### Modeling and Control of a Hot Micro-Embossing Machine

**By**

### Grant T. Shoji

### B.S., Mechanical Engineering University of California, Berkeley, 2004

### Submitted to the Department of Mechanical Engineering in Partial Fulfillment of the Requirements for the Degree of

Master of Science in Mechanical Engineering

at the

Massachusetts Institute of Technology

June **2006**

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Department of Mechanical Erigineering May 12, **2006**

Certified **by**

عزيما سرس ( David **E.** Hardt Professor of Mechanical Engineering Thesis Supervisor

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Chairman, Department Committee on Graduate Students



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### ABSTRACT

As the market for polymer micro- and nano-devices expands there is an ever-present need for a manufacturing standard to mass produce these parts. **A** number of techniques for fabricating these devices are soft lithography, micro-injection molding, and microembossing. Micro-embossing shows great promise in terms of versatility in creating various structures, but its shortcoming is a relatively long cycle time. Therefore, it is imperative to find efficient ways of heating and cooling in addition to having good control of critical processing parameters. This thesis will address the modeling and control of a hot micro-embossing system which utilizes oil as the heating and cooling medium. There were three thermal requirements addressed for the system: steady state temperatures within  $\pm 1 \degree C$ , fast as possible heating and cooling cycles, and being robust to various embossing and de-embossing processing temperatures. **A** model of the major thermal components in the system was developed and correlated well with experimental data. It was confirmed with simulation and experimentation that a lower flow rate achieved faster heating and a higher flow rate produced faster cooling. In order to address the steady state temperature requirement a variable gain PI controller was implemented. During heating the feedback signal was the platen temperature and during cooling the feedback signal was the mixing valve fluid outlet temperature. This variable gain PI controller in combination with the variable flow rates produced steady state temperatures for both platens from 55 to 120 °C within  $\pm$  1 °C in 138 seconds. Cooling for both platens from 120 to **55 \*C** was achieved in **190** seconds. This controller worked for a variety of processing temperatures. **A** Labview interface was developed to automate this process for temperature step changes. Polymer microfluidic channels were successfully fabricated using this hot micro-embossing system with automated thermal control in a short cycle time.

Thesis supervisor: David **E.** Hardt Title: Professor of Mechanical Engineering This page intentionally left blank

### **Acknowledgements**

First and foremost, **I** would like to thank my parents and family for their undying love and support. **I** could not have realized most of my dreams without you all in my life. **My** experience at MIT will always be cherished.

**I** would like to thank Professor Dave Hardt for taking me into his research group. Before **I** joined the group **I** had heard from his previous students that not only was he a great advisor but an even better person. As I have worked under your guidance you have surpassed all of these expectations. **I** am grateful for the knowledge and guidance you have provided me with throughout my graduate studies.

**I** would like to thank Kunal Thaker for working with me on so many aspects of this project. Your help with the design and construction of the machine, metrology study, and daily advice is much appreciated. Matthew Dirckx has helped with the design and modeling of the machine. **I** would also like to thank Wang Qi and Adam Rzepniewski for their help in the lab. Thank you to Catherine Nichols and Erica McDaniel for processing purchase orders and providing us with ample free food.

Thanks to Hayden Taylor for his help in making our lab **DRIE** silicon tools. Dan Bums has helped me with AFM measurements for the metrology study. Thank you to Gerry Wentworth for helping me out in the machine shop. **I** would also like to thank my friends in *35-135* for making everyday at work fun.

Finally, **I** would like to thank the **SMA** for funding this research and making my work at MIT possible.

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## **Nomenclature**





### **CHAPTER**

## **1 Introduction**

### **1.1 Overview of Thesis**

As part of the **SMA** II Manufacturing Systems and Technology Research Program at MIT, significant research is being conducted to "develop engineering-science-based processing procedures for producing polymer based micro- and nano-structures" **[1].** As quoted from the **SMA** II research proposal, this program has three specific research tasks [1]:

- **1.** Develop engineering-science-based processing procedures for producing polymer-based micro- and nano-structures
- 2. Develop fundamental methods for design of high-throughput machines for micro-casting, micro-forging and micro-injection molding suitable for commercial scale production
- **3.** Develop methods for ensuring optimal process performance in a large scale, high volume, high flexibility manufacturing environment

