume center (e.g., Montelli et al., 2004). The 304 plume material is assumed to rise buoyantly through the asthenosphere and is added to the 305 upper (plume) channel through the vertical surface of the cylindrical source region. 306

307 A force balance gives the relationship between the dynamic pressure, *P*, the shear stress 308 on horizontal planes ( $\tau_{rz}$ ), which can then be related to the horizontal flow velocity, *v*, and 309 channel viscosity,  $\mu$ :

310 
$$\frac{\partial P}{\partial r} = \frac{\partial \tau_{rz}}{\partial z} \qquad \tau_{rz} = \mu \frac{\partial v}{\partial z} \qquad (2)$$

(For the remainder of this paper, we will use the term "pressure" in the asthenosphere tomean the viscous, or equivalently dynamic, pressure of the fluid flow. This is equivalent to the

total pressure minus the lithostatic pressure of a column of "normal"- depleted asthenosphere
beneath a spreading oceanic ridge. See Table 2.1 in the main text for a list of variable names.)

315 If we explicitly include the excess thickness of the crust,  $c_{ex}$ , in the calculation, then the 316 pressure within the upper channel,  $P_u$ , is related to the swell topography, *T*, and the excess 317 crustal thickness by:

318

$$P_u(r) = (\rho_u - \rho_w)gT(r) - c_{ex}g(\rho_u - \rho_{ex})$$
(3)

319 (If the excess crustal thickness is not included explicitly in the calculation, then  $c_{ex}$  is set 320 to zero.)

321 The pressure within the lower channel,  $P_{\ell}$ , depends on  $P_u$  and on the of the upper 322 (plume) channel:

323 
$$P_{\ell}(r) = P_{u}(r) + (\rho_{u} - \rho_{\ell})gc_{u}(r)$$
(4)

324 Substituting for pressure in eq. (2) and integrating twice, gives an expression for the 325 horizontal velocity of flow in the upper  $(v_u)$  and lower  $(v_\ell)$  viscous channels:

326 
$$v_u(z) = \frac{1}{\mu_u} \frac{\partial P_u}{\partial r} \left(\frac{z^2}{2}\right) + A_u z$$
(5a)

327

328 
$$v_{\ell}(z) = \frac{1}{\mu_{\ell}} \frac{\partial P_{\ell}}{\partial r} \left[ \frac{(z - c_{\ell})^2}{2} \right] + A_{\ell}(z - c_{\ell})$$
(5b)

where *z* is measured downwards from the upper surface of each layer, the horizontal velocity is set to zero at the top of the upper layer and the bottom of the lower layer and  $A_u$  and  $A_\ell$  are constants that are derived by setting the horizontal velocity and shear stress equal at the interface between the upper and lower channels (see Appendix A1.1).

We assume that all of the plume material remains in the upper channel and that the volume of plume material in the upper channel increases at the rate at which it enters from the cylindrical plume source. Similarly, all the mantle material in the lower channel remains in the channel and the volume of the lower channel remains constant through time. We further assume that the mantle beneath the channel is of sufficiently high viscosity that its horizontal velocity at
the base of the channel is small relative to the average channel velocity and can be treated as
zero (see Figure A1.2).

The time derivatives of the channel thicknesses are found by integrating the velocity from top to bottom of each channel to obtain the horizontal flux of material in the channel, and taking the divergence of the horizontal flux:

343

344 
$$\frac{\partial c_u}{\partial t} = -\frac{1}{r} \frac{\partial}{\partial r} \left( \int_0^{c_u} v(z) \, dz \right) \qquad \frac{\partial c_\ell}{\partial t} = -\frac{1}{r} \frac{\partial}{\partial r} \left( \int_0^{c_\ell} v(z) \, dz \right) \tag{6}$$

345

Finally, we need a relationship between channel thickening and topography. For 346 example, if the rate at which the base of the lower channel moves downward is zero, the rate at 347 348 which topography is created will be equal to the rate of channel thickening. Stream function analysis (Appendix A1.2) shows that the rate at which the base of the channel moves downward 349 is wavelength (and viscosity) dependent and, at a set wavelength, is proportional to the dynamic 350 pressure at the base of the channel. In the Appendix (A1.2) we show that, at wavelengths 351 comparable to that of the swell topography, the ratio between dynamic pressure and the 352 (downward) vertical velocity at the base of the channel is expected to be in the vicinity of 10<sup>-18</sup> m 353 s<sup>-1</sup>Pa<sup>-1</sup>. We denote this ratio as  $f = v_z/P_\ell$ , where  $v_z$  is the vertical velocity of the interface 354 between the lower channel and the underlying mantle and f is a parameter with units of m Pa<sup>-1</sup>s<sup>-</sup> 355 <sup>1</sup>. The vertical velocity at the base of the channel is equal to the rate of thickening of the channels 356 minus the rate of change of the topography, or: 357

358

$$fP_{\ell} = \frac{\partial \mathcal{L}_{u}}{\partial t} + \frac{\partial \mathcal{L}_{\ell}}{\partial t} - \frac{\partial T}{\partial t}$$
(7)

Because the value of f depends on a number of somewhat poorly determined factors, including the viscosity structure of the lower mantle, in fitting our observed topography profiles we make no assumptions about the value of f a priori, but instead test a range of values. (In the

2.

20

a T

results section, we find that values of f between 0 and 10<sup>-18</sup> m s<sup>-1</sup> Pa<sup>-1</sup> yield acceptable fits to the observed topography around, in reasonable agreement with the results of the stream function analysis.)

365 Details of the mathematical derivation and code for implementation in MATLAB are 366 included in the Appendix (A1.1).

367

#### 2.5 Fundamental Behavior of Plume Model

In this section we illustrate how model swell topography varies depending on the 368 parameters assumed to govern mantle flow. This is intended to give the reader an understanding 369 of how each parameter may affect the results for individual plumes (Section 2.7). We illustrate 370 this by varying key parameters relative to those that we found produce a good fit for the Iceland 371 swell. All results are shown for a lower channel with an initial thickness of 30 km and a normal 372 upper mantle viscosity of 7.10<sup>19</sup> Pa s. (In the next section we demonstrate why a only very thin, 373 374 low viscosity zone provides for stresses that are consistent with a wide range of constraints on upper mantle viscosity.) We use a cylindrical plume conduit with a 100 km radius. 375

376 Figure 2.4 demonstrates how model topography changes with increasing time and constant plume flux, using parameters that correspond to one of the fits to observed topography 377 in Iceland, with f = 0 (see Table 2.2). After initiation of the plume, the swell topography attains 378 close to its maximum amplitude adjacent to the plume pillow by 5 m.y., with only minor changes 379 in maximum swell height thereafter. With time, the radius of the plume pillow and the 380 topographic swell beyond the plume pillow grow. The thickness of the plume pillow also 381 achieves near maximum thickness very quickly, thereafter accomodating the increase in volume 382 383 mainly by an increase in radius.

Figure 2.5 illustrates how results at 30 m.y. depend on various individual parameters. Varying the viscosity of the plume material (upper channel) while holding the other variables constant has little effect on the swell topography beyond the plume pillow (Figure 2.5A). Instead, varying the plume viscosity primarily affects the radial extent and thickness of the
plume material (Figure 2.5A). Therefore, in fitting observations around the plumes examined in
this study, the plume viscosity is largely constrained by radial extent of plume material (at
~400-700 km) as indicated by the geochemical data.

The viscosity of the upper mantle (within the lower channel) is critical to the size and
topographic gradient of the plume swell, having a relatively minor impact on the radial extent of
the plume material (Figure 2.5B). Reducing the viscosity of the material in the lower channel
allows it to flow faster at lower pressure gradients, producing a flatter wider swell.
(Because the plume flux is the same in all cases in Figure 2.5B, the integrals of the topographic
elevation over the area of the swell must be the same for all cases. But, because we show radial
profiles, this is not readily apparent over the line of the section.)

398 Varying the plume flux has a significant effect on the maximum height and radius of the 399 topographic swell (Figure 2.5C). It not only changes the volume of the plume pillow but, because 400 the pressure gradients associated with flow in the upper mantle change linearly with horizontal 401 flux of material in the mantle, it also changes the topographic gradients beyond the plume 402 pillow.

Figure 2.5D shows the effect on topography when the vertical velocity at the base of the 403 channel is linearly dependent on dynamic pressure (as defined by the parameter *f* in eq. 7) with 404 all other parameters held constant. As the ratio between (downward) vertical velocity and 405 dynamic pressure increases from zero, the radius and amplitude of the swell topography are 406 reduced. This occurs because the base of the channel increases in depth and the overlying 407 topography decreases. This reduces the radial pressure gradient that drives outward flow in the 408 409 channel, so that the radius of the swell is also reduced. In contrast, the radius and thickness of the plume pillow are not greatly affected. 410

411 2.6 Constraints on the Viscosity and Thickness of the Lower
 412 Channel
 413 Quantitative modeling of mantle flux away from upwelling plumes has the potential to
 414 better constrain upper mantle viscosity structure beneath young oceanic lithosphere. This

opportunity exists because the scaling relations between thickness and viscosity differ between
pressure-driven flow in a channel (as in this paper) and shear stress across a layer undergoing
predominantly simple shear.

From eqn. (2), simple channel flow within a layer of thickness *c* and uniform viscosity  $\mu$ (and zero velocity at the top and base of the layer, with *f*=0) provides a net lateral flux that scales with the channel thickness cubed (additional background in Appendix A1.3):

421 
$$\int_{0}^{h} v(z) \, dz = -\frac{1}{12} \frac{\partial P}{\partial r} \left( \frac{c^{3}}{\mu} \right)$$
(8)

Although the viscosity model that we employ is more complex, having upper and lower viscous layers and thicknesses that change laterally and with time, the scaling relations are similar. Figure 2.6 shows sample results for model configurations that provide a "best" fit to the observed swell topography after 30 m.y. and that are consistent with the observed radius of Iceland plume material (400-700 km). (A more complete discussion and analysis of results is given in the next section with results.)

428 With all parameters held fixed except for the initial thickness and viscosity of the lower layer (Table 2.2), the resulting swell topography and plume radii are virtually identical for all 429 430 three configurations despite more than an order of magnitude change in the initial thickness of the lower channel (from 30 to 400 km) and more than three orders of magnitude change in the 431 lower channel viscosity (from 7.1019 to 1.5.1023 Pa s). However, Table 2.2 shows that the ratio of 432 viscosity to initial channel thickness cubed is virtually identical for all three configurations, 433 consistent with the scaling in eq. (8). Thus, equivalent results for swell topography and plume 434 radius can be obtained for nearly any initial thickness of the lower channel provided that this 435 436 scaling relation remains constant.

In contrast, the ratio of viscosity to the initial thickness of the lower channel changes by
approximately two orders of magnitude, from ~2x10<sup>15</sup> to ~3.8x10<sup>17</sup> Pa s m<sup>-1</sup>. This ratio is
important because the primary published constraints on upper mantle viscosity are based,

directly or indirectly, on estimates of the shear stresses acting on the base of the plates and are largely determined from plate velocities incorporated into models of plate motion, subduction, and mantle convection (Hager and O'Connell, 1979; Richards et al., 2001; Podolefsky et al., 2004; Becker, 2006; Conrad and Behn, 2010). If one considers that the layer over which most of the shear takes place between the plates and the deeper mantle to be of thickness *c* and viscosity  $\mu$ , then the scaling relation for shear stress across this layer is:

446 
$$\tau_{rz} = \Delta v \left(\frac{\mu}{c}\right) \tag{9}$$

447 where  $\tau_{rz}$  is shear stress and  $\Delta v$  is the difference in velocity across the layer.

448 Many studies, over a wide range of disciplines (e.g. Mitrovica and Forte, 2004: Conrad and Lithgow-Bertelloni; 2006; Holt and Becker, 2016; Behr et al., 2022), have concluded that 449 the upper mantle has a viscosity structure consistent with  $(\mu/c)$  in the vicinity of 10<sup>15</sup> Pa s m<sup>-1</sup> 450 (for example a viscosity of 5:10<sup>20</sup> Pa s over a layer thickness of 400 km yields a ratio of 1.2:10<sup>15</sup> 451 Pa s m<sup>-1</sup>). Therefore, deformation accommodated largely within a thin (tens of kilometers) low 452 viscosity (10<sup>19</sup>-10<sup>20</sup> Pa s) layer is the mechanism that satisfies the shear stress constraints on the 453 base of the plates and the observed swell topography due to lateral flux of mantle material. The 454 implications of this are explored further in the discussion section. And, for the remainder of this 455 paper, we consider only flux in a thin (30 km) channel located beneath the (young) oceanic 456 lithosphere. 457

458 **2.7** 

Results

The model described in Sections 2.3 and 2.4 was applied to the Iceland, Afar, and Azores plumes using an initial lower channel thickness of 30 km as per Section 2.6. We are mainly interested in fitting swell topography outside of the plume radius (> 400-700 km from the plume center), and simultaneously satisfying the geochemical constraints on the radius of the plume material. We focus on topography beyond the plume pillow because, above the plume pillow, a variety of factors may affect the swell topography, most notably elevated melting temperatures within the plume mantle producing anomalously thick and/or exhibit highly

variable crustal thickness (Allen et al., 2002; Kumar et al., 2007). In addition, other processes
related to localized buoyant upwelling of plume material may affect the topography over the
plume itself.

For the Iceland plume we are able to test the influence of excess crustal thickness on the swell topography because there exist comprehensive measurements of crustal thickness along the Reykjanes ridge (e.g., Weir et al., 2001) that can be incorporated explicitly into eq. 3, showing that there is little qualitative difference in the results (Figure 2.7A). For the other plumes we lack comprehensive crustal thickness measurements and ignore the effects of excess crustal thickness on topography.

We use the ages of plume initiation from Section 2.2 (Table 2.1) but note that identical results to those shown can be obtained by trade-offs in time, viscosity, and plume flux (as is readily shown by non-dimensionalizing all variables). For example, doubling the age of onset of plume activity, increasing the viscosity of plume and "normal" mantle by a factor of two, and halving the plume flux, while leaving all other parameters the same, result in no change to the model results.

481 Model results that provide acceptable fits to the topographic and geochemical data around Iceland are shown in Figure 2.7A, and the associated flux and viscosities for each model 482 are given in Table 2.3. When the ratio between vertical velocity at the base of the lower channel 483 and dynamic pressure within the channel is zero (f = 0 in eq.7), corresponding to zero velocity at 484 the base of the lower channel, a low plume flux is needed to match the swell topography, 485 severely limiting the volume of plume material entering the channel system. And, in order to 486 487 reach the minimum plume radius of 400-500 km as required by the geochemical data, the 488 model plume viscosity must be extremely low ( $<10^{18}$  Pa·s) resulting in a very thin plume pillow ~20 km thick. 489

490 Through trial-and-error, we explore various values of f, finding that values of f up to ~10<sup>-</sup> 491 <sup>18</sup> m·(Pa<sup>-1</sup>·s<sup>-1</sup>) can produce reasonable fits to the observed topography and to the observed radius

of the plume pillow. This upper bound on f is broadly consistent with the results of the stream 492 function analysis for whole mantle flow as derived in the Appendix 1 (A1.2). For values of f493 larger than this, the radius of the plume pillow becomes significantly larger than the 494 495 observational limit. This occurs because downward motion at the base of the lower channel reduces the model topography, therefore a correspondingly larger plume flux is needed to match 496 the observed swell topography. In turn, greater plume flux produces a thicker, more laterally 497 extensive plume pillow, even when the plume viscosity is increased to be the same as the 498 underlying normal mantle. A value of  $f = 5 \cdot 10^{-19} \text{ m} \cdot (\text{Pa}^{-1} \cdot \text{s}^{-1})$  provides our preferred model results 499 for the Iceland plume. This can result in a plume pillow that is over a hundred kilometers thick 500 with a lower (normal mantle) channel viscosity of 4.10<sup>-19</sup> Pa·s and a plume viscosity of 1.10<sup>-19</sup> 501 Pa·s. 502

When we account for observed crustal thickness variations along the Reykjanes ridge in 503 504 our quantitative model, we obtain nearly identical results to the model in which crustal thickness is not included (Figure 2.7A, Table 2.3), the main difference being a better fit to the 505 observed swell topography above the plume pillow. With or without inclusion of the excess 506 crustal thickness, the model plume fluxes are similar although the viscosity of the plume 507 material increases by a factor of three. Ultimately, the similarity of the Iceland models including 508 and lacking crustal thickness in the computation leads us to conclude that crustal thickness does 509 not significantly affect the model topography beyond the plume pillow. 510

The results between Iceland and Afar and the Azores are relatively consistent both in terms of the extent of the geochemical data presented in Figure 2 and in the results of our quantitative modelling of upper mantle flow (Figure 2.7, Table 2.3). The plume material viscosity is exactly the same in the f = 0 case for Iceland and Afar (10<sup>17</sup> Pa·s). This reflects the need for extremely low viscosities to ensure consistency with the plume pillow extent as determined by the geochemical data (Figure 2.2).

For Afar, we find that  $f = 5 \cdot 10^{-19}$  or  $10^{-18}$  m·(Pa<sup>-1</sup>·s<sup>-1</sup>) is consistent with the observed swell topography (Figure 2.7B). These values of f result in ambient upper mantle viscosities ~3.10<sup>19</sup> Pa.s. The Azores is also best fit by a model with  $f = 5 \cdot 10^{-19}$  m·(Pa<sup>-1</sup>·s<sup>-1</sup>) (Figure 2.7c), yielding an ambient upper mantle viscosity of 2.10<sup>19</sup> Pa.s, which is in line with the Iceland and Afar mantle viscosity estimates.

Given the best fit values of *f* discussed for the three plumes above, we note the Iceland is characterized by the highest plume flux  $(3 \cdot 10^6 \text{ km}^3/\text{m.y.})$  and an upper mantle viscosity of  $4 \cdot 10^{19}$ Pa·s. The volume flux for Afar is equal to slightly less than that of Iceland (2-3  $10^6 \text{ km}^3/\text{m.y.})$ with an upper mantle viscosity slightly less than Iceland (2- $3 \cdot 10^{19} \text{ Pa·s}$ ). The Azores plume has a volume flux that is slightly lower than the other two plumes (1.8  $10^6 \text{ km}^3/\text{m.y.})$ .

527

2.8

## **Discussion and Implications**

The model for upper mantle plume flow developed in this paper provides a conceptual 528 529 and quantitative framework that can explain the apparent mismatch between the lateral extent of topographic swells and the geochemical anomalies associated with plume material in the 530 upper mantle. Our results suggest an answer to long standing controversy over thermal versus 531 dynamic compensation within the upper mantle (Courtney and White, 1986; Monnereau and 532 Cazenave, 1990; Sleep, 1990; Marks and Sandwell, 1991; Canales et al., 2002), hinging on new 533 constraints provided by geochemical data. Moreover, we present new constraints on the shallow 534 viscosity structure of the upper mantle in support of a thin tens of kilometers), low-viscosity 535  $(\sim 10^{19} \text{ Pa} \cdot \text{s})$  zone directly beneath the oceanic lithosphere, underlain by a thick, relatively strong 536  $(>10^{22} \text{ Pa} \cdot \text{s})$  upper mantle. 537

538

## 2.8.1 Compensation Mechanism of Swell Topography

The absence of geochemical indicators of plume material at distances greater than 400-700 km necessitates an alternative mechanism for swell compensation beyond this point. We find that models of the dynamic pressure associated with the outward flowing plume material are able to reproduce the magnitude, extent, and topographic gradient of the outer plume swell (Figure 2.7). Our new constraints on the extent of hot, buoyant plume material from major

element geochemical modelling suggest that thermal compensation of the Iceland, Afar, and
Azores mantle plumes should be limited to within 400-700 km of the plume center (Figure 2.2).
Our model results further suggest that above the plume pillow, excess crustal thickness in the
young oceanic lithosphere accounts for additional swell topography (i.e., Ito et al., 1999; Canales
et al., 2002) with relatively little contribution from the relatively low-density plume material.

Although we generally neglected the contribution of excess oceanic crustal thickness in 549 our models, inclusion of crustal thickness in models of the Iceland swell yields similar model 550 values of volume flux, ambient upper mantle viscosity, and plume viscosity. It also produced a 551 nearly identical fit to the swell topography outside of the plume radius and a better fit to the 552 swell topography inside the plume radius (Figure 2.7A). This suggests that while crustal 553 thickness variations support some of the swell topography variations above the plume pillow, 554 they exert a negligible effect on the swell topography beyond the plume pillow, at > 400-700 km. 555 556 Our estimates of plume flux are in broad agreement with previous estimates. Previous studies have inferred plume fluxes ranging from 10<sup>5</sup> to 10<sup>7</sup> km<sup>3</sup>/m.y. (Griffiths and Campbell, 557 1990; Sleep, 1990; Schilling, 1991; Albers and Christensen, 2001). For a direct comparison to 558 past literature, we convert our modelled volume fluxes to buoyancy flux using the well-559 established formula,  $B = \Delta \rho V_{flux}$  (Sleep, 1990; King and Adam, 2014; Hoggard et al., 2020). 560 For the best fit models of the three plumes, we obtain buoyancy fluxes of ~800-1500 kg s<sup>-1</sup>. 561 These are somewhat less, but in the same general range, as previous literature estimates. For 562 563 example, Sleep (1990) estimated 1400, 1200, and 1100 kg s<sup>-1</sup> (for Iceland, Afar, and the Azores, 564 respectively). The more recent study of King and Adam (2014) estimated fluxes for these three plumes of 1500, 2100, and 400 Mg s<sup>-1</sup> while Hoggard et al. (2020) estimate fluxes of 4100, 3300, 565 and 800 Mg s<sup>-1</sup>. 566

567 2.8.2 Upper Mantle Viscosity Structure
 568 A variety of interdisciplinary studies have produced evidence favoring the presence of a
 569 thin low viscosity layer immediately underlying oceanic lithosphere (e.g., Hager and O'Connell,

570 1979; Podolefsky et al., 2004; Bagley and Revenaugh, 2008; Stein and Hansen, 2008;
571 Barnhoorn et al., 2011; Schmerr, 2012; Naif et al., 2013; Becker, 2017; Rychert et al., 2020;
572 Selway et al., 2020; Hua et al., 2023). Our study has the potential to further constrain the
573 thickness and viscosity of this layer because lateral flux of material within a channel has a
574 different scaling of viscosity to thickness than do the shear stress constraints normally used to
575 determine the viscosity of the upper mantle.

In particular, the lateral pressure gradient that drives flux in a channel scales as viscosity 576 divided by layer thickness cubed (Figure 2.6 and Table 2.2). In contrast, the shear stress across a 577 layer undergoing primarily simple shear scales as viscosity divided by layer thickness. In order 578 579 to satisfy the constraints on upper mantle viscosity from studies of plate motion and mantle convection (i.e., Hager and O'Connell, 1979; Stein and Hansen, 2012), and to satisfy the 580 constraints on upper mantle viscosity from this study of swell topography, we suggest that this 581 582 thin, low viscosity layer should be several tens of kilometers in thickness and have a viscosity as low as 10<sup>19</sup> Pa·s. This provides a new constraint on the viscosity and thickness of a low viscosity 583 584 layer directly beneath the oceanic lithosphere as has been suggested by previous studies (\ Bagley and Revenaugh, 2008; Schmerr, 2012; Naif et al., 2013; Becker, 2017; Rychert et al., 585 2020; Selway et al., 2020). In addition, our study suggests viscosities in the range of 5.1018-1019 586 Pa·s the for hot plume material. This is within the expected range for dry to damp enriched 587 588 mantle (Hirth and Kohlstedt, 2003; Dixon et al., 2004).

The work outlined here provides a first test of lateral flow in the asthenospheric as a dominant compensation mechanism for swell topography but neglects a number of other factors, including the motion of the overlying plates. Future work that applies a similar approach to plumes located beneath intermediate to fast moving oceanic lithosphere will help to better constrain plume dynamics and the compensation of swell topography on a global basis.

594 **2.9 Conclusion** 

We have presented a comprehensive exploration of upper mantle plume flow with 595 implications for the mode of swell compensation and the viscosity structure of the uppermost 596 mantle asthenosphere. Through new geochemical constraints on mantle potential temperature, 597 598 in concert with existing topographic and geochemical data around plume swells we have constrained the limit of plume material to ~500-700 km radial distance from the plume centers 599 of Iceland, Afar, and the Azores. While thermal compensation and crustal thickness variations 600 play a role in determining topographic variations directly above the plume pillow, they cannot 601 explain the high magnitudes of residual topography beyond the extent of the hot, buoyant plume 602 material. We present a quantitative model for upper mantle flow around a cylindrical plume 603 conduit, which suggests dynamic pressure from fluid flow in the upper mantle is the primary 604 mechanism responsible for excess residual topography at distances exceeding ~700 km from the 605 plume centers. Our new model supports the existence of a thin (<50 km) low viscosity (~10<sup>19</sup> 606 607 Pa·s) layer directly beneath the oceanic lithosphere, ruling out thicker (100-400 km) higher viscosity channels on the basis of existing shear stress constraints on the lithosphere-608 609 asthenosphere boundary. In summary, our new model explains the observed discrepancy between the extent of geochemical anomalies versus topographic/bathymetric swells around 610 mantle plumes. We suggest that dynamic pressure from asthenospheric flow away from the 611 upwelling plume conduit is the dominant compensation mechanism for plume swell 612 topography, with a thin, low viscosity channel required directly below the lithosphere. 613 614





620 shown as colored lines corresponding to the profiles shown in Figures 2 and 6. Thick gray lines
 621 represent plate boundaries.



Figure 2.2: Residual topography profiles (top), and mantle potential temperature, Pb and Sr isotope data (bottom) plotted as a function of distance from the plume center for (A) Iceland, (B) Afar, and (C) Azores. Panel A also shows contribution to residual topography from observations of crustal thickness south of Iceland (data from Smallwood et al., 1995 and Weir et al., 2001). Topographic profiles (colors corresponding to profile locations in Figure 1) are dashed across fracture zones and continental. Geochemical data (mantle potential temperature,  $T_p$ , Sr isotopes, and Pb isotopes show average value (horizontal bars), standard deviation (solid vertical bars) and total range of data (dashed vertical bars) within bins; with the radial extent of each bin shown by the width of the horizontal bars.

## 



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Figure 2.3: Schematic figure of plume flow as used in modeling. Blue lines display the velocity
profile of non-plume upper mantle; yellow line displays the velocity of the plume material. Vertical
displacement of the lithosphere and base asthenosphere is shown relative to the case of no dynamic
topography baseline.



641Figure 2.4: Evolution of model topography and channel thickness (upper channel/plume pillow642(solid) and total channel thickness,  $c_u+c_t$  (dashed)) through time, from 5 to 30 m.y., where each line643represents a 5 m.y. interval. Parameters used are for Iceland with zero vertical velocity at the base of644the lower channel, or f = 0 (see Table 2.3 for parameters).



648Figure 2.5: Plots showing the sensitivity of model results to chosen parameters for an initial649lower channel thickness of 30 km. Solid lines show total topography. Above the plume the dashed line650shows the component of topography due to the increased pressure of mantle flow with the difference651being due to the low density of the plume material. (A) plume (upper channel) viscosity, (B) normal652upper mantle (lower channel) viscosity, (C) plume volume flux, (D) vertical velocity at base of the lower653channel (f = vertical velocity divided by dynamic pressure in the lower layer, in units of m s<sup>-1</sup> Pa<sup>-1</sup>).



 $\begin{array}{ll} \textbf{657} & Figure 2.6: \ \textit{Model results for variable channel thicknesses a = 30} \ (\mu_{l} = 7 \cdot 10^{19} \ \textit{Pa} \cdot \textit{s}), 100 \ (2.5 \cdot 10^{21} \ \textit{658} \ \textit{Pa} \cdot \textit{s}), and 400 \ \textit{km} \ (1.5 \cdot 10^{23} \ \textit{Pa} \cdot \textit{s}) \ \textit{compared to the averaged mid-ocean ridge topography at Iceland.} \\ \textbf{659} & Corresponding \ \textit{parameters for these models are given in Table 2.2. For all runs, } V_{flux} = 4 \cdot 10^5 \ \textit{km}^3/\textit{m.y.}, \\ \mu_u = 10^{17} \ \textit{Pa s, and } f = 0, \ c_{ex} = 0. \end{array}$ 





662 Figure 2.7: Model results for residual topography (gray scale) and observed topographic profiles for Iceland (A), Afar (B), and the Azores (C). Solid lines on the top panel of A, B, and C show the 663 total model topography. The dashed line displays the contribution to topography from the isostatic 664 compensation of low density plume material in the plume pillow for the  $f = 5 \cdot 10^{-19}$  m·(Pa<sup>-1</sup>·s<sup>-1</sup>). Colored 665 lines show observed topography around the plume swell as described in Section 2.2. Solid grayscale 666 667 lines on the bottom panels represent channel thicknesses corresponding to the model topography in the top panel. The bottom right panels display the velocity-depth structure of the model at 100 km radius 668 and at the outer limit of the model plume pillow for  $f=5\cdot10^{-19}$  m·( $Pa^{-1}\cdot s^{-1}$ ). 669

## 671 Tables

Variable	Definition	Value	Units
P	Dynamic (viscous) pressure		Pa
T	Topography		m
$\Delta Temp$	Difference in temperature between channel and	200	K
	upper mantle		
r	Radial distance from plume center		m
$\Delta r$	Lateral grid spacing for model	1-10	km
g	Gravitational acceleration	9.81	$m/s^2$
Z	Depth from top of each layer		m
а	Initial channel thickness	30, 100, or 400	km
$c_{ex}$	Excess crustal thickness		m
$c_u$	Thickness of upper channel (plume pillow)		m
$c_\ell$	Thickness of lower channel ("normal" mantle)		m
$\mu_u$	Viscosity of mantle plume material		Pa⋅s
$\mu_{\ell}$	Viscosity of normal upper mantle		Pa⋅s
$ ho_{ex}$	Crustal density		kg/m³
$ ho_u$	Density of upper channel material		kg/m³
$ ho_\ell$	Density of the asthenosphere	3200	kg/m³
$\Delta  ho$	Density contrast between plume channel and upper mantle	$\Delta \text{Temp}^* \rho_\ell^* \alpha$	kg/m <sup>3</sup>
$ ho_w$	Density of water	1000	kg/m <sup>3</sup>
$t_f$	Duration of model	27 (Iceland)	m.y.
-		28 (Afar)	m.y.
		30 (Azores)	m.y.
α	Coefficient of thermal expansion	<b>2·10</b> <sup>-5</sup>	K-1
$V_{flux}$	Volume flux of plume material		km³/Myr
f	Vertical velocity at the base of channel		m s <sup>-1</sup> Pa <sup>-1</sup>

Table 2.1: Variables used in quantitative mantle flow model.

Initial $c_{\ell}$	μ <sub>ℓ</sub> (Pa·s)	$\mu_{\ell} / c_{\ell^3}$	$\mu_{\ell} / c_{\ell}$
km		(Pa·s·m⁻₃)	(Pa·s·m <sup>-1</sup> )
400	$1.5 \cdot 10^{23}$	2.3x10 <sup>6</sup>	3.8x10 <sup>17</sup>
100	$2.5 \cdot 10^{21}$	2.5x10 <sup>6</sup>	2.5X10 <sup>16</sup>
30	$7.00 \cdot 10^{19}$	2.6x10 <sup>6</sup>	2.3X10 <sup>15</sup>

	$f(m \cdot (Pa^{-1} \cdot s^{-1}))$	$V_{flux}$ (km <sup>3</sup> /m.y.)	$\mu_u$ (Pa·s)	$\mu_l(Pa\cdot s)$
Iceland	0.0	4·10 <sup>5</sup>	1·10 <sup>17</sup>	7·10 <sup>19</sup>
	5·10 <sup>-19</sup>	$3.10^{6}$	1·10 <sup>19</sup>	4·10 <sup>19</sup>
	1.10-18	6·10 <sup>6</sup>	3·10 <sup>19</sup>	3·10 <sup>19</sup>
Crustal	5·10 <sup>-19</sup>	$3.10^{6}$	3·10 <sup>19</sup>	3·10 <sup>19</sup>

Table 2.2: Initial thickness and viscosity of lower channel for sample Iceland results shown in<br/>Figure 6. For all runs,  $V_{flux}=4.10^5 \text{ km}^3/\text{m.y.}$ ,  $\mu_u=10^{17} \text{ Pa s}$ , and f=0,  $c_{ex}=0$ .

Thickness Included				
Afar	0.0	$2.10^{5}$	1·10 <sup>17</sup>	1·10 <sup>20</sup>
	5·10 <sup>-19</sup>	$2.10^{6}$	1·10 <sup>19</sup>	$2 \cdot 10^{19}$
	1.10-18	$3.10^{6}$	3.1019	$3.10^{19}$
Azores	0.0	$2.5 \cdot 10^{5}$	5.1018	5·10 <sup>19</sup>
	5·10 <sup>-19</sup>	$1.8.10^{6}$	1.8·10 <sup>19</sup>	2·10 <sup>19</sup>
	1.10-18	$4.10^{6}$	1.8·10 <sup>19</sup>	$2.10^{19}$
Tabl	e 2.3: Parameter	· values for model	results shown in	ı Figure 7.

682 **Open Research** 

The geochemical and topographic data for Figures 2.2 and 2.6 and discussed in the text are available at the Harvard Dataverse via <u>https://doi.org/10.7910/DVN/HPYYYO</u>. The repository also contains a MATLAB function and script for the quantitative plume model described in the text.

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   997

# 998 Chapter 3: Melting Near the Slab-Wedge Interface at 2.4 999 GPa

1000

#### 1001 Abstract

1002 We have investigated the composition of melts of hydrous lherzolite at 2.4 GPa over temperatures of 1000-1175 °C. Our experiments all use the starting composition H&Z+SM, 1003 which represents a subduction-enriched peridotite containing 0.61 % Na<sub>2</sub>O, 0.16 K<sub>2</sub>O % (wt. %) 1004 with 4.2 wt. % H<sub>2</sub>O added (Mitchell and Grove, 2015). All experiments contain the solid phases 1005 1006 olivine, orthopyroxene, and an aluminous phase (either garnet or spinel). Experiments at temperatures above ~1000 °C contain a measurable glass phase. Clinopyroxene is found in 1007 experiments run at temperatures up to 1150 °C. The melt phase in the experiments varies in 1008 modal proportions from ~4-26%. Melt compositions are typically intermediate between shallow 1009 melt compositions (Mitchell and Grove, 2015) and deep mantle melts (Grove and Till, 2019). In 1010 1011 particular, the Cao, Na<sub>2</sub>O, and K<sub>2</sub>O compositions of erupted primitive HMAs require mixing between shallow melts, which are relatively enriched in CaO and depleted in Na<sub>2</sub>O and K<sub>2</sub>O, and 1012 deep melts, which are relatively enriched in Na<sub>2</sub>O and K<sub>2</sub>O and depleted in CaO. Additionally, by 1013 pressure quenching the experiments instead of only turning off the thermocouple at the end of 1014 the experiment, we preserve a range of melt textures from low to high temperature experiments. 1015 Melt textures of high temperature experiments are characterized by a combination of smooth, 1016 continuous glass and vesiculated glass with inferred water contents of ~9 wt.% water. Melt 1017 textures of low temperature experiments are characterized by highly vesicular glass and small, 1018 isolated melt spheres with inferred water contents of 6-7 wt.% water. These results are 1019 1020 consistent with the results of Gavrilenko et al. (2019) which suggest that during quenching and the melt to glass phase transition, more than ~9 wt.% water cannot be preserved in the 1021 quenched glass phase, with excess water released during quenching. Melt with water contents 1022 between ~6-9 wt.% results in transitional glass textures, with minor amounts of water released 1023 1024 during quenching. This finding implies that melt inclusion studies, used to infer magmatic water

1025 contents, are biased toward upper crustal water solubilities and may inaccurately reflect the1026 water contents of deep magmas in the upper mantle or lower crust.

## 1027 3.1 Introduction

Partial melting in the mantle wedge is thought to result from volatiles released by the 1028 subducting oceanic lithosphere (Kushiro, 1972). The fluids released from the subducting slab 1029 carry alkalic cations such as Na and K, resulting in the relative enrichment of those elements in 1030 hydrous melts from the mantle wedge (Grove et al., 2002). Previous workers have identified so 1031 called, 'primitive,' erupted rocks which are near equilibrium with peridotite in the mantle wedge 1032 (e.g., Baker et al., 1994; Kelemen et al., 2014). There is a large compositional variety of primitive 1033 erupted basalts and andesites in subduction arcs (Schmidt and Jagoutz, 2017). The observed 1034 compositional variety results from melts at different depths and temperatures in the mantle 1035 wedge, while also being dependent on the volume and composition of fluids released from the 1036 1037 subducting slab (Mitchell and Grove, 2015; Grove and Till, 2019). The details of melts produced 1038 within different parts of the mantle wedge, and how those melts are modified prior to eruption 1039 in a subduction arc are still the subject of investigation and debate (Strek et al., 2007; Keleman 1040 and Yogodzinski, 2007; Barr et al., 2007; Streck and Leeman, 2018; Phillips and Till, 2022).

