# Component-based Car Detection in Street Scene Images

by

Brian Leung

Submitted to the Department of Electrical Engineering and Computer Science

in partial fulfillment of the requirements for the degree of

Master of Engineering in Computer Science and Electrical Engineering

at the

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#### Abstract

Recent studies in object detection have shown that a component-based approach is more resilient to partial occlusions of objects, and more robust to natural pose variations, than the traditional global holistic approach. In this thesis, we consider the task of building a component-based detector in a more difficult domain: cars in natural images of street scenes. We demonstrate reasonable results for two different component-based systems, despite the large inherent variability of cars in these scenes.

First, we present a car classification scheme based on learning similarities to features extracted by an interest operator. We then compare this system to traditional global approaches that use Support Vector Machines (SVMs). Finally, we present the design and implementation of a system to locate cars based on the detections of human-specified components.

Thesis Supervisor: Tomaso Poggio Title: Eugene McDermott Professor

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Much of the work in this thesis is joint work with various members of CBCL. The work in Chapter 3 of this thesis is joint work with Stanley Bileschi and Ryan Rifkin. The work in Chapter 4 is joint work with Lior Wolf.

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# Chapter 1

# Introduction

Our day-to-day lives are abound with instances of object detection. Crossing the street after first checking for cars, recognizing a familiar face, identifying sushi, and finding Waldo in those childhood "Where's Waldo?" picture books are all examples of object detection. We as humans are surprisingly good at it. Unfortunately, building a system that can perform object detection reliably is a dauntingly complicated and difficult computational task. When compared to existing computer vision systems, each one of us can more accurately and more quickly identify instances from many more different classes of objects. More importantly, we can more quickly learn to identify new instances of objects, and new classes of objects. Although a great deal of research has already been performed to advance the field and improve the capability and robustness of object detection systems, we are still many years from closing the performance gap between the human visual system and engineered object detection systems.

The difficulty in object detection is compounded by the high variability in appearance between objects of the same class and the additional variability between instances of the same object due to differences in viewing conditions. Specifically, an object detection system must be able to detect the presence or absence of an object, such as a car, under different illuminations, scales, poses, and under differing amounts of background clutter.

### **1.1** Problem Statement

Recent studies in object detection have shown that a component-based approach is more resilient to partial occlusions of objects, and more robust to natural pose variations, than the traditional holistic approach. These component-based object detectors are built hierarchically, where simpler detectors first locate components of an object, and a combination classifier makes the final detection with the outputs from each of the component detectors as features. Accurate results have been reported in both face [11, 12, 3] and pedestrian detection [18, 17]. In this thesis, we apply a component-based approach to a more difficult domain: cars in natural images of street scenes. We demonstrate reasonable results for two different component-based systems, despite the large inherent variability of cars in these scenes.

Detecting cars is a considerably more difficult problem than detecting faces or pedestrians. The human face has a simple, semi-rigid structure, where the localization of face components does not vary much between samples. Cars have a semi-rigid structure as well, but that structure will vary more between samples, because their shapes and configurations have been designed with product differentiation in mind.

Besides the intra-class variations due to color, shape, and ornamentation, which similarly plague face and pedestrian detection systems (to a lesser degree), there are other issues that complicate car detection. First, we wish to be able to detect a car irrespective of the view or the out-of-plane rotation. Compared to the other object classes, the car also has more views that are interesting. One major difficulty in object detection is the fact that different views of the same object can look very different, because an image is essentially the projection of a 3D object onto a 2D plane. The face has far fewer degrees of freedom, because only frontal views, side profiles, and any pose in between are of general interest. This restriction reduces the intra-instance variability due to viewing conditions. Usually different classifiers are trained for the different views as was done in [24] to achieve rotation invariance.

Second, the car has many more candidates for components, and only a subset of these components are viewable from any particular perspective. For example, the tail lights would typically be obscured if the headlights are in plain view and the license plates are usually not viewable from a direct side view. In a component-based detection framework, this suggests that we ought to place more emphasis on the component detection combination algorithm. This situation can be compared to face or pedestrian detection with large amounts of occlusion.

In this thesis, we explore the selection of object-specific components, the learning of the selected components, and the combination of component detections in order to determine whether or not there is an advantage in building hierarchical classifiers for a broad set of object classes. We expect that this framework works well for classes where an object is comprised of distinct identifiable components or parts and they are arranged in a limited set of well-defined geometric configurations. Although our ultimate goal is generic object class detection, we hope to gain more intuition on the object class detection problem by concentrating on the classification and detection of cars. Our incremental one-object-at-a-time approach toward achieving a "dictionary" of object detectors may also aid researchers in building application-specific object detectors.

## 1.2 Motivation

Object detection and recognition are necessary components in an artificially-intelligent autonomous system. They provide such systems a context that facilitates interaction with its outside environment. Eventually we expect these artificially-intelligent autonomous systems to venture onto the streets of our world, thus requiring detection of objects commonly found on the street. From a systems-perspective, the low-level recognition of objects provides a layer of abstraction to the system, allowing it to perform scene-understanding by manipulating the objects that were detected rather than using the underlying pixel intensities. The need to develop extensible object detection frameworks for reliable detection of many different kinds of objects in natural scenes increases as such autonomous systems become more prevalent.

Aside from the noble pursuit of artificial intelligence, object recognition has seen

an increase in commercial interest. Academic research has been successfully applied in the areas of law enforcement, surveillance, authentication and access control systems, and computer chip verification processes to name a few. The human face, in particular, has received much attention because of its prominence in visual images and the multitude of emergent applications. The task of identifying generic objects in still images can also greatly enhance the computing experience of the Internet and the World Wide Web. For example, object detection can be applied to labeling and indexing images found on the web, allowing users to manipulate the medium with more sophistication. As the number of real-world applications of object recognition increase, there is a need for accurate recognition systems that can be easily applied to different object classes with fewer constraints on extrinsic imaging parameters, such as pose, illumination, scale, position, etc.

Our long-term goal is toward a system that can accurately locate in an image particular instances from many generic object class. Such a system can serve as a "dictionary of classifiers", usable as a primitive for scene-understanding applications. Success can ultimately be measured by comparing the system's accuracy to that of a human performing the same task.

The direct motivation and an immediate application of the work in this thesis is to facilitate the tedious and error-prone labeling of objects in a database of natural images of street scenes, a database that we can use to study reliable object detection for scene-understanding applications. For this reason, we stress extensibility in terms of applicability to many object classes over the raw accuracy for each individual object class. The database contains images of objects in the 'wild'. This makes the task of object detection even more difficult, as objects may be in any natural pose, illumination, scale, position, etc. Our systems are designed with these multiple degrees of variation in mind.

### 1.3 Outline of Thesis

Chapter 2 discusses the background required to realize an object detection system. We discuss the object (binary) classifier in a statistical learning framework, survey and evaluate a set of feature spaces, and finally develop the framework in which the classifier is applied to candidate patches in an image. Where relevant we discuss previous work in the field.

In Chapter 3, we present an hierarchical car classifier that outperforms holistic car classifiers in distinguishing between a car patch and a non-car patch. This car classifier combines measures of similarity to diagnostic keypoints, which are local features around points extracted by an interest operator. We then evaluate this system and compare it to holistic classifiers. Finally in Chapter 4, we demonstrate a working system that combines component detections for the car detection task. The components we used were chosen *a priori* and examples in the database were hand-labeled.

We conclude the thesis in Chapter 5 and discuss future avenues of research in Chapter 6.

# Chapter 2

# **Object Detection**

Object detection in an image, the act of finding an instance of an object class in an image, can be viewed as the application of pattern recognition. We first build a classifier that can distinguish between an image patch that belongs to the target object class and one that does not belong to the target object class. We primarily employ Support Vector Machines (SVMs) for classification, but our component-based system in Chapter 4 employs an AdaBoost classifier for the component detections. These classifiers are discussed in Section 2.1.

After we build object classifiers, we search through all windows of the image at various scales, to identify patches belonging to the target object class. We discuss this aspect of object detection in Section 2.2. Outside this chapter, we use the term *object detection* for both the classification of a particular window and the task of searching all such windows with a classifier.