This thesis will address task 2 of this research program regarding the modeling and control of a hot micro-embossing machine. The remainder of this chapter will comment on motivating factors driving this research program. Chapter 2 discusses various methods for creating polymer based micro- and nano-structures with an in-depth focus on micro-embossing. Chapter **3** details the design and construction of the second generation hot micro-embossing machine **(HME II)** in the Manufacturing Process Control Laboratory (MPCL). Thermal modeling and system characterization of the **HME** II is

discussed in Chapter 4, followed **by** its temperature controller design in Chapter **5.** Chapter **6** covers automation of the thermal system and development of the graphical user-interface **(GUI).** Plastic parts were created on the **HIIIE** II and the results with regards to process control are analyzed in Chapter **7.** Finally, Chapter **8** summarizes the thermal performance of the **HME** II and provides suggestions for future work modifying or creating the next generation HME machine.

### **1.2 Move toward Micro/Nano Plastic Devices**

Popularity of the **PC** and electronic hand-held devices has fueled the semiconductor market since the late 20th century. As these consumer products got more sophisticated and compact, the need to manufacture smaller and faster **IC** chips became imperative. Recently, growth in the semiconductor industry has slowed down due to the dot-com bubble burst in 2001. On the contrary, a sector recently evoking investor's interest with supporting technology mirroring the evolution of the **IC** chip is the biotechnology industry [2]. Smaller and more complex microfluidic systems in the biotechnology sector are becoming ubiquitous as companies desire to use smaller amounts of reagents, execute high throughput screening, integrate numerous steps on a chip, and reduce costs **[3].** Although silicon and glass are still widely used for microfluidic applications, there is a noticeable shift toward creating these devices using plastics because of its favorable properties and cost [4].

### **1.2.1 Potential Applications and Devices**

Biological applications seem to be the major growth area for polymer micro- and nano-structures. These applications range anywhere from biomolecular separation, bioassays, polymerase chain reaction (PCR), micro-total analysis system  $(\mu$ TAS) or lab-

on-a-chip **[5,6,7].** The most common devices for these applications are microchannels, microfluidics devices, microarrays and microreactors **[8].**

Another potential hot-bed for polymer micro- and nano-structures is micro-optical devices. Currently, most of the applications for these devices stem from the telecommunications and data communications industry **[9].** Common micro-optical devices are optical gratings, micro-lenses, and micro-prisms **[10,11].**

### **1.2.2 Existing Processes to Create these Devices**

During early development of microfluidic devices the most common fabrication techniques were ones adopted from the **IC** industry. Silicon or glass was typically patterned using lithographic techniques and then etched to define features **[12,13]. A** prevalent and simple method to create a microfluidic device is isotropic wet etching [14]. Another accepted method using silicon or glass as a substrate is e-beam lithography.

Although **IC** fabrication techniques are well established, the downside is that these processes are very costly and time consuming. Therefore, industry and research is moving toward using different processes to replicate features in plastic. Established techniques for manufacturing micro- and nano-devices in plastic include micro-casting, micro-injection molding, and micro-embossing. These techniques will be covered in more detail in Section **2.1.**

### **1.2.3 Advantages of Plastic**

The most evident advantage for using plastic as a substrate over traditional silicon or glass is cost. One of the most commonly used plastic in microfluidic devices is polydimethylsiloxane (PDMS), which is quoted to be **50** times cheaper than silicon on a per volume basis. Other plastics such as polymethylmethacrylate (PMMA) cost 0.2-2

cents/cm2 , compared with boro-float glass (10-20 cents/cm2), boro-silicate glass *(5-15* cents/cm<sup>2</sup>), and photostructurable glass  $(20-40 \text{ cents/cm}^2)$  [14]. Direct cost is not the only benefit to using plastic, but its affordability and shortened time to produce a device opens restrictions to allow a lot more people to work on this technology accelerating innovation [4].