Early workers utilized simple systems such as  $Mg_2SiO_4$ -SiO<sub>2</sub>-H<sub>2</sub>O (Kushiro et al., 1968; 1041 Kushiro 1968, 1972) to constrain basic systematics of melt composition as a function of variable 1042 pressure and temperature. This early work highlighted the importance of water to the melting 1043 reaction. Subsequent work demonstrated that by adding 3-6 wt. % H<sub>2</sub>O to spinel lherzolite, the 1044 silica content of the melt increased by 1 wt.% and the FeO-MgO content decreased by 2 wt. % in 1045 1046 comparison to anhydrous peridotite melts (Gaetani and Grove, 1998; 2003). These results supported the hypothesis that magmas with high water contents can form due to upward 1047 1048 percolation of fluids through the mantle wedge while maintaining equilibrium with high temperature mantle peridotite. While there is abundant work on melt compositions in the 1049 shallow mantle wedge (<2 GPa e.g., Mysen and Boettcher, 1975; Gaetani and Grove, 1998; Grove 1050

et al., 2013; Mitchell and Grove, 2015), the composition of deep melts (>2 GPa) and their impact 1051 on erupted compositions is the subject of active investigation (e.g., Grove and Till, 2019). Grove 1052 et al. (2006) and Till et al. (2012) found that the water-saturated peridotite solidus between 3-6 1053 1054 GPa is located at extremely low temperatures between 800-820 °C. Field-based evidence of lowtemperature melting in the mantle wedge, consistent with the aforementioned experiments, has 1055 been identified in the Japanese Sanbagawa belt (Hattori et al., 2010; Till et al., 2010). Grove and 1056 Till (2019) postulated that hydrous arc magmas form over a range of depths starting at the base 1057 1058 of the wedge near the top of the subducting slab (3 GPa) and ending in the shallow mantle (~1 GPa). By mixing deep melts with shallow melts in the mantle wedge, they were able to reproduce 1059 observed compositional variability in high magnesium andesites and basaltic andesites. In 1060 addition, they found that the addition of a small 'slab component' in the form of elevated alkali 1061 elements (Na<sub>2</sub>O+K<sub>2</sub>O) was critical to reproducing observed REE and incompatible element 1062 1063 distributions in erupted basalts and andesites.

In this paper, we present the results of experiments at 2.4 GPa (~75 km depth in the 1064 1065 mantle wedge) using a lherzolitic starting bulk composition with an added metasomatic component equivalent to ~4.2 wt. % H<sub>2</sub>O and ~5 wt. % alkalis. The composition of experimental 1066 melts at temperatures ranging from 1000-1175 °C are presented along with phase proportions, 1067 which we then compare to previous hydrous lherzolite melting experiments and the 1068 compositions of erupted primitive magnesian andesites in subduction arcs globally. 1069 Furthermore, we discuss the melt textures and glass compositions which resulted from pressure 1070 quenching and how they may explain the observation that erupted primitive glass and melt 1071 1072 inclusions almost never have water contents in excess of 9-10 wt.% despite high water solubilities (14-20 wt.%) in the upper mantle. 1073

3.2 Experimental and analytical methods
 3.2.1 Starting materials
 As a starting material, we used Mix D from Mitchell and Grove (2015), otherwise known
 as H&Z + SM (Table 3.1). This mix is made from a blend of synthetic oxides that is composed of

1078 71% of an anhydrous Hart and Zindler (1986) primitive mantle composition with an added slab
1079 component, and 29% of a hydrous mix (H&Z + H2O) from Grove et al. (2006). The slab
1080 component added to Hart and Zindler (1986) is determined in Grove et al. (2002). With this mix
1081 composition, we aim to experimentally constrain the melt compositions near the interface of the
1082 subduction slab.

#### 1083

## *3.2.2 Piston cylinder experiments*

Melting experiments on H&Z+SM were conducted at 2.4 GPa across a range of temperatures from 1000-1175 °C using a 0.5" end-loaded solid medium piston cylinder (Boyd and England, 1960) in the MIT Experimental Petrology Laboratory. The starting material was packed into a 7/16" long Au capsule, which is then triple-crimped and welded and placed inside an  $Al_2O_3$  ring. The capsule and  $Al_2O_3$  ring were sandwiched between MgO spacers in the midpoint of a cylindrical graphite furnace. A sintered, cylindrical BaCO<sub>3</sub> cell surrounds the graphite furnace during the experiment.

1091Pressure was previously calibrated using the breakdown of Ca-tschermak pyroxene to1092anorthite + gehlenite + corundum (1350 °C, 1.3 GPa) and using the spinel (sp) to garnet1093transition in the CMAS peridotite analog system (1500 °C, 2.5 GPa) (Hays 1966; Longhi 2005).1094This calibration showed that pressure was accurate to  $\pm$  0.05 GPa, and that no pressure1095correction was necessary.

The temperature is controlled and monitored using  $W_{97}Re_3/W_{75}Re_{25}$  thermocouples, 1096 without correction for the effect of pressure on thermocouple emf. The vertical thermal gradient 1097 across the charge has been determined by direct measurement of offset thermocouples as well as 1098 temperature mapping using the reaction kinetics of  $MgO + Al_2O_3 = MgAl_2O_4$  (Watson et al. 1099 2002; Medard and Grove 2008). Results from these methods indicated a vertical temperature 1100 difference of ~ 10 °C across the capsule (hot end on top) in addition to a ~ 20 °C difference 1101 between the location of the hotspot in the graphite furnace and the location of the thermocouple 1102 1.5 mm above the capsule. Temperature corrections for this ~ 20 °C difference were applied. 1103

The experiments used the hot piston-in technique (Johannes et al., 1971). At the beginning of each experimental run, the assembly was pressurized at room temperature to 1.0 GPa. Temperature was then raised at a rate of 100 °C/min to 865 °C, where it was held for 6 min. Then, the pressure was raised to the final pressure, and temperature was increased at 50 °C/min to the final temperature of the run. Experiments were held at their final run conditions for 52-175 hrs.

All experiments were terminated by pressure quenching by releasing the piston load and turning off the thermocouple. In contrast to previous experiments at the MIT experimental petrology laboratory (e.g., Grove and Till, 2019) which quenched the run by turning off the power, we performed a pressure quench at the end of each run to better preserve melt textures and prevent quench crystal growth. In the discussion section, we compare our melt textures to previous experimental melt textures and discuss the implications of pressure quenching in regard to the observed water contents in erupted glasses and melt inclusions.

## 1117 3.2.3 Electron microprobe analysis

At the end of each experiment, we drilled into the capsule prior to epoxy impregnation. 1118 Previous hydrous mantle melting experiments released small quantities of water upon drilling 1119 (e.g., Grove and Till, 2019), however we did not observe this. This may have important 1120 implications for the water contents of erupted primitive glasses and melt inclusions. Following 1121 drilling, the gold capsule is impregnated with epoxy before it is cut into four pieces on a 1122 diamond wire saw. The four pieces are again impregnated with epoxy to completely fuse the 1123 phases to the capsule interior. Each piece is then polished to remove excess epoxy before 1124 1125 mounting all four pieces in a 1-inch epoxy disk. Finally, the disk is polished on diamond polishing mylar. 1126

Experimental major element compositions were obtained using wavelength-dispersive
 spectrometry (WDS) on the 5-spectrometer JEOL 8200 electron microprobe (EMP) at the
 Massachusetts Institute of Technology. Measurements were acquired using a 15 kV accelerating

voltage, 10 nÅ beam current, and 1 to 10 µm spot sizes. Online data reduction utilized the
CITZAF correction package (Armstrong, 1995) and the atomic number correction, the
absorption coefficients, and the fluorescence correction available in CITZAF.

1133 When determining mineral compositions, 15-20 measurements were made of the target mineral throughout the experimental charge. Low standard deviations of the mineral analyses in 1134 Table 3.3 support low compositional variability throughout the experiment. In high temperature 1135 experiments (>1050 °C), glass was analyzed by setting up point grids and analyzing hundreds of 1136 spots to get an accurate, holistic average of glass compositions in the experiment. At lower 1137 temperatures, glass was only present as small spheres and sheets and not large bodies. These 1138 were analyzed by setting 1 to 5 spots, depending on the size of the sphere. At the end of the 1139 analysis, the compositions of all the spheres were averaged like the high temperature analyses. 1140

1141 **3.3** 

## **Experimental results**

1142 3.3.1 Mineral and melt textures

The temperature conditions and mineral proportions of each experiment are reported in 1143 Figure 3.1. Table 3.2 contains experimental run times and phase proportions, and Table 3.3 1144 contains phase compositions of glass, orthopyroxene (opx), clinopyroxene (cpx), garnet, and 1145 olivine (oliv). For the bulk composition in this study (H&Z + SM, Table 3.1), the experiments 1146 always contain oliv+opx+an aluminous phase (either garnet or spinel). Cpx is present at 1147 temperatures lower than 1150 °C, and garnet is present for temperatures at and below 1075 °C. 1148 In experiments above 1075 °C, spinel replaces garnet as the aluminous phase in the experiment. 1149 The modal abundance of both garnet and cpx decreased with increasing temperature in the 1150 experiments, while the modal abundance of liquid increased. All experiments, except E21, 1151 contained identifiable glass or quench crystals. 1152

Experimental charges were cut in half and impregnated with epoxy before polishing to preserve small pockets of glass and quench modified melt in between mineral grains. The volume proportion of melt in the experiments increases with increasing experimental temperature. The melt is generally found near the top of the experimental capsule, as this is the

highest temperature region of the capsule. Melt frequently collects near crimps in the gold 1157 capsule, between the crystalline matrix and wall of the experimental charge (Figure 3.2e). In the 1158 lowest temperature experiments, at or below 1050 °C, the melt occurs as large vesiculated 1159 1160 bubbles (both isolated and in contact with solid grains, Figure 3.3c) and isolated small spherules (Figure 3.3d and e). The vesiculated bubbles vary in size from 50-200 microns in diameter. The 1161 vesicles in the large bubbles, which we interpret as vapor-filled pores within the melt phase, are 1162 10-50 microns in diameter. Isolated melt spheres range in diameter from 1-25 microns (Figure 1163 1164 3.3d and e).

In high temperature experiments (>1100 °C), the melt is preserved as large bodies of glass near the top of the charge with some interstitial melt at the boundary between the meltrich body and crystalline matrix (Figure 3.3a and b). Two distinct textures are present in the high temperature glasses: 1) a smooth, continuous textures glass with no vesicles and 2) strongly vesicular glass with <10-micron vesicles.

In comparison to similar experiments by Grove and Till (2019) at 3 GPa, we observe the 1170 same distribution of phases relative to the top and bottom of the charge. This includes melt in 1171 the top of the charge and near crimped edges, high modal amounts of garnet and cpx in the 1172 bottom of the charge, and poikiloblastic garnets with opx and oliv inclusions. While we observe 1173 melt spheres in our low temperature experiments, they are not high in silica like the high silica 1174 1175 melt spherules of Grove and Till (2019) (Table 3.3). They are also not texturally associated with vesicles as shown in Figure 3.3d. These differences may be a product of quenching both pressure 1176 and temperature in these experiments as opposed to only temperature in Grove and Till (2019) 1177 1178 or related to the contrast in experimental pressure.

1179 The total compositional weight percentages for glass around ~90-95 wt.% suggest ~5-10 1180 wt.%  $H_2O$  is present in the final quenched glasses (Table 3.3). This result in conjunction with the 1181 lack of high silica melt spheres in our experiments likely results from the loss of exsolved vapor 1182 and supercritical fluid during pressure quenching of the experiment. Pressure quenching is

likely to result in failure of the gold capsule walls at the end of the experiment due to the rapid 1183 change in pressure, resulting in small cracks or holes through which vapor can escape. This 1184 would explain why we did not observe the release of vapor on drilling into the capsules. 1185 1186 Furthermore, the estimated water contents of 5-10 wt.% are consistent with the study of 1187 Gavrilenko et al. (2019). Gavrilenko et al. (2019) found that estimates of magmatic water 1188 content from melt inclusions (MIs), which commonly yield water contents <9-10 wt.%, may not accurately reflect the water content of deep, primitive magmas. At depth, hydrous magmas may 1189 contain well over 10 wt.% H<sub>2</sub>O, and during ascent and emplacement in the upper crust or 1190 eruption, the glass may not homogenously quench, exsolving a significant portion of the original 1191 water content. Such a finding has significant implications for the cycling and return flux of water 1192 in subduction arcs. We discuss the implications of our experimental results relative to the study 1193 of Gavrilenko et al. (2019) in the discussion section. 1194

1195 Melt bodies in the high temperature experiments were generally larger in the experiments at 3.2 GPa relative to our experiments at 2.4 GPa. Melt bodies near the top of the 1196 charge vary from 50 to ~1000 microns in length and were 50-300 microns wide (Figure 3.3a 1197 and b). Both small and large vesicles are present in large melt bodies (Figure 3.3a). Small 1198 vesicles are 1-10 microns in diameter, while larger vesicles can reach ~100 microns in diameter. 1199 Grove and Till (2019) interpreted vesicles and associated high silica melt spherules as 1200 precipitating from supercritical fluid exsolved from the melt phase during quenching, based on 1201 the occurrence of high silica spheres within the vesicle. While vesicles are also abundant in our 1202 experiments, their proportion relative to the melt phase is dependent on experimental 1203 1204 temperature. It is likely these vesicles form during the experiment, and their variable proportion relative to the melt is due to the increasing proportion of supercritical fluid relative to the melt 1205 with decreasing temperature. Notably, water was rarely released from our experimental capsules 1206 1207 upon drilling into the experiment after quenching. This may be because the water escaped from 1208 the capsule during failure of the capsule walls from pressure quenching.

Mineral textures are similar to the results of previous studies (Grove et al., 2006; Till et 1209 al., 2012; Grove and Till, 2019). Olivine crystals are subhedral to anhedral (5-100 microns) with 1210 larger grains forming at the cold end of the capsule in some experiments (Figure 3.2c). 1211 1212 Orthopyroxene and clinopyroxene are subhedral to euhedral (5-30 microns). Garnet occurs as large poikilitic grains, enclosing anhedral olivine and orthopyroxene (100-150 microns). Both 1213 garnet and clinopyroxene are present near the bottom (colder) end of the experiment. In some 1214 cases, cpx crystallizes directly from the melt phase on quenching (Figure 3.3b). Rutile was 1215 absent in our experiments, in comparison to the experiments of Grove and Till (2019) at 3.2 1216 GPa. This is because the rutile-in reaction is strongly temperature dependent at high pressure, 1217 1218 suggesting these experiments are not in the low temperature, high pressure rutile stability field (Xiong et al., 2005). 1219

1220 3.3.2

## Mineral and melt compositions

For mineral phases, 10-40 analyses of each phase were made throughout the charge. Low 1221 standard deviations (Table 3.3) demonstrate the minerals are unzoned and compositionally 1222 1223 homogenous. Melt was analyzed two ways, dependent on the size of the melt body. For large melt bodies (>50  $\mu$ m<sup>2</sup>), a grid of points was set up across the body at 8-10  $\mu$ m intervals. The 1224 composition was averaged across the grid and assumed to be representative of the melt 1225 composition. In low temperature experiments with thin melt bodies ("honeycombs") and 1226 spheres, individual point analyses were made and analyzed at low spot size (~1 micron). The 1227 lowest temperature experiment in this study (1000 °C), did not contain and melt phase. 1228

Long experimental durations were necessary to achieve equilibrium, evidenced by: 1) a 1229 1230 lack of chemical zoning and homogenous intracrystalline compositions, 2) constant composition of individual minerals regardless of location in the experimental charge, 3) systematic changes 1231 1232 in composition with experimental temperature. The Mg# of individual phases, and Kd between olivine and melt also support equilibrium in the experiments (Table 3.3). Olivine-melt K<sub>d</sub>'s fall 1233 between 0.26 and 0.36, which is in the range of expected  $K_d$ 's in the uppermost mantle during 1234
melting (Kinzler and Grove, 1992; Matzen et al., 2011). The Mg# of glass and olivine are also in
the expected range, 0.73-0.79 (Tatsumi and Ishizaka, 1982; Grove et al., 2002; Bryant et al.,
2010; Mitchell and Grove, 2015).

# 1238 **3.4 Discussion**

1239 *3.4.1 Melt compositions of near solidus melts of hydrous lherzolite* 1240 Figure 3.4 plots the composition of analyzed experimental melt compositions vs.

temperature in addition to previous experiments from: Mysen and Boettcher, 1975; Gaetani and 1241 Grove, 1998; Till et al., 2012; Green et al., 2014; Mallik et al., 2015; and Grove and Till, 2019. 1242 With the exceptions of Mysen and Boettcher (1975) and Gaetani et al. (1998), these past 1243 experiments were carried out at higher pressure than experiments in this study. Mysen and 1244 Boettcher (1985) and Gaetani et al. (1998) performed experiments primarily around 1-2 GPa. 1245 Therefore, the existing literature generally brackets the experiments in this study, with 1246 experiments representative of the shallow mantle wedge (1-2 GPa) and the deep mantle wedge 1247 (>3 GPa). Melt compositions are intimately related to temperature. SiO<sub>2</sub>, TiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, Na<sub>2</sub>O, and 1248 K<sub>2</sub>O all decrease with increasing experimental temperature in this study (Figure 3.4a, b, c, h, 1249 and i). Cr<sub>2</sub>O<sub>3</sub>, FeO, MgO, and CaO all increase with increasing temperature in this study (Figure 1250 3.4d, e, f, and g). These results are in general agreement with previous studies although the 1251 temperature dependent systematics of the alkali elements (Na<sub>2</sub>O, and K<sub>2</sub>O) are less well-1252 constrained in the existing literature. Notably, CaO content of the melt is strongly dependent on 1253 the presence of garnet and not cpx (Figures 3.1 and 3.4). High CaO contents in melt (>10 wt.%) 1254 require garnet to be absent. Incompatible elements such as Na<sub>2</sub>O and K<sub>2</sub>O are also strongly 1255 1256 dependent on experimental temperature. For the two lowest temperature experiments (1025-1050 °C), where melt content is <10 vol. %, Na<sub>2</sub>O and K<sub>2</sub>O are significantly higher (>1 wt% 1257 increase) relative to the high temperature experiments. The relationship between temperature 1258 and melt composition for SiO<sub>2</sub>, FeO, MgO, CaO, Na<sub>2</sub>O, and K<sub>2</sub>O is not linear, with a significant 1259 change in melt composition between 1050 and 1075 °C (Figure 3.4). 1260

Our findings for SiO<sub>2</sub>, CaO, and K<sub>2</sub>O are similar to the findings of Grove and Till (2019) 1261 at 3.2 GPa. At low temperature (<~1050°C), melt silica contents are >50 wt.%, relative to <50 1262 wt.% at higher temperature (Figure 3.4a). This is consistent with experiments by Mysen and 1263 1264 Boettcher (1975), Grove and Till (2019), and Till et al. (2012). The transition to high CaO contents in the melt phase occurs at ~1050-1075 °C in our experiments at 2.4 GPa, and at ~1100 1265 1266 °C in experiments at 3.2 GPa (Grove and Till, 2019). Therefore, the Garnet-in reaction decreases in temperature with decreasing pressure (consistent with Grove et al., 2006). The contents of 1267 the highly incompatible elements Na<sub>2</sub>O and K<sub>2</sub>O do not appear linked to a particular mineral 1268 phase (Figure 3.1). Rather, these phases are almost always concentrated in the melt phase, with 1269 decreasing relative abundance as the melt phase is diluted with other elements such as MgO, 1270 FeO, and CaO with increasing temperature and melt fraction. These observations suggest that 1271 the compositional systematics of melt with changing temperature in the deep mantle wedge (>2 1272 1273 GPa) are generally similar and strongly dependent on temperature.

The dependence of melt composition on pressure is best represented by MgO and  $Al_2O_3$ . As the melting pressure increases, the Al2O3 content decreases (Figure 3.4c). MgO contents increase with increasing pressure. This is characterized by a systematic change in composition between the experiments of Mysen and Boettcher (1.5 GPa, 1975), this study (2.4 GPa), and Grove and Till (3.2 GPa, 2019).

Also of note is the effect of starting composition on melt compositions. Water content 1279 and the addition of alkali elements Na<sub>2</sub>O and K<sub>2</sub>O have a significant effect on melt composition 1280 in low temperature experiments. The starting composition of this study and Grove and Till 1281 1282 (2019) (H&Z + SM) has additional alkali elements, representing the contribution of an alkali-1283 rich fluid from the dehydrating slab (Grove et al., 2002). The presence of amphibole under the conditions of the Mysen and Boettcher experiments results in high calcium contents at low 1284 1285 temperatures, while at high pressure (>2.4 GPa, this study), the absence of amphibole and early 1286 amphibole breakdown leads to relatively low calcium contents in the melt. Relatively low Na<sub>2</sub>O

and K<sub>2</sub>O concentrations at low temperature in previous experiments, particularly Mysen and
Boettcher (1975), is likely due to low concentrations in the starting composition, and/or quenchmodified melt compositions. There is no strong correlation between the concentration of alkalis
in the low temperature melt phase and the melting pressure (Figure 3.4).

Comparison with previous experimental studies on hydrous lherzolite 1291 3.4.2 melting 1292 Figures 3.4 and 3.5 compare our results to previous studies versus temperature and MgO 1293 content, respectively. High pressure experiments (> 3 GPa) by Tenner et al. (2012), Till et al. 1294 (2012), Green et al. (2014), Mallik et al. (2015), and Grove and Till (2019) span SiO2 contents of 1295 40-70 wt. %. High silica spheres of Grove and Till (2019) reach SiO<sub>2</sub> content between 70-80 wt. 1296 %. Al<sub>2</sub>O<sub>3</sub> contents of high-pressure experiments are generally lower than experiments <3 GPa 1297 and less than 16-17 wt.% (this study, Mysen and Boettcher, 1975, Gaetani and Grove, 1998, 1298 1299 Mitchell and Grove, 2015). FeO and MgO contents of high-pressure experiments are enriched relative to low pressure experiments, spanning 10-26 wt.%. CaO contents are noticeably lower in 1300 1301 the high-pressure experiments in comparison to this study and other shallow experiments (Figure 3.5g). At 10 wt.% MgO, CaO contents of Grove and Till (2019) are ~1-4 wt.% lower than 1302 experiments in this study and experiments by Mitchell and Grove (2015). Low melt fraction 1303 experiments, which produce high silica melts relative to high temperature experiments, produce 1304 melts enriched in Na<sub>2</sub>O and K<sub>2</sub>O due to their incompatible behavior. Similar to the behavior of 1305 CaO, the alkali elements of erupted compositions may form by mixing of deep and shallow 1306 mantle melts. 1307

1308 SiO<sub>2</sub> contents of shallow experiments (<2 GPa, Mysen and Boettcher, 1975; Gaetani and 1309 Grove, 1998; Mitchell and Grove, 2015) are higher than in the experiments of this study, and 1310 transition from low melt fraction composition to high melt fraction composition is not as abrupt. 1311 Al<sub>2</sub>O<sub>3</sub> content of this study is relatively low in comparison to Mysen and Boettcher (1975) 1312 (Figure 3.4) but overlaps with the range of Al<sub>2</sub>O<sub>3</sub> in Mitchell and Grove (2015) (Figures 3.4 and 1313 3.5). FeO and MgO contents of low pressure hydrous mantle melts are generally equivalent to or

higher than in this study. The FeO and MgO content of Mysen and Boettcher, 1975 is 1314 significantly lower than high melt fraction melts in this study but are equivalent to the FeO and 1315 MgO composition of low temperature melts in this study at equivalent  $SiO_2$ . CaO contents of 1316 1317 high temperature, high melt fraction (>10%) melts in this study are much higher than at shallow pressures. However, low temperature, low melt fraction melts have low CaO (consistent with 1318 low temperature melts and high silica spheres of Grove and Till, 2019). As mentioned 1319 hypothesized, low CaO content melts can explain the relatively low concentrations of CaO in 1320 erupted lavas (Grove and Till, 2019), relative to shallow mantle melts from Mitchell and Grove 1321 (2015). As with the high-pressure melts, alkali content (Na<sub>2</sub>O and K<sub>2</sub>O) is strongly dependent on 1322 melting temperature and the degree of melting, as opposed to pressure. 1323

1324 1325 3.4.3 Comparison to natural primitive basaltic andesites and magnesian andesites

Figure 3.5 contrasts major element composition of the melt phase in our experiments 1326 versus the major element compositions of natural primitive basaltic and esites and magnesian 1327 andesites. Our results reinforce the hypothesis that erupted high magnesium andesites are the 1328 product of mantle melting, and that the final erupted composition reflects mixing of polybaric 1329 melts in the mantle wedge over the dehydrating slab with little to no impact from AFC or crustal 1330 assimilation. While most of the major element systematics can be matched by the compositions 1331 of hydrous melts of the shallow mantle wedge (Mitchell and Grove, 2015), the low CaO contents 1332 of the erupted compositions and the high K<sub>2</sub>O are not explained by shallow melts alone. Grove 1333 and Till (2019) demonstrated that mixing between deep, low temperature melts near the slab 1334 1335 interface with shallow melts can explain the relatively low CaO contents.

The relatively high K<sub>2</sub>O contents of erupted magmas compared to shallow mantle melts
may in part be explained by mixing with deep, low temperature melts near the slab wedge
interface (Figure 3.5, Grove and Till, 2019). Most experimental melts at low pressure (e.g.,
Mitchell and Grove, 2015) are characterized by low potassium contents below 0.5 wt.%. Low
temperature melts, representative of low degrees of melting directly above the slab, are

relatively enriched in K<sub>2</sub>O, at both low and high MgO concentrations (Figure 3.5). Therefore, the relatively high K2O contents of erupted HMAs is likely the product of mantle mixing between shallow, low K2O melts and deep, low temperature melts with K2O concentrations  $\geq$  1 wt.%.

As K2O is strongly incompatible with the phases in our experiments (ol, opx, cpx, garnet, and spinel) it is concentrated into the melt phase. Because of this incompatibility, the K2O concentrations of the experimental melts are strongly dependent on the starting composition of the experiment and the added 'slab component' as derived from Grove et al., 2002. The relative concentration of K2O in the melt phase decreases with progressive melting due to the enrichment of FeO, MgO, and CaO.

Water Contents of Erupted Primitive Glasses and Melt Inclusions 1350 3.4.4 While mineral melt equilibria (Sisson and Grove, 1993), direct measurements of MIs 1351 (Anderson, 1974; Sisson and Layne, 1993; Wallace, 2005; Gavrilenko et al., 2019), and phase 1352 1353 equilibria/phenocryst stability (Rutherford et al., 1985; Moore and Carmichael, 1998; Krawczynski et al., 2012) are all commonly used to constrain the pre-eruptive water contents of 1354 silicate melts, this water content may not accurately reflect the water contents of melts in the 1355 1356 mantle wedge (Gavrilenko et al., 2019). Our measurements of experimental glasses yield average compositional totals between 90-95 wt.%, suggesting  $\sim$ 5-10 wt.% H<sub>2</sub>O in the quenched 1357 experimental glass produced during this study. This estimate of water content is broadly 1358 consistent with that cited from MI studies in subduction arcs (e.g., Wallace, 2005; Plank et al., 1359 2013; Gavrilenko et al., 2019). However, the solubility of water is expected to be much larger in 1360 the lower crust and mantle wedge (Mitchell et al., 2017). Thus, existing studies that rely on MIs 1361 1362 or natural glasses may be biased toward magmatic water solubilities in the upper crust. In this section, we discuss how the results in our experiments support high water contents in the deep 1363 1364 mantle wedge and provide a mechanism to explain the absence of such high water contents in 1365 erupted glasses and Mis similar to that proposed by Gavrilenko et al. (2019).

Water solubility models and experiments for the mantle wedge suggest upper bounds on
magmatic water content of 14 to over 20 wt.% (Carmichael, 2002; Krawczynski et al., 2012;
Mitchell et al., 2017). Despite this, there are little to no direct measurements of glass or MIs that
yield such high water contents. Therefore, we must ask at what point does water leave the melt
phase and why.

We posit that water exits the system during abrupt changes in pressure. Gavrilenko et al. 1371 (2019) found that experimental mafic glasses, formed with pre-loaded water contents >9-10 1372 wt.%, did not form homogeneous quenched glass, and that the water in excess of ~9-10 wt.% 1373 exsolves from the melt phase during quenching. They determined that high-pressure, high-1374 1375 water content (>9 wt.%) magmas were unable to maintain high water contents not because of the change in water solubility as a function of pressure, but rather because of the structural 1376 change related to the melt-glass phase transition. Moreover, Gavrilenko et al. (2019) identified a 1377 1378 transition point at magmatic water contents of 6-9 wt.% where the melt phase variably quenched to either clear glass or to vesiculated and devitrified glass. 1379

1380 In comparison to Gavrilenko et al. (2019), which performed their experiments at 1381 pressures of 1-1.5 GPa, our experiments at 2.4 GPa are also consistent with the observation that 1382 water contents in excess of 10 wt.% are unable to be preserved during pressure quenching due to the melt-glass structural transition. We expect the water solubility of melts at 2.4 GPa to be in 1383 1384 excess of 20 wt.% based on the experimental constraints on water solubility at 1 GPa (Mitchell et al., 2017). Notably, we observe a temperature dependent transition in glass textures between the 1385 highest and lowest temperature experiments. High temperature glasses contain mixed vesicular 1386 1387 glass and 'smooth' glass with no vesicles (Figures 3.3A and B). In contrast, low temperature glasses were strongly vesicular or occurred as small, isolated spheres (Figures 3.3C, D, and E). 1388 Glass in the low temperature experiments may have contained 9-11 wt.% H<sub>2</sub>O, while glass in the 1389 1390 high temperature experiments contained only 6-7 wt.% H<sub>2</sub>O. This observation is consistent with the findings of Gavrilenko et al. (2019) that at water contents around 6 wt.%, quenched glass 1391

textures are transitional between vesiculated and 'smooth' (or optically clear) glass, while melt with >9 wt.%  $H_2O$  cannot store the entirety of the water in the quenched glass atomic structure and so it must be released from the melt phase on quenching.

1395 The release of water during pressure quenching of the melt leads to the exsolution of vapor from the melt phase and the creation of strongly vesicular glass. Additionally, in our 1396 experiments, the vapor produced during quenching is released from the charge during failure of 1397 the Au capsule. The texture in the low temperature experiments consisting of small, isolated 1398 spheres may be produced by rapid expansion of the melt and exsolution of vapor during 1399 quenching. In addition to water dissolved in the melt, Grove and Till (2019) identified high silica 1400 spherules which may have resulted from the presence of a supercritical fluid with high dissolved 1401 silica contents. Excess vapor from the quenched glass and any supercritical fluid in our 1402 experiments, were likely released during quench, leaving only the concentration of water that 1403 1404 was locked into the quenched glass structure.

### 1405 **3.5 Conclusion**

1406 The compositions of hydrous lherzolite melts at 2.4 GPa are texturally consistent with melts at 3 GPa. Our results confirm and strengthen the hypothesis that mixing of low 1407 1408 temperature melts near the Slab-Wedge interface with melts in the shallow mantle wedge are able to reproduce the compositional characteristics of erupted primitive magnesian andesite. 1409 Additionally, our results suggest that high K<sub>2</sub>O contents of erupted lavas relative to shallow 1410 mantle melts may be explained through the same mixing process. While the compositional 1411 systematics are similar to melts at 3 GPa, shallower melts in this study are characterized by 1412 1413 higher relative Al<sub>2</sub>O<sub>3</sub> and lower relative FeO and MgO. We identify a strong dependence of glass texture on the experimental temperature, and suggest that the textural contrast between 1414 1415 experiments is the product of variable dissolved water content in the experimental melt which is partially released from the melt phase during pressure quenching. 1416

1417

## 1418 **References**

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# 1570 Figures and Captions



Figure 3.1: Phase proportions of experiments as a function of temperature. The proportions are calculated via mass balance of measured phase compositions (Table 3.3) and the starting mix composition (Table 3.1).







1576 Figure 3.2: Backscattered Electron (BSE) images of selected experimental charges displaying
1577 the textural relationships between the solid phases (ol, opx, cpx, and garnet). A) Experiment C647
1578 performed at 1150 °C. The top end of the capsule is toward the top of the image. Melt is visible between

the gold capsule (white) and solid phases in the experiment (dark gray BSE region). B) Experiment 1579 1580 *C*649 conducted at 1100 °*C*. The top of the image is also the top of the capsule. Melt is visible around the 1581 edges of the gold septum. C) Experiment E16 conducted at 1075 °C. Large poikiloblastic garnet in the center of the charge. The top of the charge is toward the top of the image. Large olivine grains are 1582 present on the bottom left of the capsule in the image. D) Experiment E18 conducted at 1050 °C. The 1583 bottom of the capsule is displayed with large opx and of crystals. Large poikiloblastic garnets occur 1584 1585 throughout the entire charge. E) Experiment E21 conducted at 1000 °C. No melt was observed in this 1586 experiment. The top of the charge is toward the bottom of the image. Euhedral poikiloblastic garnets are well developed and occur throughout the charge. 1587



1589Figure 3.3: Backscattered Electron (BSE) image of melt textures from selected experiments. A)1590Experiment C647 conducted at 1175 °C. A large melt body with vesicular regions at the top of the1591capsule. Both small and large vesicles occur in the melt. Euhedral opx and ol crystals are visible below1592the melt body. B) Experiment C648 conducted at 1175 °C. A massive body of melt at the top of the1593charge, with similar textural characteristics to the melt in experiment C647. C) Experiment E18

1594 conducted at 1050 °C. This experiment contains a large, vesiculated melt bubble directly below euhedral
1595 to subhedral ol, opx, and cpx crystals. The top of the capsule corresponds to the bottom of the image. A
1596 smaller vesiculated melt sphere is visible toward the bottom left. D) Experiment E18. A second melt
1597 texture visible in the experiment is isolated, small, and spherical bodies. The melt bodies in this texture
1598 are smaller than the vesicles visible in panel (C) but are not compositionally distinct. E) Experiment E19
1599 conducted at 1025 °C. Small, homogenous, circular bodies of melt within a fold in the gold capsule.





Figure 3.4: Major element composition of experimental glass in wt.% (Table 3.3) plotted versus
experimental temperature. Results of this study are compared to similar experiments by Mysen and
Boettcher (1975), Gaetani and Grove (1998), Tenner et al., 2012, Till et al. (2012), Green et al., 2015,
Mallik et al. (2015), and Grove and Till (2019). Also plotted are the compositions of high silica melt
spheres from Grove and Till (2019).





experimental glasses are plotted as inverted black triangles. Till et al. (2012) experimental glasses are plotted as gray pluses. And Grove and Till (2019) experimental glasses and high silica spheres are plotted as gray squares and stars, respectively. 

#### Tables

_		$SiO_2$	TiO2	$Al_2O_3$	$Cr_2O_3$	FeO	MnO	MgO	CaO	Na <sub>2</sub> O	$K_2O$	NiO	sum	$H_2O$
_	H&Z + SM	46.30	0.18	4.21	0.40	7.48	0.10	37.18	3.20	0.59	0.15	0.28	100.05	4.21
1618		Table 3	.1: Ch	emical	composi	tion of	H&Z +	SM, the	experi	mental r	nix use	d in th	is paper	•

Expt.	P (kbar)	T(C)	Mix	Date	Run Time (hrs)	Spinel /garnet	Phases					
							Ol	Opx	Срх	Grnt	Liq	$\mathrm{fO}_2$
E16	24	1075	H&Z +SM	3/26/2019	144	grnt	52.74	23.72	4.41	2.49	16.64	
E18	24	1050	H&Z +SM	5/6/2019	149	grnt	53.06	20.78	10.42	9.18	6.38	
E19	24	1025	H&Z +SM	5/29/2019	175	grnt	49.82	25.55	10.31	9.79	4.35	
E20	24	1000	H&Z +SM	7/8/2019	169	grnt	N/A	N/A	N/A	N/A	N/A	
E23	24	1125	H&Z +SM	9/11/2019	52	sp	50.47	27.51	0.69	0	21.16	2.24
C647	24	1150	H&Z +SM	10/2/2019	52	sp	52.50	24.26	0.70	0	22.4 0	2.18
C648	24	1175	H&Z +SM	10/28/2019	53	sp	51.20	22.83	0	0	25.8 9	2.33
C649	24	1100	H&Z +SM	11/18/2019	101	sp	51.76	27.27	3.20	0	17.88	2.29

Table 3.1 Experiment details and phase proportions.