From a systems perspective, it is advantageous to view the object detection task as the application of an object classifier to many windows of an image at different scales. This view essentially decouples the task of building good classifiers from the infrastructure required to actually perform the detection. As suggested in [2], we ought to use the best classifier possible *and* the best infrastructure.

### 2.1 Object Classifier

Classifying whether an image patch belongs to a particular object class or not is a pattern recognition task. Specifically, if we are given a feature vector representing an image or a transformation on the image, we would like to be able to classify whether or not the image belongs to the particular object class. As phrased, it becomes a statistical learning problem, where we learn from example images of objects how to distinguish between objects that belong to the target object class and objects that do not belong to the target object class. We learn the discriminative features that separate the two classes, so that when we are given new images, we can determine whether or not it belongs to the target class with a certain level of confidence.

In this framework, there are two choices open to design. The first is the choice of classifier to use. There are many choices that have been shown to work reasonably well, like neural networks, SVMs, and variants of the AdaBoost algorithm to name a few. The second choice is the choice of feature space. Successful systems have been developed using the original gray-scale pixel intensities, gradient images, edge maps, wavelet coefficients, and many others.

In the statistical learning literature, there has been much debate over the choice of classification algorithms. In most cases, it is the choice of features that is important for accurate results. A carefully chosen classification algorithm will give marginal improvements. In the following sections, we give a brief overview of the classifiers used in this paper without much fanfare about its choice. Afterward, we will provide an empirical comparison of different feature spaces for the car classification task in question.

#### 2.1.1 Statistical Learning Framework

Learning is the problem of deriving a predictive function that when given a new observation x can assign the correct label y to the observation with some level of confidence. The function is judged by its ability to generalize to new unseen examples. Supervised learning uses a large training set of examples, a set of (x, y) pairs to derive

this function. We present here an overview of the classification algorithms that we use in this project. A more theoretical introduction to Support Vector Machines can be found in [4, 22]. We use the SvmFu 3.0 implementation of the Support Vector Machine algorithm [21].

#### Support Vector Machines

Support Vector Machines (SVMs) have found many uses in object detection [11, 18] and have been applied to many other problem domains. SVMs belongs in the class of Tikhonov regularization algorithms, a general approach to finding a function that exhibits both small empirical error and a small norm in a Reproducing Kernel Hilbert Space, K. By choosing the Tikhonov regularization loss function to be the hinge loss,  $V(f(\mathbf{x}), y) \equiv (1 - yf(\mathbf{x}))_+$  where  $(k)_+ \equiv \max(k, 0)$ , we can state the SVM training problem as

$$\min_{f \in \mathcal{H}} \frac{1}{\ell} \sum_{i=1}^{\ell} V(f(\mathbf{x}_i), y_i) + \lambda \|f\|_K^2,$$
(2.1)

where  $\ell$  is the number of points in our training set, K is the Kernel Hilbert Space. After introducing slack variables to deal with the non-differentiable hinge loss function, we can apply the Representer Theorem, suggesting a solution  $f^*$  to the regularization problem. It has the following form:

$$f^*(\mathbf{x}) = \sum_{i=1}^{\ell} c_i K(\mathbf{x}, \mathbf{x}_i).$$
(2.2)

A substitution of the solution  $f^*(\mathbf{x})$  into the Tikhonov regularization formulation will reduce it to a constrained quadratic programming problem. Introducing a bias term and then solving the quadratic programming problem results in the set of  $c_i$  and b. A more complete derivation can be found in [22].

Because of the choice of loss function, the solution is often a sparse one, where many of the  $c_i$  in equation 2.2 are zero. This gives a bound on the complexity of the classifier as well as one on the empirical error. It is this property that allows SVMs to be successful in learning with very few examples within high-dimensional spaces. In this thesis, we primarily use an SVM formulation with a linear dot product kernel. With a linear kernel, the solution of equation 2.2 reduces to

$$f^*(\mathbf{x}) = \mathbf{w} \cdot \mathbf{x},\tag{2.3}$$

where  $\mathbf{w}$  is normal vector that specifies the separating hyperplane. We take the sign of the solution in equation 2.3 to be the classification. There are a few considerations that need to be taken care of when a separating hyperplane cannot be found in the feature space. This is primarily related to the choice of C in the SVM formulation. C is the parameter that controls the trade-off between classification accuracy and the norm of the function.

Note that we are specifically concerned with binary SVM classification, however there exist methods to combine binary SVM classifiers for the purpose of multiclass classification. See [23] for an empirical comparison of the many schemes. Additionally, SVMs can be formulated to handle regression problems.

#### Boosting Algorithm for Classification

Boosting algorithms have been applied successfully in numerous object detection systems [34, 30]. In [34], an object detection framework is built to process images rapidly and with high detection rates. They apply AdaBoost [26] to build an attentional cascade of progressively more refined classifiers.

A boosting algorithm additively combines the outputs of weak learners into a strong learner. A strong learner is a classifier that can learn the underlying target function arbitrarily well, given enough training data, while a weak learner is one that can barely perform better than chance, given enough data. AdaBoost is an iterative procedure to construct a strong classifier from several weak classifiers. A typical weak classifier to use is a simple decision or regression stump of the form

$$h(\mathbf{x}) = a\delta(\mathbf{x}_i > \theta) + b, \tag{2.4}$$

where  $\mathbf{x}_i$  is the *i*th component of the feature vector  $\mathbf{x}$ ,  $\theta$  is a threshold,  $\delta$  is an indicator function, and *a* and *b* are regression parameters to best fit the data. The final classifier is a linear combination of the weak classifiers of the form:

$$H(x) = \sum_{i=1}^{M} h_i(x),$$
(2.5)

where M is the number of rounds of boosting.

An AdaBoost algorithm typically works as follows. We first start off weighting each training data example equally. For each round of boosting, we fit a weak classifier to the weighted data. Then we adaptively adjust the weights on the training data for the next round of boosting to place more emphasis on errors made in the current round. There are a few variants of this algorithm; the differences lie in how the algorithm computes the re-weighting of the training data [8]. In this thesis, we use the Gentle AdaBoost variant described in [8]. It has been shown to work well in object detection applications [30, 14]. AdaBoost has also been found to be resilient to overfitting of data.

#### 2.1.2 Feature Spaces

As mentioned before, a main task of object detection is determining the feature space in which to learn. Various feature spaces have been successfully employed for the tasks of face and pedestrian detection. From experience, it seems that the choice of feature space is heavily dependent on the object class. A feature space that works best for faces may not work well for pedestrians, and vice versa.

For face detection, a comparison of gray-scale pixel values, first derivative of grayscale images, and wavelets is available in [11]; it suggests that gray-scale pixel values are often a good enough choice. On the other hand, haar wavelet coefficients were found to work well in pedestrian detection [17, 18].

In Section 3.3.2, we perform our own comparison of various feature spaces for the task of classifying cropped images of cars and non-cars. On one dataset, we found that gray-scale pixels and a histogram of edge orientations works well in distinguishing

between cars and non-cars. On a different data set, the histogram of edge orientations did not perform well at all. From this study, it seems that gray-scale pixels are also a good enough choice for the class of cars.

[11, 29] also evaluate methods of feature reduction like Principle Component Analysis (PCA) and feature selection based on an ordering of the coefficients of the resulting SVM hyperplane specified by  $\mathbf{w}$ . Other feature selection methods like the one proposed in [36] can also be used.

#### 2.1.3 Global Approaches

Many early face detection systems like that of [19] and [24, 32] employed a holistic approach to the problem of face detection. These approaches take the face as a single unit and perform classification on features generated from the entire face. In these systems, SVMs or neural networks were trained to discriminate between face and nonface images. Rotation invariance was built into the system in [24] by training several neural networks, one for each possible discretized amount of rotation. In [20, 24], improvements were made by using virtual examples to increase the size of the training set. Virtual examples were generated by rotating, translating, or scaling the original faces. Including these virtual examples reduces the sensitivity of the classifier to these variations, which may be present in new test examples. Confusable non-faces have also been bootstrapped into the training set to improve the accuracy of the classifiers.

#### 2.1.4 Component-based Approaches

Recently, component-based approaches to object detection like the ones in [18, 12, 3, 37] have become more fashionable. The intuitive motivation behind a componentbased approach is that each part of an object should be less sensitive to changes in illumination, pose, and rotation than the object as a whole. Component-based systems can also be engineered to deal with partial occlusion by clutter or strong directional lighting. Furthermore, they can leverage the geometric information and constraints in the configurations of the components. Empirically, they have been shown to produce better accuracy than global, holistic approaches [3].

A typical component-based object detection architecture involves selecting components to be trained, selecting a feature description for each component, and combining detected components for the final object classification. In selecting the components to be trained, systems like [11] use the typical facial features, such as eyes, the nose, and the mouth. The system has then been improved in [12] to select salient features automatically using a region-growing algorithm with a statistical bound. In [35, 6], interest operators were employed to select keypoints in images, and a generative model is learned on a selected subset of these keypoints to explain the data. Besides the choice of components, systems differ in their choice of feature description. Gray-scale pixel value features can be used like in [3]. The system in [28] extracts features from the wavelet decomposition of images to build a histogram-based classifier.

Once part examples have been detected in an image, component-based object detection systems will employ a second-layer classifier to determine whether or not the parts taken as a whole belong to a member of the object class or not. Several approaches can be taken. Some approaches perform a likelihood ratio test that determines whether a given configuration of detected parts is more likely to have stemmed from a member of the object class or not [3, 6]. Others like [37] search in a set of previously seen configurations for the best geometrically consistent subset of extracted parts from the image. In [12], an SVM is trained to decide whether or not the set of positions and confidences of each part is likely to have come from a face. This SVM is then evaluated on the features from the best detections for each component.

### 2.2 Object Detection Framework

The final step of object detection in images is to apply the learned classifier to all the potential windows of the image at different scales. Objects are considered detected in a window if the classifier has a high enough activation value. In [1], they the coin the term *classifier activation map* for the outputs of the classifier on windows of the image.

Objects in images may appear at different scales depending on the depth of the object with respect to the camera. Therefore it is important to search the image at different scales in order to detect all objects. To keep the number of features of the classifier fixed, we iteratively scale the image by a specified amount.

In object detection, the choice that impacts the visible results of detection most is the threshold at which the classifier operates. Usually, our classifiers are too permissive in what they classify as members of the target object class. This is because our training set does not reflect well the actual probability distribution of objects, over-stressing the presence of positive instances of the target class. We alleviate this by choosing an operating point on a Receiver Operator Characteristic (ROC) plot in the region of low false positives. We choose an operating point that satisfies our requirements against false positives; it is usually a choice based on the number of inspected windows.

Another important issue to resolve is the multiple detections that may occur for the same object. These multiple detections may also occur across a few scales. In many respects all these detections are correct, but a single detection is often required for some applications. A few methods have been employed to reduce the multiplicity of correct detections. The most common method partitions the detected windows into disjoint, non-overlapping groups, and the best (highest activating) detection in each group is used. Problems arise here when we have multiple cars very close to each other and the non-overlapping constraint may be too strong. Another approach is to take, as the best location, the maximum activation in a specified neighborhood of potential locations across multiple scales. If two locally maximal activations occur in close proximity to each other but are outside of each others neighborhood, then both activations are considered detections.

### 2.3 Miscellaneous

In computer vision, a natural extension of object detection is to the task of object identification, where an instance of a particular object is classified into one of many smaller subclass. In particular for faces, it is often useful to determine the identity of the face wherever a face has been detected. Although we do not address the identification aspect of recognition in this paper, we can very easily generalize binary classifiers for multiclass classification in order to perform such object identification. In general, one-versus-all has been shown to work well for many multiclass classification tasks [23].

# Chapter 3

# Hierarchical Car Classifier using an Interest Operator

In the previous chapter, we discussed a few systems suggesting that accurate and powerful object detectors can be built in a hierarchical component-based fashion. In this chapter, we plan to demonstrate that this hierarchical structure is advantageous for object detection in images with cars. We discuss a hierarchical car classifier based on salient features extracted by an interest operator. The classifier operates by first locating keypoints in the test image with an interest operator. These keypoints are then compared against a corpus of car-specific keypoints learned from the training data. The resulting similarity vector is input into an SVM classifier. We then compare the performance of this classifier to non-hierarchical classifiers. In this chapter, we view the keypoints in our corpus of car-specific keypoints as components of cars. The technical and philosophical validity of this claim is open to debate. Nevertheless, we are able to show that this hierarchical "component-based" approach indeed works well for cars.

# 3.1 Interest Operator and SIFT Feature Descriptor

Extracting distinctive and invariant features quickly from images is an important task for a hierarchical object detection system. It was decided early in the development of this system to use the interest operator discussed in [15] to make this process rapid and robust. Other options were to use those operators described in [7, 9]. The Lowe Interest Operator detects interesting points or *keypoints* by locating extrema in

$$D(x, y, \sigma) = (G(x, y, k\sigma) - G(x, y, \sigma)) * I(x, y),$$
(3.1)

where I(x,y) is the input image, and  $G(x, y, \sigma)$  is the variable scale gaussian,

$$G(x, y, \sigma) = \frac{1}{2\pi\sigma^2} \exp^{-(x^2 + y^2)/2\sigma^2}.$$
 (3.2)

Each interest point is parameterized by not only the x, y, and scale, but also a dominant orientation computed from the neighborhood of  $D(x, y, \sigma)$ . These parameters impose a 2D coordinate system, providing invariance to translation, scale, and rotation [16].

At each keypoint we record the Scale Invariant Feature Transform (SIFT) of the image. The SIFT feature descriptor is designed to be invariant to changes in illumination and changes due to 3D viewpoint. These invariances address issues that often plague object detection systems. The computation of the descriptor involves first computing the gradient image of magnitudes and orientations and then dividing a local region around the keypoint into 4x4 sample regions. An orientation histogram with 8 orientation bins is created for each of the regions, where each gradient image pixel contributes its gradient magnitude into the histogram entry (keyed by the gradient orientation). Care is taken to avoid boundary effects and effects due to small changes in keypoint location. A threshold is used to limit the contribution of large gradient magnitudes in each histogram entry. This step coupled with renormalization is performed to reduce the effects of illumination changes. The final result is a 128 dimensional feature vector shown to work very well for object detection tasks. Details are available in [16].

It has been shown empirically [15, 5] that this combination of keypoint detector and image feature works well for object detection.

### 3.2 Keypoint-based Car Detector

Our goal is to construct a hierarchical car detector by automatically learning carspecific features and then learning a combination classifier. We chose to center our features on the keypoint detections returned by the algorithm described in [15], because they are robust, computationally efficient, and invariant to a number of common image transforms. We take the following approach. First we extract keypoints from car images in our training set. Each keypoint is a 128-dimensional vector representing a 4x4 array of orientation histograms with 8 bins in each histogram. These keypoints may come from an actual car or from the background in a car training image. We cluster the keypoints into a fixed number, k, of clusters, using k-means clustering. Let this set of cluster centroids be the set K, and let  $K_j$  denote the *j*th cluster centroid. Our initial hope was that these clusters would represent semantic car parts. The next step in training a classifier is representing the training data in terms of the expression strength of these parts.

In order to train an SVM classifier, we must be able to convert the image, I, from the training set into a fixed-length feature vector,  $V_I$ . Let T be the set of keypoints extracted from image I. The vector  $V_I$  has elements  $V_I(j), j \in [1, ..., k]$ , such that

$$V_{I}(j) = \min_{r \in T} ||K_{j} - r||$$
(3.3)

Our fixed-length feature vector is now a k-dimensional vector of distances between clusters from the training data and keypoints from the image. In general, since there may be more clusters than there are keypoints in an image, a particular keypoint may be associated with more than one keypoint cluster.

Intuitively, we expect that car images will have smaller distances to many of the clusters, and non-car images will have larger distances. However, we do not expect this to be a hard rule because we allow background keypoints into the clustering procedure. We do this to avoid manual labeling every keypoint as coming from a car or from the background.

With the described feature representation, we are able to train SVM classifiers. The SVM is trained on positive and negative examples – the positive examples are the same car images from which we extracted car keypoints for clustering <sup>1</sup>.