	# of Analys	SiO2	TiO₂	$Al_2O_3$	$Cr_2O_3$	FeO	MgO	MnO	CaO	Na <sub>2</sub> O	K <sub>2</sub> O	NiO	Sum	Mg #	KD FeMg
E16															
grnt	11	41.62	0.18	21.99	2.55	7.44	18.54	0.31	7.39	0.01	0.00		100.0 3	0.8 2	0.84
		0.17	0.07	0.20	0.28	0.16	0.25	0.01	0.23	0.01	0.00				
cpx	10	52.92	0.15	3.23	0.97	2.47	17.98	0.08	21.10	0.51	0.02		99.44	0.93	0.29
		0.40	0.02	0.46	0.14	0.10	0.33	0.01	0.67	0.08	0.02				
opx	15	55.78	0.07	3.28	0.74	5.60	33.53	0.11	0.81	0.03	0.00		99.96	0.91	0.35
		0.31	0.01	0.23	0.09	0.13	0.31	0.01	0.08	0.02	0.00				
oliv	11	40.53	0.00	0.01	0.01	8.86	50.40	0.09	0.06			0.07	100.0 3	0.91	0.37
		0.38	0.00	0.01	0.01	0.10	0.22	0.03	0.01			0.02			
glass	443	43.19	0.82	14.65	0.08	5.38	11.21	0.11	10.65	2.20	0.61		88.9 0	0.79	
		1.75	0.06	0.65	0.17	0.29	1.39	0.02	0.76	0.40	0.11				
E18															

grnt	16	41.75	0.40	22.69	1.70	7.75	18.51	0.29	7.00	0.04	0.01		100.1 3	0.81	0.66
		0.26	0.10	0.45	0.44	0.26	0.40	0.02	0.40	0.02	0.01		0		
cpx	11	53.57	0.34	3.06	0.61	2.40	18.18	0.08	21.37	0.73	0.00		100.3 4	0.93	0.21
		0.42	0.05	0.50	0.15	0.23	0.37	0.02	0.26	0.08	0.00				
opx	11	55.26	0.15	2.79	0.35	5.45	33.78	0.09	0.71	0.06	0.00		98.65	0.92	0.25
		0.56	0.02	0.65	0.09	0.16	0.51	0.01	0.10	0.02	0.01				
oliv	15	40.39	0.03	0.05	0.04	8.33	50.38	0.09	0.06			0.05	99.42	0.92	0.26
		0.18	0.01	0.15	0.05	0.16	0.29	0.02	0.06			0.04			
glass	38	56.68	0.96	18.94	0.03	1.81	2.74	0.03	2.70	5.57	2.10		91.40	0.74	
E19		2.41	0.08	0.98	0.04	0.21	0.89	0.02	0.68	1.85	0.35				
grnt	13	41.73	0.24	23.46	0.82	8.17	19.04	0.29	6.28	0.02	0.00		100.0 4	0.81	0.65
		0.19	0.06	0.43	0.32	0.10	0.42	0.02	0.63	0.02	0.00				
cpx	10	53.49	0.33	3.11	0.60	2.55	17.74	0.07	21.56	0.72	0.01		100.1 7	0.93	0.22
		0.36	0.03	0.28	0.15	0.12	0.28	0.01	0.32	0.07	0.01				
opx	15	55.35	0.13	3.13	0.37	6.08	33.47	0.09	0.64	0.07	0.00		99.35	0.91	0.28
		0.59	0.01	0.66	0.09	0.12	0.49	0.01	0.03	0.03	0.00				
oliv	12	40.47	0.01	0.06	0.01	9.22	49.88	0.06	0.07			0.20	99.98	0.91	0.28
		0.26	0.01	0.10	0.01	0.13	0.37	0.02	0.05			0.07			
glass	19	51.04	0.86	16.99	0.00	2.44	3.72	0.02	3.69	10.08	1.63		90.47	0.73	
E20		1.27	0.05	0.73	0.01	0.14	0.33	0.01	0.59	1.04	0.28				
grnt	13	42.11	0.66	22.10	1.38	8.43	17.63	0.31	8.48	0.03	0.00		101.1 3	0.79	
		0.60	0.34	0.96	0.59	0.26	0.47	0.02	1.10	0.03	0.00				
срх	13	54.09	0.25	2.79	0.45	2.69	17.62	0.06	21.93	0.62	0.01		100.5 1	0.92	
		0.31	0.05	0.51	0.11	0.13	0.43	0.01	0.30	0.09	0.01				
opx	10	54.49	0.13	4.65	0.54	6.37	32.16	0.09	0.66	0.01	0.00		99.09	0.9 0	
		0.65	0.02	0.85	0.09	0.13	0.48	0.01	0.07	0.01	0.00				
oliv	10	40.96	0.00	0.05	0.01	9.65	49.63	0.08	0.06			0.25	100.7 0	0.9 0	
		0.49	0.00	0.06	0.02	0.12	0.50	0.02	0.03			0.09	-		
glass	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
E23															
cpx	10	53.41	0.09	2.99	1.24	2.68	18.34	0.10	20.69	0.53	0.01		100.0 8	0.92	0.26
		0.24	0.03	0.16	0.13	0.17	0.41	0.01	0.42	0.07	0.01				
opx	10	55.48	0.04	2.79	0.87	5.44	34.00	0.12	0.91	0.03	0.00		99.68	0.92	0.29
		0.23	0.02	0.10	0.07	0.16	0.26	0.01	0.07	0.02	0.00				
oliv	10	40.63	0.00	0.02	0.06	8.59	50.69	0.11	0.06			0.08	100.2 3	0.91	0.30
		0.24	0.01	0.01	0.01	0.09	0.26	0.02	0.01			0.03			
spin	1	0.17	0.28	26.01	43.83	13.2 2	16.88	0.25	0.05			0.08	100.7 6	0.69	1.44
-1-	212		0.71		0.11		10.5-	0.45	10.5	0.07			aa = :	0.51	
giass	213	45.49	0.76	15.70	0.10	5.77	10.32	0.13	12.34	2.33	0.54		93.54	0.76	
		1.77	0.06	0.83	0.09	0.26	1.31	0.02	0.59	0.40	0.08				

C647															
cpx	14	53.62	0.08	2.79	1.23	2.72	18.27	0.07	21.12	0.51	0.01		100.4 3	0.92	0.27
		0.29	0.02	0.22	0.14	0.10	0.30	0.01	0.27	0.04	0.01				
opx	15	56.13	0.05	2.54	0.89	5.51	33.38	0.09	0.96	0.04	0.00		99.59	0.92	0.30
		0.15	0.01	0.17	0.09	0.07	0.20	0.01	0.05	0.02	0.00				
oliv	15	40.65	0.02	0.02	0.02	8.80	50.27	0.12	0.09			0.23	100.2 2	0.91	0.32
		0.18	0.01	0.02	0.02	0.09	0.30	0.02	0.01			0.03			
spin	5	0.31	0.31	23.48	45.17	15.1 5	15.57	0.26	0.12			0.16	100.5 3	0.65	1.77
		0.39	.05	.45	.25	.24	•77	.03	.06			.08			
glass	327	46.10	0.43	14.92	0.12	6.21	11.31	0.07	11.57	1.74	0.47		92.95	0.76	
		2.60	0.33	1.60	0.55	0.76	3.57	0.06	1.44	0.50	0.17				
C648															
opx	13	55.81	0.03	2.39	0.92	5.31	33.14	0.10	0.96	0.03	0.00		98.69	0.92	0.34
		0.24	0.01	0.15	0.11	0.06	0.24	0.01	0.09	0.03	0.00				
oliv	15	40.69	0.01	0.04	0.06	8.36	50.16	0.10	0.09			0.11	99.62	0.91	0.36
		0.15	0.01	0.03	0.02	0.06	0.23	0.03	0.02			0.01			
spin	6	0.32	0.25	21.03	48.11	14.1 5	16.13	0.19	0.04			0.04	100.2 5	0.67	1.88
		0.07	0.02	1.01	1.38	0.13	0.67	0.03	0.02			0.01			
glass	534	45.91	0.61	13.38	0.19	6.58	14.12	0.12	10.88	1.93	0.45		94.16	0.79	
		1.27	0.04	0.78	0.04	0.30	1.63	0.02	0.61	0.19	0.07				
C649															
cpx	15	53.34	0.14	3.37	0.97	2.63	17.99	0.07	21.34	0.53	0.00		100.3 9	0.92	0.25
		0.24	0.02	0.31	0.11	0.06	0.18	0.01	0.23	0.04	0.00				
opx	10	55.91	0.05	3.31	0.82	5.76	32.91	0.11	0.79	0.04	0.01		99.73	0.91	0.30
		0.35	0.02	0.12	0.12	0.03	0.22	0.01	0.08	0.02	0.00				
oliv	13	40.6 8	0.01	0.01	0.04	9.44	49.87	0.08	0.07			0.16	100.3 7	0.9 0	0.32
		0.18	0.01	0.01	0.01	0.10	0.27	0.03	0.03			0.03			
spin	8	0.42	0.26	32.52	35.15	14.4 5	16.13	0.19	0.04			0.04	100.3	0.6 8	1.44
		0.63	0.03	1.48	0.97	0.15	0.57	0.01	0.05			0.03			
glass	76	45.60	0.88	16.86	0.08	6.16	10.52	0.13	12.22	2.98	0.70		96.14	0.75	
		0.59	0.05	0.42	0.03	0.18	0.94	0.02	0.45	0.22	0.06				
			T	ablac	A /	~1 ~··· J	~1~~~~~		L'ana al	·	an on to				

Table 3.2 Mineral and glass compositions of experiments.

#### Chapter 4: The Viscosity of a Partially Molten Layer in a 1627 **Paleo-Orogenic Plateau** 1628 1629

#### Abstract 1630

1631 Orogenic plateaus (e.g., Tibet, Altiplano) are enigmatic features, characterized by broad, flat-top topography at high elevation. Geodynamic models of Tibet hypothesize that a low 1632 viscosity mid-lower crustal layer sustains the broad and flat topography associated with the 1633 Tibetan plateau. Partial melt is thought to weaken the middle crust of orogenic plateaus, and 1634 thus reduce the viscosity of the crust; however, the amount of partial melt and the magnitude of 1635 associated weakening remain unconstrained. The New England Appalachians represent an 1636 exposed mid- to lower crustal section of a paleo-orogenic plateau, similar to modern-day Tibet. 1637 1638 In this study, we utilize the relationship between the spacing of deformation bands and the compaction length to constrain mid-crustal shear viscosity in a late Devonian migmatite. We 1639 1640 find that the viscosity of the middle orogenic crust in the paleo-orogenic plateau of the New England Appalachians is  $10^{17-18}$  Pa·s at ~3-9% melt. This finding is consistent with geophysical 1641 1642 models of orogenic channel flow and provides field-based evidence for a significant rheologic transition at low melt-fraction. Our results suggest that the key elements for the formation of a 1643 weak, mid-crustal layer in orogenic plateaus are an influx of water and temperatures near the 1644 1645 hydrous granite solidus.

1646

4.1

#### Introduction

Orogenic plateaus (e.g., Tibet, Altiplano) are enigmatic features, characterized by broad, 1647 1648 flat-top topography at high elevation. Crustal thickening, in association with mid-lower crustal 1649 magmatism initiating mid-lower crustal viscous flow, is the most commonly invoked mechanism for plateau formation across tectonic settings (e.g., Orellana-Rovirosa and Richards, 2016 for 1650 Galapagos). In particular, geodynamic studies of the Tibetan plateau advocate for a weak, 1651 1652 viscous layer ( $\eta \le 10^{18}$  Pa·s) in the mid-lower crust to explain a range of geodetic and seismic 1653 observations (Clark and Royden, 2000). The exact origin of these low viscosities, which are 1654 approximately five orders of magnitude lower than the viscosity of the crust at the margins of the plateau, is debated. Partial melting (Jamieson et al., 2011) or the circulation of free fluids 1655 1656 (Kohlstedt et al., 1995), could in principle result in such low viscosities. Indeed, the presence of a 1657 weak, partially molten layer at 20-50 km depth in Tibet is supported by low seismic wave speeds 1658 observed in geophysical studies (Hacker et al., 2014). However, how much small melt fractions affect viscosity remains an open, but critical, question. Classically, a threshold of ~20-60% 1659 partial melt (Rheologically Critical Melt Percentage (RCMP)) is thought necessary to lower the 1660 1661 viscosity over five orders of magnitude (van der Molen and Paterson, 1979).

1662 Alternatively, it has been proposed that the strength of partially molten rocks decreases 1663 significantly at low melt fractions (~7% partial melt) (Rosenberg and Handy, 2005). In lab experiments this transition, referred to as the Melt Connectivity Transition (MCT), results in a 1664 1665 reduction of less than an order of magnitude, which is borderline insufficient for crustal flow in 1666 quartzo-feldspathic rocks (Beaumont et al., 2001). However, experiments are conducted at higher strain rates than observed in nature and do not include the full range of heterogeneity 1667 1668 and damage observed in natural systems. Thus, extrapolating laboratory observations to the 1669 natural world remains a key open challenge in Geosciences (Breithaupt et al., 2023). While the mid-lower crust of the Tibetan plateau is variably exposed along major faults (Van Buer et al., 1670 1671 2015), there is minimal surface exposure of the postulated lower viscosity region. In contrast,

the New England Appalachians expose a continuous section through the mid-lower crust of a 1672 Paleozoic orogenic plateau comparable to the Tibetan plateau or Puna-Altiplano (Hillenbrand et 1673 al., 2021). Hillenbrand et al. (2021) found that an orogenic plateau developed by 380 Ma in the 1674 1675 Acadian orogen exposed in New England. Thus, these exposures provide an unrivaled opportunity to observe and quantify melt fraction and viscosity in the mid-crust of an orogenic 1676 plateau by studying exhumed mid-lower crustal rocks. These results in turn provide key ground 1677 truthing observations for geodynamic models for crustal flow and the nature of plate-scale 1678 deformation. 1679

Here, we studied an exceptional example of near uniformly spaced melt-filled
deformation bands in high-grade metasediments in Rumney, New Hampshire (Figure 4.1). The
field area in central NH represents the exhumed middle crust of the Acadian-Neoacadian orogen
(Chamberlain and Lyons, 1983; Hillenbrand et al., 2021). We utilize a novel method to calculate
the shear viscosity of the metasediments by applying the relationship between the compaction
length and shear viscosity (McKenzie, 1984). The observed spacing of melt-filled deformation
bands provides direct field constraints on the compaction length at the time of melting.

With both the field observations regarding the spacing of melt preserved in the 1687 1688 leucosomes, as well as melt viscosity and permeability, we can calculate a macro-scale shear viscosity of the metasediments during Neoacadian deformation (395-350 Ma, Van Staal et al., 1689 2009) completely independent of the lab derived flow laws. Although this method has a number 1690 of uncertainties in each component, it provides an independent method to probe the effective 1691 rheology of the mid-lower crustal regions with low degrees of melting. In section 4.2, we 1692 1693 describe the geological background of the exposed bedrock in central New Hampshire. In section 4.3, we present our workflow and methodology surrounding image analysis constraints 1694 on melt fraction and permeability, grain size distribution analysis, thin section textural analysis 1695 1696 and justification for constraining melt viscosity, and geochronologic laboratory methods used to 1697 constrain the age of melting. In section 4.4, we discuss our observations and results obtained

following the methodology and present an initial calculation of shear viscosity for the studied
migmatite. Additionally, in section 4.4, we discuss whether *in situ* melting is the source of the
late-kinematic leucosomes or if melt is externally sourced from nearby plutons. Finally, we
discuss our results in section 4.5, linking our observations with past literature and regional
mapping of New Hampshire, presenting a comparison to orogenic plateaus in Tibet and South
America, and comparing our estimates of viscosity to those from experimentally constrained
flow-laws.

1705

4.2

# Geological Background

We studied metatexitic metasediments of the Littleton Formation from the Central 1706 Maine Terrane (CMT) of New Hampshire, exceptionally well exposed near Rumney, NH 1707 (Figures 4.1 and 4.2). The CMT is a Siluro-Devonian basin that experienced deformation and 1708 metamorphism during the Acadian (421-400Ma, Van Staal et al., 2009) and Neoacadian 1709 1710 orogenies (395-350Ma, Van Staal et al., 2009). The CMT in New Hampshire spans the upper to middle crust of an Acadian orogenic plateau (~3-6 kbar, Spear et al., 1990; Hillenbrand et al., 1711 1712 2021). Regional metamorphism in central New Hampshire reached temperatures of ~500-800°C and pressures of ~4-6kbar (Chamberlain and Lyons, 1983). Deformation began with 1713 early Devonian isoclinal folding and thrusting and at least one additional phase of tight to 1714 isoclinal, upright folding about subhorizontal, NE-trending folds (Eusden and Lyons, 1993). 1715

The Acadian orogeny in New England is followed by the proposed collision of Meguma 1716 with the trailing margin of Avalonia, termed the Neoacadian orogeny (395-350Ma, van Staal et 1717 al., 2009). The Neoacadian orogeny in New Hampshire is characterized by the intrusion of a 1718 1719 regional suite of peraluminous granites between 375-350 Ma (Eusden and Barrerio, 1988). Contemporaneous with this regional plutonism, migmatization of the CMT occurred from Maine 1720 1721 through central Massachusetts (Figure 4.1, Tracy, 1985; Eusden, 1988; Solar and Brown, 2001; Kohn et al., 2003; Chu et al., 2018). Late Devonian migmatite localities are spatially associated 1722 1723 with local hotspots of upper Amphibolite and Granulite facies metamorphism (Tracy, 1985; Chu

et al., 2018) as well as the intrusion of the Concord granite series (Eusden, 1988, Figure 4.1). A 1724 distinction can be drawn between migmatites in west-central Maine (i.e., Solar and Brown, 1725 2001) and migmatites in central New Hampshire and Massachusetts (Tracy, 1985; Eusden, 1726 1727 1988; This Study). Migmatites in Maine are emplaced in the early Acadian orogeny (>380Ma), prior to the emplacement of Neoacadian peraluminous granites (Solar et al., 1998). Migmatites 1728 in central and southeastern New Hampshire are emplaced during the Neoacadian orogeny 1729 (<380Ma, Eusden and Barrerio, 1988; Spear et al., 1990). While migmatization and late 1730 kinematic plutonism are spatially pervasive throughout central Massachusetts and central New 1731 Hampshire, a prominent, orogen perpendicular belt of these plutons and migmatites outcrops in 1732 central New Hampshire (Figure 4.1). This belt is characterized by Acadian metamorphic 1733 pressures of  $\sim$ 4-5kb and temperatures near the hydrous granite solidus (Eusden, 1988). 1734

The rocks in the field area are anatectic pelitic schists, variably intruded by external 1735 1736 granite dikes and in-source leucosomes (Figure 4.2). Small, discordant granite melt patches intrude boudin necks of the earliest, S1 foliation (e.g., Arslan et al., 2008), and are therefore late 1737 1738 kinematic relative to Acadian deformation. Petrographic descriptions of the schist and granite melt patches with thin section images are given in Appendix 2(A2.3, Figs. A2.8-A2.10). 1739 Synchronous with the intrusion of the discordant granite melt patches, a large pluton of two-1740 mica granite, the Newfound Lake Pluton ( $_{364.03 \pm .46Ma}$ , Sullivan, 2014), intruded the CMT 1741 contemporaneous with the Acadian orogenic plateau. While migmatization is not a rare or 1742 unique process, sensu stricto, the exposed migmatite discussed herein presents an unrivaled 1743 opportunity to study the processes of partial melting and melt migration during deformation in 1744 1745 the initial stages of melting (at low melt fractions). The processes at low melt fraction are expected to continue with increasing melt fraction and will ultimately result in the larger 1746 features observed in partially molten rocks such as discordant dikes and layer parallel or 1747 stromatic migmatites. 1748

1749 **4.3** 

# Workflow and Methodology for the Calculation of Shear

Viscosity 1750 To calculate the shear viscosity of a discordant migmatite we use the compaction length, 1751 first formulated by McKenzie (1984). The compaction length,  $\delta_c$ , is a length scale in two phase 1752 systems (a system with both a melt phase and solid assemblage) that depends on fundamental 1753 material properties of the crystalline matrix and melt phase (McKenzie, 1984).  $\delta_c$ , is the natural 1754 length-scale of magma-solid phase interaction in a viscous two-phase flow and sets the length-1755 scale over which solid matrix compaction occurs when there is either a strong change in flow 1756 (e.g., impermeable layer) or a local source of fluid (e.g., melt production). Consequently, 1757 compaction length naturally controls the spacing of melt localization features such as shear 1758 bands and melt-rich leucosomes (McKenzie, 1984; Katz, 2022). This concept provides a 1759 1760 quantitative means to estimate both shear and bulk viscosity (also termed, "compaction viscosity") in experimental and natural settings (e.g., Holtzman et al., 2003; Weinberg et al., 1761 1762 2015). Both the shear and bulk viscosity are required to describe deformation when the mean density of a porous matrix is not constant (as opposed to only shear viscosity when density is 1763 constant). 1764

The derivation of the compaction length is well documented in the literature (e.g.,
McKenzie, 1984; Holtzman et al., 2003; Kohlstedt et al., 2010). The fundamental form of the
compaction length is:

1768 
$$\delta_c = \sqrt{\frac{\kappa * \left(\zeta + \frac{4}{3}\eta\right)}{\mu}} Eq.1$$

1769 where,  $\kappa$ , is permeability,  $\zeta$ , is bulk viscosity,  $\eta$ , is shear viscosity of the crystalline matrix, and  $\mu$ , 1770 is the melt viscosity. In section 4.4.5, we will compare calculated effective viscosity vs shear 1771 viscosity. The shear viscosity represents the viscosity, under simple shear, of the solid 1772 framework, accounting for the permeability/porosity but not the melt viscosity. The effective 1773 viscosity represents the bulk strength of the rock accounting for both the shear viscosity and the melt viscosity. We can assume an upper bound on the effective viscosity based on the Voigt

bound (e.g., Ji et al., 2004). The Voigt bound on effective viscosity is a simple geometric mean of

1776 the melt viscosity and shear viscosity:

1777 
$$\eta_{eff} = \eta (1 - \phi) + \mu(\phi) Eq.2$$

where,  $\eta_{eff}$ , is the effective viscosity in Pa·s and,  $\phi$ , is the melt fraction or porosity (discussed in section 4.3.2).

1780 However, because we are primarily interested in the shear viscosity, we can assume  $\zeta \ll$ 1781  $\eta$ , following the approach of Holtzman et al. (2003). This reduces the equation for compaction 1782 length to the form:

1783 
$$\delta_c = \sqrt{\frac{\kappa * 4}{3}\eta} Eq.3$$

and allows us to use the lab based calibration between compaction length and band spacing in a self-consistent manner. For metamorphic rocks, McKenzie and Holness (2000) justify the assumption,  $\zeta \ll \eta$ , from the assertion that isotropic compaction results in transport across short distances relative to the longer distances required by diffusion transport between crystals under simple shear.

We can relate the compaction length to the observed spacing of melt-filled deformation 1789 bands by assuming a linear relationship between melt-filled deformation band spacing and 1790 compaction length, constrained by experiments of partially molten aggregates (e.g., Holtzman et 1791 al., 2003). For the simplified form of the compaction length (Eq. 3), the scalar value relating 1792 observed spacing of deformation bands to the compaction length, hereafter termed, L, is 1793 typically 0.14-0.15 (Eq. 4, Holtzman et al., 2003; Kohlstedt et al., 2010). We assume a value of 1794 1795 .15 to transpose deformation band spacing and compaction length in our study.  $L = (.15)\delta_{c} Eq.4$ 1796

1797 In the following sections we outline the methods used to constrain permeability, melt 1798 viscosity, and the spacing of melt-filled deformation bands necessary for calculating shear viscosity from compaction length and briefly discuss the geochronologic methods which are
important for contextualizing our analysis relative to the Acadian-Neoacadian orogenic plateau.

- Constraining Melt-Filled Deformation Band Spacing 1801 4.3.1 The spacing of melt-filled deformation bands is proportional to the compaction length 1802 (Holtzman et al., 2003) and therefore fundamental to our calculation of shear viscosity through 1803 Eq. 3. To constrain the spacing, we made direct measurements of the spacing of leucosomes, 1804 hosted in sites of foliation boudinage (Arslan et al., 2008), on multiple outcrop surfaces across 1805 the field area. Figure 4.2 displays the near uniform spacing of granitic leucosomes on an outcrop 1806 surface. The distance between the melt patches was measured from the center of an ellipse that 1807 approximates the shape of the melt patch. Two distances were measured: the distance to the 1808 next melt patch parallel to the long axis and the distance to the next melt patch parallel to the 1809 short axis (Figure 4.4). There is an error of  $\pm 1.27$  cm associated with the spacing measurements 1810 1811 based on the precision of the measuring implement used in the field. We present the quantitative results of our measurements and qualitative descriptions of the outcrop structures 1812 in Section 4.4.1 (Figure 4.4). 1813
- 1814 4.3.2 Constraining Permeability The compaction length is partly dependent upon the permeability of the migmatite
  1816 during deformation (Eqs. 1 and 2). The permeability can be calculated from porosity, φ, and
  1817 grain size, d, via the following commonly used relationship (Eq. 5, Table 4.2, Wark and Watson,
  1818 1998; Katz et al., 2022).
  - 1819  $\kappa = \frac{d^2 \phi^3}{200} Eq.5$

Thus, to calculate permeability, and ultimately shear viscosity, we must constrain porosity and grain size for the field area. We can assume that porosity, or the volume of fluid filled space, is approximately equal to the melt fraction of the migmatite. This assumption generally holds for migmatites as the melt filled pore space is much greater than the vapor filled pore space during migmatization. The grain size can be directly constrained from thin sections of the lithology in the field area using grain size distribution analysis. A detailed description of our analysis
methodology for grain size is given in the Appendix (A2.1).

To constrain melt fraction, or the approximate porosity, we segmented outcrop images 1827 1828 from the field into leucocratic pixels belonging to granitic leucosomes and meso- and melanocratic pixels corresponding to the schist. We employed the MATLAB "Image Segmenter" 1829 application in the image processing toolbox to create a masked image of leucocratic pixels with 1830 the "Graph Cut" algorithm. Leucocratic pixels are identified based on abrupt changes in RGB 1831 color between neighboring pixel values. "Graph Cut" allows the user to manually select 1832 foreground and background objects to refine the image segmentation, which correspond to 1833 leucosomes and schist, respectively. The final image mask of leucocratic Type (I) melt patches is 1834 then imported into Fiji (Schindelin et al., 2012), where we convert the image mask to a binary 1835 image. Before calculating melt fraction, we select only the area of the image where melt patches 1836 1837 are well exposed, excluding excess area where there was problematic lighting, dikes, or vegetation. Finally, we calculate the fraction of leucocratic, Type (I) melt patches relative to the 1838 1839 size of the selection. The results of the Image Segmentation are discussed in Section 4.4.2, and 1840 figures of the image segmentation results for various outcrop faces are given in the Appendix 1841 (Figures A2.1-A2.4).

#### 1842 4.3.3 Constraining Melt Viscosity

Melt viscosity is inversely related to compaction length and directly proportional to shear viscosity (Eq. 3). The melt viscosity is strongly dependent on the solubility of water in the melt phase (Giordano et al., 2008; Thomas and Davidson, 2012). To derive an estimate of melt viscosity for the granitic melt preserved in the leucosomes at the time of deformation, we must first derive an estimate of the melt's water content. In the case of water saturation, we can calculate the water content of a felsic melt from the pressure at which the melt is present (Newman and Lowenstern, 2002). This will provide a maximum estimate of water content. The

pressure of melting can be roughly constrained with the garnet-biotite-muscovite-plagioclase 1850 geobarometer to within a kilobar (Wu et al., 2015). 1851

We can infer water saturation based on the temperature of the melting reaction. In the 1852 1853 case of low melting temperatures (600-650°C) in the NaKFMASH compositional system, the protolith must be vapor saturated (Spear et al., 1999; Weinberg and Hasalova, 2015). As 1854 discussed later, we use garnet-biotite equilibrium to calculate the melting temperature of the 1855 1856 system. The use of Mg-Fe exchange between garnet and biotite to estimate the temperature of metamorphism is well established (i.e., Hodges and Spear, 1982; Holdaway, 2000; Wu and 1857 Cheng, 2006). With an estimate of the water content, given a granitic bulk composition and 1858 1859 melting temperature, we can use the model of Giordano et al. (2008) to calculate the melt 1860 viscosity at the time of melting.

1861 4.3.4

#### Zircon Geochronology

Lastly, we present new laser ablation inductively coupled mass spectrometry (LA-ICP-1862 MS) geochronologic data on the timing of melt emplacement in the field area (see Appendix 1863 1864 A2.4 for detailed methodology). This is necessary to evaluate the significance of our results in the context of the recently hypothesized Acadian-Neoacadian orogenic plateau (Hillenbrand et 1865 al., 2021). Two samples were analyzed for U-Pb in zircon. Sample RY2142 is composed of 1866 multiple cores (~1in diameter, ~1-2in long) of the leucosome taken from a roadcut in the field 1867 area (43.8020 °N, 71.8332 °W). Sample RY219 is from the mesocratic schist at the same 1868 1869 roadcut. Detrital and metamorphic zircons in sample RY219 can be compared to the population of zircons in sample RY2142 to assess any zircon inheritance in the leucosomes. The results of 1870 1871 the U-Pb zircon geochronology are presented in Section 4.4.4.

1872 4.4

# Results

In this section, we detail the results of our field observations on melt-filled deformation 1873 band spacing, the imaged based constraints on permeability, and the textural and geochemical 1874 constraints on melt viscosity. 1875

Field Observations of Melt-Filled Deformation Band Spacing 1876 4.4.1

Two types of melt patches can be identified in the field which we name Type (I) and Type 1877 (II). Type (I) are 5-25cm in length and form a conjugate set, with one east dipping and one west 1878 dipping population. Type (I) melt patches are strongly discordant relative to the foliation 1879 1880 throughout the field area. The melt patches are sub-ellipsoidal in shape, with typical short to long axis ratios of ~3:1. Short and long axes are on average ~5cm and ~15cm, respectively (A2.3, 1881 1882 Figure A2.5). Type (II) granite melt patches are significantly smaller (<1 cm) than Type (I) and are sub elliptical to circular on the outcrop face (Figure 4.2 and 4.3). Type (II) melt patches are 1883 rimmed by a melanocratic assemblage of biotite+sillimanite±garnet. Furthermore, Type (II) 1884 melt patches are uniformly distributed throughout the host aluminous schist layers in the 1885 1886 easternmost outcrops of the field area as opposed to quartz and feldspar rich layers less prone to 1887 partial melting. The location and internal structure of the small granite leucosomes, relative to 1888 the adjacent foliation and lineation, suggests no influence from the deformation that affects the 1889 larger melt patches. Discordant and concordant dikes of two-mica granite are present throughout the field area. These dikes consist of equicrystalline, aplitic to pegmatitic, micaceous 1890 1891 granitoids with minor garnet. The externally sourced dikes range in width from a few centimeters to four meters, and they range in length from a meter to hundreds of meters across 1892 the field area. 1893

On average, Type (I) melt patches are spaced 30-35cm apart regardless of the outcrop 1894 orientation and the angle of measurement (Figure 4.4). The spacing of Type (I) melt patches 1895 ranges from 10-85cm. Type (II) melt patches are spaced between 1-23cm, with an average 1896 spacing of  $\sim$ 5cm (Figure A2.6). The small, individual volume of Type (II) granite leucosomes, in 1897 1898 association with melanocratic rims, and their independence from post-depositional structures and dependence on the compositional variability in the protolith, all suggest that *in situ* melting 1899 is the source of the late-kinematic granite melt patches. The uniform spacing of Type (I) melt 1900 patches and spatial association with deformation bands, in the form of foliation boudinage, 1901

suggest that *in situ* melt (Type (II) melt patches) migrated into deformation bands duringcompaction of the partially molten assemblage.

Constraints on Melt Fraction and Permeability 4.4.21904 The melt fraction of Type (I) leucosomes in four outcrops within the field area varies 1905 from 2.8 vol.% to 7.0 vol.% (Figures A2.1-A2.4). The melt fraction of Type (I) melt patches 1906 increases in outcrops to the southeast (4.1-7.0 vol.%) relative to outcrops in the northwest (2.8-1907 1908 3.4 vol.%). Type (II) melt patches are only present in the southeasternmost outcrops. After constraining the melt fraction of Type (I) leucosomes, we must account for the melt 1909 fraction of the Type (II) leucosomes, which are too small to be resolved during image processing. 1910 We used field measurements of the size and spacing of Type (II) leucosomes and assumed a 1911 uniform distribution throughout the outcrop (only for outcrops where Type (II) leucosomes are 1912 present and near-uniformly distributed across the outcrop face). A small ellipsoid with 1913 1914 dimensions of 1.2x1.2x0.5cm can approximate the volume of the Type (II) melt patches (Figure A2.6). This yields an individual volume of  $\sim 3$  cm<sup>3</sup> for each individual Type (II) melt patch. If we 1915 1916 consider a cubic volume with a Type (II) melt patch at each corner and a total volume of 125cm<sup>3</sup> (based on the spacing of Type II leucosomes, Figure A2.7), the total melt fraction of the volume 1917 from the Type (II) melt patches is  $\sim 2\%$ . The total melt fraction of the southeasternmost outcrops 1918 (Type (I) and Type (II) leucosomes volume) is 6.1-9.0 vol.%. From these observations we can 1919 assume a lower bound of 2.8 vol.% and an upper bound of 9.0 vol.% on the porosity (melt 1920 percentage) during melt emplacement and deformation. 1921

To calculate permeability, we use the above constraints on melt fraction and thin section constraints on grain size (Appendix A2.1). Thin section constraints on grain size in the field area suggest a median grain size of 0.25-0.57mm for three thin sections across the field area. From these constraints Eq. 5 yields a range of permeabilities from  $1.20 \cdot 10^{-14} - 1.18 \cdot 10^{-12} m^2$ . These calculated permeabilities are approximately consistent with the range of migmatite permeabilities constrained by Weinberg et al. (2015).

#### Pressure-Temperature-Time Constraints and Melt Viscosity 1928 4.4.3 The Littleton schist in the field area is composed of the assemblage quartz 1929 (Qtz)+plagioclase (Plag)+biotite (Bt)+sillimanite (Sil)±garnet (Grt)±muscovite (Ms). In Figure 1930 1931 4.3, we observe a Type (II) melt patch on the right side of the section in equilibrium with a melanocratic rim assemblage of Bt+Sil±Grt. This suggests the melt phase is in equilibrium with 1932 the melanocratic rim assemblage. The absence of muscovite in equilibrium with the melt phase 1933 and the presence of sillimanite in the melting residue is emblematic of vapor saturated 1934 muscovite melting (Icenhower and London, 1995; Milord et al., 2001; Weinberg and Hasalova, 1935 2015). In this case, the melting reaction based on the textures in thin section is 1936 Qtz+Ms+Plag+H<sub>2</sub>O = Bt+Sil+Liq±Grt. Therefore, Bt-Grt geothermometry will constrain the 1937 approximate temperature of the melting reaction. 1938 Grt-Bt thermometry (Hodges and Spear, 1982) yields an average temperature of 1939 metamorphism of $\sim 609 + /-34$ °C, (Figure 4.3). Mineral chemistry of two representative samples 1940 (RY202A and RY2130A) is presented in the data repository (Table A2.1). The garnet-biotite-1941 1942 muscovite-plagioclase GBMP barometry (Wu et al., 2015) for both samples yield pressures of $\sim$ 5kb +/- 1kb, consistent with previous studies of the metamorphic pressure in central New 1943 Hampshire based on major element mineral compositions throughout (Chamberlain and Lyons, 1944 1983). A water-saturated, felsic melt at 5kb will contain ~13 wt.% $H_2O$ (Newman and 1945 Lowenstern, 2002). The melt viscosity model of Giordano et al. (2008) constrains melt viscosity 1946 to ~10<sup>4</sup>Pa s for a granitic bulk composition, with 13 wt.% water, at 650-700°C. 1947 We interpret Type (II) melt patches as *in situ* melts based on the criteria outlined in our 1948 1949 prior discussion of field observations. In contrast to Type (II) melt patches, Type (I) melt patches are not associated with melanocratic rims (Figure 4.2), and they are emplaced into 1950 1951 boudin necks formed during foliation boudinage (Figure 4.2, Arslan et al., 2008). This suggests 1952 that Type (I) melt patches are in-source, but not *in situ* melts of the schist. It is also possible that Type (I) melt patches are externally sourced from the nearby Newfound Lake Pluton (Figure 1953

4.1), especially in consideration of the similarity in intrusive age constrained from zircon U-Pb
geochronology (Sullivan, 2014). An external source for the leucosomes is, however, unlikely as
the small volume of Type (I) melt patches precludes melt transport over long distances at the
near solidus conditions of the host rock. Together, these observations suggest that Type (I) melt
patches are sourced from the migration and collection of Type (II) melt patches.

Both the melting temperature and textural observations in thin section support a vapor-1959 saturated melting reaction. Weinberg and Haslova (2015) demonstrate that vapor saturated 1960 melting results in a negative volume change. This is consistent with the outcrop structure of the 1961 leucosomes in sites of foliation boudinage as the negative volume change would draw *in situ* 1962 melts into dilatational sites. While local lithological heterogeneity and preexisting damage zones 1963 in the rock may result in a more complex visco-elasto-plastic rheology as opposed to a 1964 dominantly viscous rheology assumed for the compaction length, the relationship between the 1965 1966 spacing of melt-collection sites and the shear viscosity should still reflect an accurate constraint within the error of our methods as discussed in Section 4.5.1. It is beyond the scope of this study 1967 1968 to discuss, in detail, the effects of complex, local variations in rheologic behavior and the effect 1969 of specific lithologic and geometric variations on the shear viscosity.

#### 1970

4.4.4 Timing of Melt Migration and Emplacement

The mean age of the melt patch zircons is  $361.85 \pm 0.71$ Ma (S4, Figs. A2.11 and A2.12). 1971 We did not identify a detrital population in the leucosomes as all zircons are younger than 380 1972 Ma, which is significantly younger than the minimum depositional age of the Siluro-Devonian 1973 metasedimentary rocks in the CMT (see Figure A2.14; Bradley and Sullivan, 2017). We interpret 1974 1975 the age distribution as reflecting near-contemporaneous intrusion of the melt patches within the error of our analysis. This is reinforced by field observations of cross cutting relationships 1976 between melt patches and granite dikes, which suggest relatively contemporaneous intrusion of 1977 granitoids into the field area. The distribution of zircon crystallization ages does not preclude 1978 1979 incremental melting and melt emplacement (meaning our constraint on melt percentage is a

maximum constraint), however this is beyond the scope of this study and the precision of ourdata.

The age of emplacement of the Type (I) melt patches  $(361.85 \pm 0.71 \text{Ma})$  is 1982 1983 contemporaneous with the postulated time of the Acadian orogenic plateau (380-330Ma; Hillenbrand et al., 2021). It is also contemporaneous with the emplacement of individual, 1984 Concord granite plutons that were emplaced regionally during the Neoacadian orogeny (~375-1985 1986 354Ma, Eusden and Barrerio, 1988; Sunapee Pluton, 354 ± 5Ma; Harrison et al., 1987; 1987 Newfound Lake Pluton,  $364.03 \pm .46$ Ma, Sullivan, 2014). Calculation of Shear Viscosity 1988 4.4.5 The average spacing of Type (I) melt patches is ~30cm (Figure 4.4). For the purposes of 1989 our initial shear viscosity calculation, we utilize a grain size of ~0.00033 m as constrained from 1990 observations of quartz grain size in thin section (Appendix A2.1, Figures A2.8-A2.10). 1991 1992 Additionally, we assume an average porosity of 6%. These constraints yield a permeability of 1.18.10<sup>-13</sup>m<sup>2</sup>. We will discuss the effect of variations in grain size and porosity on the shear 1993 1994 viscosity in the next section. We assume melt viscosity is  $\sim 10^4$  Pa s as discussed in Section 4.4.3. Figure 4.6 displays the results of our initial calculation of shear viscosity based on the 1995 permeability, melt fraction, and deformation band spacing constrained in this study. Based on 1996 the above constraints (Table 4.1), for a deformation band spacing of  $\sim$  30cm (Figure 4.4), the 1997 shear viscosity of the migmatite at the time of melt emplacement into boudin necks ( $361.85 \pm$ 1998

1999 0.71Ma) was  $\sim 10^{17-18}$ Pa·s (Figure 4.6).

2000 We take 3-9% of the melt to have segregated into deformation bands based on our image 2001 processing results and we set the melt viscosity and shear viscosity as  $10^4$  and  $10^{17}$ Pa·s. Using the 2002 Voigt bound (Eq. 2), we calculate an effective viscosity of  $9.4(\pm 0.3) \cdot 10^{16}$ Pa·s. The results are not 2003 overly sensitive to the exact value of melt porosity since the melt viscosity is thirteen orders of 2004 magnitude less than shear viscosity Overall, we find that effective viscosity is not significantly

different than shear viscosity, especially when considering the inherent errors to our calculationof shear viscosity in the following section.

2007 4.5 Discussion
 2008 4.5.1 Sensitivity of the Compaction Length to Select Parameters
 2009 In this section, we discuss the inherent uncertainties associated with the calculation of

shear viscosity in this study and the sensitivity of shear viscosity to variations in grain size, melt 2010 2011 fraction, melt viscosity, and Type (I) leucosome spacing (Figure 4.7). With any method used to constrain the viscosity of natural rocks, including utilizing experimentally constrained flow laws 2012 (Breithaupt et al., 2023), there are inherent large uncertainties. Grain size and melt fraction are 2013 utilized in the calculation of permeability while melt viscosity and leucosome spacing are related 2014 to the compaction length. In calculating the variation of shear viscosity based on the chosen 2015 parameters, we hold constant the initial values discussed in the previous section, only allowing 2016 for variation in one of the parameters at a time (Figure 4.7). The method outlined in this study 2017 2018 presents an alternative method for constraining natural shear viscosity and effective viscosity, 2019 which is especially useful for discordant migmatites.

Our observations of grain size suggest that most grains are 0.25-0.55mm in diameter with the largest population of grains averaging .33mm. The minimum grain size is ~.1 mm, while the maximum grain size is ~.5mm. Allowing for variation in grain size across the observed range in thin section results in a variation in shear viscosity from ~10<sup>17</sup>-2·10<sup>18</sup>Pa·s (Figure 4.7a).

Image segmentation constraints on melt fraction suggest a range in melt fraction from 2025 2.8-9.0 vol.%. Allowing for variation in the compaction length based on this range yields a 2026 spread in viscosity from 7·10<sup>16</sup> to 2·10<sup>18</sup>Pa·s (Figure 4.7b). The systematic decrease in melt 2027 fraction from east to west across the field area likely resulted in a decrease in local shear 2028 viscosity with proximity to the nearby Newfound Lake pluton.

Of the four chosen parameters (Figure 4.7), our estimate of melt fraction has the largest uncertainty due to a lack of direct constraints on melt viscosity from the field. In general, we expect an order of magnitude increase in melt viscosity to correspond to an order of magnitude

increase in shear viscosity (Eqs. 1 and 2). The exact melt viscosity for a felsic water saturated melt at ~ $610^{\circ}$ C may realistically vary between  $10^{4}$ - $10^{5}$ Pa·s depending on the exact composition used for the melt. Allowing melt viscosity to vary within these upper and lower bounds yields a variation in shear viscosity from  $2 \cdot 10^{17}$  to  $2 \cdot 10^{18}$ Pa·s (Figure 4.7c).

Finally, we assess the sensitivity of shear viscosity to melt-filled deformation band 2036 spacing. We allow for variation between 10 cm and 1 m, corresponding to the observed range in 2037 the field (Figure 4.4). This results in a variation in viscosity from  $4 \cdot 10^{16} - 2 \cdot 10^{18}$  Pa·s (Figure 4.7d). 2038 Altogether, melt viscosity is the least well constrained of our parameters. Despite the 2039 uncertainty related to melt viscosity and our other parameters, we can reasonably conclude that 2040 2041 the viscosity is significantly reduced relative to a melt-free rheology (Figure 4.8), and in general varies between 10<sup>17-18</sup>Pa·s. In the following discussion, we take shear viscosity to vary within this 2042 range and compare our calculated shear viscosity to geophysical and experimental constraints 2043 2044 on the viscosity of a partially molten layer in the orogenic crust.

# 2045 4.5.2 Magnitude of Partial Melt Induced Weakening

Our results establish that partially molten metasediment in the middle crust of the 2046 Neoacadian orogenic plateau deformed with a viscosity of 1017-18Pa·s during Neoacadian 2047 deformation (Figs 6 and 8). To compare these field-based results to a melt-free rheology, we 2048 calculate the melt-free viscosity of the metasediment from flow laws for individual rock-forming 2049 minerals (quartz, plagioclase, and mica) at typical orogenic strain rates and temperatures using 2050 the approach of Huet et al. (2014) as  $\sim 10^{20}$  Pa·s (Figure 4.8). This suggests that the presence of 2051 melt resulted in a 2-3 order of magnitude reduction in shear viscosity compared to a melt-free 2052 2053 rheology. This reduction is significant given that the melt fraction of the migmatite is low ( $\sim 6\%$ ). Many geodynamic models assume a 1-2 order of magnitude reduction in viscosity due to 2054 2055 melt weakening (e.g., Beaumont et al., 2004) based on experimental deformation of partially

2056 molten aggregates (Rosenberg and Handy, 2005). Beaumont et al. (2004) state that while this

2057 assumption is a conservative estimate, it is still sufficient to weaken the mid-lower crust of
orogens in accordance with channel flow. Our data shows that the reduction in viscosity due to 2058 the presence of low volumes of melt may be more significant than the 1-2 orders of magnitude as 2059 suggested by some experimental data (Rosenberg and Handy, 2005). Additionally, this work 2060 2061 presents field based evidence in support of the MCT of Rosenberg and Handy (2005), which hypothesizes significant melt weakening by 5-7 vol.% melt associated with significant 2062 interconnectivity of melt along grain boundaries. This contrasts with the alternative 2063 Rheologically Critical Melt Percentage (RCMP) hypothesis, which states melt weakening is not 2064 significant (>1 order of magnitude) until >20 vol.% melt is present. Our results also suggest that 2065 models of crustal magmatic systems with trans-crustal mushes (e.g., Cashman et al. 2017) can 2066 have significant spatio-temporal variations in rheology. These in turn would strongly modulate 2067 responses to magma recharge and magma eruptibility (Mittal & Richards, 2019). 2068

## 2069 4.5.3 Extent of Partial Melting and Intrusion in the Neoacadian Orogenic 2070 Plateau 2071 The above discussion establishes that the middle crust of the Neoacadian orogen

(emplacement at ~19km and ~360Ma) of New Hampshire was weakened due to the presence of
partial melt. However, the question remains whether this is representative of regional midcrustal flow and anatexis, or a localized partially molten contact areole. In this section, we
establish that migmatization and plutonism in central New Hampshire and central
Massachusetts are contemporaneous with the migmatite in this study, suggesting significant
regional weakening of the Neoacadian middle crust (~4-7kb) due to partial melting and
plutonism.

Migmatites and peraluminous, late kinematic Neoacadian granites make up a sizable portion of the map pattern in central New Hampshire, both east and west of the 'dorsal zone' established by Eusden et al. (1993) (Figure 4.1). Most Neoacadian migmatites in central NH are spatially associated with the intrusion of the Concord Granite (regionally intruded between 375-350 Ma, Eusden and Barrerio, 1998). We constrained the percentage of partial melt in our field area to between 2.8-9.0%. Other workers have constrained the late Devonian melt percentage in

central New Hampshire to between ~5-30% in migmatized and/or heavily intruded outcrops
(Eusden, 1988; Kohn et al., 1997). We can estimate a maximum regional melt fraction by
calculating the area fraction of mapped late kinematic granitoids relative to surrounding
metasediments from the bedrock geologic map of central New Hampshire (Figure 4.1, Lyons,
1997). From this method, we calculate a regional melt fraction between 10-15%. In total, we
expect local melt fraction in Neoacadian migmatites and intrusive contact aureoles to vary from
~2.8-30 vol.%.

The instantaneous melt fraction was likely only a percentage of the melt fractions 2092 discussed above. However, when considering the near contemporaneous intrusion of these 2093 granites and migmatites within error of current geochronologic datasets (Eusden and Barrerio, 2094 1998), the consistent estimates of Neoacadian metamorphic pressures in central NH (4-5kb), 2095 and that significant crustal weakening can occur at very low melt fractions (~5 vol.%), the 2096 2097 middle crust of the Neoacadian orogen is expected to be relatively weak at this time. Furthermore, partial melting and granite intrusion is well documented in central Massachusetts 2098 at metamorphic pressures of 5-7kb. This suggests that the partially molten middle crust may 2099 have extended as much as 7km below the NeoAcadian partially molten zone in New Hampshire, 2100 lending further credence to the hypothesis of regional ductile channel flow associated with 2101 partial melting in the Acadian orogen. 2102

The above constraints on regional melt fraction and metamorphic conditions support the presence of a partially molten, ductile layer at depth in the Acadian-Neoacadian orogenic plateau (Hillenbrand et al., 2021). This finding is not surprising when considering the extensive literature on partial melt induced weakening in the Puna-Altiplano and Tibetan-Himalayan orogenic plateaus. In the next section, we compare our observations and constraints on midcrustal melt fraction and viscosity to other orogenic plateaus in Tibet and South America.

2109 4.5.4 Mid-Crustal Viscosities of Orogenic Plateaus

Our results support the assertion that orogenic plateaus require low mid-lower crustal viscosities to support broad, flat topography (Clark and Royden, 2000). Low mid-lower crustal viscosities are necessitated underneath the Tibetan plateau and the Puna-Altiplano (Clark and Royden, 2000; Babeyko et al., 2002). Our estimates of melt fraction in New Hampshire are remarkably consistent with seismic and magnetotelluric data for Tibet and the Andes which suggest melt fractions of 3 to 30% (Schmitz et al., 1997; Schilling and Partzsch, 2001; Li et al., 2003; Schilling et al., 2006; Hacker et al., 2014, Xie et al., 2021).

Our derived viscosities are consistent with regional geodynamic models of both the 2117 Tibetan and Andean plateaus (Clark and Royden, 2000; Gerbault et al., 2005). Our constraints, 2118 as discussed above, suggest viscosity varies from 10<sup>17</sup>-10<sup>18</sup>Pa·s in the middle crust of the 2119 Neoacadian orogenic plateau. Geodynamic and topographic constraints in the Tibetan plateau 2120 assert that the viscosity of a weak ductile layer is  $\leq 10^{18}$  Pa·s (Clark and Royden, 2000). Similar 2121 2122 estimates of crustal viscosity in the Andes suggest higher viscosities ranging from 10<sup>19</sup> to 10<sup>21</sup> Pa·s (Husson and Sempere, 2003; Gerbault and Willingshofer, 2004; Gerbault et al., 2005). The 2123 overall viscosity structure of the middle crust is likely extremely heterogeneous due to local 2124 variations in melt fraction, mineralogy, and temperature. However, as long as the effective 2125 viscosity across a large region is sufficiently low, large-scale ductile flow can occur (Beaumont et 2126 al., 2004). 2127

Altogether, partial melting is clearly linked with orogenic plateaus. The melt fraction associated with plateaus varies depending on the scale of discussion, but is generally no more than 20-30%, with 5-10% melt sufficient to weaken the middle crust of plateaus and allow for ductile deformation.

*4.5.5* The Importance of Water to Partial Melting and Crustal Weakening
The low-temperature of the melting reaction highlights the role of water-fluxed melting
and fluid advection to the generation and maintenance of plateau topography. Previous studies
on the Acadian orogeny have established the importance of fluid advection for regional

metamorphic 'hotspots' (Chu et al., 2018). We constrained the local age of melting and 2136 advection to ~360Ma, contemporaneous with an Acadian-Neoacadian orogenic plateau (380-2137 330Ma, Hillenbrand et al., 2021) and the intrusion of the late kinematic Concord granite series 2138 2139 (370-350Ma, Eusden and Barrerio, 1988). The spatial correlation between the migmatization and the adjacent, contemporaneous Newfound Lake Pluton, in conjunction with our 2140 temperature estimates near the hydrous granitic solidus (Figure 4.5), suggest that fluid 2141 exsolution from the nearby pluton may have triggered local fluid-saturated partial melting of the 2142 pelitic layers. The distribution of late Devonian migmatites and late-kinematic, Devonian 2143 granitoids across central New Hampshire suggests that similar conditions were regionally 2144 pervasive at 4-5kb (~15-19km depth) throughout the Neoacadian orogen. 2145

Crystallization of the granite plutonic suite would exsolve significant volumes of water into the middle crust of the Neoacadian orogen (Thomas and Davidson, 2012; Weinberg and Hasalova, 2015). The introduction of large volumes of water in concert with regional amphibolite facies metamorphic temperatures would result in extensive partial melting and fluid advection. These results suggest that fluids, in concert with temperatures near the hydrous granitic solidus, played a key role in weakening the mid-lower crust of the Neoacadian orogen during the presence of an orogenic plateau.

Advection associated with fluid exsolution from plutonism is a much more efficient process for creating spatially extensive, partially molten regions than conduction of heat from intruding plutons combined with radiogenic heating (Baumgartner and Valley, 2001). Future work on the timing and petrogenesis of the NeoAcadian late kinematic granitoids and migmatites in New Hampshire and Massachusetts is necessary to provide explicit constraints on the extent and magnitude of partial melting in the Acadian middle crust.

2159 4.6 Conclusion
 2160 We have constrained the mid-crustal viscosity of a paleo-orogenic plateau to 10<sup>17-18</sup>Pa.s at
 2161 ~2.8-9.0 vol.% melt. Through a systematic analysis of grain size, melt fraction, melt viscosity,

and leucosome spacing, this study reveals critical insights into the nature of mid-crustal 2162 2163 deformation during the Neoacadian orogeny. Additionally, our results have global implications for rheology and deformation within orogenic plateaus. The methods presented in this study 2164 2165 present an alternative workflow for calculating shear viscosity and effective viscosity as opposed to the commonly utilized experimental flow laws. Our results demonstrate that viscosity can be 2166 2167 reduced by ~2-3 orders of magnitude due to the presence of low volumes of partial melt (2.8-9 vol.%). Previous geologic mapping and constraints on melt fraction throughout New Hampshire 2168 suggest such conditions were regionally pervasive during the Neoacadian orogeny, however, 2169 detailed geochronologic analysis and petrogenetic studies of the Concord granite and other 2170 Neoacadian migmatite localities are required to further constrain the temporal relationship 2171 between orogenic plateau development and mid-crustal anatexis in New Hampshire. 2172 2173

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### **Figures and Captions**



2381Figure 4.1: Regional geologic map of major New England terranes showing the distribution of2382late Devonian magmatism within the Central Maine Terrane (CMT), modified from Aleinikoff, 2007 and2383Lyons and Livingston, 1977. Abbreviations are as follows: CVGT-Connecticut Valley Gaspe Trough,2384BHA-Bronson Hill Anticlinorium, HB-Hartford Basin, MT-Merrimack Terrane, PNT-Putnam-Nashoba2385Terrane, NB-Narragansett Basin.



Figure 4.2: Outcrop images of the field area near Rumney, NH. A) Examples of foliation boudinage as defined by Arslan et al., 2008. B) Close-up photograph of Type (II) granite melt patches. C) Grayscale photograph of Type (I) granite melt patches highlighting the characteristic spacing and orientations. D) Photo of large roadcut through migmatite in field area.



Figure 4.3: Thin section image of sample RY202 showcasing a Type (II) melt patch on the right side. Major phases include Quartz (Qtz), Feldspar (Fsp), Biotite (Bt), Muscovite (Ms), Garnet (Grt), and Sillimanite (Sil). Note the occurrence of a melanocratic rim of Bt+Sil+Grt directly adjacent to the Type (II) melt patch.





Figure 4.4: Histogram of Type (I) melt patch spacing. The schematic diagram shows the orientation of the measured spacing relative to the long axis of the leucosomes.



Figure 4.5: Geothermobarometry results (red box). Constraints on the water-saturated granite
solidus are plotted as gray curves (Huang and Wyllie, 1973; Ebadi and Johannes, 1991).
Counterclockwise PT path from Spear et al. (1990).



Figure 4.6: Contour plot of log shear viscosity vs magma viscosity. Contours correspond to the spacing of melt-filled deformation bands, and the observed average spacing in the field area is highlighted in blue.





*Figure 4.7: Effect of grain size (A), porosity (B), melt viscosity (C), and leucosome spacing (D) on calculated shear viscosity.* 



Figure 4.8: The shear viscosity estimated in this study compared to geophysical estimates of
mid-lower crustal viscosity from Tibet (Clark and Royden, 2000) and monomineralic viscosities
calculated from flow laws for mica, quartz, and plagioclase from Kronenberg et al. (1990), Hirth et al.
(2001), and Rybacki et al. (2006), respectively.

Table 1: Equations and values used in the calculation of compaction length.

**DESCRIPTION** VALUE

### CONSTRAINT ON VALUE

EQUATIONS			
$\delta_c$	Compaction length-scale	$\sqrt{\frac{\kappa*\eta}{\mu}}$	Simplified form for compaction length (see Holtzman et al., 2003).
к	Permeability	$\frac{d^2\phi^3}{200}\approx 5.6\cdot 10^{-14}\mathrm{m}^2$	Dependent on grain size and porosity constraints. C = 200 according to Wark and Watson (1998).
L	Spacing of Deformation Bands	$L = (.15)\delta_c$	Scalar value of .1415 from Kohlstedt et al. (2010) and Holtzman and Kohlstedt (2007)
VARIABLES			
L	Spacing of deformation bands	25-30 cm	Mean value of Type (I) melt patch spacing from outcrop measurements (see Figure 4.4)
$\phi$	Porosity	2.8-9%	Constrained by the observed melt fraction at Rumney.
d	Grain Size	.33 mm = .00033 m	Constrained from thin section measurements of quartz and feldspar grain size.
μ	Melt viscosity	~104 Pa.s	Constrained with the melt viscosity calculator of Giordano et al. (2008). Utilized water solubility in melt calculated from Newman and Lowenstern (2002).

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2420

# Chapter 5: Testing Models of Depth-Dependent Conjugate Strike-Slip Shear in the New England Appalachian Orogen with Implications for the Relative Motion Between Gondwana and Laurasia

### 2427 Abstract

2426

The mid-lower crustal structure of conjugate orogenic strike-slip fault systems, such as the 2428 Karakorum-Altyn Tagh fault system in the Tibetan-Himalaya, remains a topic of widespread 2429 debate. End-member models of orogenic strike-slip fault systems propose either trans-2430 lithospheric strike-slip, or alternatively, upper crustal strike-slip terminating in a shallowly 2431 dipping to subhorizontal mid-crustal shear zone or weak layer (Tapponnier et al., 2001 and Van 2432 Buer et al., 2015, respectively). The exhumed Acadian-Alleghenian orogen in the southern New 2433 England Appalachians presents an unparalleled opportunity to investigate extensive exposure of 2434 the orogenic mid-lower crust. The exhumed crust in this region underwent deformation and 2435 2436 metamorphism during orogenic conjugate strike-slip shear along the Norumbega (dextral) and Western Bronson Hill (sinistral) shear zones during the Carboniferous Alleghenian orogeny 2437 (Massey and Moecher, 2013; McWilliams et al., 2013). The distribution of Alleghenian 2438 deformation and metamorphism in New England exhibits a distinct north-to-south variation in 2439 Neoacadian and Alleghenian metamorphic grade and deformation style. This variation allows 2440 for direct observations of an orogenic conjugate strike-slip shear system as a function of depth in 2441 the orogen. We present new regional macro-structural analysis, microstructural observations, 2442 Electron Backscatter Diffraction (EBSD) data, and in situ monazite and titanite U-Pb 2443 geochronology, suggesting the spatial distribution of Alleghenian deformation and 2444 metamorphism in the New England Appalachians is the result of rigid indentation of Gondwana 2445 to the south and highly oblique convergence to the north. This resulted in upper crustal strike-2446 slip conjugate shear terminating on a low angle mid-lower crustal decollement and moderately 2447 2448 west dipping coaxial shear zone. These observations of mid-lower crustal structure in

association with an upper crustal conjugate shear system support an end-member model akin to 2449 that proposed by Van Buer et al. (2015), wherein upper crustal deformation is decoupled from 2450 the mid-lower crust along mid-lower crustal structures. 2451

2452 5.1

Introduction

Conjugate fault or shear systems are prevalent in planetary lithospheres throughout the 2453 solar system including on Earth, Venus, and Mars (e.g., Ivanov and Head, 2006; Montgomery et 2454 al., 2009; Yin and Taylor, 2011). On Earth, many conjugate shear systems are found in plate 2455 boundary regions, particularly in orogenic belts (e.g., Sengor and Kidd, 1979; Tapponnier et al., 2456 1981; Jackson and McKenzie, 1984; Ratschbacher et al., 1991; Alavi, 1994; Leloup et al., 1995; 2457 Brookfield and Hashmat, 2001; Morley, 2001; Calais et al., 2003; Allen et al., 2004; 2458 Cunningham, 2005; Backe et al., 2006; Dhont et al., 2006; Yin and Taylor, 2011; Van Buer et al., 2459 2015). Traditionally, conjugate shear systems are interpreted through the Coulomb fracture 2460 2461 criterion or Andersonian fault theory (Anderson, 1905).

Many such systems, notably modern orogenic conjugate strike-slip fault systems, are 2462 inconsistent with these classical interpretations of conjugate shear and necessitate alternative 2463 models which depend on the crustal structure as well as the distribution of deformation in the 2464 2465 lithosphere and uppermost mantle (e.g., Yin and Taylor, 2011). While the upper crustal brittle structure and behavior of these systems is well understood, observational constraints regarding 2466 their mid-lower crustal structure and behavior are lacking. A long-standing debate revolves 2467 around whether these systems are trans-lithospheric (e.g., Tapponnier, 2001) or terminate in 2468 mid-crustal weak zones such as detachments or decollements (e.g., Van Buer et al., 2015). 2469 Moreover, it is not well understood how strength variations corresponding to depth in the crust 2470 (i.e., a weak mid-lower crustal layer) correspond to observed changes in deformation style and 2471 2472 intensity.

Furthermore, the dynamic mechanism through which these conjugate shear systems 2473 occur is variably attributed to ductile flow in the lower crust or mantle (Yin and Taylor, 2011); 2474

bookshelf faulting and regional rotation under oblique collision (Badham, 1982) or rigid
indentation (Tapponnier, 1986); or inherited preexisting structures in the lithosphere (Sibson,
1990). The identification of the mechanism through which conjugate faulting is initiated
requires an understanding of the depth-dependent behavior of the system, the direction and
mode of deformation, the direction of plate motion, and the orientation of the plate boundary
(Yin and Taylor, 2011).

The New England Appalachians preserve a tilted and exhumed mid-lower crustal section 2481 2482 through a Carboniferous orogenic conjugate strike-slip shear system (Wintsch et al., 2003, Massey and Moecher, 2013; McWilliams et al., 2013; Hillenbrand et al., 2021). This exposure 2483 provides an unprecedented opportunity to study the depth-dependent structure of an orogenic 2484 conjugate strike-slip fault system. The tilted crustal section allows for discrimination between 2485 trans-lithospheric/trans-crustal faulting (Tapponnier, 2001) and termination in a mid-lower 2486 2487 crustal weak zone (Van Buer et al., 2015). Additionally, we investigate the dynamical origin of orogenic conjugate shear systems by testing the aforementioned hypotheses (i.e., Badham, 1982; 2488 Tapponnier, 1986; Yin and Taylor, 2011; Sibson, 1990) through structural and kinematic 2489 analysis across the Appalachian system. 2490

We present a macro- to micro-scale structural analysis of the conjugate strike-slip shear 2491 system preserved in the New England Appalachians. The macro-scale structural data is 2492 compiled from existing bedrock maps and supplemented by new field work in Connecticut, 2493 2494 Central Massachusetts, and Southern New Hampshire. Additionally, we present new microstructural analysis and electron backscatter diffraction (EBSD) data within the conjugate 2495 2496 shear zones and medial block. These compilations of structural data and analyses from the conjugate shear system are contextualized with *in situ* geochronology and geochemical analysis 2497 of synkinematic monazite and titanite growth before and during the late Paleozoic Alleghenian 2498 orogeny (Dallmeyer, 1982; Wintsch, 1992). 2499

5.2

### Geologic Background

The New England Appalachians record the composite Paleozoic orogenesis between 2501 ~500-260 Ma which culminated in the development of the supercontinent Pangea following 2502 closure of the Rheic ocean (Bird and Dewey, 1970; Zartman, 1988; Wintsch et al., 1992; Domeier 2503 and Torsvik, 2014; Young et al., 2019). This orogenesis can be subdivided into three major 2504 orogenic episodes: the Taconic Orogeny (Rodgers, 1971; MacDonald, 2014), the Acadian 2505 Orogeny (Robinson et al., 1998; van Staal et al., 2009), and the Alleghenian Orogeny 2506 (Dallmeyer, 1982; Wintsch et al., 1992). Some evidence exists for minor orogenic events 2507 between each major episode including the Salinic Orogeny (~450-423 Ma) and the Neoacadian 2508 orogeny (~395-350 Ma) (van Staal et al., 2009). Although much of the evidence for these 2509 subsequent orogenic episodes exists only in the Appalachian orogen of Eastern Canada as 2510 opposed to the New England Appalachians. Following the Alleghenian orogeny in the Permo-2511 2512 Carboniferous, continental rifting begins in the Triassic (Schlische, 1993). Continental rifting is followed by continental breakup and the formation of the Atlantic Ocean basin starting at ~200 2513 2514 Ma (Withjack et al., 2020; Kinney et al., 2022).

The oldest Taconic Orogen is preserved and largely unaffected by subsequent orogenesis 2515 in westernmost New England within Eastern New York, Western Vermont, Western 2516 Massachusetts, and Western Connecticut (Van Staal et al., 2009; MacDonald et al., 2014; 2517 Karabinos et al., 2017; Valley et al., 2020; Figure 5.1). Taconic plutons are also uplifted and 2518 exposed along the Bronson Hill Anticlinorium between two Siluro-Devonian basins (Karabinos 2519 et al., 2017; Valley et al., 2020). Central New England (Eastern Vermont, New Hampshire, 2520 2521 Central-Western Maine, Central Massachusetts, and Central-Eastern Connecticut) is thought to preserve deformation from the accretion of Ganderia, directly following the main phase of 2522 2523 Taconic orogenesis, through the accretion of Avalonia and Meguma in the Devonian Acadian and Neoacadian orogenies (van Staal et al., 2009). The extent of Ganderian basement beneath 2524

2525 Central New England is debated due to limited basement exposures, however, it may extend as2526 far as coastal Connecticut (Kay et al., 2017).

Following accretion of Ganderia, two Siluro-Devonian basins were deposited on 2527 2528 Ganderian basement: the Central Maine Terrane (CMT) and the Connecticut Valley Gaspe Trough (CVGT) (Figure 5.1; Bradley and Sullivan, 2017; Hepburn et al., 2021). The Siluro-2529 Devonian Merrimack Terrane is also deposited at about the same time (Hepburn et al., 2021). 2530 Deposition within these basins terminates with the onset of Acadian subduction and collision of 2531 Avalonia (Eusden and Lyons, 1993; van Staal et al., 2009; Tassara and Ague, 2021). The 2532 beginning of the Acadian orogeny was marked by synkinematic arc granitoid intrusion 2533 contemporaneous with regional high temperature metamorphism (Tassara and Ague, 2021). 2534 High temperature metamorphism is followed by burial and tight to isoclinal folding best 2535 preserved adjacent to the Ordovician age Bronson Hill Anticlinorium (BHA) (Spear et al., 1990; 2536 2537 Eusden and Lyons, 1993). Peak Acadian metamorphism and deformation is followed by latekinematic granite intrusion and retrograde metamorphism (Eusden, 1988; Moecher et al., 2538 2021). Classically, workers have found that dextral transpression is the best deformation model 2539 to explain regional macrostructural and shear sense observations in both the southern and 2540 northern Appalachians (Ferrill and Thomas, 1988; Gates et al., 1988; West and Hubbard, 1997; 2541 Robinson et al., 1998; Solar and Brown, 2001; Valentino and Gates, 2001; Merschat et al., 2005; 2542 Massey et al., 2017). Detailed kinematic models for the Acadian orogeny, constrained through 2543 the analysis of EBSD and microstructural datasets still endorse an approximately NW-SE 2544 convergence direction for the Devonian (Bradley, 1983; Kruckenberg et al., 2019). The 2545 2546 Neoacadian orogeny is best defined in Eastern Canada (van Staal et al., 2009), however the nature of late Devonian orogeny and the extent of Meguma further south to New England is not 2547 well constrained. The southward extent of Meguma is typically extrapolated along the 2548 2549 subaqueous Nauset magnetic anomaly and is based on the presence of Ediacaran granites in the basement of Cape Cod, Massachusetts (Hutchinson et al., 1988; van Staal et al., 2009; White et 2550

al., 2010). The extrapolation is not well founded as Ediacaran granites are present on multiple
peri-Gondwana terranes and could also represent a previously unrecognized northwest African
crustal block (Kuiper, 2017).

2554 The Alleghenian orogeny in New England is well preserved in Central-Eastern Connecticut, Rhode Island, and Southeastern Massachusetts. The Alleghenian orogeny is the 2555 product of collision between Gondwana and Laurasia, preserved from southeastern North 2556 America through the Hercynian orogen in Eastern Europe. This orogeny resulted in the 2557 formation of the supercontinent Pangea and the closure of the Rheic ocean by ~330-320 Ma 2558 (Hatcher, 2010; Domeier and Torsvik, 2014; Young et al., 2019). Alleghenian amphibolite facies 2559 deformation and metamorphism overprints preexisting Acadian metamorphism and 2560 deformation in the Merrimack Terrane of Connecticut and within the Bronson Hill 2561 Anticlinorium. In fact, Alleghenian metamorphism and deformation is documented within the 2562 2563 Bronson Hill Anticlinorium as far as Northeastern Vermont and Coastal Maine (West and Lux, 1993; McWilliams et al., 2013). Avalonia, as currently exposed in Eastern Connecticut, Rhode 2564 Island, and Eastern Massachusetts, was metamorphosed at high temperatures (>500 °C) for the 2565 2566 first time in the in the late Paleozoic during the Alleghenian orogeny, culminating in peak 2567 metamorphism at ~300-290 Ma (Wintsch et al., 2007). Deformation and subsidence from Alleghenian deformation in Connecticut and western Rhode Island resulted in the deposition of 2568 the Narragansett Basin, the only regionally extensive Carboniferous basin preserved in New 2569 England (Murray et al., 2004). 2570

In the early Alleghenian orogen, a conjugate strike-slip shear system developed on the
margins of the CMT (Figure 5.1). A sinistral shear zone is documented from Central
Massachusetts to Central Vermont, termed the Western Bronson Hill Shear Zone (WBHSZ;
Massey and Moecher, 2013; McWilliams et al., 2013). A contemporaneous dextral shear zone is
well documented along the Norumbega shear zone (e.g., West and Lux, 1993; West and
Hubbard, 1997; Wang and Ludman, 2002). There were also large dextral shear systems active in

the Maritimes Basin in Canada and Morocco during the Alleghenian orogeny (Houari and
Hoepffner et al., 2003; Waldron et al., 2015). The Norumbega shear zone may have transitioned
to an oblique, sinistral and reverse slip fault at the end of the Alleghenian orogeny (West and
Hubbard, 1997).