In order to classify a novel image, Z, as a car or a non-car, we first extract keypoints from it. We then calculate the  $V_Z$  as before. This vector of minimum distances is then passed to the SVM for classification. This process is repeated for each image in the test database. By sweeping over the SVM threshold we can generate an ROC curve, enabling us to compare system performance to other methods. Using these measures, we can examine the effect of free parameters in the system design.

There are numerous free variables in our approach. The keypoint format depends on the number of scales, orientations, and histogram bins. We chose to keep the parameters at the default values suggested by [15]. These values are known to work well for detecting specific objects. We address the parameter k, the number of clusters, in our experiments.

### 3.3 Experiments

#### 3.3.1 Databases

#### Modified UIUC Image Database for Car Detection

This database is constructed from the UIUC Image Database for Car Detection [1]. The UIUC Image Database has a training set with 550 side views of cars and 500

<sup>&</sup>lt;sup>1</sup>We showed experimentally that the potential system performance improvement from splitting the training set into two subsets for the purposes of clustering car keypoints and training the SVM classifier was offset entirely by the loss in performance due to less data for training.

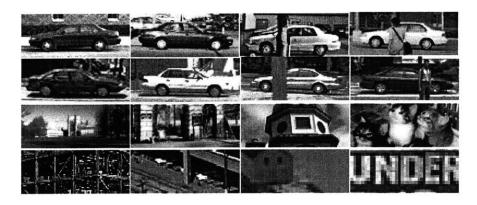


Figure 3-1: Examples of cars and non-cars from the training and test set in the Modified UIUC Image Database for Car Detection. All car images are side views of cars where the car is spatially localized in the image. The first and third row are examples of positive and negative training images. The second row contains the crops from the test images of the UIUC Image Database; these images were used as our positive test images. The last row contains examples of negative test images.



Figure 3-2: Examples of cars and non-cars from the training and test set in the StreetScenes Subset Database.

non-car images. Each of these gray scale images are  $40 \times 100$ . The cars are roughly of the same build and at the same position, and are subject to possible occlusion and differences in illumination. We used this training set unmodified. The provided test set contains cars to be located in images with a large amount of background. For our purposes, we cropped out the first car from each of the test images to serve as our positive test set and provided our own set of negative examples. In total, we have 170 side views of cars and 4183 non-cars for the test set. See Figure 3-1 for examples of cars and non-cars in the training and test set.



Figure 3-3: Difficult car examples from the StreetScenes Subset database.

#### StreetScenes Subset Database

The StreetScenes database contains high resolution (up to  $768 \times 1024$ ) images of cars in a natural environment. The database contains cars, trucks, and buses in many poses, different degrees of occlusion, as well as variations in illumination. In order to simplify the problem, we have decided to concentrate on side views of cars. We have performed semi-automated sorting of the cars in the database by pose in order to extract the side views of cars. We also extract non-cars from the StreetScenes images with a similar distribution in sizes. All images are converted into gray scale, extracted at a fixed aspect ratio, and finally scaled down to a fixed image size. Figure 3-2 shows examples of images from this database. Our training database contains 350 images of cars and 4000 images of non-cars. The test database contains 149 images of cars and 4059 images of non-cars.

Car detection on the StreetScenes database is a considerably more difficult task than on the UIUC Image Database. Because the side views of cars were semiautomatically extracted to improve the generality of our methods, errors did occur as shown in Figure 3-3. Also, the StreetScenes Subset database contains images of bulldozers and buses, making the classification task difficult.

## 3.3.2 Global (Non-hierarchical) SVM Classifiers - A survey of feature spaces

For comparison purposes, we perform the learning task on these databases using canonical statistical learning machines, vis. SVM and k-Nearest Neighbor technique.

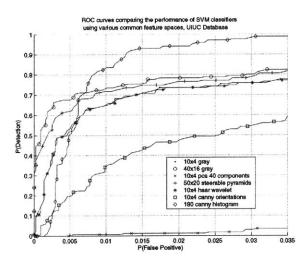


Figure 3-4: ROC plot comparing performance on the UIUC Image Database of SVM classifiers using various common feature spaces.

We explored system performance using various common feature spaces and a few novel ones. ROC curves comparing results of different global (non-hierarchical) SVM classifiers are provided in Figures 3-4 and 3-5. All the ROC plots concentrate in the low false positive region, because this is the region believed to be important for the application of car detection in images. All generalizations made in the low false positive region extends to the high false positive region unless otherwise stated. Table 3-6 gives error rates comparing SVM performance to k-Nearest Neighbors for the UIUC Image Database.

The first feature space we explored was the original gray pixel intensities. We applied the following pre-processing steps. First, each gray scale image is scaled down to a common size. We vary this to measure the effect on performance. Histogram equalization is then performed on each image individually to remove variations in image brightness and contrast.

In [31, 12], it was found that by using Principle Component Analysis (PCA) the dimensionality of the data could be reduced, while preserving system performance, or possibly even improving it. Along with gray scale features, we tested PCA features in this stage of the experiment. We tried running the experiment with 5, 10, 20, and 40 principle components.

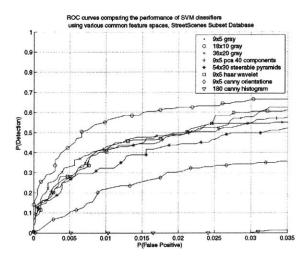


Figure 3-5: ROC plot comparing performance on the StreetScenes Subset Database of SVM classifiers using various common feature spaces.

Additional features tested include haar wavelets, and correlations with an overcomplete bank of steerable filters. We also tried the local canny edge orientation at each pixel as a feature space. Perhaps the most complicated feature space we chose to include was a 180-bin histogram of the canny edge orientations, measured at each pixel.

The ROC curves in Figure 3-4 and 3-5 suggest that the gray-scale pixel intensities perform better than the other features under comparison for these particular tasks. We also see that the StreetScenes Subset Database is considerably more difficult than the UIUC Database.

For the sake of comparing different feature spaces, we provide Table 3-6, the test set error rates on the UIUC Database of the best SVM classifiers and the best k-Nearest Neighbor classifiers for each feature space. Although ROC curves are more useful for image detection applications, we are not able to generate meaningful curves for the k-Nearest Neighbor classifiers. Nevertheless, we provide this table as part of the survey of the effectiveness of each feature space. The error rates for the SVMs are points on the ROC curves of Figure 3-4. From the table, we see that at the chosen operating point, gray value pixels and canny histogram generally work about equally well, and all other features performed worse.

Test	SVM		1-NN		7-NN		15-NN	
	pos	neg	pos	neg	pos	neg	pos	neg
10x4 gray	90.59%	89.07%	95.88%	75.03%	94.71%	72.88%	95.29 %	70.07 %
20x8 gray	88.82%	86.98%	95.29%	91.36%	96.47%	91.57%	96.47 %	89.19 %
30x12 gray	92.35%	86.61%	95.88%	92.94%	95.88%	92.57%	96.47 %	90.91 %
40x16 gray	92.35%	90.29%	95.29%	94.47%	97.06%	94.54%	97.06 %	93.17 %
10x4 pca 40 comp	63.53%	41.40%	80.00%	53.78%	84.12%	47.73%	89.41 %	43.89 %
10x4 pca 20 comp	62.94%	42.49%	80.00%	51.91%	85.88%	45.62%	88.24 %	42.47 %
10x4 pca 10 comp	55.29%	47.22%	75.88%	51.72%	81.76%	47.84%	85.29 %	45.33 %
10x4 pca 5 comp	51.18%	54.15%	66.47%	47.16%	75.88%	42.47%	79.41 %	38.25 %
10x4 level 2 haar	91.18%	88.81%	94.71%	75.37%	94.71%	72.74%	95.29 %	69.98 %
50x20 steerable pyramid	92.35%	88.76%	94.71%	94.20%	95.88%	93.15%	97.06 %	91.59 %
10x4 canny orientations	90.00%	80.26%	82.35%	57.44%	83.53%	54.65%	81.18 %	53.39 %
180-bin canny histogram	94.12%	98.24%	88.24%	97.46%	94.71%	97.60%	97.65 %	97.51 %

Figure 3-6: Error rates on the UIUC Database positive and negative test sets. 170 positive examples, 4183 negative examples in test set.