The depth of exposure of the shear system increases from north to south in New England 2581 as a product of differential Alleghenian uplift (Wintsch et al., 2003; Massey and Moecher, 2013; 2582 2583 McWilliams et al., 2013). Existing estimates of the depth of Carboniferous metamorphism in 2584 eastern Connecticut suggest metamorphic pressures of ~7 kb near Willimantic, CT (Moecher, 1999), and >10 kb in the southern BHA (Wintsch et al., 2003) corresponding to a range in depth 2585 from ~27-40 km in the crust. Contemporaneous with high T, high P metamorphism in southern 2586 New England, metamorphism in northern New England occurred at significantly lower 2587 pressures (<4 kb) (Holdaway et al., 1988). 2588

2589 The Alleghenian orogeny and formation of Pangea were followed by Triassic-Jurassic continental rifting and the formation of the Atlantic Ocean. The plate boundary geometry of the 2590 Atlantic Ocean inherited much of the crustal structure from the previous orogenic episodes 2591 2592 (Withjack et al., 2020), and the pre-rifting continental geometry is easily reconstructed by retrodeforming the Atlantic Ocean along lineaments in the paleomagnetic stripes (e.g., Seton et al., 2593 2012). Triassic-Jurassic rift basins are preserved along the entire Appalachian belt, notably in 2594 the central Appalachians (around Gettysburg, PA) and southern New England (the Hartford 2595 Basin, Schlische, 1993). 2596

2597

5.3

#### Data and Methods

To test between models of translithospheric faulting (e.g., Tapponnier et al., 2001) and the termination of strike-slip conjugate faulting in a mid-crustal weak zone (e.g., Van Buer et al., 2015) we compiled macro-structural data from existing bedrock maps supplemented by our own field work (Figure 5.2; Section 5.4.1), performed microstructural analysis on mutually perpendicular thin sections throughout the southern New England Appalachians, and analyzed

thin sections by electron backscatter diffraction (EBSD) to quantify ductile deformation 2603 throughout the system (Figures 5 and 6; Section 5.4.2). Furthermore, we analyzed in situ syn- to 2604 post-kinematic monazite and titanite for trace elements and isotope ratios (Figures 5.10, 5.11, 2605 2606 5.12, and 5.13; Section 5.4.3) to characterize the timing of deformation relative to existing constraints on the timing of conjugate faulting (i.e., Massey and Moecher, 2013; Massey et al., 2607 2608 2017). This dataset elucidates the regional dynamic context under which the conjugate fault system was active, enabling evaluation of models of lower crustal and upper mantle flow (Yin 2609 and Taylor, 2011), regional rotation or bookshelf shear (Badham, 1982; Tapponnier, 1986), and 2610 the role of preexisting structures (Sibson, 1990). 2611

2612 We present a new macro-structural data compilation for the southern New England Appalachians in Southern New Hampshire, Central Massachusetts, and Eastern Connecticut 2613 (Figures 5.2 and 5.4). Macro-structural data for much of central Massachusetts is sparse due to a 2614 2615 lack of bedrock outcroppings and existing bedrock geologic maps. The macro-structural data is compiled from existing bedrock geologic maps in the region as well as new supplemental field 2616 2617 work. The macro-structural data includes the dominant generation of the foliation (S<sub>n</sub>), mineral lineations (L<sub>m</sub>), and fold hinge lineations (L<sub>f</sub>). The structural data is plotted on both maps, 2618 through ArcGIS Pro, and stereonets, through the Orient program (Vollmer, 1995). We present 2619 the results of our compilation in section 5.4.1 and discuss the implications for the structure of 2620 the Carboniferous conjugate shear system preserved in New England in section 5.5.5. 2621

## 2622 5.3.1 Electron-backscatter diffraction (EBSD) and quantitative vorticity 2623 analysis 2624 New electron backscatter diffraction (EBSD) data is presented along with

microstructural analysis and qualitative characterization of quartz deformation textures for samples within the conjugate shear zones and the medial Central Maine and Merrimack Terranes. Nine samples were analyzed for quartz, feldspar, mica, monazite, and titanite crystallographic orientations in oriented thin sections. Prior to EBSD analysis, two mutually perpendicular sections were cut (both perpendicular to foliation, and one parallel to the

macroscopic lineation if visible). In the absence of a macroscopic lineation, we cut a 2630 subhorizontal and subvertical section for steeply dipping samples and N-S and E-W vertical 2631 sections for shallowly dipping samples. The thin sections were qualitatively analyzed for 2632 2633 microstructural simple shear indicators and structural asymmetry prior to EBSD analysis (see section 5.4.1). Following qualitative microstructural analysis, the thin sections were prepped for 2634 EBSD analysis by polishing with colloidal silica. We utilized a variable pressure mode at 40 Pa 2635 (as opposed to carbon coating) to prevent charging during EBSD analysis. EBSD data were 2636 2637 collected at the Marine Biological Laboratory (Woods Hole, MA) using a Zeiss Supra 40VP field emission gun scanning electron microscope (FEG-SEM) equipped with an Oxford Instruments 2638 Symmetry EBSD detector. EBSD maps were collected at 30 kV accelerating voltage, 15.53–19.17 2639 mm working distance, 70° sample tilt, and 0.5–12  $\mu$ m step size, depending on the sample grain 2640 size. 2641

2642 EBSD data post-processing was conducted in the open-source MTEX toolbox for MATLAB (Bachmann et al., 2010). We calculated fabric strength, or the strength of the 2643 2644 crystallographic preferred orientations (CPO) relative to a uniform distribution of orientations 2645 using both the M-index (0-1, Skemer et al., 2005) and J-index (1-infinity, Bunge, 1982). Where 2646 increasing values of the fabric strength indices correspond to increasing fabric strength. To quantitatively characterize the c-axis distributions for quartz, we performed eigenvalue analysis 2647 2648 using the P (point), G (girdle), R (random) statistics of Vollmer (1990), and the shape characteristic, K, of Woodcock (1977). These two methods provide quantitative estimates of the 2649 shape of crystallographic fabrics as point maxima (P>G, K>1) versus girdle distributions (P<G, 2650 2651 K<1). For crystallographic fabrics dominated by a point maxima component, we calculated the modal orientation (e.g., red squares Figure 6; Mainprice et al., 2015). For girdle distributions we 2652 2653 calculated the five most volumetrically significant components to adequately characterize single 2654 and/or cross girdle distributions. From the ODF components, we can calculate the angle between the c-axis point maxima or girdle bisector and the dominant foliation in the sample. 2655

With the calculated angle between the foliation and quartz c-axis fabric as well as an 2656 estimate of the ratio of the maximum (X) and minimum (Z) finite strain axes, Rxz, on the 2657 vorticity normal section, we estimate a vorticity number, Wm, for the sample (e.g., Wallis, 1992; 2658 2659 Wallis, 1995; Xypolias, 2012). Strain ratios on the X-Z plane-that is, the vorticity-normal section, or lineation-parallel and foliation-perpendicular section-were based primarily on 2660 2661 boudin geometries both in the field and in thin section (e.g., Figures 5.3 and 5.5). The strain ratio can be estimated from boudins by assuming that the observed maximum thickness of the 2662 boudin is the initial and uniform thickness of the deformed layer or object, and then calculating 2663 the magnitude of strain required to reproduce the observed change in width between the initial 2664 object/layer and the observed width of the boudins in question (Ramsay, 1967). 2665

2666 To further characterize the strain regime, in addition to Wm, we calculated intracrystalline vorticity axes using the crystallographic vorticity analysis (CVA) method of 2667 2668 Michels et al. (2015). The direction of the sample-scale vorticity vector from Michels et al. (2015) corresponds to the orientation of the axis of dispersion of the crystallographic axes in each grain. 2669 2670 After constraining the vorticity axis for each map, we rotated the EBSD data into a vorticity-2671 normal section with the foliation oriented horizontally (VNS or XZ section, Figure 5.6). By 2672 rotating the EBSD data in Euclidean space, we can limit the uncertainty in strain analysis for samples lacking a macroscopic lineation, or samples from complex transpressional or highly 2673 asymmetric strain regimes. 2674

We also estimate quartz deformation temperatures through a combination of microtextural analysis (following Stipp et al., 2002, for instance) and quartz slip system analysis within the VNS (e.g., Toy et al., 2008). Additionally, we estimate differential stress from recrystallized grain size piezometry (Cross et al., 2017) and subgrain size piezometry (Goddard et al., 2020). Subgrain size piezometry is advantageous because subgrain sizes are relatively insensitive to grain boundary pinning by secondary mineral phases and, thus, can be applied to polymineralic samples (Goddard et al., 2023), unlike recrystallized grain size piezometry, which

is limited to monomineralic layers. Goddard et al. (2023) also argue that subgrain sizes are
established after less strain than recrystallized grain sizes—thus, by combining subgrain size
piezometry and recrystallized grain size piezometry, we may be able to disentangle the stress
history of our samples. Stress estimates, when combined with rough estimates of metamorphic
grade, can also be used as a proxy for crustal depth (e.g., Behr and Platt, 2011).

2687 5.3.2 Electron microprobe (EPMA) X-ray mapping

Prior to *in situ* analysis of monazite and titanite via LA-ICP-MS, we produced 2688 compositional maps of selected late-kinematic monazite and synkinematic titanite in four 2689 samples. Backscattered electron (BSE) images and elemental x-ray maps from wavelength 2690 dispersive spectrometry (WDS) were obtained with the JEOL 8200 electron microprobe 2691 (EMPA) at the Massachusetts Institute of Technology (MIT). For monazite, we produced maps 2692 of Ca, Ce, Th, and Y as well as backscattered electron (BSE) maps. For titanite, we produced 2693 2694 compositional maps of Al, Ce, Fe, and Nb as well as BSE. The compositional maps are given in figures 5.8 and 5.9 for monazite and titanite, respectively. 2695

2696 5.3.3 Laser-ablation inductively coupled plasma mass spectrometry (LA-ICP 2697 MS)
 2698 Lastly, we analyzed in situ titanite and monazite isotope and trace element compositions

2699 through laser ablation inductively coupled plasma mass spectrometry (LA-ICP-MS). The goal

2700 was to obtain U-Pb ages and geochronologic constraints on the age of deformation and

2701 metamorphism contemporaneous with mid-lower crustal orogenic conjugate shear in southern

2702 New England. Analyses were performed at the University of Maine MicroAnalytical

2703 Geochemistry and Isotope Characterization (MAGIC) laboratory. Trace element and U-Pb data

were collected using an ESI NWR193UC excimer laser ablation system coupled to an Agilent

2705 8900 ICP-MS based on the methodology of Walters et al. (2022).

Both deformed and undeformed titanite and undeformed late kinematic monazite were
analyzed on polished sections. Titanite was ablated using a 15 μm spot at 10 Hz with a beam
energy density of 2 J cm<sup>-2</sup>. Monazite was ablated using an 8 μm spot at 10 Hz with a beam

energy density of 1.7 J cm<sup>-2</sup>. Each analysis was acquired over 15 s (monazite) or 20 s (titanite)
with 17 s of washout in between analyses. Fifteen unknown monazite analyses were bracketed by
two analyses each of reference monazites USGS 44069, TS-Mnz, and Moacyr, and USGS basalt
glass GSE-1G. U-Pb and Pb-Pb isotope ratios were calculated relative to the reference monazite
USGS 44069 (Aleinikoff et al. 2006).

Monitored isotopes and their dwell times (ms) for monazite analyses were 29Si (5), 43Ca 2714 (1), 44Ca (1), 49Ti (5), 88Sr (1), 89Y (1), 90Zr (1), 139La (1), 140Ce (1), 141Pr (1), 146Nd (1), 2715 147Sm (1), 153Eu (1), 157Gd (1), 159Tb (1), 163Dy (1), 165Ho (1), 166Er (1), 169Tm (1), 172Yb (1), 2716 175Lu (1), 202Hg (40), 204Pb (1), 206Pb (55), 207Pb (85), 208Pb (35), 232Th (5), 235U (1), and 2717 2718 238U (30). Monitored isotopes for titanite analyses were 29Si (1), 43Ca (1), 44Ca (1), 49Ti (1), 56Fe (1), 88Sr (1), 89Y (1), 90Zr (5), 93Nb (1), 137Ba (1), 139La (1), 140Ce (1), 141Pr (1), 146Nd 2719 (1), 147Sm (1), 153Eu (1), 157Gd (1), 159Tb (1), 163Dy (1), 165Ho (1), 166Er (1), 169Tm (1), 172Yb 2720 2721 (1), 175Lu (1), 178Hf (1), 181Ta (1), 202Hg (1), 204Pb (1), 206Pb (45), 207Pb (75), 208Pb (2), 232Th (5), 235U (1), and 238U (5). Time-resolved signals were processed using the iolite4 2722 software package (Paton et al. 2011). Trace element mass fractions were determined relative to 2723 GSD-1G using the Trace Elements DRS assuming a Ca content of 19.25 %m/m in titanite as the 2724 internal calibration element. Trace element mass fractions in monazite were determined semi-2725 quantitatively relative to Bananeira monazite using the Trace Elements DRS (Gonçalves et al., 2726 2016). Isotope ratios were calculated using the U-Pb Geochronology DRS relative to MKED-1 for 2727 titanite and USGS 44069 for monazite. U-Pb dates were calculated in IsoplotR (Versmeesch 2728 2018) using the measured <sup>207</sup>Pb/<sup>235</sup>U, <sup>206</sup>Pb/<sup>238</sup>U, and <sup>207</sup>Pb/<sup>206</sup>Pb compositions and calculating 2729 either concordant ages (monazite) or discordant isochrons anchored at Stacey Kramers common 2730 lead composition (e.g., Figures 5.12 and 5.13). All associated errors for titanite and monazite 2731 ages in figures and discussed in the text are given as two standard deviations from the best fit 2732 age  $(\pm 2\sigma)$ . 2733

Concordia dates for secondary reference materials were calculated to be within reference 2734 values; Moacyr gave a date of  $515 \pm 3$  Ma (2s), consistent with the recent TIMS age of  $513 \pm 1$ 2735 Ma, (Palin et al. 2013). The calculated TS-Mnz concordia date of 904  $\pm$  5 Ma (2s) is within 2736 2737 uncertainty of the TIMS date of 910.42 ± 0.34 Ma (Budzyń et al. 2021). Unknown titanite analyses were bracketed by two analyses each of reference titanites MKED-1, McClure Mountain 2738 Ttn, and CKHB, and USGS basalt glass GSE-1G. U-Pb and Pb-Pb isotope ratios in titanite were 2739 calculated relative to the reference titanite MKED-1 (Spandler et al. 2016;  $1518.87 \pm 0.32$  Ma). 2740 Concordia dates of secondary titanite reference materials are within published reference values; 2741 McClure Mountain titanite gave a date of  $522 \pm 3$  Ma ( $523.26 \pm 0.65$  Ma, Schoene and Bowring, 2742 2743 2006). Titanite CKHB gave a date of  $93 \pm 10$  Ma, consistent with the published TIMS age of 94.3± 0.15 Ma (Fisher et al. 2020). 2744

To constrain the approximate temperature of metamorphism and subsequent 2745 2746 deformation, we calculate the temperature of titanite growth from Zirconium (Zr) in titanite concentrations according to the Zr-in-ttn (sphene) geothermometer (Hayden et al., 2008). This 2747 thermometer requires constraints on pressure, TiO2 and SiO2 activities, and the measured Zr in 2748 the crystal. All the samples containing titanite also contained quartz as a major phase, and 2749 therefore we assume that  $a(SiO_2) \approx 1$ . Rutile is not an equilibrium phase with titanite in our 2750 samples and therefore we assume  $a(SiO_2) \approx 0.75 \pm 0.25$  (Ghent and Stout, 1984; Kapp et al., 2751 2752 2009; Chambers and Kohn, 2012; Moser et al., 2022). The pressure of titanite growth during Alleghenian metamorphism is the largest uncertainty in calculating titanite crystallization 2753 temperatures. Previous studies on Alleghenian metamorphism in eastern Connecticut suggest 2754 pressures of metamorphism of 7 ± 3kb (Moecher, 1997; Wintsch et al., 2003). We ascribe a 2755 2756 relatively large error to these pressure estimates due to the lack of sample coverage and detailed petrogenetic modelling. 2757

As the conjugate fault system was active between ~330-275 Ma (McWilliams et al., 2013; Massey et al., 2017), we want to characterize the deformation style throughout the system

during this time period. Additionally, we want to assess any change in deformation style at the
beginning and end of this time period to better constrain the mechanism that led to the
development of the conjugate fault system in the Carboniferous, and its subsequent termination
in the Permian.

Results 2764 5.4 Macroscale Structural Analysis of Southern New England 5.4.1 2765 The bedrock structure of the New England Appalachians changes fundamentally from 2766 north to south based on compiled foliation, mineral lineation, and fold axis data from bedrock 2767 maps in Eastern Connecticut, Central Massachusetts, and Southern New Hampshire (Figures 2768 5.2 and 5.4). The sinistral WBHSZ and the dextral Norumbega shear zone define steeply dipping 2769 conjugate shear zones on the west and east margins of the CMT, respectively. We aim to 2770 demonstrate how the structure of the conjugate fault system, both in the bounding shear zones 2771 (WBHSZ and Norumbega shear zone) and medial block (CMT), changes with depth in the 2772 Alleghenian orogen by characterizing the modern-day macroscale structure of the New England 2773 Appalachians. The Acadian-Neoacadian and Alleghenian orogens in southern New England 2774 were progressively tilted and uplifted during late Paleozoic orogenesis (Winstch et al., 2003). As 2775 the Alleghenian orogeny is the last major episode of high temperature ductile deformation in 2776 New England, we do not need to account for significant modification of the internal structure 2777 during subsequent rifting and continental breakup. 2778

Much of the internal structure of the CMT reflects Acadian-Neoacadian deformation 2779 2780 prior to the Alleghenian orogeny (Eusden and Barrerio, 1988; Eusden and Lyons, 1993; Massey and Moecher, 2013; Massey et al., 2017; Hillenbrand et al., 2021; Moecher et al., 2021). The 2781 pattern of moderately-to-steeply west-dipping foliations and shallow-to-subhorizontal north-2782 south plunging mineral lineations and fold axes is traditionally interpreted as reflecting 2783 transpressional deformation (Fossen and Tikoff, 1998; Massey et al., 2017). Massey et al. (2017) 2784 interpret the structure of Central Massachusetts as reflecting dextral transpression during the 2785 Neoacadian orogeny in the late Devonian and early Carboniferous. A component of dextral 2786

simple shear is inferred from asymmetric fold patterns on horizontal outcrop planes and 2787 microstructural shear sense indicators on the subhorizontal plane. The Neoacadian 2788 metamorphic assemblages in Central Massachusetts and Northeastern Connecticut are crosscut 2789 2790 by a series of late Devonian to Carboniferous high angle faults (Zen et al., 1983; Rodgers, 1985). These fault zones sole out on the Eastford thrust fault at the southern terminus of the CMT in 2791 Connecticut (Figures 5.1 and 5.2). Outcrop observations on vertical, E-W striking faces in 2792 proximity to these fault zones, including asymmetric feldspar sigmoids, asymmetric west-side-2793 up folding, and low-angle shallowly west dipping shear bands suggest early top-to-SE reverse 2794 faulting. The top-to-SE reverse faulting is likely contemporaneous with inferred shallow top-to-2795 2796 SE thrusting on the Eastford fault based on the regional map pattern and macrostructural relationships between thrust faults in the field (Figures 5.1 and 5.3). 2797

While the presence of shallow mineral lineations parallel to fold hinges is well 2798 2799 established (e.g., Peterson and Robinson, 1993; Massey et al., 2017), it is not clear to what extent a component of strike-slip deformation was active within the CMT during the late Neoacadian 2800 2801 and Alleghenian orogenies. This uncertainty is in part due to the lack of detailed crystallographic 2802 analysis and quantitative characterization of ductile deformation in Central Massachusetts. 2803 Traditional semi-quantitative structural analysis can be ambiguous or misleading in terms of identifying the vorticity normal section for kinematic analysis and making inferences about the 2804 3-dimensional strain regime (Tikoff and Fossen, 1995; Fossen and Tikoff, 1999; Lin et al., 1998; 2805 Czeck and Hudleston, 2003; Michels et al., 2015; Fossen and Cavalcante, 2017). During our own 2806 field work, we identified mostly sinistral shear bands crosscutting the peak Acadian-Neoacadian 2807 2808 metamorphic assemblage on subhorizontal outcrop faces in the Eastern CMT near Sturbridge, MA. This would seem to contradict the model of late regional dextral transpression for the CMT. 2809 2810 Furthermore, there is no documented dextral strike-slip shear zone on the eastern margin of the 2811 CMT or Merrimack Terrane correlating to the Norumbega fault zone to the north. While 2812 asymmetric shear sense indicators are identified on subhorizontal outcrop faces throughout

southern New England, the outcrop textures are dominantly symmetric. An alternative regime,
which may explain the conflicting shear senses on the subhorizontal outcrop planes, dominantly
symmetric fabrics, and subhorizontal mineral and fold hinge lineations, would be regional
constriction (Fossen and Tikoff, 1999). In the case of constriction, the lineation would
correspond to the maximum strain axis, X, which is along strike and orogen-parallel in this case.
We can further test this idea through the analysis of microstructures and crystallographic
preferred orientation in section 5.4.2.

2820 The sinistral WBHSZ extends from Northeastern Vermont, along the Westminster West fault zone (Armstrong, 1997; McWilliams et al., 2013), to Central Connecticut within the Bolton 2821 synform (Massey and Moecher, 2013; Figures 5.1 and 5.2). The WBHSZ is steeply west dipping 2822 to subvertical until Central Connecticut, where the shear zone gradually rotates toward a 2823 moderate to shallowly west dipping orientation (Figure 5.2). The southern terminus of the 2824 2825 WBHSZ, relating to its extent with depth in the Alleghenian orogen based on the metamorphic pressure estimates of Wintsch et al. (2003), is not well constrained. Previous work suggests that 2826 2827 a sinistral shear system is present to the south of the Eastford fault and Bolton synform in the 2828 Cremation Hill Fault Zone (London, 1988). However, there are no robust geochronologic 2829 constraints on the timing of this proposed deformation. There is a clear shift in the orientation of foliations throughout the Bronson Hill Anticlinorium beyond the southern terminus of the 2830 adjacent CMT (Figure 5.2). While the foliations are steeply to moderately dipping in the Central 2831 Massachusetts and Vermont segments of the WBHSZ, the dip begins to shallow north of Bolton, 2832 CT and remains shallow until at least the southern tip of the Bolton synform near East 2833 2834 Hampton, CT. Moreover, the mineral lineations within the Bolton synform rotate from the strike toward the dip direction to the south. This suggests a transition in the overall deformation 2835 corresponding to either changing strain magnitude or a change in the deformation style (Fossen 2836 2837 and Tikoff, 1998). Asymmetric kinematic indicators on both subvertical and subhorizontal 2838 outcrop surfaces become increasingly rare to the south, although symmetric boudins are

abundant. The abundance of symmetric boudins, change in mineral lineation orientation, and
rotation of the foliation all suggest that the sinistral strike-slip shear documented to the north
wanes in Central-Eastern Connecticut with the disappearance of the CMT across the Eastford
fault.

2843 In Eastern Connecticut, the structural style transitions from moderately-to-steeply dipping fabrics within the CMT to subhorizontal and shallowly-dipping fabrics in the underlying 2844 Merrimack Terrane across the Eastford fault (Figure 5.2). The Eastford fault is moderately west-2845 dipping along strike and to the north of its southernmost extent in Connecticut and may 2846 correlate to Alleghenian strike-slip deformation on the subvertical Norumbega shear zone in 2847 Southeastern Maine. The Eastford fault crosscuts both the CMT and Merrimack Terrane as a 2848 shallow N-NW dipping to subhorizontal decollement directly south of the CMT. There is little to 2849 no macroscopic evidence of strike-slip shear along the Eastford fault based on the outcrop 2850 2851 structure of subhorizontal surfaces. We document well-developed and pervasive top-tosoutheast shear sense indicators on the subvertical NW-SE striking faces near the Eastford fault, 2852 2853 supporting top-to-southeast thrusting (Figure 5.3).

The subhorizontal nature of the Merrimack Terrane precludes a significant component 2854 2855 of inclined or subvertical strike-slip shear as opposed to pure shear flattening or subhorizontal simple shear. Outcrop observations on E-W and N-S striking planes within the Merrimack 2856 Terrane reflect largely symmetric strain with abundant symmetric boudins and virtually no 2857 pervasive asymmetric shear sense indicators (Figure 5.3). Asymmetry is only present through a 2858 secondary cleavage plane, prominent in the quartzo-feldspathic Devonian intrusions throughout 2859 2860 the Merrimack terrane, which dips shallowly west. Lineations, boudin necks, and fold hinges vary significantly within the subhorizontal plane (Figure 5.4). This may be a function of late, 2861 gentle to open folding about Willimantic dome. In general, most of the linear structures trend in 2862 the NE and SW quadrants. 2863

The Alleghenian metamorphic grade of the Merrimack Terrane is also unique, in that 2864 Alleghenian amphibolite facies metamorphism in eastern Connecticut strongly overprints 2865 2866 Neoacadian metamorphism (Wintsch et al., 2007). While existing literature is abundant in 2867 studying deformation and metamorphism proximal to Willimantic dome and along the Honey Hill-Lake Char fault system (e.g., Wintsch et al., 1992; Moecher, 1999), there is little existing 2868 2869 study of the internal deformation and detailed metamorphic evolution of the terrane. From the macro-structural observations, we can say that there is little to no component of inclined or 2870 vertical strike-slip deformation, and that deformation reflects largely pure shear strain based on 2871 the abundance of symmetric fabrics. 2872

2873 The Honey Hill-Lake Char fault system on the east and south margins of the Merrimack Terrane represents early Alleghenian under thrusting of Avalonia (Wintsch et al., 1992) followed 2874 by late Alleghenian (Permian) gravitational collapse and detachment faulting (i.e., Ma et al., 2875 2876 2023). The Honey Hill-Lake Char fault system has been well studied by past and current workers (e.g., Wintsch et al., 1992; Luo et al., 2022). Previous mapping efforts identified 2877 2878 conflicting top-to-north and top-to-south shear sense along the Honey Hill fault zone and inferred that the top-to-south motion was most significant during the Carboniferous and 2879 2880 Permian (Goldstein et al., 1989). Our own outcrop observations also suggest that top-to-north 2881 deformation is most significant during late Alleghenian (Permian) localized ductile deformation and uplift. We observe well developed and pervasive top-to-north asymmetric feldspar sigmoids 2882 and shearbands in a quartzo-feldspathic mylonite of the Honey Hill Fault (Figure 5.3). In 2883 contrast to the Merrimack Terrane, Avalonia did not experience high grade Acadian-Neoacadian 2884 2885 metamorphism. The metamorphism of Avalonia, as exposed at the surface, did not occur until 2886 the late Carboniferous and early Permian (Wintsch et al., 1992). The Narragansett Basin was deposited in the early Carboniferous consistent with top-to-SE underthrusting of Avalonia 2887 leading to flexurally-induced subsidence and deposition of Carboniferous sediments to the east 2888 2889 of the thrust fault.
To summarize, the Carboniferous strike-slip shear zones apparent in central and 2890 northern New England do not extend beyond the Eastford fault and Merrimack Terrane which 2891 represent, at a minimum, the middle crust of the Alleghenian orogen. While the WBHSZ extends 2892 2893 as far as Central-Northern Connecticut, the Norumbega shear zone does not extend through Central-Eastern Massachusetts or Eastern Connecticut along the margin of the CMT. Rather, the 2894 eastern margin of the CMT transitions from dextral strike-slip faulting in the north, along the 2895 Norumbega fault zone, to top-to-SE thrusting along the Eastford fault and Eastern margin of the 2896 CMT in Massachusetts and Connecticut. The earlier southward termination of the Norumbega 2897 shear zone relative to the WBHSZ may in part be due to a change in regional strike from NW-SE 2898 to N-S assuming a near-constant maximum horizontal compressive stress orientation (discussed 2899 further in section 5.5.2). At the southern terminus of the CMT in Northeastern Connecticut, the 2900 Eastford fault is observed to cut westward to the BHA, dividing the CMT from the Merrimack 2901 2902 Terrane. Below the Eastford fault, the structural style changes dramatically. Evidence of strikeslip deformation within the Bronson Hill and WBHSZ wanes to the south of the Eastford fault, 2903 corresponding to a marked shallowing in foliation dips along the Bronson Hill Anticlinorium 2904 (Figure 5.2). Top-to-SE deformation and extensional dip-slip deformation are proposed to 2905 continue on the eastern margin of the Merrimack Terrane related to deformation on the Honey 2906 Hill-Lake Char fault zone (Wintsch et al., 1992; Severson, 2020). However, much of the evidence 2907 of conjugate strike-slip deformation is absent in the Bronson Hill, CMT, and Merrimack 2908 Terranes in Central Massachusetts and Connecticut. This suggests that the conjugate strike-slip 2909 fault zone does not crosscut the entire orogenic crust, let alone the lithosphere, and gradually 2910 2911 terminates in a mid-crustal decollement. In the following section, we analyze microstructural and EBSD data throughout Southern New England to quantitatively establish the transition 2912 from upper crustal strike-slip faulting to mid-lower crustal general or pure shear. 2913 Microstructural and EBSD Analysis of Deformed Schist and Gneiss 2914 5.4.2

To quantitatively characterize changes in deformation with depth in the orogen we 2915 assessed microstructures and EBSD data in nine samples across the proposed orogenic 2916 conjugate shear system. From the EBSD data we calculated crystallographic vorticity vectors 2917 2918 (via crystallographic vorticity analysis, CVA, Michels et al., 2015) and kinematic vorticity numbers, W<sub>m</sub> (Wallis et al., 1992). The vorticity number and vector allow us to discriminate 2919 between bulk coaxial and noncoaxial deformation while providing a quantitative constraint on 2920 convergence direction during Alleghenian deformation. Based on the qualitative observation of 2921 dominant symmetric fabrics in the Merrimack Terrane and southernmost Bronson Hill 2922 Anticlinorium relative to strongly asymmetric fabrics in Northern New England, we expect that 2923 the vorticity number of shear zones at the margins of the CMT should decrease to the south. To 2924 determine changes in crustal strength with depth through the orogenic system, we also estimate 2925 differential stress using quartz recrystallized grain size (Cross et al., 2017) and subgrain size 2926 2927 (Goddard et al., 2020) paleopiezometry analyses. Microstructural 'regimes' in quartz, strongly dependent on both deformation temperature and strain rate, can be identified through 2928 microstructural analysis of quartz in thin section (Hirth and Tullis, 1992; Stipp et al., 2002). 2929 With the results of paleopiezometry analyses and microstructural observations in thin section 2930 we can determine deformation temperatures for quartz based on the dominant quartz 2931 recrystallization mechanism: bulge recrystallization (BLG, ~275-420 °C), subgrain rotation 2932 recrystallization (SGR, ~420-530 °C), or grain boundary migration (GBM, >530 °C) (Stipp et 2933 al., 2002). Furthermore, the quartz vorticity vector and vorticity number  $(W_m)$ , can also be used 2934 to demonstrate a change in the orientation of the maximum compressive stress relative to the 2935 2936 foliation. Based on the above constraints, we can show through qualitative and quantitative analysis of quartz deformation how the deformation regime and style changes with depth in the 2937 conjugate shear system. 2938

We performed EBSD analysis on two samples within the CMT, one in Central
Massachusetts (MA2207) and another in Northeast Connecticut (CT2207). Sample MA2207

from the Paxton Formation quartzo-feldspathic orthogneiss in Central Massachusetts features 2941 two symmetric and conjugate c-axis maxima at low angle to the macroscopic lineation based on 2942 quartz EBSD CPOs (Figure 5.6). The a-axes thus form two symmetric and conjugate girdles 2943 2944 perpendicular to the macroscopic lineation and foliation. This pattern is associated with strongly coaxial deformation, most likely constrictional deformation, based on the c-axis distribution 2945 (e.g., Lister and Hobbs, 1980; Schmid and Casey, 1986; Barth et al., 2010). The CVA axis is 2946 ambiguous, forming a sample scale girdle of vorticities parallel to the foliation. This implies that 2947 either the sample records multiple deformation episodes, or a single rotational axis is not 2948 sufficient to quantify the strain geometry of the sample. The latter case would be consistent with 2949 a constrictional strain regime. Sample MA2207 also contains abundant recrystallized quartz 2950 with amoeboid grain boundaries and subgrains. These quartz microstructures suggest a 2951 combination of grain boundary migration (GBM) and subgrain rotation (SGR) recrystallization. 2952 2953 The abundance of amoeboid grain boundaries relative to subgrains indicates GBM was the dominant deformation mechanism. As the c-axis maxima are subparallel to the macroscopic 2954 lineation and perpendicular to the vorticity vector, sample MA2207 deformed via prism-[c] slip. 2955 2956 Quartz deforming under prism-[c] slip and dominant GBM reflects a deformation temperature of  $\geq$  600 °C (Stipp et al., 2002). This deformation temperature is further supported by weak-to-2957 moderate internal deformation of plagioclase, which becomes ductile only at temperatures 2958 above ~600 °C (Tullis and Yund, 1992). 2959

2960 Sample CT2207, while still within the Central Maine Terrane, is located much closer to 2961 Eastford fault zone and the region of significant Alleghenian tectonism in Eastern Connecticut 2962 (Figures 5.2 and 5.6). Similar to sample MA2207, quartz microstructures are characterized by 2963 abundant amoeboid grain boundaries and subgrains. The relative number of amoeboid grains is 2964 nearly equal to the abundance of subgrains. This suggests that the dominant deformation in this 2965 sample reflects conditions near the GBM to SGR transition at ~500 °C (Stipp et al., 2002). For 2966 this sample, there was no macroscopic lineation; however, the subvertical section analyzed was

the correct VNS as verified following the CVA method. On the VNS, the c-axes lie within the 2967 foliation plane and are approximately parallel to the vorticity vector, forming a central point 2968 maximum (Figure 5.6). The a-axes form approximately six point maxima lying within the VNS, 2969 2970 suggesting prism- $\langle a \rangle$  slip. Prism- $\langle a \rangle$  slip occurs at temperatures of  $\sim 450-500$  °C, consistent with the textural interpretation. Under coaxial strain in prism- $\langle a \rangle$  slip, the six a-axes are 2971 expected to be symmetric about the foliation (e.g., Toy et al., 2008; Barth et al., 2010); however, 2972 the a-axis pattern in this sample is rotated ~10-15° counterclockwise from symmetry. The error 2973 on the sample orientation is  $\sim 10^{\circ}$ , so this deviation suggests a minor component of dip-slip 2974 simple shear. As the sample is cut looking south, this pattern results from top to the 2975 2976 east/southeast rotation or east-vergent reverse shear, consistent with the strike-parallel and subhorizontal vorticity vector from crystallographic vorticity analysis. This is in contrast to 2977 sample MA2207 (Central Massachusetts) for which we inferred constriction. 2978

We analyzed three samples along the sinistral WBHSZ proposed to extend from 2979 Northeastern Vermont to Connecticut (Figure 5.6). The northernmost sample (TH2107) was 2980 2981 taken from the mylonitized Partridge Formation near Lake Morey, VT. The lithologies on the 2982 eastern edge of Lake Morey are traditionally mapped as phyllites of the Ordovician Partridge Fm (e.g., Ratcliffe et al., 2011). However, in thin section it is apparent that these rocks are quartz-2983 2984 rich mylonites and ultramylonites (Figures 5.5A, B, and C). The Meetinghouse Slate member of the Gile Mountain Formation and the Ordovician Partridge Fm broadly correlate with the 2985 2986 mapped sinistral Westminster West fault zone to the south (Ratcliffe et al., 2011; McWilliams et al., 2013). Therefore, we anticipate EBSD analysis of these samples to suggest a significant 2987 2988 component of noncoaxial sinistral deformation if the WBHSZ extends North of Haverhill, NH.