### 3.3.3 Keypoint-based Car Detector

In this section, we show results from various experiments we performed in arriving at our current system. We show that our system outperforms our best global SVMs on the databases used in our experiments.

#### Comparison to Global SVMs.

In Figures 3-7 and 3-8, we compare our system using 6400 clusters of car keypoints to our best global SVMs for the two databases. These figures show that our classifier using the local SIFT descriptors at detected keypoints outperforms the best global SVM classifiers in the low false positive region. Only the global histogram of canny orientations on the UIUC Image Database beats our system in the high false positive region of the ROC curve, a region that is not particularly interesting for the application of object detection in images. Additionally, the poor performance of the global histogram of canny orientations on the Street Scenes Subset Database seems to indicate that this particular feature space is highly sensitive to the particular learning task at hand.

#### Experiments with the Number of Clusters.

We show in Figures 3-9 and 3-10 that performance generally tends to improve as we increase the number of clusters of car keypoints. There is typically diminishing marginal returns as we increase the number of clusters. On both databases, using

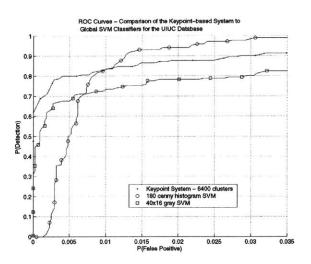


Figure 3-7: Comparison of the Keypoint-based Car Detector to Global SVM Classifiers on the UIUC Image Database.

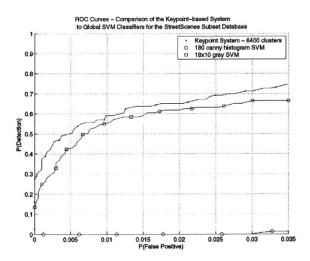


Figure 3-8: Comparison of the Keypoint-based Car Detector to Global SVM Classifiers on the StreetScenes Subset Database.

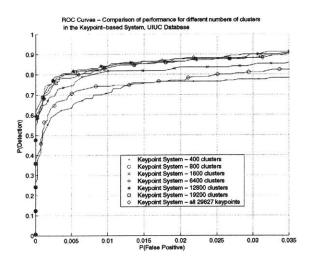


Figure 3-9: Performance on the UIUC Image Database of the Keypoint-based Car Detector as we vary k, the number of cluster centroids used.

any more than 6400 clusters does not achieve enough performance gain to warrant the increase in computational cost, which is dominated by the distance calculations between keypoint clusters and the keypoints in a test image.

We also experimented with the use of the more powerful Gaussian Mixture Models (GMMs) instead of k-Means clustering. With the same number, k, of clusters, we did not see an improvement in performance.

#### Experiments using all car keypoints and random car keypoints

Because performance seemed to improve as we increased the number of clusters, we tried using every car keypoint as a cluster centroid. The performance of using all car keypoints is in general very close to the performance when using greater than 6400 clusters of keypoints. Additionally, we explored the expressiveness of each individual keypoint in car classification. In Figure 3-11, we provide training images with keypoints corresponding to negative SVM weights highlighted in red and keypoints corresponding to positive SVM weights in green. Strong expression of a red keypoint (a small distance to that keypoint) indicates the presence of a car, whereas the strong expression of a green keypoint indicates the presence of background. In the training images, keypoints close to the wheels tend to be colored red. This indicates that if a

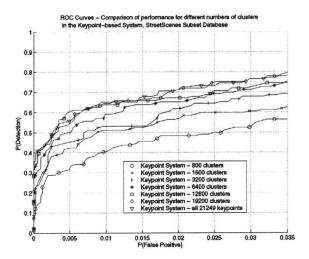


Figure 3-10: Performance on the StreetScenes Subset Database of the Keypoint-based Car Detector as we vary k, the number of cluster centroids used.



Figure 3-11: Positive training images from the UIUC database displaying the most expressive keypoints. The size of the keypoint represents the scale at which the keypoint was taken. A keypoint is displayed in red if it is a 'car keypoint'. A keypoint is colored green if it is a 'background keypoint'.

keypoint in a novel image is similar to a wheel keypoint, then it is more likely for the image to be of a car.

We also tried using random subsets of the set of all car keypoints instead of the cluster centroids. With randomly selected keypoints, accuracy still tends to improve with increasing number of keypoints; however, accuracy becomes highly dependent on the random selection of keypoints. This randomness in the selection of keypoints makes it harder to make any conclusions whether it is better or worse than using clusters of keypoints.

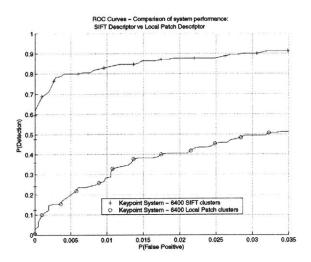


Figure 3-12: Comparison of the SIFT Descriptor with a Local Patch Descriptor for the keypoint-based car detector. The experiment was performed on the UIUC Image Database.

#### Local Patch Descriptors vs SIFT Descriptor.

Instead of using the SIFT descriptor, we tried using a local gray pixel descriptor at the scale of the keypoint extraction. In other words, we extract an image patch of uniform resolution at the scale of the detected keypoint and record it as our keypoint feature. We see in Figure 3-12 that the SIFT descriptor performs better than the local patch descriptors. These arrays of histograms of orientation features seem to better represent the differences between cars and non-cars.

## 3.4 Discussion

In this chapter, we have outlined the architecture of a hierarchical car detection algorithm, explored how the performance of this classifier is dependent on variations in the architecture, and compared the accuracy of the system to that of non-hierarchical global SVMs trained on the same data. This exploratory study showed that by first extracting an appropriate high-level feature representation of the data, and subsequently training a classifier on the expression of these features, performance can be boosted. One question the reader may ask is whether or not this system is truly a part-based car detector. For certain the SIFT features learned from the data are diagnostic for cars, as evidenced by the gain in performance over SVMs trained on other reasonable features. Also the SIFT features are spatially constrained; data from the image is only inspected at or near the keypoint returned by the interest operator. While the clusters we extract in the k-means step represent spatially constrained car specific features, they do not necessarily correspond to what a human would consider as a semantic car part  $^2$ .

While the performance of our system is better than that of the best global (nonhierarchical) SVM technique we implemented, it is also considerably more complicated in structure. One might ask if the additional performance is worth the cost. What we have seen empirically is that: while a simple SVM and our technique might do equally well at detecting a car that is very similar to the majority of the training database, our method has the advantage when abnormal conditions, such as a partial occlusion or a strong lighting condition, cause part of the car to look dissimilar to what is expected.

One unexpected result in the course of this experiment was that in general it is better to use as many clusters as possible, almost all the way out to the extreme where every keypoint from the training database is itself its own cluster. In this way our system bears some similarity to a fragmented nearest neighbor technique. Whereas in nearest neighbor classification a test image is compared via a distance metric to every car and non car image in the training data, in our technique every interesting car point is compared to every keypoint from the training data.

In implementing this system, we did not utilize geometrical constraints as is usually done when building a component-based detection system. Early experiments have shown that including the location does indeed provide additional improvements, but further work must be done to investigate the best way to incorporate this information into the system.

 $<sup>^2 \</sup>rm Some$  clusters regularly locate the wheel of the car, in this case we would consider the cluster to be a semantic car part

# Chapter 4

# **Component-based Approach**

In this chapter, we present a component-based object detection system using preselected and manually labeled components. The system is very similar in design to other component-based systems in face and pedestrian detection [12, 18]. For the component detectors, we choose to use the features proposed by [30]. These features were chosen for their computational efficiency and ease of implementation. The full system architecture is discussed in the next section with experiments and conclusions to follow.

## 4.1 System Architecture

We propose a two-tiered system, where we first detect car parts and then combine these component detections to make the final car detection. We choose car parts that we believe are salient. These parts include: wheels, headlights, taillights, the roof, the back bumper, rear view mirrors, the windshield, and the "sidepanel", a rectangular region between the wheels that includes the shadow underneath the car and lower half of the car doors. Classifiers are learned for each of these parts as described in Section 4.1.1. In Section 4.1.2, we discuss how the part detections are used to train a top-layer car classifier.