2989 Microstructures and EBSD data for Sample TH2107, from the Ordovician Partridge Fm 2990 are strongly indicative of sinistral strike-slip deformation in the upper crust (Figures 5.5B, 5.5C, 2991 and 5.6). The extreme grain size reduction in the sample suggests relatively low-temperature

2992 quartz deformation undergoing a combination of bulge recrystallization and cataclastic flow. Such conditions occur at deformation temperatures of ~300-400 °C (Stipp et al., 2002). Quartz 2993 pole figures are characterized by a singular c-axis maximum highly asymmetric to the foliation 2994 2995 plane (Figure 5.6), while the a-axes form a girdle of orientations  $\sim 40^{\circ}$  to the macroscopic foliation, and highly asymmetric to the same degree as the c-axes (Figure 5.6). These textures 2996 are indicative of basal-<a> slip, supporting the microstructural evidence for low deformation 2997 temperatures (~400 °C). The high asymmetry of the crystallographic axes relative to the 2998 macroscopic structures is representative of significant simple shear (e.g., Toy et al., 2008; Barth 2999 et al., 2010), as endorsed by crystallographic vorticity analysis which yields a subvertical 3000 vorticity vector. West-side-south asymmetric boudins and shear bands in thin section suggest a 3001 significant sinistral simple shear component (Figures 5.5B and C). We can calculate a vorticity 3002 number from the angle between the c-axis maxima and normal to the foliation plane as well as 3003 3004 the strain magnitude on the VNS, Rxz, following the method of Wallis (1992; 1995). Boudins in thin section can be used to estimate a minimum Rxz magnitude of  $\sim 1.5$  (Figure 5.5A). The c-axis 3005 maximum is ~45° from the normal to the foliation plane based on the calculated maximum ODF 3006 components. These constraints yield a vorticity number, W<sub>m</sub>, estimate of 1 (±0.1), indicating 3007 dominant simple shear (Wallis, 1992). As inferred also by McWilliams et al. (2013), these results 3008 suggest that the mylonitic section of the WBHSZ, corresponding to the Westminster West fault 3009 zone in Vermont, extends at least as far north as the Piermont Allochthon, and represents 3010 sinistral strike-slip fault zone mylonite under greenschist facies conditions. 3011

In Connecticut, we analyzed two samples on the proposed WBHSZ, south of the type locality in Palmer, MA (Massey and Moecher, 2013). Sample CT2203 is from the Silurian Clough Quartzite. Amoeboid quartz boundaries are abundant in this sample relative to subgrain boundaries, suggesting GBM is the dominant deformation mechanism, and that the deformation temperature is >530 °C. EBSD on the VNS yields a relatively complex c-axis CPO generally in the plane of the foliation or inclined to the foliation at an angle of ~45-50 ° (Figure 5.6). The a-

axes are typically near perpendicular to the foliation plane (Figure 5.6). These observations are 3018 broadly consistent with prism-[c] slip, which occurs at temperatures >550-600 °C (Toy et al., 3019 2008), consistent with the microstructural interpretations of deformation temperature. Similar 3020 3021 to the Vermont sample, we can estimate a vorticity number from the c-axis distribution. The caxes form a girdle distribution in this sample (P>G and K<1). The five largest components of the 3022 ODF define two girdles, with one outlier in the SE quadrant (Figure 5.6). We measure the angle 3023 between the bisector of the girdles and the inferred shear plane, yielding an asymmetry of ~15°. 3024 We estimate the minimum Rxz as ~1.4-1.9 from the deformation of boudins in outcrops with the 3025 BHA (e.g., Figure 5.3E, D, and H). These estimates yield a vorticity number of 0.6-0.7 (±0.1). 3026 While there is still a component of sinistral simple shear based on the c-axis asymmetry, this is 3027 indicative of general shear with dominant simple shear In comparison to sample TH2107 in 3028 Vermont, the vorticity number has decreased to the south, corresponding to increasing depth in 3029 3030 the orogenic system and increasing proximity to the Long Island Promontory in New York. The lack of well-developed and pervasive shear sense indicators in outcrop and in thin section 3031 support our calculated vorticity number in that we would not expect dominant simple shear and 3032 high vorticity numbers. 3033

The final sample within the WBHSZ, near the southernmost end of the Bolton Synform 3034 3035 (Figure 5.6) is from the Devonian Littleton Schist (CT2217). The abundance of mica causes grain boundary pinning in quartz. Nevertheless, subgrains are still rare in comparison to amoeboid 3036 3037 grain boundaries, suggesting dominant GBM. On the VNS, the EBSD data yield a c-axis maximum in the plane of the foliation and perpendicular to the vorticity vector, indicative of 3038 prism-[c] slip. The vorticity vector is strongly oblique to both the horizontal and vertical planes, 3039 suggesting either oblique simple shear, deformation with triclinic symmetry, or flattening strain 3040 with oblique extrusion (Fossen and Tikoff, 1995). The a-axes CPO, while typically representative 3041 of a girdle distribution due to the tri-fold symmetry of quartz a-axes, is characteristic of a point 3042 maxima near perpendicular to the foliation plane. Altogether, these crystallographic textures are 3043

indicative of prism-[c] slip, suggesting a deformation temperatures >550-600 °C (Toy et al., 3044 2008) and endorsing the microstructural interpretations. Both the c and a-axes are symmetric 3045 relative to the macroscopic structures indicating strongly coaxial deformation. Additionally, 3046 3047 pressure shadows on the lineation parallel and foliation perpendicular face are symmetric about biotite and garnet porphyroblasts supporting coaxial deformation (Figures 5.5F and G). The 3048 absence of significant plastic deformation in the garnet precludes late ultra-high temperature 3049 deformation in the Bolton Synform. From the crystallographic axes, we interpret the 3050 deformation regime as coaxial flattening (e.g., Toy et al., 2008; Barth et al., 2010). There is only 3051 a slight 2° asymmetry between the c-axis maxima and foliation, well within the error of the 3052 constrain on foliation orientation. The slight asymmetry yields a vorticity number estimate of 3053  $0.1 (\pm 0.1)$  (Wallis, 1992). This continues the trend of decreasing vorticity number to the south 3054 along the WBHSZ, and therefore decreasing noncoaxiality with depth in the orogen. 3055

In summary, deformation transitions from noncoaxial sinistral strike slip in Vermont to 3056 coaxial flattening in Connecticut along the WBHSZ. We observe increasing deformation 3057 temperature and metamorphic grade from Vermont to Connecticut based on the dominant 3058 quartz slip system and deforming metamorphic assemblage (Figure 5.6; McWilliams et al., 3059 2013; Massey et al., 2017; Wintsch et al., 2003). The range of deformation temperatures and 3060 3061 metamorphic grade spans the greenschist through amphibolite facies, corresponding to the upper crust and middle crust, respectively. Further EBSD analysis of the Eastford fault zone and 3062 3063 Merrimack Terrane below delineates the nature of deformation in the middle crust of the Alleghenian orogenic conjugate fault zone. We do not present new EBSD data for the 3064 Norumbega fault zone, as there is a plethora of existing studies and data establishing the timing 3065 of strongly noncoaxial dextral deformation in SE Maine (i.e., West and Lux, 1993; Ludman et al., 3066 1999; Johnson et al., 2009; Price et al., 2016). The existing data for the Norumbega fault zone is 3067 3068 strongly reminiscent of our data for the mylonitic section of the WBHSZ in Vermont, with similar published vorticity numbers and deformation ages. 3069

On the Eastford Fault Zone at the southern terminus of the CMT, we analyzed 3070 microstructures and EBSD data for two samples (CT2205 and CT2208). Sample CT2205 is from 3071 the Ordovician Brimfield Schist near Gurleyville, CT (Figure 5.6). As discussed in section 5.4.1, 3072 3073 there is abundant outcrop-scale evidence for top-to-SE thrusting (e.g., Figure 5.3E), consistent with the mapped shear direction of the Eastford fault. In thin section, there is microstructural 3074 evidence for GBM and SGR, as with the other samples in Central Massachusetts and 3075 Connecticut, suggesting deformation temperatures of >420 °C (Stipp et al., 2002). EBSD data 3076 on the VNS yield a c-axis maximum subparallel to the vorticity axis and macroscopic fold 3077 hinges. The a-axes form a distribution of ~6 clusters lying within the VNS. These observations 3078 are indicative of prism-<a> slip and deformation temperatures of ~450-600 °C (Schmid and 3079 Casey, 1986; Toy et al., 2008). Similar to sample CT2207 which is directly north of this sample, 3080 the a-axes are rotated  $\sim 10^{\circ}$  from symmetry with the foliation (Figure 5.6). This supports the 3081 3082 outcrop-scale interpretation of top-to-SE dip-slip simple shear. The similar degree of asymmetry between sample CT2207 and this sample, suggests that the top-to-SE deformation partly 3083 overprints prior Acadian-Neoacadian deformation in Northeastern Connecticut within the CMT. 3084 3085 However, the absence of such deformation throughout central Massachusetts suggests that Alleghenian deformation did not overprint preexisting ductile structures in the CMT within 3086 3087 Central Massachusetts.

To the east along the Eastford fault, we sampled from the Southbridge Fm near Ashford, 3088 3089 CT (CT2208, Figure 5.6). This is a sample of micaceous quartzite with no well-developed macroscopic lineation. Compositional bedding is pronounced in outcrop and is parallel to the 3090 observed grain size variation in thin section (Figure 5.5E). Here, quartz deforms under a 3091 combination of GBM and SGR suggesting deformation temperatures of 500-600 °C. The EBSD 3092 data on the VNS yield a singular, broad c-axis maxima parallel to the vorticity vector and 3093 subhorizontal, while the a-axes form six clusters lying within the VNS. This is indicative of 3094 prism-<a> slip and is strongly reminiscent of the texture in sample CT2205. In contrast to 3095

sample CT2205, there is little to no asymmetry of the crystallographic axes, implying coaxial
deformation. The lack of evidence for top-to-SE deformation on this segment of the Eastford
fault indicates late flattening or pure shear strain as opposed to the top-to-SE simple shear
observed further south. The coarse bedding and lack of sheet silicates may have hindered
significant noncoaxial deformation of our sample. Regardless, there is no evidence of strike-slip
or dip-slip late Paleozoic shear along this segment of the Eastford fault.

Within the Merrimack Terrane we analyzed two samples: CT2223 and CT2229. Sample 3102 CT2229 is from the Devonian Canterbury Gneiss, a deformed early Acadian intrusion (Wintsch 3103 et al., 2007). Quartz and feldspar microstructures are highly variable with textures ranging from 3104 myrmeckite (Figure 5.5H) to quartz subgrain development (SGR) (Figure 5I). Myrmeckite likely 3105 reflects the conditions of igneous intrusion and crystallization during the early Acadian orogeny 3106 as opposed to subsequent Alleghenian orogenesis and amphibolite facies metamorphism, while 3107 SGR records the latest recrystallization of quartz in the section. This suggests that the final 3108 deformation event in this sample is characterized by a deformation temperature of ~420-490 3109 °C (Stipp et al., 2002) (consistent with the observed temporal trend in Zr-in-titanite 3110 temperatures discussed in section 4.3). EBSD data on the VNS are relatively complex as would 3111 be expected from the microstructures (Figure 5.6). There are abundant small inclusions of 3112 3113 quartz in plagioclase in the sample. Most quartz inclusions are < 120 um in diameter, therefore we filter for grains larger than this size and only consider the EBSD orientations of these large 3114 3115 grains. Quartz c-axes are parallel to the VNS and oriented subperpendicular to the foliation. The a-axes define a girdle subparallel to the foliation with  $\sim 15-20^{\circ}$  of asymmetry. This CPO is 3116 indicative of basal-<a> slip, which reflects relatively low temperatures of ~450 °C in comparison 3117 to the other samples in eastern Connecticut. While there is no outcrop-scale evidence for 3118 subhorizontal simple shear, the quartz CPO suggests noncoaxial top-to-SE deformation. This 3119 may reflect distributed simple shear between the rigid overlying CMT (separated by the Top-to-3120 SE Eastford fault) and underthrusting Avalonia in the early Alleghenian orogeny. 3121

Sample CT2223 is from the Waterford Group Gneiss which mantles Willimantic Dome in 3122 Central-Eastern Connecticut (Figures 5.2 and 5.6). The provenance of the Waterford Group is 3123 not well constrained; however, it is underlain by a pop-up of Avalonian Crust in the core of the 3124 3125 dome. This suggests the Waterford group either represents a portion of the Avalonian crust, or a strongly metamorphosed and thinned section of the Putnam-Nashoba Terrane. The latter is 3126 more likely as no such intermediate-mafic assemblage is extensive in the exposed Avalonian 3127 basement of Rhode Island and southern Connecticut. This sample is unique in that quartz is not 3128 an abundant and interconnected mineral, therefore the deformation is primarily accommodated 3129 in the dominant amphibole and plagioclase phases. Macroscopic shear sense indicators in the 3130 Williamantic area are sparse. While evidence of simple shear is documented (e.g., Getty and 3131 Gromet, 1992), our own field investigation of the Waterford Gneiss documented primarily 3132 symmetric boudinage and little to no asymmetry within the amphibole-plagioclase gneiss (e.g., 3133 3134 Figure 5.3H). CVA of plagioclase and amphibole yields a vorticity pole similar to sample CT2229. However, the pole is rotated relative to the nearby Willimantic dome, suggesting the 3135 deformation is early kinematic relative to Alleghenian uplift of Willimantic. While quartz was 3136 not modally abundant, the quartz EBSD data on the VNS is notable in that Dauphiné twinning 3137 produced a characteristic texture of the rhomb planes, {r} and {z}. As the {r}- and {z}-planes 3138 have different elastic properties, under deformation they will undergo dauphine twinning to 3139 reorient the more elastically compliant {r}-planes parallel to the maximum compressive stress. 3140 It has been proposed that this process can be used to estimate the paleostress direction (i.e., 3141 Rahl et al., 2018). As one direction is parallel to the foliation, we infer the maximum 3142 3143 compressive paleo-stress direction corresponds to the subvertical population of {r}-faces. This suggests that paleostress was subvertical during late kinematic deformation around Willimantic 3144 dome in the Alleghenian orogeny. This would represent late rotation from the earlier episode of 3145 subhorizontal top-to-SE shear documented within the Merrimack Terrane in sample CT2229. 3146

As a further estimate of depth within in the orogenic system, we calculated differential 3147 stress using quartz recrystallized grain size piezometry and subgrain size piezometry following 3148 the methods of Cross et al. (2017) and Goddard et al. (2020), respectively. For sample TH2107 3149 3150 in Vermont, there are no subgrains, so we utilize only the recrystallized grain size piezometer of Cross et al. (2017). The recrystallized grain size in TH2107 is ~1.92 µm, which corresponds to a 3151 differential stress of ~299 MPa. While high, this sample is almost entirely composed of quartz 3152 and plagioclase. This is particularly apparent when viewing the sample in cross polarized light 3153 due to the relative lack of high birefringence minerals. Sample CT2203 in Connecticut has a 3154 mean subgrain size of 98 µm corresponding to an effective stress of ~5 MPa (Goddard et al., 3155 2021). Sample CT2217 at the southern end of the WBHSZ has a subgrain size of  $57 \,\mu m$ . 3156 corresponding to an effective stress of ~8 MPa. From this data we observe a decrease in crustal 3157 strength moving southward along the WBHSZ. Crustal strength is broadly related to depth in 3158 3159 the orogen, with peak crustal strength typically at the brittle-ductile transition in the mid-crust (Behr and Platt, 2011). The stress for the sample in Vermont is indicative of upper crustal 3160 3161 deformation at a depth of ~10-15 km and strain rate of ~10<sup>-10</sup> s<sup>-1</sup> under strike-slip deformation (Figure 5.7). Samples in Connecticut yield low stresses of ~5–8 MPa, corresponding to viscous 3162 deformation in the mid-lower crust (>25 km) at strain rates between 10<sup>-12</sup>-10<sup>-14</sup> s<sup>-1</sup>. The 3163 piezometric stress estimates for samples on the Eastford fault and within the Merrimack 3164 Terrane yield similarly low values (<6 MPa) demarcating an extensive mid-lower crustal weak 3165 zone in southern New England. 3166

3167 In the following sections, we place U-Pb geochronologic constraints on the timing of the 3168 deformation episodes discussed in this section based on *in situ* analysis of late- to post-3169 kinematic monazite and synkinematic titanite grains in four sections from Eastern Connecticut 3170 and Central Massachusetts.

3171 5.4.3 In situ Geochemistry and Geochronology of Monazite and Titanite in
 3172 Southern New England

We analyzed U-Pb isotope and trace element compositions for *in situ* monazite and 3173 titanite in four samples at the southern terminus of the conjugate shear system to constrain the 3174 timing of deformation relative to hypothesized orogenic conjugate shear (i.e., Massey and 3175 3176 Moecher, 2013). Two samples within the CMT (MA2207) and on the Eastford Fault (CT2205) were analyzed for *in situ* monazite, while two samples within the Merrimack Terrane (CT2223 3177 and CT2229) were analyzed for in situ titanite via LA-ICP-MS. To assess the degree of ductile 3178 deformation in each grain and rule out the possibility of U-Pb diffusion during deformation we 3179 3180 analyzed the monazite and titanite grains via EBSD. Unfortunately, the monazite grains did not index well with traditional published match units for monazite solid solution endmembers (i.e., 3181 3182 Reddy et al., 2010; Erickson et al., 2015). Available monazite EBSD data suggests <1-2° of 3183 internal misorientation in both samples containing monazite. The relative lack of internal deformation in the monazites supports late or post kinematic (re)crystallization in the two 3184 studied samples. All the measured titanites are characterized by <5-10° of internal 3185 misorientation. While U-Pb resetting or disturbance can occur for such degrees of deformation 3186 3187 in titanite (e.g., Moser et al., 2022), much of the titanite growth appears synkinematic with the foliation observed in thin section, and therefore the growth and deformation may have been 3188 3189 largely contemporaneous.

Monazite in sample MA2207 is largely post-kinematic, with growth postdating much of 3190 the deformation and peak metamorphic assemblage in the sample. In addition, available EBSD 3191 data suggests <2° of internal misorientation in the post-kinematic monazite grains. Therefore, 3192 monazite in this sample should date the absolute latest moderate-high temperature (>400 °C, 3193 Williams et al., 2011) deformation episode. Monazite in this sample is characterized by weak to 3194 absent zoning in BSE in 3 of the selected grains, with one grain displaying pronounced 3195 concentric zoning. The three grains with muted zoning in BSE display lobate to patchy zoning of 3196 Ca and Th with little to no variation in Ce or Y (Figure 5.8). The only grain with well-developed 3197 3198 concentric zoning in BSE displays similar concentric zones in Ca and Th and is also

characterized by the presence of a low-Y core, in contrast to the other grains. The pronounced Ca and Th zoning in all four grains is indicative of the brabantite or cheralite  $[(Ca,Th)(PO_4)_2]$ substitution for REE in the monazite crystal lattice, a common substitution in metamorphic monazite (Williams and Jecyrnovic, 2007).

In contrast to sample MA2207, the monazite in sample CT2205, taken from the CMT in 3203 Northeastern Connecticut, is characterized by well-developed concentric zoning and lobate rim 3204 growth in BSE, with bright, resorbed cores present in at least three of the grains (Figure 5.14). 3205 Similar to the monazite in MA2207, the Ce maps display little to no zoning. Much of the zoning 3206 in BSE can be explained by the variations in Ca and Th due to the cheralite substitution for 3207 REEs as in the Central Massachusetts sample (Figure 8). In stark contrast to the monazite in 3208 MA2207, monazites in sample CT2205 are characterized by pronounced late growth of Y-rich 3209 lobate rims (Figure 8). The concentration of Y in monazite is generally related to the stability of 3210 3211 garnet and/or xenotime in the bulk metamorphic assemblage (e.g., Hacker et al., 2019). Therefore, this variation may reflect a decrease in garnet stability (typically correlated to 3212 decreasing temperature) during late monazite crystallization. While there is no garnet in section 3213 CT2205 it is documented as being variably present in the sampled lithology and in other 3214 lithologies in proximity (Pease, 1988). 3215

For titanite in sample CT2223 from the Waterford group mantling Willimantic dome, we observe very little zoning in both BSE and the compositional maps (Figures 5.9 and 5.14). There is fine lamellar to concentric zoning, particularly prominent in the third grain (Figure 5.9), however this zoning is below the resolution of the laser utilized during subsequent LA-ICP-MS analysis. The titanites in sample CT2223 are synmetamorphic and interstitial with surrounding epidote, amphibole, and plagioclase.

The titanites in sample CT2229 from the Devonian Canterbury Gneiss display a variety of complex zoning patterns (Figures 5.9 and 5.14). Two of the grains, which grew contemporaneously with the biotite foliation based on textures in thin section, are characterized

by concentric and lobate rim growth in BSE (Figure 5.14). This pattern appears to primarily 3225 result from the compositional zoning in Al and Nb (Figure 5.9). Titanite grain 1 in this sample, 3226 while there is a bright BSE rim similar to the aforementioned two grains, also displays 3227 3228 pronounced sector zoning due to the compositional variation of Nb. Lastly, the third grain is characterized by an anomalously bright BSE core surrounded by concentrically zoned titanite 3229 growth adjacent to the rim of the main grain. This grain is notable in that it displays pronounced 3230 Ce and Fe zoning between the core and rim growth suggesting different growth conditions than 3231 the other grains (Figure 5.9). High concentrations of Ce and Fe in titanite are most common in 3232 titanites grown during igneous crystallization (Gros et al., 2020), and therefore we anticipate 3233 that U-Pb isotopic ages in this section of the grain will yield results similar to the published 3234 zircon crystallization age of the Canterbury Gneiss (~414 Ma, Wintsch et al., 2007). Following 3235 EPMA mapping of the above grains, we analyzed the individual compositional domains with LA-3236 3237 ICP-MS.

In sample MA2207 we observe largely late Devonian and early Carboniferous U-Pb ages 3238 in all but one sample. For the three grains with little to no zoning in BSE, we observe a singular 3239 maximum in the kernel distribution function of spot ages in the grains (Figure 5.11). These 3240 maxima range from ~349-358 Ma, indicative of late Neoacadian growth. Much of this growth 3241 would seem to just predate retrograde metamorphism ( $\sim$ 350-340 Ma) in the CMT of central 3242 Massachusetts based on recently published detailed geochronologic analysis of metamorphic 3243 and igneous monazites in Central Massachusetts (Moecher et al., 2021). This suggests that very 3244 little pervasive, moderate-high temperature deformation occurs during retrograde conditions. 3245 3246 The trace element compositions of the monazite are largely uniform in this sample, with a relatively high slope for the HREEs (Dy-Lu). Such a trace element composition is generally 3247 interpreted as resulting from the presence of garnet during monazite growth (Hacker et al., 3248 2019). These observations lead us to conclude that deformation within Central Massachusetts is 3249 not distributed at 350-360 Ma and has already begun to migrate to discrete amphibolite facies 3250

shear zones to the south and on the margins of the terrane (i.e., the WBHSZ). Of interest in this 3251 sample is grain 4 with significant zoning in BSE (Figure 5.14). This grain displays a singular 3252 maximum in the KDE at ~366 Ma, significantly older than the other grains. In contrast to the 3253 3254 other three grains, this grain is preserved as an inclusion within synmetamorphic plagioclase in the sample. The concentric zoning pattern and older KDE maximum age of this grain seems to 3255 result from the preservation of Neoacadian metamorphic growth prior to retrograde 3256 metamorphism. The age of late growth in this grain overlaps with the maxima of the other three 3257 grains, displaying concentric rim growth from 335-360 Ma during the latest stages of the 3258 Neoacadian orogeny. In summary, sample MA2207 records primarily late- to post-kinematic 3259 3260 monazite growth in the Neoacadian orogeny, however, records no growth during the Alleghenian orogeny after  $\sim$  330 Ma contemporaneous with the conjugate orogenic shear system. 3261

Monazite in sample CT2205 from the Eastford fault in Northeast Connecticut records a 3262 3263 latest early-mid Carboniferous growth episode and a more complex Devonian crystallization history than those in sample MA2207. The most significant growth in all monazite grains in 3264 sample CT2205 occurred throughout the Acadian-Neoacadian orogeny. Primary maxima in the 3265 KDE occur at ~410, 378, 377, and 372 Ma for grains 1-4, respectively (Figure 5.11). The oldest 3266 monazite growth in this sample, in grain 1, records early Acadian (early Devonian) growth at 3267 ~420 Ma, contemporaneous with regional synkinematic intrusion of arc intrusive rocks such as 3268 the Kinsman Granitoid in New Hampshire and mafic-ultramafic cumulates in northeast 3269 Connecticut (Tassara et al., 2021). Both grains 1 and 4 have relatively old cores with 3270 approximate crystallization ages of 410 and 403 Ma, respectively. This early growth is indicative 3271 3272 of early Acadian metamorphism. The youngest monazite growth occurs between 342-316 Ma on the rims of the grains (Figures 5.11 and 5.14). The U-Pb isotope compositions of the latest 3273 growth are notable in that their ages are partly discordant due to higher concentrations of 3274 common lead. Discordant monazite compositions can result from a variety of secondary 3275 processes (Hawkins and Bowring, 1997) such as fluid mineral interaction (Black et al., 1984; 3276

Parrish, 1990; DeWolf et al., 1993), hydrothermal growth (Davis et al., 1994), and deformation 3277 (Getty and Gromet, 1992). All of these processes may have been active during Carboniferous 3278 orogenesis, however available EBSD data suggests little to no internal deformation. Therefore, 3279 3280 the discordant rim growth likely results from fluid related interactions, which may be indirectly related to deformation on nearby shear zones. In addition to the younger ages, the trace element 3281 signatures of monazite in sample CT2205, while relatively uniform, are characterized by shallow 3282 HREE slopes. This is indicative of garnet breakdown or the absence of garnet during 3283 metamorphic growth as inferred from compositional maps of Y in the grains. The high Y, bright 3284 BSE rim domains always correspond to the youngest domains (<350 Ma) (Figure 5.14) which 3285 indicates some degree of garnet breakdown or open system compositional exchange due to fluid 3286 advection or partial melting and extraction (Yakymchuk and Brown, 2014) associated with late 3287 Devonian and early Carboniferous retrograde metamorphism or amphibolite facies 3288 3289 metamorphism.

Titanite growth in sample CT2229 from the Canterbury Gneiss is relatively complex in 3290 contrast to published titanite ages for the Merrimack Terrane as a function of both U-Pb 3291 compositions and Zr-in-ttn temperatures of crystallization (Wintsch et al., 2007). We expect 3292 most of the titanite U-Pb compositions to be discordant when plotted on Tera-Wasserburg 3293 diagrams due to the incorporation of common lead (e.g., Essex and Gromet, 2000; Moser et al., 3294 2022; Walters et al., 2022); however, we can utilize the slope of contemporaneous titanite 3295 growth in individual domains based on the BSE zoning and intragranular trace element 3296 compositions to constrain both the age of crystallization and the approximate common lead 3297 3298 composition (e.g., Walters et al., 2022). Unanchored discordant age models for all the domains result in <sup>207</sup>Pb/<sup>206</sup>Pb intercepts near the Stacey-Kramers common lead value from 500-250 Ma 3299 (~.85-.87, Stacey and Kramers, 1975). 3300

For the two grains with the simplest concentric zoning of Al and Nb (Grains 2 and 4,
Figure 5.9), we observe dominant crystallization during the early Alleghenian orogeny between

3303 ~315-290 Ma (Figure 5.12) at temperatures between 600-700 °C (Figure 5.14b) assuming a

3304pressure of 7kb +/-3 kb (Wintsch et al., 1992; Moecher, 1997). Grain 2 crystallized at  $309.3 \pm 2.7$ 3305Ma while grain 4 crystallized at  $317 \pm 3.4$  Ma (Figure 5.12), corresponding to early Alleghenian3306deformation and metamorphism contemporaneous with conjugate strike-slip shear in northern3307New England.

Titanite grain 1 in sample CT2229 is characterized by two dominant crystallization 3308 episodes (Figure 5.12). Older crystallization is associated with the prominent sector zoning of Nb 3309 (also visible in BSE, Figure 5.10) and flat LREE (La-Sm) profiles for spots in the core of the 3310 grain. The sector zoning is contemporaneous within error of the oldest growth in grain 1, and 3311 aside from the relative variation in Nb only displays gradual variations in trace element 3312 enrichment between sectors. The oldest compositional domain in this grain crystallized at 388.1 3313 ± 1.6 Ma and at temperatures of >600-750 °C (Figures 5.12 and 5.14). A few points on the rim of 3314 grain 1, characterized by relatively low <sup>238</sup>U/<sup>206</sup>Pb ratios, may reflect Neoacadian crystallization 3315 postdating the primary crystallization at ~388 Ma (Figure 5.14), however, these points are not 3316 compositionally distinct from the rest of the core spots. The younger domain in titanite grain 1 3317 exhibits much greater scatter in U-Pb composition (MSWD = 10, Figure 5.12). This may be due 3318 in part to low degrees of deformation-induced resetting (i.e., Moser et al., 2021) or relatively 3319 continuous crystallization. The titanite growth in the youngest domain is dated to  $323.3 \pm 3.4$ 3320 Ma and crystallized at conditions of 650-700 °C. Therefore, the rim growth, also corresponding 3321 to the most deformed sections of the crystal as determined by EBSD analysis, is 3322 contemporaneous with conjugate strike-slip shear in New England and early Alleghenian 3323 metamorphism. 3324

Titanite grain 3 is the most complex of the four measured grains. The oldest crystallization in grain 3, associated with strongly enriched trace element compositions and a negative Eu anomaly, occurs at  $390.9 \pm 3.2$  Ma and at temperatures >750 °C (Figures 5.12 and 5.14). This likely reflects crystallization during or just postdating igneous intrusion of the

sampled lithology in the early Acadian orogeny based on the published zircon crystallization age 3329 of the Canterbury Gneiss (Wintsch et al., 2007) and the temperature of crystallization from Zr-3330 in-ttn thermometry. This is the only grain in which we identified a prominent Neoacadian 3331 3332 growth both in terms of U-Pb isotopic composition (Figure 5.12) and based on trace element compositions with flat LREE profiles and no negative Eu anomaly (Figure 5.10). This 3333 Neoacadian growth is found in the dark BSE rim domains that mantle the central Fe and Ce rich 3334 core domain (Figure 5.14). The Neoacadian rim growth occurred at  $360.4 \pm 6.6$  Ma and 3335 temperatures of ~600-700 °C, suggesting crystallization during Neoacadian metamorphism. 3336 Final crystallization and rim growth in grain 3 occurred at  $299.4 \pm 5.1$  Ma and temperatures of 3337 ~650-700 °C, broadly contemporaneous with growth in grains 2 and 4 during Alleghenian 3338 orogenesis and conjugate shearing. 3339

Altogether, titanite in sample CT2229 reflects continuous crystallization from the early 3340 Acadian through the Alleghenian orogeny. Furthermore, Zr-in-ttn temperatures suggest that 3341 these lithologies (from the Merrimack Terrane) remained relatively hot (>600 °C) and deep (>4 3342 kb) for over 100 Myrs of orogenesis (Figure 5.14). Cores in grains 1 and 3 preserve the 3343 conditions of igneous crystallization and peak Acadian metamorphism in the Devonian, while 3344 their rim domains and grains 2 and 4 record synkinematic titanite crystallization during the 3345 Alleghenian orogeny while the proposed conjugate fault system was active (~330-275 Ma, 3346 Massey and Moecher, 2013). The titanite crystallization temperatures for the rim domains and 3347 grains 2 and 4 suggest the U-Pb ages reflect crystallization immediately prior to the deformation 3348 discussed in section 5.4.2, which primarily occurred at temperatures between 500-600 °C. 3349

Sample CT2223 contains three titanite grains with relatively uniform crystallization ages reflecting a single episode of growth in the mid-late Alleghenian orogeny and are late to post kinematic relative to orogenic conjugate shear (Figures 5.13 and 5.14). All three grains are characterized by LREE depleted and flat MREE and HREE profiles. Crystallization in the three grains occurred at  $287.8 \pm 4$  Ma and at temperatures of 600-700 °C (assuming a similar range of

pressures to sample CT2229 based on previous studies of Alleghenian metamorphism, Moecher, 3355 1997; Wintsch et al., 2003). These grains are relatively young in comparison to the titanite 3356 growth in sample CT2229 with almost all spot ages younger than ~300 Ma (Figure 5.14) and no 3357 3358 record of prior crystallization in the Acadian or Neoacadian orogenies. This growth would be pre to synkinematic with the observed dauphine twinning in quartz that implies a subvertical 3359 paleostress, and synkinematic with amphibole and plagioclase deformation that yields a 3360 subhorizontal to shallow vorticity vector reflecting early-mid Alleghenian deformation. 3361 3362 Therefore, this growth just predates the transition to a subvertical maximum compressive stress as suggested by the dauphine twinning in the quartz discussed in section 5.4.2. 3363

The transition from subhorizontal top-to-SE subhorizontal shear observed in sample 3364 CT2229 to subvertical collapse and extension documented in the quartz in sample CT2223 likely 3365 occurred between ~290-260 Ma in the mid-late Alleghenian orogen directly following peak 3366 3367 metamorphism of Avalonia (Wintsch et al., 1992, Wintsch et al., 2014). This suggests a relationship between peak metamorphism of the underthrusting block (Avalonia) and the 3368 transition from crustal thickening and conjugate shear to orogenic collapse. These ages are 3369 comparatively young when considering the published Ar-Ar Hbl cooling ages for the Willimantic 3370 region which suggest regional temperatures of 500 °C by ~260 Ma. This implies amphibolite 3371 facies conditions were pervasive around Willimantic dome until 260-280 Ma, after which rapid 3372 uplift and cooling began. 3373

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3375

**Discussion** 

Mid-Lower Crustal Architecture of Conjugate Orogenic Strike-Slip Shear 5.5.1 Systems 3376 The conjugate strike-slip shear system composed of the sinistral WBHSZ and dextral 3377

Norumbega fault zone in the northern and central New England Appalachians terminates in a 3378 weak subhorizontal decollement in the middle crust of the Alleghenian orogen, exposed in 3379 southern New England. Our structural observations of the middle crust of the Alleghenian 3380

orogen in the New England Appalachians preclude transcrustal or translithospheric strike-slip 3381

faulting as the structure in the BHA, CMT, and Merrimack Terrane rotates from moderately to
steeply dipping fabrics in Massachusetts, NH, and VT to subhorizontal and shallowly dipping
fabrics in Connecticut. Microstructural and EBSD constraints on the orientation of the vorticity
vector (Michels et al., 2015) and the vorticity number (Wallis, 1992), together with the
macrostructural observations, demarcate a transition from strike-slip shear (transpression) in
central Massachusetts and Vermont to pure shear/flattening deformation in Connecticut (Figure
5.6) representative of late Carboniferous deformation in the early Alleghenian orogeny.

The crustal architecture of the New England conjugate shear system is reminiscent of that proposed by Van Buer et al. (2015) for the Karakorum-Altyn Tagh conjugate shear system in Tibet. The depth of the proposed mid-crustal weak zone preserved in New England, approximately 7 kb, is nearly the same as the depth of the exposed weak zone in Tibet (~ 6.4 kb, Van Buer et al., 2015). The only contrast between the crustal architecture proposed herein and that proposed by Van Buer et al. (2015) is that the middle crust of New England represents a compressional decollement system instead of an extensional detachment.

The crustal structure and rheology of the conjugate shear system in New England is 3396 characterized by upper crustal mylonitization and semi-brittle strike-slip deformation in 3397 northern New England and subhorizontal simple shear and flattening in a very weak (<9 MPa) 3398 mid-crustal layer directly below the conjugate shear system in the upper crust (Figure 5.7). We 3399 can subdivide the modern-day system into three regimes based on strength and deformation 3400 style (Figures 5.6 and 5.7). The northernmost regime is characterized by rigid behavior of the 3401 medial block with subvertical shear zone mylonites deforming under dominant simple shear on 3402 3403 the margins. This behavior is reminiscent of the upper crustal structure documented in central Tibet and southeast Asia (Morley, 2001; Yin and Taylor, 2011; Van Buer et al., 2015). The central 3404 regime is characterized by semi-rigid behavior of the medial block (CMT) with general and 3405 3406 flattening shear zones on the margins. The southernmost regime, preserved in the Merrimack Terrane and BHA, represents the underlying mid-crustal weak zone characterized by a 3407

combination of inclined flattening strains and top-to-southeast shear due to the relative motion
of the underthrusting Avalonia block and overriding CMT. The Merrimack Terrane, Eastford
fault, and BHA in Southern New England are all characterized by extremely low strengths
corresponding to a weak mid-lower crust (Figure 5.7).

Each of these three zones is also characterized by a pronounced variation in the vorticity 3412 number, or relative amounts of simple and pure shear, along strike of the WBHSZ. Ultimately, 3413 the variation in vorticity number likely reflects a combination of changing plate boundary 3414 orientation and vertical strain partitioning between the upper, middle, and lower crust (e.g., 3415 Figure 5.15). It is reasonable to conclude that deformation is horizontally as well as vertically 3416 partitioned (e.g., Northrup and Burchfield, 1996). While a large-scale rotation of the maximum 3417 horizontal stress direction may result from along-strike variations in plate-boundary orientation 3418 (e.g., Faure et al., 1996), abrupt changes in horizontal stress azimuth, such as that required 3419 3420 between the WBHSZ and Norumbega fault zone are more readily explained by deformation partitioning (see Figure 5.15 and section 5.5.2 for a discussion of paleo-stress directions, 3421 convergence direction, and plate boundary orientation). Therefore, from our observations of 3422 Carboniferous deformation in southern New England versus northern New England, we 3423 conclude that strike-slip and orogen parallel deformation is strongly partitioned into the upper 3424 crust, while the weak middle crust accommodates slip and pure shear near parallel to the 3425 3426 convergence direction in the late Paleozoic (Figure 15, Young et al., 2019). Furthermore, if deformation is vertically partitioned, it would explain why strike-slip deformation is abundant 3427 throughout the upper crust exposed in northern New England, Eastern Canada, and NW Africa 3428 3429 while it is nearly absent in mid-lower crust exposed in southern New England.

The presence of a subhorizontal weak zone in the orogenic middle crust endorses the classical 'jelly sandwich' model of orogenic rheology, where the upper (CMT) and lower (Avalonia/Ganderia) crust are decoupled along a weak layer in the middle crust (Merrimack Terrane) (Figure 5.7). Although, we did not identify an underlying rigid lower crust. Wintsch et

al. (2014) suggest that Avalonia remained relatively rigid until after prograde metamorphism at
~300-290 Ma. Thus, Avalonia and the lithologies on the south side of the Honey Hill fault may
represent the feldspar rich, strong lower crust. It is unclear from our results whether a weak
mid-crustal layer is sufficient to inhibit transcrustal or translithospheric strike-slip shear, or if
the regional kinematics and deformation regime are also important.

The subhorizontal weak zone in the middle crust and associated subhorizontal fabric 3439 developed no earlier than the early Alleghenian orogeny in the mid-late Carboniferous while 3440 high temperature metamorphism may have persisted from the previous Acadian-Neoacadian 3441 orogeny based on Zr-in-ttn constraints on the temperature of metamorphism (Figure 5.14). The 3442 temperature-time data from the studied titanites implies over 100 Myrs of high temperatures in 3443 the middle crust, however the subhorizontal weak layer may not have been present for the 3444 entirety of this period. Therefore, metamorphism alone cannot explain the development of such 3445 3446 a subhorizontal weak layer in the middle crust, as there is no evidence for a similar layer during Acadian-Neoacadian orogenesis which achieved comparable mid-crustal conditions to those 3447 documented in the Alleghenian middle crust (Chu et al., 2018). 3448

The regional kinematics and deformation style must play an important role in the creation of the subhorizontal layer due to its absence during previous Acadian-Neoacadian deformation which occurred at similar temperatures. It is likely that one of two factors is required to produce a subhorizontal layer in the weak middle crust, either 1) flattening in the orogenic hinterland resulting from orogenic collapse or significant upper crustal loading or 2) orogen parallel simple shear between the upper and lower crust due to depth-dependent partitioning or paired general shear (Yin and Taylor, 2011).