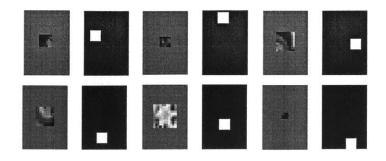


Figure 4-1: Examples of patches used as templates and their associated binary spatial mask indicating the region over which a feature is extracted. These regions are chosen to be a square of size 5x5 pixels. The size of the patches range from 3x3 pixels to 14x14 pixels.

### 4.1.1 Component Detection

For each of the chosen parts, we train a classifier that can distinguish positive examples of the part from negative examples. We use the scheme presented in [30] and presented again below, highlighting the important points for our task. First, we build a dictionary of image patches by randomly extracting square regions from positive part examples that are normalized to a common image size. The size of the patches varies randomly from 3x3 to 14x14 pixels. Associated with each extracted patch is a binary spatial mask that indicates the location of the patch in the original normalized example. The size normalization is different for each part, and is chosen so that a majority of the positive examples would not have to be up-sampled to the normalized size. A total of 2000 such patches are extracted for each part. Examples of patches from wheels are shown in Figure 4-1 along with their corresponding spatial mask in the original image. The binary spatial mask indicates the location of the patch and also determines the window or support under which a max operation will be taken. By changing this spatial mask, we can control the location and size of the region at which the feature is computed. We choose the size of the support to arbitrarily be 5x5 pixels as larger choices did not give us desirable results.

After extracting image patches from the positive examples, we wish to empirically determine the similarity between each training image with each image patch at the location where the patch was originally extracted. This is a form of template matching and is very similar in spirit to the distance vector that we compute in the interest operator inspired system described in Chapter 3. The training procedure is as follows. For each positive and negative part image in our training set (already rescaled to a common image size), we compute a 2000 dimensional feature vector, one feature for each extracted patch. Each element of the vector is the maximum of the normalized correlation between the training image and an image patch under the patch's corresponding spatial mask. In more mathematical terms, for every patch i, we compute the feature

$$v_i = \max_{x \in S_w} \{ |I_\sigma \otimes g_f| \}, \tag{4.1}$$

where  $S_w$  is the support of the spatial mask  $w_i$ ,  $\otimes$  represents normalized correlation between the rescaled example image  $I_{\sigma}$  and the extracted patch  $g_f$ .  $\sigma$  is the scale of the original image. These features have been found to be good for template matching [33].

After extracting these features from hundreds of positive training examples and negative training examples, we train a Gentle AdaBoost classifier, selecting the 100 most diagnostic image patch features out of the set of 2000. We use simple regression stumps for the weak classifiers as described in Section 2.1.1. We chose to use 100 features, because the system in [30] performs reasonably well with only 70 independent features for various object classes. In that paper, they advocate the sharing of features between object classes. We do not share features in our system, but it is an option we may find useful to explore.

We now test on a novel image using our part classifiers. To do so, we apply each classifier over all possible windows and at multiple scales. In order to speed up computation, we approximate the calculation of  $v_i$  in Equation 4.1 as

$$v_i = (w_i * |I_\sigma \otimes g_f|^p)^{1/p}, \qquad (4.2)$$

where \* is the convolution operation and p is chosen to be a large value (we choose p = 101). According to [30], setting p > 10 allows Equation 4.2 to approximate Equation 4.1. Setting p = 1 gives us features that are average filter responses. Increasing

the value of p allows us to vary from generating features that provide a representative global description of the patch to features that are very well localized [30]. We have chosen a single high value of p in this system, but concede that this choice may differ for each part classifier.

An additional benefit of the approximation for the maximum of the normalized correlation under the spatial mask stems from the linearity of the operations. This enables us to work with the whole test image rather than windows of the test image. In other words, let  $I_{\sigma}$  be the whole image rather than a window of the image, where  $\sigma$  is the scale at which the entire image is rescaled.

Each convolution with the spatial mask can be efficiently computed using the integral image trick [34]. We now address the computation of the normalized correlation. In [30], the computational cost of convolving an image patch with a whole image is reduced by approximating the patch with a combination of linearly separable 1D filters. We do not use this decomposition, but we do propose a variant to the normalized cross correlation that is typically used. Instead of normalizing the correlation by the product of the standard deviation of the template and the standard deviation of the region under the template in the original image, we normalize by the product of the average of the template and the average of the region under the template. Both normalized correlation and our variant work as part detectors, but our variant is faster to compute. An additional benefit is that these features are always positive.

Part detection on a test image is then performed by first extracting features using equation 4.2 for each feature utilized by the Gentle AdaBoost classifier. This results in images of normalized correlation values, which are then passed through the corresponding weak classifier regression stumps. These output values are then summed up to form the strong classifier outputs, the final detection values. We can view these final detection values as a *result image*, where highly illuminated regions represent strong detections of the part. The next step of car part combination will take these part detections as input. Applying the procedures described in Section 2.2 allows us to identify unique part detections. We provide examples of such detections for wheels and the sidepanel in Figure 4-2. We do not perform suppression of multiple compo-

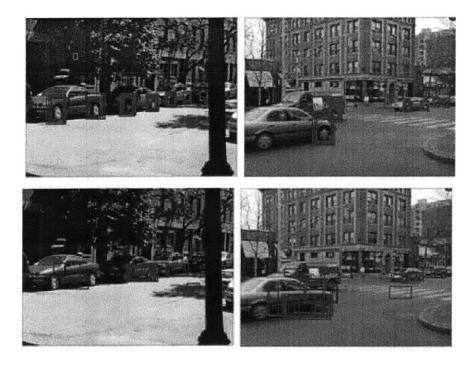


Figure 4-2: Examples of part detections for wheels and the sidepanel. A threshold is chosen by setting the number of permissible false detections to one false positive for every  $10^6$  windows.

nent detections, because this procedure is not necessary for component detectors in the overall system. In general, we also expect there to be more false detections for the component detectors.

### 4.1.2 Component Combination

Now we concentrate on the task of building a car classifier trained to classify an image window at a fixed scale as either a car or not a car. Detection of cars in the image at different scales is achieved by applying the classifier to windows of the rescaled image. Our component-based system will use a feature description that is representative of the part detections and from which geometrical constraints can be learned. At this stage, we must also build into the system robustness to occlusion. We employ an SVM classifier learned on various feature representations. We choose the best representation for our final component-based car detection system.

A variety of approaches have been successfully employed to combine component

detectors. In [18], the largest detection value in a pre-specified region for each component is used as a feature vector. An SVM is trained using these features, thereby learning the variability in component detection values for the class of cars. The geometrical information is embedded in the constrained search for the maximum detection value. Robustness to occlusion is learned by the SVM, given enough representative examples. We cannot employ this approach effectively because a priori it is difficult to constrain the locations of our components to pre-specified regions in a common image plane. Another approach, taken in [12], is to additionally pass the location of the maximum detection in the image plane. A final (and the most successful) approach divides the image plane into a finite number of regions and records the maximum part detection value in each region. The maximum value in each region for each component is the feature representation from which an SVM is learned. This approach also embeds geometrical information in the features. The calculation of the max in many subwindows can be performed more efficiently by taking advantage of the integral image trick and a formulation similar to Equation 4.2. We present results comparing these methods of component combination in Section 4.2.

### 4.1.3 Car Detection

After learning the combination classifier, we can classify an image window of fixed size as either a car or a non-car with some confidence. We then apply this classifier to all possible windows of an image over multiple scales, and record the classifier outputs. Given these outputs, we apply techniques similar to the ones presented in Section 2.2 in order to set a threshold for the classifier and remove multiple detections of a single object. These classifier outputs will also allow us to measure the performance of our system.

As described in Section 2.2, we must now set an operating threshold for the car detector, and then develop a scheme to suppress multiple detections. The threshold is chosen to satisfy a requirement on the number of allowable false detections. This is very application-specific and we choose a threshold corresponding to a point on the ROC curve. Typically, selecting the threshold corresponding to one false positive for every  $10^6$  inspected windows is sufficient. We suppress multiple detections of a single object by iteratively accepting detections that do not overlap too much with previously accepted detections. The detections are sorted by detection strength beforehand and we do not allow any overlap of more than 25% with previously accepted detections. This method provides good visual results and is simple to implement.

## 4.2 Experiments

#### 4.2.1 Database

We use a different subset of the StreetScenes subset than was presented in Section 3.3.1. We did not use the same database because we did not want to concentrate only on the side views of cars. From this subset, we manually labeled the parts of the cars from a total of 158 images. The parts that were labeled are wheels, headlights, taillights, roof, back bumper, rear view mirrors, windshield and the sidepanel. Figure 4-3 shows the labelings of two cars. The labeling process associates a bounding polygon (potentially not convex) to each car part in the image. A lot of effort was spent to ensure a complete and consistent labeling of each part. Unfortunately, this manual process can be error prone. One apparent flaw in the labelings is that the bounding rectangle may include some clutter from the surrounding background. This is because we did not implement a zoom-in mechanism to get a tight bound polygon on the part. Another difficulty occurred because we did not manually associate each labeled part with the car it belongs to. With a few heuristics, we were able to reestablish most of these associations, but the process is not free of error. We decided to work with this noisy data and hope for the best.

We split the labeled images into a training and a test set. 111 images were used for the training set and 47 images were left for the test set. We also included a total of 337 images without component labels into the test set. For any classifier that we train, the training set was taken only from training set images and the test set was taken only from test set images. For example, we only use the 256 positive car images

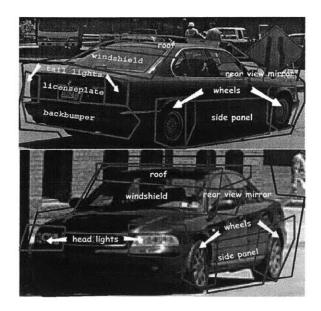


Figure 4-3: Labelings of car images in the Street Scenes Labeled Subset.

from the training set images to train the combination classifiers described in 4.1.2. Negative examples for training and test are extracted from the training set and test set images, respectively, so that they do not overlap with any positive examples.

### 4.2.2 Component Detectors

In order to evaluate each component detector, we apply each detector to the subset of the test set for which we have labeled the individual components. In order to reduce computational cost, we move the fixed window in steps of 10% of the components' fixed normalized image size (the fixed window size) in each dimension. We perform this over multiple scales by scaling the image by factors of  $2^{1/4}$  and repeating until the scaled image is smaller than the window under which we evaluate the classifier. Figure 4-4 shows the ROC curves for the component detectors on the test set subset.

### 4.2.3 Component Combination Classifiers

Here we present results for the component combination classifiers. We chose the fixed size car detection window to be 180x100 pixels. We would like to compare the three methods of component combination described in Section 4.1.2. The first approach

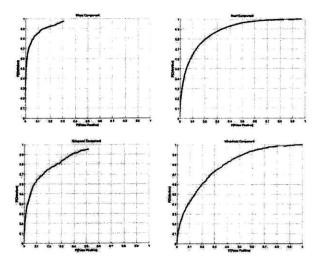


Figure 4-4: ROC curves from 4 component classifiers: wheels, roof, sidepanel, windshield. The components are in order with the curve for wheels in the upper left corner and the curve for the windshield in the lower right corner.

naively uses the maximum detection values in the whole detection window as the feature for each component. We will show that this method does not work well, but it does perform better than chance. The second approach additionally uses the (x, y) location of the maximum value in the fixed window plane. The resulting feature vector is a triplet of x, y, and maximum value for each component. The final approach divides the image plane into subregions, in which we record the maximum value. In order to facilitate the testing of these various schemes, we cropped out examples of cars and non-cars from both training and test set. We use performance on the cropped test set as a proxy for actual performance on the whole test images. This is reasonable because we have decoupled the object classifier from the object detection infrastructure. The ROC curves in Figure 4-5 compare the three approaches. We see that embedding the geometrical information with one feature for each subregion is better than using the x and y coordinates as features.

### 4.2.4 Car Detection

We now measure the performance of our whole system on a set of test images. We present the solid ROC curve in Figure 4-6, which shows the performance of the

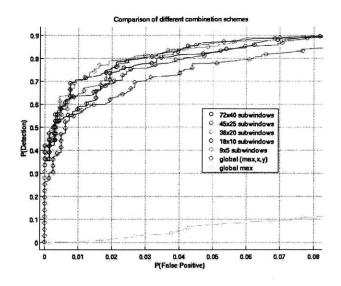


Figure 4-5: ROC curve comparing performance of three different component combination schemes. The worst performance is the system that just uses the maximum value of each component. The next worse curve is the ROC curve for the method that concatenates the maximum value of detection and the position of the maximum detection for each part into one feature vector. The curves on top use the maximum-value-insubregion approach for varying number of subregions. ROC curves are generated from a dataset of cropped cars and non-cars.

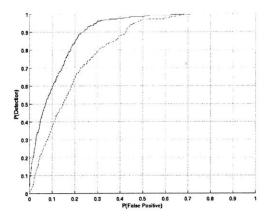


Figure 4-6: ROC curves comparing system performance on a test set between our component-based system (solid line) and the baseline global car detector (dashed line).

system at various operating points. This system only uses wheel, roof, and sidepanel components, and it uses the maximum-in-a-subregion features for the component combination classifier. In constructing the ROC curve, we consider a detection correct if its location is within the vicinity of a labeled car in the image, and if the overlapping area of the detection and the original car is above a certain a threshold. The threshold is set so that the overlapping area is greater than 50% of both the detection and the labeled car.

We compare the performance of this component-based system to a global holistic car classifier using the same features as the ones used in the component classifiers. This classifier serves as our baseline. The performance of the baseline classifier is shown in the dotted line of Figure 4-6. It is clear from the figure that a componentbased approach, even with few components, outperforms the baseline global classifier.

Finally, we show examples of detections in the test images. Figure 4-7 and Figure 4-8 show examples of images where the cars are correctly located. Figure 4-9 shows examples of false detections. False detections can be found in the trees, in store fronts, on walls of buildings, and even on parts of cars.

Figure 4-10 has images of common failures of our component-based system. The first four images show detections where a single detection may contain parts from

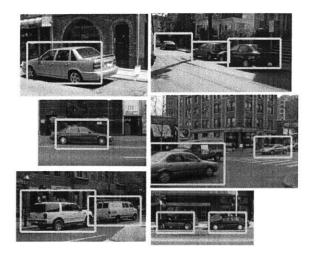


Figure 4-7: Correct Detections

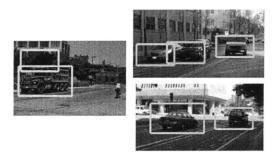


Figure 4-8: Difficult examples of cars that were detected correctly. The left side image has a truck with many wheels that was correctly detected. The right has pictures of the front and back views of cars. These views are not represented well by the three components used in the component detection system. Specifically, the wheels and the sidepanel do not show up in these cars.

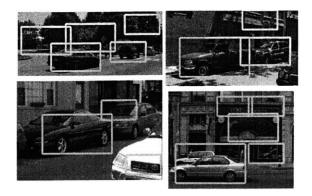


Figure 4-9: False Detections: found in trees, on walls, on the windshield of a car, and parts of a store front.

more than one car. The images in the second row show detections that bridge two parked cars. In these cases, the wheels are correctly located, but there should not have been roof or sidepanel detections. This demonstrates a deficiency in our part detectors. Improvements in each individual part detector and the inclusion of more part detectors can help alleviate this type of problem. In the first image of the third row, the detection is off-centered. Note that the shown detection is the best detection out of a possible many in the vicinity corresponding to this sports utility vehicle. This off-centered maximum detection appears to be a result of the apparent occlusion. It may also point to a failure in the modeling of the variation in geometrical configurations of the wheels. Depending on the application, this may or may not be a big problem. The final image demonstrates two problems. First there are multiple "non-overlapping" detections for the same car. This is a result of our pruning procedure to remove duplicate detections, but the problem lies in the classifier assigning a lower output value for the correct detection compared to the output values of the disjoint false detections. The second problem is that it is very hard to distinguish between a full car and a part of a car. Again, there can be many reasons for this. Fixing these problems are not trivial because of the inherent variability of cars in these natural images of street scenes. Heuristics that may solve one problem can lead to other problems. Our best chance is by somehow capturing the variabilities with statistical methods.