3456 5.5.2 Gondwana-Laurasia Permo-Carboniferous Stress Field and Kinematics
3457 We hypothesize that conjugate strike-slip shear in the early Alleghenian orogeny and the
3458 significant regional contrast between the northern and southern Appalachians resulted
3459 primarily from the variation in plate boundary geometry during the collision of Gondwana and

Laurasia. Where the plate boundary in the northern Appalachians reflects strongly oblique 3460 convergence in comparison to near head-on collision in the southern Appalachians (Figure 3461 5.15). The structure of the northern and southern Appalachians is fundamentally different both 3462 3463 in terms of their macroscale structure and the spatial distribution of metamorphism. Previous studies commonly invoke a regional regime of dextral transpression for both the Acadian-3464 Neoacadian and early Alleghenian orogenies in the northern and southern Appalachians (Ferrill 3465 and Thomas, 1988; Gates et al., 1988; West and Hubbard, 1997; Robinson et al., 1998; Solar and 3466 Brown, 2001; Valentino and Gates, 2001; Merschat et al., 2005; Massey et al., 2017). Gates et al. 3467 (1988) previously hypothesized that an extrusion model for the northern Appalachians is 3468 inconsistent with the absence of major sinistral shear zones. However, with the work of Massey 3469 and Moecher (2013), McWilliams et al. (2013), and the results of this study, it is clear that a 3470 major sinistral shear zone is present in the western BHA. The identification of this major 3471 3472 sinistral shear zone by Massey and Moecher (2013) leads us to reevaluate the regional deformation regime during Carboniferous orogenesis and quantitatively assess the relationship 3473 between the convergence direction of Gondwana and Laurasia and the local stress field required 3474 by deformation on Alleghenian faults. 3475

We can determine the local direction of the maximum horizontal stress through a simple 3476 relationship between vorticity number and the angle of the flow apophyses (Fossen and 3477 Cavalcante, 2017). Vorticity number is directly equal to the cosine of the angle between the flow 3478 apophyses. Assuming that one of the flow apophyses is representative of the foliation plane, 3479 then the other corresponds to the direction of the maximum principal stress. Therefore, we can 3480 3481 use our estimates of vorticity number to map out the regional variation in maximum compressive stress directions in New England and compare our results to estimates of stress 3482 direction in the southern Appalachians, the convergence direction between Gondwana and 3483 3484 Laurasia, and the plate boundary orientation.

3485 The results of our calculated maximum compressive stress orientations are shown on Figure 5.11 relative to the regional structures associated with the collision of Laurasia and 3486 Gondwana. We observe a significant variation in principal stress orientation within New 3487 3488 England, with the northern WBHSZ and Norumbega fault zones yielding near perpendicular results. Further south, the horizontal stress directions are closer to the overall convergence 3489 direction between Gondwana and Laurasia as determined from the plate kinematic model of 3490 Young et al. (2019). The contrasting stress directions in the northern Appalachians required by 3491 deformation on the WBHSZ and Norumbega fault zone likely reflect significant strain 3492 partitioning in the upper crust as inferred in the previous section. If we knew the relative 3493 displacements on these two fault zones, we could attempt to calculate the convergence direction 3494 based on the relative amounts of deformation partitioned onto the two shear zones; however, it 3495 is extremely difficult to estimate displacements with any certainty on the strike parallel WBHSZ. 3496 3497 If the shear zones have near equal displacements, their sum would yield a total stress azimuth 3498 near the convergence direction.

The two calculated stress directions for southern New England reflect decreasing degrees 3499 of strain partitioning with increasing depth in the orogen as they are near parallel to the 3500 convergence direction. The sample at  $\sim 30^{\circ}$  degrees to the convergence direction is CT2203, 3501 which records general shear in the WBHSZ. In concert with the flattening shear zone on the 3502 eastern margin of the CMT (based on deformation analysis of sample CT2208), the E-W 3503 convergence in central Massachusetts and northern Connecticut may have still been largely 3504 accommodated on the margins of the CMT. The southernmost stress direction of this study, 3505 from sample CT2217, is almost exactly parallel to the convergence direction. This result together 3506 with the vorticity vector for sample CT2229 which also suggests near E-W horizontal stress 3507 strongly suggests that the convergence between Gondwana and Laurasia is not partitioned in the 3508 weak mid-crustal layer with dip-slip and flattening in the direction of bulk convergence. 3509

3510 Stress direction estimates for the southern Appalachians yield a similar result to the 3511 southernmost sample discussed above. Figure 5.15 displays the stress direction estimated by 3512 analyzing Carboniferous-Permian joint set directions in the southern Appalachians (Engelder 3513 and Whitaker, 2006). The direction of bulk convergence and estimates of horizontal stress 3514 direction in the southern Appalachians are consistent with observations of dextral transpressive 3515 deformation along major structures such as the Brevard Fault Zone (Merschat et al., 2005).

While the convergence direction does not change dramatically between the south and 3516 north Appalachians, the plate boundary orientation rotates up to 80° in the northern 3517 Appalachians and NW Africa from the plate boundary between the Suwannee terrane and 3518 Laurasia in the southern Appalachians (Figure 5.15, Young et al., 2019). This obviously explains 3519 why dextral strike-slip shear zones are so prevalent in the northern Appalachians and NW Africa 3520 as commonly discussed in the literature, however, the presence of a sinistral shear zone 3521 conjugate to the Norumbega is enigmatic. Sinistral deformation on the WBHSZ would seem 3522 inconsistent with the bulk convergence direction in the Carboniferous. The lack of underlying 3523 orogen-parallel flow is inconsistent with models which invoke basal tractions such as paired 3524 general shear (Yin and Taylor, 2011). Based on these results, we favor a model where the 3525 sinistral shear zone is necessary as an antithetic shear zone accommodating deformation 3526 between the adjacent and relatively juvenile Paleozoic terranes and the rigid and old Laurasian 3527 cratonic lithosphere. The sinistral WBHSZ in tandem with the dextral Norumbega fault zone 3528 3529 serves to facilitate extrusion of the relatively rigid CMT to the north and away from the zone of direct convergence in the southern Appalachians. 3530

In addition to accommodating deformation on the margin of the Laurasian craton, the extrusion of the CMT between conjugate strike-slip shear zones would serve to facilitate convergence between Gondwana and Laurasia. The near perpendicular convergence to the south likely produced significantly greater crustal thicknesses in comparison to the northern Appalachians, prohibiting significant orogen-parallel transport from north to south. The

variation in crustal thickness (based on studies of Alleghenian metamorphism) between the
relatively thicker southern New England Appalachians versus the comparatively thin northern
Appalachians would serve to drive N-S, orogen-parallel transport away from the region of
elevated crustal thickness (Hillenbrand et al., 2021).

Therefore, ascribing a regional deformation regime of dextral transpression for the 3540 Alleghenian orogeny in New England is perhaps misleading given the diversity of shear zones 3541 documented in this study. Alleghenian shear zones in New England range from strike-slip 3542 dominant dextral and sinistral regimes to flattening and dip-slip zones. The spatial pattern of 3543 coaxial dominant deformation, or 'pinching,' in the south transitioning to upper crustal 3544 conjugate strike-slip in the north is consistent with a northward extrusion model for 3545 deformation in the northern Appalachians during, at least, the early Alleghenian orogeny (~330-3546 280 Ma). The extrusion results from the relatively head-on collision, or indentation, of 3547 3548 Gondwana to the south, with strongly oblique convergence in the north. Future work should focus on reevaluating the spatio-temporal variation in Alleghenian metamorphism in the 3549 southern Appalachians to place precise constraints on the P-T conditions associated with 3550 changing deformation regimes in the Merrimack Terrane and underlying Avalonia. 3551

3552 **5.6** 

## Conclusions

We have found that spatio-temporal variations in Permo-Carboniferous deformation in 3553 the New England Appalachians are consistent with a model of orogenic conjugate strike-slip 3554 shear similar to that proposed by Van Buer et al. (2015) for the Tibetan plateau. Upper crustal 3555 strike-slip shear zone mylonites in northern New England transition to general and flattening 3556 shear zones in the weak middle crust of the Alleghenian orogen, reflecting decreasing degrees of 3557 deformation partitioning with depth in the orogenic system relative to the convergence direction 3558 in the late Carboniferous. Furthermore, our results support an upper crustal extrusion model, 3559 similar to commonly invoked extrusion models in the Eastern Himalayas and SE Asia. The 3560 3561 presence of a major sinistral shear zone and significant flattening and dip-slip deformation in

- 3562 New England suggest inferences of regional dextral transpression in the late Paleozoic present
- 3563 in incomplete picture of trans-crustal deformation.

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4081 Figure 5.1: Regional map of New England and major terranes, modified from Aleinikoff et al. 4082 (2007). Published age constraints for major shear zones and internal deformation of the terranes are 4083 given in the associated white boxes. Age constraints for the Norumbega are from West and Lux (1993) and Gerbi and West (2007). Ages for the BHA from Spear et al. (2008) (Mnz) and Wintsch et al. (2003). 4084 Ages for the CMT from Moecher et al. (2021), and the WBHSZ from Massey et al. (2017). Acronyms are 4085 4086 as follows: BHA-Bronson Hill Anticlinorium, CVGT-Connecticut Valley Gaspe Trough, CMT-Central 4087 Maine Terrane, HB-Hartford Basin, MT-Merrimack Terrane, PNT-Putnam Nashoba Terrane.



4089	Figure 5.2: Compiled macroscopic foliation data from Connecticut and Massachusetts bedrock
4090	geologic maps. The compiled foliations are averaged into a grid for visualization. Acronyms are the
4091	same as Figure 1 except for WD-Willimantic Dome and THB-Tatnic Hill Belt. Bedrock data references
4092	are as follows: Collins (1954), Aiken (1955), Herz (1955), Snyder (1961), Snyder (1964a), Snyder (1964b),
4093	Dixon (1965), Dixon and Shaw (1965), Feininger (1965), Dixon and Pessl (1966), Lundgren and Ashmead
4094	(1966), Goldsmith (1967a), Goldsmith (1967b), Snyder (1967), Dixon (1968), Snyder (1970), Harwood
4095	and Goldsmith (1971), Lundgren et al. (1971), Eaton and Rosenfeld (1972), Dixon (1974), Peper and
4096	Pease (1975), Fahey and Pease (1977), Lundgren (1979), Dixon (1982), Moore (1983), Dixon and Felmlee
4097	(1986), Pease (1988), and Deasy and Wintsch (2019).



4106

Figure 5.3: Outcrop photos of structures in southern New England. A) West side south, sinistral asymmetric boudin on a subhorizontal plane near Sturbridge, MA. B) Structures on the subvertical plane at the same outcrop as A), displaying west side up asymmetric folding and largely symmetric boudinage. C, F, and G) Top to the north shear sense indicators on an outcrop through the Honey Hill fault zone in southeastern CT. D) Symmetric boudinage in the core of the Bolton synform in Bolton Notch State Park, CT. E) Top to the SE asymmetric boudins near the Eastford fault in northeast Connecticut. H) Symmetric boudin of a coarse amphibole and plagioclase layer directly south of Willimantic, CT.



4108Figure 5.4: Stereonets of the compiled foliations  $(S_n, \text{ contoured by multiples of uniform density,}$ 4109m.u.d.), mineral lineations  $(L_m, \text{ blue poles})$ , and fold axes  $(L_f, \text{ red poles})$  separated by the tectonic4110domains on Figure 2. The average foliation plane is shown as a black plane, except for the BHA. Due to4111the large-scale folding within the BHA, we plot the calculated fold axis as a large red circle. The vorticity4112vector from CVA analysis (Michels et al., 2015) is plotted as an orange square with a gray border.



Figure 5.5: Thin section photographs of sample TH2107 (A, B, and C), MA2207 (D), CT2208 (E),
 CT2217 (F and G), and CT2229 (H and I). Orientations and shear sense are shown in red for samples with well-developed microscopic shear sense indicators discussed in the text.



Figure 5.6: EBSD pole figures of the vorticity normal section (VNS) for the specified samples in 4118 4119 this study. Pole figures are arranged according to their approximate relative positions in New England 4120 (visible on the map). Red squares are the results of component analysis of the orientation distribution function in MTEX (Bachmann et al., 2011). The included map displays the sample locations (numbered), 4121 as well as major shear zones/terrane boundaries in New England. Samples are colored according to the 4122 dominant quartz slip system on the VNS. Abbreviations are as follows: WBHSZ-Western Bronson Hill 4123 Shear Zone, NFS-Norumbega fault system, CNF-Clinton Newbury Fault, BBF-Bloody Bluff Fault, EF-4124 Eastford fault, LCF-Lake Char fault, HHF-Honey Hill fault. 4125



Figure 5.7: Depth vs differential stress modified from Behr and Platt (2011). Brittle failure
curves for strike-slip (solid) and thrust regimes (dashed) are labelled. Ductile failure curves according to
the labelled strain rate (s-1). The blue box represents the stress estimate from the recrystallized grain size
piezometer of Cross et al. (2017) for sample TH2107. The red box represents the range of approximate
depth from (Moecher, 1997) and the stress from the subgrain size piezometer of Goddard et al. (2020)
for samples CT2203, CT2205, CT2208, CT2217, and CT2229.



*Figure 5.8: Elemental x-ray maps from wavelength dispersive spectrometry (WDS) for monazite grains.* 

	AI	Fe	Nb
CT2223A Titanite 1	N.		1. A
CT2223A Titanite 2			
CT2223A Titanite 3			
CT2229A Titanite 1			
CT2229A Titanite 2			
CT2229A Titanite 3		Je	
CT2229A Titanite 4			

4137 Figure 5.9: Elemental x-ray maps from wavelength dispersive spectrometry (WDS) for titanite grains.



4139

Figure 5.10: Chondrite normalized (Sun and McDonough, 1989) rare earth element (REE) diagrams for 4140 titanite grains in samples CT2223 and CT2229. Colors represent different compositional domains used 4141 for age calculation in Figure 5.11. Black represents the youngest compositional domain. Red represents 4142 the next oldest compositional domain. And blue represents the oldest compositional domain (only 4143 *Titanite 3 in sample CT*2229 *has three distinct compositional domains*). 4144



4148

Figure 5.11: Histograms and kernel density estimates (KDE) of monazite spot ages for 4149 individual grains plotted with IsoplotR (Vermeesch, 2018). Local maxima in the KDE are labelled.



4151 Figure 5.12: Tera-Waserberg diagrams for U-Pb isotope compositions in four titanite grains
4152 from sample CT2229 plotted in IsoplotR (Vermeesch, 2018). A, B, C, and D) plot the results of all spots
4153 for the four grains with the 450, 400, 350, 300, and 275 Ma discordant isochrons anchored at Stacey4154 Kramers common lead composition between 250-500 Ma (~0.86, Stacey and Kramers, 1975). E, F, G, H,
4155 and I) display the domain segregated isochron fits, anchored at Stacey-Kramers common lead for
4156 grains 1 and 3. For grains 2 and 4, and the individual domain data, the discordant age model is plotted
4157 as a black line with a gray error region.



Figure 5.13: Tera-Waserberg diagram for U-Pb isotope compositions in three titanite grains
from sample CT2223 plotted in IsoplotR (Vermeesch, 2018). Discordant isochron models at 300, 275,
250, 225, and 200 Ma, anchored at Stacey-Kramers common lead (Stacey and Kramers, 1975), are
shown as dashed lines. The discordant isochron fits through the data of the three grains is plotted as a
black line with associated error in gray.



4166 *Figure 5.14: BSE maps of select titanite and monazite grains from samples CT2223 and CT2229* with spot locations overlayed. A) Displays the concordant age fit of each point (assuming a <sup>207</sup>Pb/<sup>206</sup>Pb intercept of ~0.86 for titanite or concordia age for monazite) calculated in IsoplotR (Vermeesch, 2018). *B)* Displays the Zr-in-ttn temperature (Hayden et al., 2008) assuming a pressure of titanite growth of ~7kb (Moecher, 1997), only for titanite grains.

4167 4168

. 4169 4170



4172	Figure 5.15: Regional tectonic reconstruction at 320 Ma. The modern-day coastlines are
4173	reconstructed to their relative positions at 320 Ma according to the kinematic model of Young et al.
4174	(2019). Late Carboniferous stress directions, corresponding to early Alleghenian deformation, are
4175	plotted as inward pointing fletchings.

### **Appendix 1: Additional Background and Methodology** for Chapter 2

#### A1.1: Additional Details of Model Derivation for Hele Shaw Flow

This section adds detail to the derivation of the quantitative model described in Section 5 of the main text. 

Beginning with eqs. 5ab in the main text, we solve for the constants  $A_u$  and  $A_\ell$  so that the horizontal velocity and shear stress are equal at the interface between the upper and lower channels, giving: 

$$A_{u} = -\frac{P_{\ell}}{\partial r} \left( \frac{\mu_{u} c_{\ell}^{2}}{2\mu_{u} (c_{u}\mu_{\ell} + \mu_{u} c_{\ell})} \right) - \frac{\partial P_{u}}{\partial r} \left( \frac{\mu_{\ell} c_{u}^{2} + 2\mu_{u} c_{u} c_{\ell}}{2\mu_{u} (c_{u}\mu_{\ell} + \mu_{u} c_{\ell})} \right)$$
(A-1)

4189 
$$A_{\ell} = \frac{\partial P_{u}}{\partial r} \left( \frac{\mu_{\ell} c_{u}^{2}}{2\mu_{\ell} (c_{\ell} \mu_{u} + \mu_{\ell} c_{u})} \right) + \frac{\partial P_{\ell}}{\partial r} \left( \frac{\mu_{u} c_{\ell}^{2} + 2\mu_{\ell} c_{\ell} c_{u}}{2\mu_{\ell} (c_{\ell} \mu_{u} + \mu_{\ell} c_{u})} \right)$$
(A - 2)  
4190

We integrate eqs. (5ab) in the main text over z, from the top to bottom of each channel, to find the total flux: 

$$\int_0^{c_u} v_u(z) dz = \frac{1}{\mu_u} \frac{\partial P_u}{\partial r} \left(\frac{c_u^3}{6}\right) + A_u \frac{c_u^2}{2}$$
(A-3)

4196 
$$\int_{0}^{c_{u}} v_{\ell}(z) \, dz = \frac{1}{\mu_{\ell}} \frac{\partial P_{\ell}}{\partial r} \left(\frac{c_{\ell}^{3}}{6}\right) - A_{\ell} \frac{c_{\ell}^{2}}{2} \tag{A-4}$$
4197

Finally, we take the divergence to obtain the change in thickness of the upper and lower channels through time. Substituting for  $P_u$ ,  $P_\ell$ ,  $A_u$ , and  $A_\ell$  yields: 

4201 
$$\frac{\partial c_u}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left( r B_u \left( \frac{\partial T}{\partial r} \right) \right) + \frac{1}{r} \frac{\partial}{\partial r} \left( r C_u \frac{\partial c_{ex}}{\partial r} \right) + \frac{1}{r} \frac{\partial}{\partial r} \left( r D_u \frac{\partial c_u}{\partial r} \right) \quad (A-5)$$
4202

where:

and:

$$B_{u} = \frac{(\rho_{u} - \rho_{w})g(\mu_{\ell}c_{u}^{4} + 4\mu_{u}c_{\ell}c_{u}^{3}) + (\rho_{\ell} - \rho_{w})g(3\mu_{u}c_{\ell}^{2}c_{u}^{2})}{12\mu_{u}(c_{u}\mu_{\ell} + \mu_{u}c_{\ell})}$$

$$C_{u} = \frac{(\rho_{u} - \rho_{ex})g(\mu_{\ell}c_{u}^{4} + 4\mu_{u}c_{\ell}c_{u}^{3}) + (\rho_{\ell} - \rho_{ex})g(3\mu_{u}c_{\ell}^{2}c_{u}^{2})}{12\mu_{u}(c_{u}\mu_{\ell} + \mu_{u}c_{\ell})}$$

4210  
$$D_u = \frac{(\rho_u - \rho_\ell)g(\mu_u c_\ell^2 c_u^2)}{4\mu_u (c_u \mu_\ell + \mu_u c_\ell)}$$

4213 
$$\frac{\partial c_{\ell}}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left( rB_{\ell} \left( \frac{\partial T}{\partial r} \right) \right) + \frac{1}{r} \frac{\partial}{\partial r} \left( rC_{\ell} \frac{\partial c_{ex}}{\partial r} \right) + \frac{1}{r} \frac{\partial}{\partial r} \left( rD_{\ell} \frac{\partial c_{u}}{\partial r} \right)$$
(A - 6)

$$B_{\ell} = \frac{(\rho_{\ell} - \rho_{w})g(\mu_{u}c_{\ell}^{4} + 4\mu_{\ell}c_{u}c_{\ell}^{3}) + (\rho_{u} - \rho_{w})g(3\mu_{\ell}c_{\ell}^{2}c_{u}^{2})}{12\mu_{\ell}(c_{u}\mu_{\ell} + \mu_{u}c_{\ell})}$$

$$C_{\ell} = \frac{(\rho_{\ell} - \rho_{ex})g(\mu_{u}c_{\ell}^{4} + 4\mu_{\ell}c_{u}c_{\ell}^{3}) + (\rho_{u} - \rho_{ex})g(3\mu_{\ell}c_{\ell}^{2}c_{u}^{2})}{12\mu_{\ell}(c_{u}\mu_{\ell} + \mu_{u}c_{\ell})}$$

4222 
$$D_{\ell} = \frac{(\rho_u - \rho_{\ell})g(\mu_u c_{\ell}^4 + 4\mu_{\ell} c_u c_{\ell}^3)}{12\mu_{\ell}(c_u \mu_{\ell} + \mu_u c_{\ell})}$$

Using the relationship between dynamic pressure and vertical velocity at the base of the lower channel (see following section): 

$$\frac{\partial c_u}{\partial t} + \frac{\partial c_\ell}{\partial t} - \frac{\partial T}{\partial t} = f P_\ell \qquad (A - 7)$$

where f is a parameter with units of m Pa<sup>-1</sup>s<sup>-1</sup>. We rewrite to solve for the time derivative of  $c_{\ell}$ 

and substitute into eq. (A-6): 

$$\left(fP_{\ell} + \frac{\partial T}{\partial t} - \frac{\partial c_u}{\partial t}\right) = \left[\frac{1}{r}\frac{\partial}{\partial r}\left(rB_{\ell}\frac{\partial T}{\partial r}\right) + \frac{1}{r}\frac{\partial}{\partial r}\left(rD_{\ell}\frac{\partial c_u}{\partial r}\right)\right]$$
(A - 8)

> Adding eqs. (A-5) and (A-8) and moving  $f P_{\ell}$  to the right-hand side, we obtain an expression for the time derivative of *T*:

4239  
4240  

$$\frac{\partial T}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left( r(B_u + B_\ell) \frac{\partial T}{\partial r} \right) + \frac{1}{r} \frac{\partial}{\partial r} \left( r(C_u + C_\ell) \frac{\partial c_r}{\partial r} \right) + \frac{1}{r} \frac{\partial}{\partial r} \left( r(D_u + D_\ell) \frac{\partial c_u}{\partial r} \right) - f P_\ell$$
4241

and finally, substituting for  $P_{\ell}$ : 

$$\frac{\partial T}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left( r(B_u + B_\ell) \frac{\partial T}{\partial r} \right) + \frac{1}{r} \frac{\partial}{\partial r} \left( r(C_u + C_\ell) \frac{\partial c_r}{\partial r} \right) + \frac{1}{r} \frac{\partial}{\partial r} \left( r(D_u + D_\ell) \frac{\partial c_u}{\partial r} \right)$$

$$-f\left(\left[gT(\rho_{\ell}-\rho_{w})-c_{r}g(\rho_{\ell}-\rho_{cr})\right]-c_{u}g(\rho_{\ell}-\rho_{u})\right)$$
(A-9)

We used eq. (A-9) to solve for the new value of T at each timestep using implicit finite differencing, and the eq. (A-5) to solve for the new value of  $c_u$  using explicit finite differencing. 

#### A1.2: Horizontal and Vertical Velocities in a Viscous Medium from Stream **Function Analysis**

In this section we derive an "exact" solution for flow in a two-layer medium. We then 4253 apply conditions similar to those in the main text, with a relatively thin, low-viscosity upper 4254 layer and a thicker, high-viscosity lower layer. This allows us to examine how well the Hele-4255 4256 Shaw approximation captures the rate of horizontal flow in the upper layer when it is underlain by material with thickness and viscosity similar to that of the lower mantle, and to estimate how 4257 vertical velocity at the base of the channel is related to the dynamic pressure in the channel. For 4258 simplicity we use a simple, two-dimensional Cartesian geometry, and note how a spherical shell 4259 4260 geometry might be expected to modify the results from the Cartesian analysis.

Note that the "layers" in this section are a weak upper layer assumed to be immediately
beneath the lithosphere and a lower layer extending to the base of the lower mantle. In the main
text the upper layer is further divided into two separate layers, or "channels" comprised of
weaker plume material above and normal asthenosphere beneath.

4265 We begin with a stream function that satisfies the Stokes equation for (linear) viscous 4266 flow in the x-z plane, with wavenumber *k* in the *x*-direction:

4267

4268 
$$\psi = (A\cosh(kz) + B\sinh(kz) + Ckz\cosh(kz) + Dkz\sinh(kz))\sin(kx) \qquad (A-10)$$

4269

4270 where *A*-*D* are constants to be determined from the boundary conditions. The *x*- and *z*-4271 components of velocity ( $v_x$ ,  $v_z$ ), shear stress ( $\tau_{xz}$ ), viscous pressure (*P*), and deviatoric stresses 4272 ( $\sigma_{xx}$ ,  $\sigma_{zz}$ ) are:

4273

4274 
$$v_x = \frac{\partial \psi}{\partial z}; \quad v_z = -\frac{\partial \psi}{\partial x}; \quad \tau_{xz} = \mu \left(\frac{\partial v_x}{\partial z} + \frac{\partial v_z}{\partial x}\right)$$

4275

4276 
$$(P + \sigma_{zz}) = \int \frac{\partial \tau_{xz}}{\partial x} dz; \quad \sigma_{zz} = -\sigma_{xx} = \frac{\partial^2 v_x}{\partial x^2}$$
(A - 11)

4278 where  $\mu$  is viscosity.

4279

4280 The constants A-D in (A-10) can be written in terms of the velocity, shear stress and total 4281 vertical stress evaluated at z=0, as indicated by the naught-subscript on each variable:

4282

4283 
$$Ak = -\frac{v_{zo}}{k} \qquad B = \frac{P_o + \sigma_{zzo}}{2\mu k^2}$$

4284

4285 
$$C = \frac{v_{xo}}{k} - \frac{P_o + \sigma_{zzo}}{2\mu k^2} \qquad D = \frac{v_{zo}}{k} + \frac{\tau_{xzo}}{2\mu k^2} \qquad (A-12)$$

4286

4287 Evaluation of the stream function yields expressions for velocity and stress, given in the 4288 box below for the benefit of the reader.

4290  
4291 General expressions for velocity and stress as derived from the stream function (X1):  
4292  
4293 
$$v_x(z,\mu) = \left(v_{xo}(\cosh(kz) + kz\sinh(kz)) + v_{zo}kz\cosh(kz) + \left(\frac{\tau_0}{2\mu k}\right)(\sinh(kz) + kz\cosh(kz))\right)$$
  
4294  $-\left(\frac{f_{zzo}}{2\mu k}\right)kz\sinh(kz)\right)\sin(kx)$   
4295  
4296  
4297  $v_z(z,\mu) = \left(-v_{xo}kz\cosh(kz) + v_{zo}(\cosh(kz) - kz\sinh(kz)) - \left(\frac{\tau_0}{2\mu k}\right)kz\sinh(kz)\right)$   
4298  $+\left(\frac{f_{zzo}}{2\mu k}\right)(kz\cosh(kz) - \sinh(kz))\right)\cos(kx)$ 

4299  
4300
$$\tau_{xy}(z,\mu) = (2\mu k v_{xo}(\sinh(kz) + kz \cosh(kz)) + 2\mu k v_{zo}kz \sinh(kz) + \tau_{xo}(\cosh(kz) + kz \sinh(kz))$$
4301 $\tau_{xy}(z,\mu) = (2\mu k v_{xo}kz \cosh(kz)) \sin(kx)$ 4302 $-f_{xxo}kz \cosh(kz)) \sin(kx)$ 4303 $f_{zz}(z,\mu) = (2\mu k v_{xo}kz \sinh(kz) + 2\mu k v_{zo}(kz \cosh(kz) - \sinh(kz)) + \tau_{xo}kz \cosh(kz)$ 4304 $f_{zz}(z,\mu) = (2\mu k v_{xo}kz \sinh(kz) + 2\mu k v_{zo}(kz \cosh(kz) - \sinh(kz)) + \tau_{xo}kz \cosh(kz)$ 4305 $f_{zz}(z,\mu) = (2\mu k v_{xo}(\cosh(kz) + kz \sinh(kz))) \cos(kx)$ 4306 $+ f_{zzo}(\cosh(kz) - kz \sinh(kz))) \cos(kx)$ 4307 $\sigma_{zz}(z,\mu) = (2\mu k v_{xo}(\cosh(kz) + kz \sinh(kz)) + 2\mu k v_{zo}kz \cosh(kz) + \tau_0(\sinh(kz) + kz \cosh(kz)))$ 4308 $-f_{zzo}kz \sinh(kz)) \cos(kx)$ 4310 $-f_{zzo}kz \sinh(kz)) \cos(kx)$ 4311 $P(z,\mu) = (-2\mu k v_{xo} \cosh(kz) - 2\mu k v_{zo}\sinh(kz) - \tau_{xo}\sinh(kz) + f_{zzo}\cosh(kz)) \cos(kx)$ 4313 $P(z,\mu) = (-2\mu k v_{xo} \cosh(kz) - 2\mu k v_{zo}\sinh(kz) - \tau_{xo}\sinh(kz) + f_{zzo}\cosh(kz)) \cos(kx)$ 4314 $W = (x,\mu) = (-2\mu k v_{xo} \cosh(kz) - 2\mu k v_{zo}\sinh(kz) - \pi_{xo}\sinh(kz) + f_{zzo}\cosh(kz)) \cos(kx)$ 4318 $W = (x,\mu) = (-2\mu k v_{xo} \cosh(kz) - 2\mu k v_{zo}\sinh(kz) - \pi_{xo}\sinh(kz) + f_{zzo}\cosh(kz)) \cos(kx)$ 4319 $W = (x,\mu) = (-2\mu k v_{xo} \cosh(kz) - 2\mu k v_{zo}\sinh(kz) - \pi_{xo}\sinh(kz) + f_{zzo}\cosh(kz)) \cos(kx)$ 4311 $W = (x,\mu) = (x,\mu) = (x,\mu) \cosh(kz) - 2\mu k v_{zo}\sinh(kz) - \pi_{xo}\sinh(kz) + f_{zzo}\cosh(kz)) \cos(kx)$ 4313 $W = (x,\mu) = (x,\mu) \cosh(kz) - 2\mu k v_{zo}\sinh(kz) - \pi_{xo}\sinh(kz) + f_{zzo}\cosh(kz)) \cos(kx)$ 4314 $W = (x,\mu) = (x,\mu) \cosh(kz) - 2\mu k v_{zo}\sinh(kz) - \pi_{xo}\sinh(kz) + f_{zzo}\cosh(kz) \sin(kx) \sin(kx)$ 4315It is convenient to set the interface between the two viscous layers at z=0, and the4316equations apply to both layers provided that the appropriate value of viscosity (\mu

Here we show results for two configurations that are relevant to the analysis in the main text. The first case treats the upper layer as approximately corresponding to most of the upper mantle and a viscosity that is generally accepted to be representative of the upper mantle:  $h=400 \text{ km}, \mu_h = 10^{20} \text{ Pa s}$ . The second case decreases the thickness and the viscosity of the upper layer by a factor of 10:  $h=40 \text{ km}, \mu_h = 10^{19} \text{ Pa s}$ . In both cases the combined thickness of the layers, h+H, is 3000 km unless otherwise noted.

For the plumes in this paper examined in this, the diameter of the topographic swells is  $\sim$  3000 km, yielding an approximate value of *k* in the range of 10<sup>-6</sup> m<sup>-1</sup>, but spanning a range of wavenumbers within an order of magnitude of this value. In the calculation below we use a  $k=10^{-6}$  m<sup>-1</sup>.

We first examine how well flow in the upper, low-viscosity layer can be approximated as Hele-Shaw flow with zero horizontal velocity at its top and base. For *z* between *-h* and 0, the Hele-Shaw expression for horizontal velocity is:

4336

4337 
$$v_x = \frac{P_o}{2\mu}(z^2 + hz)$$
 (A - 13)

4338

In this approximation, deviatoric stresses for *z* between *-h* and 0 are assumed to be negligible and pressure invariant with depth. For both configurations we use the stream function solutions to that  $\sigma_{zz}$  and the variation in pressure with depth are less than 1% of the surface pressure, and thus satisfy the assumptions of Hele-Shaw flow.

Figure A1.1 shows the horizontal velocity in the upper layer as a function of depth (for wavenumber  $k = 10^{-6}$  m<sup>-1</sup>), comparing the "exact" velocity computed from the stream function (A-10 to A-12) with the velocity computed using the Hele-Shaw approximation with  $v_x$  set to zero at the top and bottom of the layer (A-13). These velocities are normalized by the Hele-Shaw velocity in (A-13) computed halfway through the layer, at z = -h/2.

For both layer configurations,  $v_x$  computed from the stream function is similar to that 4348 computed from the Hele-Shaw approximation, although the stream function yields velocities 4349 that are slightly larger and the velocity at the base of the layer is not zero. For h=400 km ( $\mu_{h}=$ 4350 10<sup>20</sup> Pa s), the stream function velocity throughout is quite close to the approximate solution and 4351 4352 the total flux (integral of velocity with depth) is within  $\sim 3\%$  of the total flux for the approximate solution. For h = 40 km ( $\mu_h = 10^{19}$  Pa s), the maximum horizontal velocity computed from the 4353 stream function is approximately 13% greater than for the approximate solution and the total 4354 flux is  $\sim 20\%$  greater than for the approximate solution. 4355

4356These results indicate that using the Hele-Shaw approximation for flow in the upper4357layer, with  $v_x$  set to zero at the upper and lower boundaries may underestimate the total flux by4358~ 20 % as compared to the exact solution that includes the high viscosity lower layer.4359Alternatively, the approximate solution will yield an overestimate of the upper layer viscosity by4360up to 20%, which is fairly small compared with uncertainties in mantle viscosity.

4361



4362

4364 Figure A1.1. Normalized horizontal velocity for simple parabolic flow as computed in (A-13) (black line) compared to the "exact" solutions (blue and red lines) from the stream function (A11-A13) for 4365 the two configurations described in the text. The combined thickness of the upper and lower layer is 4366 4367 fixed at 3000 km. There is a vertical velocity at the base of the upper layer that scales with the viscous 4368 4369 pressure at the interface between layers. When the upper layer is thinner and much less viscous than the lower layer, as in the cases considered here, the vertical velocity at base of the upper 4370 layer is controlled by flow in the lower layer and is mostly independent of the thickness and 4371 viscosity of the upper layer. It is however sensitive to wavenumber, k, and scales **inversely** 4372 with the viscosity of the lower layer. For a lower layer viscosity of 5x10<sup>22</sup> Pa s, the ratio of 4373 vertical velocity at the base of the upper layer to the pressure in the upper layer is shown in the 4374 plot below (where we divide by a factor of two to allow for three-dimensional flow). 4375

4376



Figure A1.2. The ratio of vertical velocity (in m/s) to pressure in the upper layer (in Pa) for the 4379 two viscosity structures examined in this section. Thinner lines are for a total thickness of the two-layers 4380 of 3000 km, thicker lines for a total thickness of 1500 km. 4381

The assumption of Cartesian geometry is not particularly good for a layer that 4382 4383 extends to the base of the lower mantle (thinner lines in plot above), where the radius at the 4384 base of the mantle is approximately half that of the Earth. If we instead put the base of the lower layer halfway down through the mantle, at 1500 km below the top of the upper layer (thicker 4385 4386 lines in plot above), the ratio between vertical velocity and pressure is smaller by a factor of two 4387 or three at the wavelengths of interest. In either case, the ratio between vertical velocity at the base of the upper layer for wavenumbers near  $10^{-6}$  m<sup>-1</sup> is expected to be in the general vicinity of 4388 10<sup>-18</sup> m Pa<sup>-1</sup> s<sup>-1</sup> for a lower layer viscosity of 5x10<sup>22</sup> Pa s. The ratio of velocity to pressure at the 4389 base of the upper layer is, for the geometries and upper layer viscosities used here, varies 4390 approximately linearly with the reciprocal of the (effective) viscosity of the lower layer, which is 4391 probably within a factor of two or three of  $5 \times 10^{22}$  Pa, adding a comparable uncertainty to the 4392 results derived in this supplemental section. 4393

4394 4395

4396

# A1.3: Further Development of the Constraints on Viscosity and Thickness of a Weak Layer in the Upper Mantle

Quantitative modeling of mantle flux away from upwelling plumes has the potential to 4397 better constrain upper mantle viscosity structure beneath young oceanic lithosphere. A variety 4398 of interdisciplinary studies have produced evidence favoring the presence of a low viscosity 4399 immediately underlying oceanic lithosphere. For example, Hager and O'Connell, 1979; 4400 Kawakatsu et al., 2002; Podolefsky et al., 2004; Bagley and Revenaugh, 2008; Stein and 4401 Hansen, 2008; Barnhoorn et al., 2011; Schmerr, 2012; Naif et al., 2013; Becker, 2017; Rychert et 4402 al., 2020; Selway et al., 2020; Hua et al., 2023. This study in this paper the potential to 4403 distinguish between (nearly parabolic) channel flow that is distributed across much of the upper 4404 mantle and channel flow that may be predominantly confined to a low viscosity zone located 4405

beneath the (young) oceanic lithosphere. In the latter case, this study has the potential toconstrain the thickness and viscosity of a sub-lithospheric low-viscosity layer.

The primary published constraints on upper mantle viscosity are based, directly or indirectly, on estimates of the shear stresses acting on the base of the plates and are largely determined from plate velocities incorporated into models of plate motion, subduction, and mantle convection (Hager and O'Connell, 1981; Richards et al., 2001; Podolefsky et al., 2004; Becker, 2006; Conrad and Behn, 2010). Under conditions of simple shear, shear stress in uniform through the channel and horizontal velocity is related to shear stress and viscosity by:

4414 
$$\frac{\Delta v}{\tau_{rz}} = \int_0^h \frac{dz}{\mu(z)}$$
 (A - 14)

4415 where  $\Delta v$  is the difference in velocity across the layer (i.e., between the plates and the 4416 deep mantle). If, for example, one assumes that viscosity is uniform throughout the deforming 4417 layer, then:

4418 
$$\frac{\Delta v}{\tau_{rz}} = \left(\frac{h_{ef}}{\mu_{ef}}\right) \tag{A-15}$$

4419 where the "effective viscosity"  $\mu_{ef}$  is equal to the layer viscosity and the "effective layer 4420 thickness"  $h_{ef}$  is equal to the entire layer thickness. However, many other, non-uniform 4421 viscosity distributions are capable of the same value of  $(h_{ef}/\mu_{ef})$  under conditions of simple 4422 shear. In particular, the value of the integral in eq. (A-14) will be dominated by any sub-layers of 4423 exceptionally low viscosity relative to the rest of the channel.

4424 Many studies, over a wide range of disciplines constrain the ratio  $(h_{ef}/\mu_{ef})$  to be 4425 approximately 10<sup>-15</sup> Pa<sup>-1</sup> s<sup>-1</sup> m. For example, Mitrovica and Forte (2004), Conrad and Lithgow-4426 Bertelloni (2006), Holt and Becker (2016), Behr et al. (2022), and Holt and Royden (2020) all 4427 obtain similar estimates for  $(h_{ef}/\mu_{ef})$ . For a uniform viscosity upper mantle, this yields an 4428 effective upper mantle viscosity in the vicinity of ~5x10<sup>20</sup> Pa s (Mitrovica and Forte, 2004; Holt 4429 and Royden, 2020). However, it is clear that a wide range of viscosity structures could result in

the same value of  $(h_{ef}/\mu_{ef})$ . For example, an upper mantle with a thickness of 50 km and a viscosity of ~5x<sup>1019</sup> Pa s, underlain by material with a much larger viscosity.

Distinguishing among various mantle viscosity structures that satisfy this scaling relationship is possible because scaling relations among stress, viscosity, velocity, and layer thickness are different in the pure shear mode (on which most estimates of upper mantle viscosity depend) and channelized flow as we propose to occur adjacent to the mantle plumes examined in this paper. For example, integrating eqn. (2) in the main text over *z*, twice, and setting the horizontal velocity at the top and base of the layer yields:

4438 
$$v(z) = \frac{\partial P}{\partial r} \int_0^z \frac{z \, dz}{\mu(z)} - \frac{\partial P}{\partial r} \left(\frac{z}{h}\right) \int_0^h \frac{z \, dz}{\mu(z)} \tag{A-16}$$

4439

where we have used the approximation that dynamic pressure does not vary significantlywith depth in the channel. Integrating again to find the lateral flux of material yields:

4442 
$$\int_{0}^{h} v(z) \, dz = \frac{\partial P}{\partial r} \int_{0}^{h} \int_{0}^{z} \frac{z \, dz}{\mu(z)} - \frac{\partial P}{\partial r} \left(\frac{h^{2}}{2h}\right) \int_{0}^{h} \frac{z \, dz}{\mu(z)} \tag{A-17}$$

4443

4444 As is also the case for eq. (A-14), the value of the integral in eq. (A-16) will be dominated 4445 by any sub-layers of exceptionally low viscosity relative to the rest of the channel. For a layer of 4446 thickness  $\mu_{ef}$  and uniform viscosity  $\mu_{ef}$  (and zero velocity at the top and base of the layer), this 4447 expression simplifies to:

4448 
$$\int_0^h v(z) \, dz = -\frac{1}{12} \frac{\partial P}{\partial r} \left(\frac{h_{ef}^3}{\mu_{ef}}\right) \tag{A-18}$$

Therefore the net flux in the channel (integral on the left side of equation A-10) is related to the radial pressure (or topography) gradient through a term that scales as  $(h_{ef}{}^3/\mu_{ef})$ . This ratio will, for example, differ by two orders of magnitude for flux in a channel with viscosity  $5x10^{20}$  Pa s and 500 km thick and flux in a channel with viscosity  $5x10^{19}$  Pa s and 50 km thick.

- 4453 Thus, modeling of channel flux around upwelling plumes offers a means of better constraining
- the viscosity structure of the upper mantle, and in particular, probing for the effect of a low
- 4455 viscosity channel beneath young oceanic lithosphere.
- 4456

# 4457 A1.4: References for Basalt Geochemical Data

- Below we list references for basalt geochemical data referenced and utilized in this
- 4459 paper. Geochemistry was sourced from Gale et al. (2013) and the EarthChem database. Three
- 4460 individual searches were used to query EarthChem for basaltic glass on mid-ocean ridges
- 4461 around Iceland, the Azores, and Afar.
- 4462
- 4463 The bounding box for the Iceland EarthChem search criteria is described by the
- 4464 following points:

4465 13.1396484374413 71.9445601552263; -10.3710937499533 71.5729232899962; -17.0947265624232 66.4285209439412; -16.7431640624251 65.1963401562555; -4466 18.0615234374194 64.4666244223293; -21.8408203124022 63.7168693889327; -4467 4468 23.466796874895 62.9466527199747; -32.8271484373526 56.7143116971714; -34.0576171873478 52.9638897237709; -36.5185546873364 52.751095431679; -4469 36.1669921873383 56.2278508799088; -25.9716796873832 63.6840117601881; -4470 19.6435546874118 65.2021476538783; -18.5888671874165 67.9945127881309; -4471 15.2490234374309 70.9505994610655 4472

- 4473
- 4474 The second search was for the north Mid-Atlantic ridge around the Azores. The
- bounding box for this search is bracketed with the following points:

-26.1035156248836 48.5206054408768; -26.1914062498827 46.3746951245416; -4476 4477 27.949218749875 42.2109498121905; -28.037109374875 40.2875472144183; -4478 23.8183593748932 37.6114964222421; -27.3339843748779 37.1907935661516; -30.7617187498626 37.1907935661516; -33.5742187498502 35.0518216004004; -4479 38.0566406248301 32.6319663471255; -40.6933593748178 30.1442049610711; -4480 43.1542968748072 27.1992019704469; -44.7363281248006 24.0927864206346; -4481 43.6816406248044 22.5506526053997; -45.2636718747977 15.7056849311194; -4482 4483 46.2304687497939 15.0234461371042; -47.5488281247872 15.6205292938914; -47.5488281247872 21.649718788159; -46.845703124791 25.3770797298878; -4484 4485 42.4511718748101 32.4830995960703; -33.8378906248483 38.3073607113961; -29.7509765623669 46.3005697107838; -29.5751953123669 48.3323868380523 4486 4487

We performed two individual EarthChem searches for Afar. The first search was for basalt and basaltic andesite whole rock and glass isotope data. The second search included basalt glass major element data for the Red Sea and Gulf of Aden spreading ridges, and additional basalt whole rock data for the Afar Depression in Ethiopia. Three separate bounding boxes for the EarthChem search are given below. The same bounding boxes were used for both searches, however we also searched for whole rock data in the Red Sea and Gulf of Aden to increase the amount of isotope data.

# 4495 Red Sea Bounding Box:

4496 4735426.7763021 1524927.9691153; 4767224.5800686 1510252.0596846; 4497 4784346.4744044 1549387.8181664; 4742764.7310174 1652119.1841812; 4615573.5159514 1860027.901116; 4483490.3310753 2038584.7991894; 4405218.8141116 2178005.9387809; 4498 4317163.3575274 2251385.4859343; 4270689.6443303 2367886.1740851; 4241337.8254689 4499 4500 2558672.996684; 4138606.4594541 2680972.2419398; 4079902.8217313 2791041.5626699; 4072564.867016 2908448.8381155; 4048105.0179649 2950030.5815024; 3889115.9991324 4501 3160385.2833423; 3830412.3614096 3106573.6154297; 3996739.3349574 2817947.3966262; 4502 4503 4094578.731162 2639390.4985528; 4170404.2632206 2546443.0721585; 4231553.8858485 4504 2299398.5967419; 4297595.4782866 2174653.366581; 4380758.9650605 2074367.9854713; 4461476.4669292 1915378.9666389; 4605789.576331 1736822.0685655 4505

4506 Gulf of Aden Bounding Box:

57.150878905995 13.3958132001013; 58.0737304684907 13.4603379190535; 4507 57.0410156247452 14.6400914259492; 55.6567382810017 15.0248273440645; 4508 54.3823242185078 15.0034713626188; 53.569335937261 14.7897931912684; 4509 52.932128906014 14.661483753364; 52.0971679685174 14.9180258617422; 51.1962890622715 4510 13.5248450301839; 50.251464843526 13.7826958422921; 49.5483398435289 4511 4512 13.5248450301839; 48.273925781035 12.9867527893844; 46.7138671872915 12.6201716343548; 45.5712890622963 12.2098336876435; 44.2968749998025 4513 12.2530578844318; 43.4838867185556 12.0368656623745; 43.2751464841823 4514 4515 11.9384048780741; 43.1378173826201 11.8680783893044; 42.9125976560589 11.7544352837559; 42.8961181638709 11.6948889486814; 43.1103515623077 4516 4517 11.7219570771335; 43.2531738279317 11.7869096108259; 43.6157226560551 4518 11.9275866185567; 44.5001220701139 11.9546314471081; 45.593261718547 11.9492227001912; 4519 47.3840332029135 12.2007070502338; 49.3395996091547 12.7405073845426; 51.4050292966456 12.82676879254; 52.2180175778925 14.2456215386417; 4520 53.8439941403853 13.9239138229127; 55.2502441403787 14.3527548696591; 4521 56.3708496091241 14.0097473467034 4522 Afar Bounding Box: 4523 35.4638671873403 25.6912345834175; 36.7822265623345 22.9940061330809; 4524 37.8808593748302 20.1581979282513; 39.5947265623221 17.3959149093818; 4525 40.6933593748169 15.7856710319012; 40.3417968748188 14.1194872035694; 4526 4527 40.2978515623192 9.61929973653363; 44.165039062302 10.6208016823807;

4528 45.7910156247949 11.4456426740284; 50.0976562497758 12.6998741161649;

- 4529 51.4160156247692 12.786149556919; 52.5585937497644 13.9478707714567; 55.1513671872534
- 4530 14.1194872035694; 56.249999997481 13.9478707714567; 56.5136718747472
- 4531 15.2317404476021; 54.3164062497567 15.3170581041161; 52.6464843747643
- 4532 15.0182933999524; 51.5039062497691 14.5907534279789; 50.976562499772
- 4533 13.7761249639833; 44.7802734372992 12.4840561620865; 43.6816406248044
- 4534 12.4840561620865; 43.0664062498064 13.0878773224541; 42.4072265623097
- $4535 \quad 14.1194872035694; \ 42.2753906248102 \ 15.657974819973; \ 41.396484374814 \ 17.1425821766187;$
- $4536 \quad 38.6718749998264\ 21.1921213145263;\ 37.8369140623297\ 23.5623692754653;$
- 4537 36.4746093748359 25.73104561007

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- 5458 5459

# 5461 Appendix 2: Additional Background and Geochemical 5462 Data for Chapter 4

5463

#### 5464 A2.1: Grain Size from Thin Section

To constrain grain size, we characterize the 3D shapes and crystal size distribution from 5465 5466 thin section images (e.g., Antonelli et al., 2019, Monteiro et al., 2021) we apply a scale to three high resolution thin section images (Figures A2.8-A2.10) of samples in the field area. The thin 5467 section image was converted to an 8-bit grayscale image in Fiji (Schindelin et al., 2012). The 5468 contrast was increased, and brightness decreased to isolate the brightest grains of quartz and 5469 feldspar. The Weka segmentation plugin for ImageJ (Arganda-Carreras et al., 2017) was used to 5470 segment the image into individual grains. Lastly, we converted the segmented image into a 5471 binary file and used the Analyze Selection tool in ImageJ to quantify an elliptical fit to each 5472 5473 grain. To accurately assess the grain size distribution, we correct the grain size measurements 5474 from the 2D thin section images using a combination of ShapeCalc (Mangler et al., 2022) and 5475 CSDCorrections (Higgins, 2000). ShapeCalc takes the size of the major and minor ellipse axes 5476 from the selection analysis in ImageJ as input and estimates the 3D crystal shape. The 2D data 5477 along with the crystal shape from ShapeCalc are then input into CSDCorrections to correct to 5478 the 3D grain size distribution. With this method, we find the median grain size to be between 5479

5480 0.33-0.57 mm among the three thin sections (Figure A2.8-A2.10).

### 5482 A2.2: Size and Spacing of Melt Patches

- 5483 Figures A2.5 and A2.6 present outcrop measurements for the size of the Type (I)
- and Type (II) melt patches and Figure A2.7 shows the distribution of the spacing of Type
- 5485 (II) patches. The spacing of Type (I) melt patches can be found in Figure 2 of the main
- 5486 text. Spacing and size measurements were obtained from multiple outcrop surfaces of
- 5487 different orientations to control for the 3D structure.
- 5488

5489 A2.3: Petrographic Descriptions

The schist in the field area belongs to the upper member of the Littleton Formation. The 5490 schist is composed of qtz+bt+ms+sil+plag+/-gt. The assemblage bt+gt+sil is in equilibrium with 5491 in situ granitic melt (Type (II) melt patches, Figure A2.10). A pervasive foliation, S1, is defined 5492 by the micas and elongate quartz and feldspar. A second foliation, S2, is present as asymmetric 5493 cleavage or simple shear of the S1 foliation. The lineation in the field area is defined by 5494 sillimanite and ms-aggregates, which are contemporaneous to post-dating the S2 foliation, and 5495 are folded by the F2/F3 generation of regional folding (Figure 4.1 and A2.10). Qtz exhibits 5496 undulose extinction and grain boundary migration with subsequent grain boundary area 5497 reduction in qtz+fsp-rich layers. This observation suggests high temperature deformation 5498 followed by post-kinematic recrystallization. The timing of post-kinematic recrystallization must 5499 post-date the development of the S2 foliation and mineral aggregate lineation. 5500

5501 Both Type (I) and Type (II) melt patches are post-kinematic relative to the S2 foliation and mineral aggregate lineation. Additionally, the melt patches post-date F3 regional folding as 5502 the orientation of the melt patches is unaffected by a 100 m-scale, F3 fold about the Main Cliff 5503 climbing wall in the field area. Discrete shear zones which are found at the outcrop scale in the 5504 field area are contemporaneous to post-kinematic relative to foliation boudinage and the 5505 emplacement of Type (I) melt patches. These shear zones are classified as the S3 foliation and 5506 are generally subhorizontal to shallowly-ESE-dipping and anastomosing. Most of the shear 5507 zones display top-to-the-northwest simple shear based on the offset of relict bedding planes, and 5508 the deflection and folding of dikes and foliations. 5509

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A2.4: Zircon Concordia of Littleton Schist and Granite Leucosomes 5512 Zircons were separated by first crushing the sample with a hammer followed by 5513 shatterboxing. Fine particles were removed by washing the grains with water and 5514 decanting. Strongly magnetic grains were separated from the washed grains using a 5515 Frantz magnetic separator. Finally, the densest grains, including zircon, were separated 5516 from low-density minerals in Methylene Iodide (MEI). From the dense mineral fraction, 5517 we manually picked zircons and mounted them in epoxy. The epoxy mounts were 5518 polished to expose the centers of the zircon grains. Zircon mounts were analyzed for U-5519 Pb isotopes at the Arizona LaserChron Center using Laser Ablation-Inductively Coupled 5520 Plasma-Mass Spectrometry (LA-ICP-MS). Analytical and data processing methodology 5521 follows that of Pullen et al. (2018). 5522 Sample RY219 is characterized by a detrital age population with a distribution of 5523 zircon ages from ~380-2500 Ma (Figures A2.13 and A2.14). The most prominent peak is 5524 the result of Ordovician-Devonian plutonism during the Taconic and Acadian orogenies 5525 (Bradley and Sullivan, 2017). Zircons with ages between 550-700 Ma are likely the 5526 product of erosion from Avalonia during deposition of the Central Maine Terrane. Ages 5527 older than ~1 Ga are likely sourced from cratonic regions on both Laurentia (Grenville 5528 Orogen) and Gondwana (Pan-African orogen). 5529

In contrast, sample RY2142 is characterized by a singular peak at 361.85 ±.71 Ma (Figures A2.11 and A2.12). All zircons were subhedral to euhedral, commonly with welldeveloped zoning. There is no discernable contrast between the U-Pb ages of zircon rims and cores, within the measurement error. It is clear that the melt patches do not contain

- detrital grains considering that the age of emplacement is younger than the depositional
- age of the Littleton Fm (Bradley and Sullivan, 2017).

### 5537 A2.5: Microprobe Methodology

- 5538 The major element compositions of Biotite, Garnet, Muscovite, and Plagioclase were
- analyzed by wavelength dispersive spectrometry on the 5-spectrometer JEOL 8200 electron
- 5540 microprobe (EMP) at the Massachusetts Institute of Technology. All analyses of minerals were
- 5541 conducted with a 15 kV accelerating voltage, a 10 nA beam current and a 1 μm spot size. Online
- data reduction utilized the CITZAF correction package (Armstrong, 1995) and the atomic
- number correction, the absorption coefficients, and the fluorescence correction available in
- 5544 CITZAF.

### **Binary Image Mask**



### **Original Image**



# 5.8 Crag Eastern Wall

5547Figure A2.1: Outcrop image of 5.8 Crag climbing wall in the field area (right). Binary image of5548Type (I) melt patches segmented from the image (left). Calculation of melt fraction utilizes the total area5549of the white region. Blacked out regions have poor resolution or are exposures of a quartz-rich bedding5550face. The outcrop is located at 43.8011 N, 71.8381 W.

5551



Meadows Wall - Eastern End

5553Figure A2.2: Outcrop image of the far east end of Meadows Wall in the field area (right). Binary5554image mask with the large dike (upper right) and poorly lit bottom edge removed from the considered5555area (left). The outcrop is located at 43.8025 N, 71.8312 W.



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# **Orange Crush Wall - XZ Face on West End**

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Figure A2.3: Outcrop image of a well exposed foliation parallel and lineation perpendicular face on the NW end of the Orange Crush climbing wall in the field area (right). Binary image with dark regions removed (left). The outcrop is located at 43.8031 N, 71.8357 W. Binary Image Mask

Original Image





### **Climbing Area Roadcut**

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Figure A2.4: Image of the west end of a roadcut in the field area (right). Binary image of melt
patches (left). The entire image was used to calculate a melt fraction of ~7%. The outcrop is located at
43.8020 N, 71.8332 W.



Figure A2.5: Size of discordant, Type (I) granite melt patches in the field area. Melt Patches are subelliptical on the outcrop face, and therefore we represent the shape with two measurements: the length of the long and short axes.





Figure A2.6: Size of Type (II) melt patches in the field area. Small melt patches are measured in the same manner as large melt patches.



5576Figure A2.7: Spacing of small granite melt patches parallel to the long (0°) and short (90°) axes5577of each melt patch. This is generally no greater than 10 cm and no less than 1 cm.



Figure A2.8: Plane polarized light thin section image of sample RY200. Sample location is 43.8029 N, 71.8393 W.



Figure A2.9: Plane polarized light thin section image of sample RY202. Sample location is 43.8020 N, 71.8332 W.



5588Figure A2.10: Plane polarized light thin section image of sample RY202A. Sample location is558943.8020 N, 71.8332 W. Type (II) granitic melt patch is visible on the right-hand side of the section, and5590is mantled by a melanocratic assemblage of Bt+Sil+Gt.



weighted mean age (less than .5 Myr change).



Figure A2.13: Concordant zircon U-Pb measurements for sample RY219. Sample from 43.8020 N, 71.8332 W. Grains with discordance greater than 30% were removed.







Figure S14: Distribution of concordant ages for a sample of Littleton schist (RY219) with the Kernel Density profile overlain in red. Zircons are primarily detrital and likely sourced from Laurentian, Taconic, and Avalonian lithologies.

Sample	RY2130A	RY2130A	RY2130A	RY2130A	RY2130A	RY2130 A	RY2130A	RY2130A
Mineral	Gt	Gt	Gt	Gt	Gt	Gt	Gt	Gt
$SiO_2$	36.32	36.51	36.41	36.57	36.39	36.2	36.5	36.36
$TiO_2$	0.0204	0.0661	0	0	0	0	0	0
$Al_2O_3$	21.71	21.86	21.6	21.82	21.84	21.59	21.78	21.63
FeO	37.05	36.94	36.46	37.13	37.11	36.22	36.53	36.3
MnO	2.53	2.64	3.1	3.32	2.6	2.83	3.07	3.11
MgO	2.2	2.03	1.88	1.8	2.15	2.14	2.09	2.12
CaO	0.5359	0.539	0.5602	0.535	0.5917	0.5916	0.5555	0.5811
$Na_2O$	0.0031	0	0	0	0.0246	0.0061	0	0
$K_2O$	0	0	0	0	0	0	0	0
Total	100.390 3	100.6376	100.0276	101.2379	100.7517	99.6023	100.5429	100.1642

Та	able A2.1: Mineral compositions for sample RY202A (43.8020 N, 71.8332 W) and RY2130A
	(43.7849 N, 71.7938 W). Provided as separate excel file.

Sample	RY2130A	RY2130	RY2130A	RY2130A	RY2130	RY2130A	RY2130A	RY2130A
		A			A			
Mineral	Gt	Gt	Gt	Gt	Gt	Gt	Gt	Gt
SiO2	36.39	36.48	36.52	36.49	36.64	36.74	36.4	36.29
TiO2	0.0254	0	0	0.0152	0	0	0.0102	0
Al2O3	21.73	21.85	21.91	21.74	21.57	21.61	21.78	21.58
FeO	36.34	36.39	36.29	36.11	35.88	35.98	36.22	36.62
MnO	3.15	3.09	3.09	3.22	3.27	3.66	3.63	3.55
MgO	2.09	2	1.99	1.93	1.89	1.86	1.84	1.76
CaO	0.5778	0.5908	0.5908	0.5875	0.6321	0.4576	0.3962	0.4148
Na2O	0	0.0307	0	0	0.0184	0	0.0246	0.0401
K2O	0	0	0.0135	0.0082	0.0046	0.0016	0.003	0.0036
Total	100.3522	100.477	100.4392	100.1114	99.9051	100.3267	100.303	100.289
							9	9

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Sample	RY2130A	RY2130A	RY2130A	RY2130A	RY2130A	RY2130A	RY2130A	RY2130A
Mineral	Gt	Gt	Gt	Gt	Gt	Gt	Gt	Gt
$SiO_2$	36.63	36.5	36.27	36.42	36.58	36.52	36.41	36.77
$TiO_2$	0.0152	0.0152	0.1416	0.1012	0.0405	0.0707	0	0.0505
$Al_2O_3$	21.71	21.73	21.68	21.74	21.61	21.51	21.66	21.77
FeO	36.45	36.35	36.56	36.59	37.18	37.92	37.21	36.97
MnO	3.34	3.3	3.35	3.46	3.34	2.94	3.39	3.45
MgO	1.85	1.91	1.85	1.84	1.69	1.6103	1.69	1.7
CaO	0.4164	0.4258	0.4028	0.4084	0.4632	0.5247	0.4217	0.3986
$Na_2O$	0.0614	0.0061	0.0308	0.0277	0	0	0	0.0862
$K_2O$	0.0069	0.0036	0	0.0062	0.0147	0.0003	0	0
Total	100.483	100.2407	100.2851	100.628	100.9184	101.0959	100.788	101.1987
	3			3			6	

### 5613 Table DR2 cont'd

Sample	RY2130A	RY2130	RY2130A	RY2130A	RY2130	RY2130A	RY2130A	RY2130
		A			A			A
Mineral	Gt	Gt	Gt	Gt	Gt	Gt	Gt	Bt
$SiO_2$	36.42	36.43	36.7	36.52	36.69	36.92	36.5	34.79
$TiO_2$	0.0202	0.0303	0.0555	0.0303	0	0.0353	0.0101	2.82
$Al_2O_3$	21.62	21.72	21.83	21.65	21.78	21.61	21.54	19.6
FeO	37.45	36.92	37.13	37.32	36.83	37.32	38.13	24.72
MnO	3.54	3.52	3.5	3.36	3.53	3.2	2.98	0.036
MgO	1.71	1.72	1.67	1.73	1.67	1.5799	1.3489	5.79
CaO	0.4279	0.4182	0.4048	0.4182	0.4421	0.4271	0.4936	0
$Na_2O$	0	0	0	0	0	0	0.0496	0.3157
$K_2O$	0	0.0036	0	0.0095	0	0	0.0062	8.74
Total	101.2263	100.762	101.2902	101.0379	100.942	101.0922	101.0966	96.8633

Sample	RY2130	RY2130	RY2130	RY2130	RY2130	RY2130	RY2130A	RY2130
	A	A	A	A	A	A		A
Mineral	Bt	Bt	Bt	Bt	Bt	Bt	Plag	Plag
$SiO_2$	34.7	34.29	34.55	34.36	34.31	33.82	65.82	64.82
$TiO_2$	2.7	3.48	2.95	3.1	3.34	3.13	-	-
$Al_2O_3$	19.6	19.22	19.45	19.17	19.13	18.86	21.89	21.51
FeO	24.46	24.46	24.72	24.97	24.77	24.91	0.0336	0.0054
MnO	0.0542	0.0561	0.0685	0.0822	0.069	0.0714	-	-
MgO	5.94	5.88	5.71	5.73	5.52	5.72	-	-
CaO	0	0	0	0	0.0018	0.0051	2.16	2.2
$Na_2O$	0.3311	0.3623	0.2809	0.3283	0.3474	0.3455	10.4	10.72
$K_2O$	8.68	8.79	8.72	8.73	8.74	8.9	0.0869	0.0825
Total	96.5023	96.5385	96.5085	96.5294	96.2282	95.8025	100.390 4	99.338

Sample	RY2130	RY2130	RY2130	RY2130A	RY2130	RY2130	RY2130	RY2130
	A	A	A		A	A	A	A
Mineral	Plag	Plag	Plag	Plag	Plag	Ms	Ms	Ms
$SiO_2$	65.5	64.97	64.79	65.26	65.33	47.83	46.17	46.08
$TiO_2$	-	-	-	-	-	0.9877	0.8015	0.8614
$Al_2O_3$	21.24	21.66	21.65	22.1	21.59	38.52	36.73	36.6
FeO	0.031	0.0074	0.0143	0.0103	0.0482	1.2356	1.1591	1.2302
MnO	-	-	-	-	-	0	0	0.0051

MgO	-	-	-	0.0079	-	0.4125	0.3955	0.4237
CaO	1.87	2.23	2.14	2.3	2.14	0	0	0
Na <sub>2</sub> O	10.94	11.05	10.75	10.55	10.72	1.0738	1.2127	1.1153
$K_2O$	0.085	0.0747	0.0784	0.0904	0.0658	7.08	8.74	8.49
Total	99.6661	99.9922	99.4228	100.3186	99.8941	97.1397	95.2088	94.8058

5619 Table DR2 cont'd

S	R	R	R	R	R	R	R	R
 ample	Y2130A	Y202A						
Μ	Μ	Μ	Μ	Μ	Μ	Μ	Μ	G
ineral	s	S	S	S	S	s	S	t
S	4	4	4	4	4	4	4	3
iO2	5.91	5.93	6.88	6.03	5.96	6.05	6.01	6.29
T	0	0	0	0	0	0	0	0
iO2	.7352	.8347	.8117	.905	.845	.9926	•7957	.0911
A	3	3	3	3	3	3	3	2
$l_2O_3$	6.88	7.01	7.3	7.06	7.36	6.92	7.03	1.58
F	1	1	1	1	1	1	1	3
eO	.2565	.0397	.1831	.1823	.1801	.1806	.1117	5.98
Ν	0	0	0	0	0	0	0	3
nO	.0117	.0046		.002	.0005	.001		•77
Μ	0	0	0	0	0	0	0	1
gO	.3948	.34	.4435	.4393	.4212	.4072	.4157	.67
C	0	0	0	0	0	0	0	0
aO								.7243
N	1	1	1	1	1	1	1	0
$a_2O$	.1497	.0761	.0886	.0641	.166	.1459	.1667	
K	8	8	7	8	8	8	8	0
<sub>2</sub> O	.53	.6	.03	.74	.93	.75	.92	.003
Т	9	9	9	9	9	9	9	1
otal	4.868	4.8351	4.7369	5.5106	5.9069	5.4793	5.4699	00.1678

Sample	RY202A	RY202	RY202A	RY202A	RY202A	RY202A	RY202A	RY202A
		A						
Mineral	Gt							
$SiO_2$	36.11	35.73	36.25	36.37	36.2	36.07	35.96	36.11
$TiO_2$	0	0.0303	0	0	0	0.0303	0.0907	0
$Al_2O_3$	21.5	21.49	21.64	21.58	21.45	21.55	21.37	21.47
FeO	34.25	33.84	33.77	34.2	34.84	35.96	35.42	34.17
MnO	5.79	5.85	6.03	5.7	5.12	4.15	4.73	5.94
MgO	2.01	2.01	2.01	1.99	1.95	1.6402	1.73	1.92
CaO	0.3512	0.3652	0.3087	0.4185	0.4453	0.6436	0.4664	0.3636
$Na_2O$	0.0337	0.0061	0.0183	0	0.0521	0	0	0
$K_2O$	0	0.0052	0.0151	0.0065	0.0072	0.002	0.0105	0.0013

Total	100.083 4	99.3269	100.042	100.264	4 100.10; 9	31 100.0	99.79 4	)52 100.0	0133
Sample	RY202A	RY202A	RY202A	RY202A	RY202A	RY202A	RY202 A	RY202 A	
Mineral	Gt	Gt	Gt	Gt	Gt	Gt	Gt	Gt	
$SiO_2$	36.26	36.21	36.25	36.27	36.11	35.77	36.02	36.08	
$TiO_2$	0	0.0454	0.0655	0	0	0	0	0	
$Al_2O_3$	21.54	21.45	21.57	21.41	21.27	21.44	21.51	21.42	
FeO	33.42	33.5	33.65	33.99	34.44	34.62	35.52	34.53	
MnO	5.98	5.88	5.93	5.55	5.14	4.97	4.1	4.85	
MgO	1.98	2.01	2.03	1.97	1.93	1.87	1.92	1.96	
CaO	0.395	0.3902	0.3784	0.4832	0.5035	0.4904	0.4974	0.4751	
$Na_2O$	0.061	0.0274	0	0.0031	0.0703	0.0275	0.0337	0	
$K_2O$	0	0.0062	0	0	0	0	0	0	
Total	99.6817	99.6281	99.9196	99.6764	99.4989	99.2404	99.6012	99.3362	

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### 5625 Table DR2 cont'd

Sample	RY202A	RY202 A	RY202A	RY202A	RY202A	RY202 A	RY202A	RY202 A
Mineral	Gt	Gt	Gt	Gt	Gt	Gt	Gt	Gt
$SiO_2$	36.31	36.1	36.48	36.23	36.49	36.24	36.32	36
TiO₂	0.0151	0.0099	0	0	0	0	0	0
$Al_2O_3$	21.52	21.9	21.4	21.53	21.47	21.61	21.57	21.39
FeO	34.11	34.22	34.44	35.29	35.66	35	33.4	33.3
MnO	5.18	5.29	5.38	4.76	4.2	4.64	6.11	6.05
MgO	1.96	2.05	2.06	1.93	1.87	1.92	2.17	2.14
CaO	0.4281	0.4137	0.4155	0.4696	0.5292	0.4637	0.3478	0.4043
$Na_2O$	0.0518	0.0097	0.1129	0.1622	0.052	0.0672	0.0698	0.1582
$K_2O$	0	0	0	0	0	0	0	0.002
Total	99.6732	99.9934	100.288	100.3718	100.2711	99.941	99.9912	99.4762

Sample	RY202 A	RY202A	RY202A	RY202 A	RY202A	RY202A	RY202 A	RY202A
Mineral	Gt	Gt	Gt	Gt	Bt	Bt	Bt	Bt
$SiO_2$	36.02	36.3	36.32	36.4	34.2	34.45	34.76	35.07
TiO₂	0	0	0	0	2.41	2.27	2.8	2.7
$Al_2O_3$	21.14	21.55	21.7	21.42	19.26	19.2	19.39	19.62
FeO	33.35	33.52	34.1	34.78	22	22.36	22.42	21.77

MnO	6.15	6.16	5.96	4.91	0.1111	0.1214	0.075	0.0864
MgO	2.17	2.07	2.08	1.96	7.69	7.67	7.37	7.07
CaO	0.3938	0.3763	0.3807	0.4322	0.0364	0.0328	0	0.0029
Na <sub>2</sub> O	0.0884	0.1126	0.0641	0.0823	0.3418	0.3717	0.2498	0.2774
$K_2O$	0	0	0	0	8.25	8.19	8.37	8.37
Total	99.3123	100.088	100.6607	99.9846	94.380	94.7104	95.4349	95.0039
		9			9			

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Sample	RY202A	RY202A	RY202A	RY202A	RY202A	RY202A	RY202 A	RY202 A
Mineral	Bt	Bt	Bt	Bt	Bt	Bt	Plag	Plag
$SiO_2$	34.67	34.61	34.53	34.45	34.74	34.56	65.03	64.5
$TiO_2$	2.76	2.87	2.74	2.91	2.79	2.67	-	-
$Al_2O_3$	19.6	19.28	19.29	19.07	19.34	19.42	21.17	21.55
FeO	22.03	22.25	21.96	22.24	22.32	22.65	0.0418	0.0285
MnO	0.0893	0.076	0.0804	0.0503	0.0631	0.0498	-	-
MgO	7.22	7.33	7.29	7.32	7.43	7.18	0	0
CaO	0.0066	0.0188	0.0154	0	0	0.0301	1.81	1.98
$Na_2O$	0.3178	0.3265	0.3232	0.2711	0.3104	0.3143	11.31	10.97
$K_2O$	8.33	8.35	8.33	8.29	8.26	8.15	0.0679	0.0692
Total	95.109	95.1447	94.5591	94.6941	95.298	95.0465	99.4297	99.0978

## 5631 Table DR2 cont'd

Sample	RY202A	RY202A	RY202A	RY202A	RY202	RY202A	RY202A	RY202
					A			A
Mineral	Plag	Plag	Plag	Plag	Ms	Ms	Ms	Ms
$SiO_2$	65.28	65.52	64.3	64.96	47.86	46.96	44.84	43.26
$TiO_2$	-	-	-	-	0.6087	0.9531	0.9053	0.6582
$Al_2O_3$	21.54	21.85	21.51	21.33	38.44	38.31	35.86	35.77
FeO	0.0797	0.0113	0.0251	0.0236	1.31	1.2808	1.1515	1.2152
MnO	-	-	-	-	0	0	0	0.0036
MgO	0	0.009	0	0.0137	0.4289	0.4715	0.4103	0.474
CaO	1.87	1.87	1.9	1.92	0	0.0369	0.0126	0
$Na_2O$	10.51	10.72	10.7	11.02	1.1252	1.0273	1.0967	1.28
$K_2O$	0.0641	0.0613	0.0812	0.0593	7.25	6.66	8.66	8.55
Total	99.3439	100.0415	98.5164	99.3267	97.0229	95.6997	92.9365	91.2111

Sample	RY202A	RY202A	RY202A	RY202A
Mineral	Ms	Ms	Ms	Ms

$SiO_2$	44.35	45.45	44.03	45.01
$TiO_2$	0.7791	0.6747	0.7131	0.8502
$Al_2O_3$	36.22	36.17	36.01	35.79
FeO	1.1143	1.2125	1.0566	1.1579
MnO	0.0036	0.0025	0.0203	0.0071
MgO	0.4591	0.4808	0.4666	0.3971
CaO	0	0	0.0034	0.024
Na <sub>2</sub> O	1.1461	1.2596	1.1243	1.0756
$K_2O$	8.69	8.67	8.52	8.32
Total	92.7623	93.9402	91.9444	92.632

#### 5634 **References for Supplementary Materials**

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