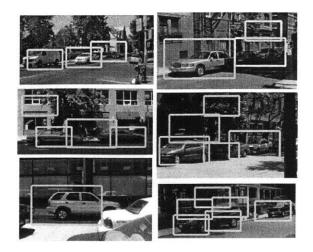


Figure 4-10: Interesting Examples of Incorrect Detections: grouping multiple cars together, a bridge between two cars in a row, off-center detection due to occlusion, multiple partitioned detections for one object.

## 4.3 Discussion

In this chapter, we discussed the design and implementation of a complete componentbased detection system. We have compared the accuracy of the component-based system to a holistic system using a similar feature representation. We showed that our system outperforms the baseline global holistic system, and have demonstrated reasonably effective results in detecting cars in natural images of street scenes.

In building a component-based detection system, one main problem arises. Often times it is as difficult to build a component detector as the actual object detector. Fortunately, reasonable performance for each component can be combined to achieve very good performance on the overall detection. This is similar in principle to approaches such as boosting and bagging, which improve classification by combining multiple classifiers. We have built a system using only 3 components. Incorporation of the other parts should boost the performance of our current system.

Also, an in-depth analysis of the error examples like the errors in Figure 4-10 may prove helpful in isolating the exact reasons for certain inaccuracies. Component classifiers often maximize in the wrong locations. Re-training component detectors to concentrate on these errors can also improve accuracy for each individual component.

We may also be able to harness contextual information shared between component detections like the pairwise position statistics method used in [3]. Methods like these can clean up our noisy detections and thus remove unnecessary variations seen by our learning algorithms.

The reader may also question our choices for parts of the car. These parts were chosen a priori in order to parallelize research. We are very much interested in building component-based systems where the components are automatically chosen, systems like the ones in [1, 12]. The system as described is independent of the component choices, so automatically chosen components are easily incorporated into the system design. We hope to be able to automatically select parts that are both semantic and diagnostic for car detection.

# Chapter 5

## Conclusion

In Chapter 3, we presented a car classification scheme based on learning similarities to features extracted by an interest operator. We then showed that this hierarchical system holds its own when compared to traditional global holistic approaches using SVMs. Although it is debatable whether or not the clusters of car-specific keypoints used in the system can be considered car parts, they do allow us to build hierarchical two-layered car classifiers. In Chapter 4, we presented the design and implementation of a complete system to locate cars in images based on the detections of human-specified components. We showed reasonable results for detections on a very difficult data set of natural images of street scenes. We provide a comparison with a holistic system using the same features, showing the advantage of a component-based approach.

The construction of these viable component-based systems with hierarchical structures seems to indicate an advantage for component-based approaches compared to traditional holistic approaches, for a broad class of objects. We have seen empirically that component-based systems are more robust against abnormal conditions, such as partial occlusion from clutter and strong lighting. Although there is strong evidence that accuracy can be improved, component-based systems are considerably more complicated in structure, requiring more computational resources. Various optimizations have been designed into our systems, and possibly more can be realized.

Although we were able to demonstrate the capabilities of a component-based

system for the class of cars in natural images of street scenes, it is still an open question as to whether or not a component approach can generalize to object classes such as buildings or trees where components are not easily defined and potential components do not occur in semi-fixed locations.

## 5.1 Comparison with Prior Work in Car Detection

For completeness, we describe a few other car detection systems and discuss the differences between their work and ours. We first begin by comparing our own two systems. We have gone from one extreme where parts are determined by an interest operator to another extreme where parts are specified by humans. There is also a disparity in the number of of components used. Whereas we have pre-defined the number of components for the system in Chapter 4, the system in Chapter 3 performs well when we have chosen many example components from our training data, to the point where each component stemmed from a particular car example. In this way, we prefer to have a set number of components, because it allows us to more directly control the complexity of our classifier. A formal comparison of the two systems would be interesting, but has not yet been performed.

In [27], a car detection system is designed to be able to detect cars over a variety of views. Their approach is not component-based, but can be considered hierarchical. They learn different classifiers that specialize on different views of a car, and a car is detected if any of the classifiers activated above a threshold. For each of these classifiers, they perform a likelihood ratio test after modeling the conditional probabilities of each class with products of histograms. This system has been shown to work well on a test set. We would like to perform a fair comparison of our systems to their system as a benchmark, however, we do not have access to their training data.

In [1], a component-based hierarchical car detector is developed. A vocabulary of parts are extracted using an interest operator similar to what was done in Chapter 3. They learn a Sparse Network of Winnows (SNoW) classifier on features that represent the image by its parts and observed spatial relations. They observe good accuracy on a heavily-constrained data set of side views of fixed size cars. In Chapter 3, we used a cropped version of their dataset for our own experiments, but did not perform a direct comparison with the work performed in [1]. However, a comparison has been made between the SNoW car classifier and a linear SVM classifier in [2] showing that it performs about as well as a global linear SVM.

# Chapter 6

## **Future Work**

In this section, we describe some immediate work for improving the systems as described in this thesis. We also advertise philosophies and future work to be done at the Center for Biological and Computational Learning (CBCL).

## 6.1 Derivative Work

As is the case with any engineered system, many design choices can potentially be tweaked for overall system improvements. To our best efforts, we have put forth our choices of parameters and the design decisions for our implementation. Many of these selections can be varied; unfortunately, there are too many possibilities to fully explore in a limited amount of time.

In Chapter 3, we describe a system for classification using interest operators, but we did not investigate the use of the interest operator to identify regions of interest in order to reduce the computational complexity in scanning all windows of an image over many scales. This is a very interesting area of research with lots of potential for improving object detection. [25] describes a system that uses an interest operator to identify regions where an object may be found. Harnessing these ideas can potentially improve both the accuracy and the running time of our algorithms. Additionally, the procedure for applying the hierarchical car classifier is prohibitively computationally expensive. It may be fruitful to investigate other clustering techniques in order to decrease the number of training keypoints used in the feature representation.

In Chapter 4, we investigated one feature representation using the normalized correlation under the spatial mask. We used Gentle AdaBoost classifiers for the component detectors. We first attempted to use SVM classifiers with gray-scale pixel values as features, however, the running time became burdensome when dealing with such large images as the ones in the StreetScenes database. This strained our ability to fully research the capabilities of this system, and we are hesitant to make any claims as to which feature representation and classifier pair is better for the task. We essentially took the first approach that worked well and ran with it. We hope to return to address the many questions that remain unanswered.

A general problem in building a component-based system is that the building the component detectors is often just as hard as building the actual detector. Fortunately, we have found that we can achieve good car detection despite subpar component detection. More work is required to improve each component detector and providing detectors for more components.

### 6.2 More Objects

In order to realize our goal of a "dictionary" of classifiers, we must work on more objects. We advocate a one-object-at-a-time iterative procedure towards this goal. We also stress the importance of extensible methods that work over a large class of objects rather than dwelling on a single object. The experience in building each object class detector may potentially lead to a unifying framework for performing object detection.

## 6.3 Context and Segmentation

This thesis strictly takes a bottom-up approach to the object detection problem. We find candidates for parts of an object and make a judgment as to whether the object is present or not. Another approach is to take a top-down approach, where context can play an important role. Such an approach has been used successfully in applications such as handwriting recognition, where language models have been known to significantly improve the recognition of each individual word. Similarly, we can apply current image segmentation research to improve our results. Some may argue that object detection and object segmentation are two sides of the same coin, one cannot be done reliably without the other.

## 6.4 Object Identification and Categorization

Another class of interesting problems in computer vision, especially for face detection is that of object identification, the task of determining the identity of a particular object once an object has been located. For face recognition, systems have been built to determine the identity of the face out of a few possible candidates [10, 13]. These ideas can be generalized to the task of categorization. Improvements in performance can possibly be made if the potential application allows for the assumption of a closedset of object classes. This lets us first locate objects and then perform categorization by determining which object class the object belongs to. The detection of objects in the closed-set can utilize features across all object classes, with the potential for sharing of features as seen in [30].

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