Another favorable property of plastic is its surface properties enabling it to be compatible with a lot of microfluidic applications. Silicon has the tendency to attract biomolecules making them stick to the wall surface and hindering flow in fluid applications [14]. Plastics such as PMMA are hydrophobic, meaning it repels water making it conducive for flow applications *[15].*

Plastics also have superior optical properties compared to silicon. Many applications require optical clarity for **DNA** detection using high powered laser microscopes **[16].** Plastics are also widely used for microphotonic applications, where tunable optical properties via various methods are essential for device success **[17].**

### **1.3 Manufacturing of Polymer Micro- and Nano-Devices**

Currently, a wealth of research related to polymer micro- and nano-devices deal with creating innovative devices. Novel designs will potentially create new applications broadening the market for plastic devices. An article from The Industrial Physicist mentions the fact that, "although microfluidics devices are entering the marketplace, no industry standard exists, even for the simplest components **[18]."** As the volume of fabricated parts increases, standardization of manufacturing practices becomes imperative.

### **1.3.1 Market Analysis**

There are many indicators that suggest the market for polymer micro- and nanodevices is growing. Biotechnology companies are the primary users of microfluidics devices. Speculation in **1997** estimated the market for disposable **DNA** biomedical diagnostic devices to be over one billion parts per year **[19].** This number should increase as pharmaceutical companies expect the need for drugs to grow because of the increase in the aging population where globally the over-60 crowd is expected to increase from **66** million in 2000 to close to 2 billion **by** the year **2050** [20]. In order to quantify the market growth potential, an analysis **by** Ducre suggests microfluidic applications in the life sciences have a global market of roughly **500** million euros, which could grow to 1.4 billion euros **by 2008** [21].

The other large market for polymer micro- and nano-devices is micro-optics. The main industries utilizing this technology are telecommunication and datacommunication companies. MIT's Microphotonics Center summarized the markets and potential for plastic devices in Table **1-1.** As the market grows and more parts need to be fabricated manufacturing issues also grow in importance.

Industry	Application	<b>Product Examples</b>	Requirements	What Organics Offer	Technology Needed	Timing of Market Need
Telecom	Optical signal routing, switching. and power level control (Long-haul, Metro)	•ROADM .Wavelength blocker $-WSS$ $\bullet$ OXC -Protection switches .VOA arrays -VMUX $-$ DCE $-$ DGE	◆ Low cost (CapEx & OpEx for OEM & carrier) • High reliability • Small size · Low power dissipation ⊱ Ease of scalability · Simple fiber management	Meet all requirements. Unique attributes: • Lowest power consumption dynamic thermo-optic components · Practical hybrid integration enabling complex functionality PLCs today in material with state-of-the-art performance	Cost-effective 'pick-and-place' lassembiv technology	Now
	Optical signal distribution (Access. FTTx)	•Splitters ·Athermal mux/demux	● Low CapEx for OEM & carner - Low OpEx for OEM & carrier · High reliability Athermal passive behavior	Meet all requirements. Unique attributes: · Low cost, high volume replication techniques (stamping, etc.) • Athermal AWG with substrate of proper CTE	Low cost fiber arravs	Now
Datacom (Computer, Automotive. Aerospace. Security)	Short reach data links for lentertainmenti and control systems in Digital Home land Vehicles	.Plastic Optical Fiber (POF)	<b>Ease of splicing and</b> connecting • Light weight • Low bending loss Resiliency to mechanical impact	Meet all requirements. Unique attributes: • Multimode graded index POF meeting all requirements. · With transparency windows at 850, 670, and 530 nm, it is compatible with silicon or polymer photodetectors, and with silica or polymer. waveguide circuits.		Now
	Computing	. Optical interconnects on backplanes/boards/MCM for workstations and servers, and eventually personal computers	• Low cost • Low loss • Ease of connecting PCB lamination (temperature & pressure) compatibility	Unique attributes: ► Easy to manufacture and cost effective large area optics (farger than common photomasks)	Dust-resistant connectors	5-10 years
	Computing	.On-chip optical interconnects for workstations and servers. and eventually personal computers	• Low cost · Low loss $\bullet$ Ease of connecting <b>.</b> Light weight l. Low bending loss CMOS compatibility	Unique attributes: Ease of coating on CMOS chips · Processability with reduced temperature excursions • Rapid and cost-effective manufacturing	Compact and inexpensive lintegrated transceivers. amplifiers, etc.	20-30 years
Display, Imaging, Scanning, Learning	Information display	·Displays in consumer products (cell phones, digital cameras, electronic newspapers, etc.) -Signage . Light collection waveguide arrays in optical scanners	. Low cost (eventually enabling omnipresent displays, disposable displays, etc.) • Light weight · Flexibility · Impact resistance · Wide viewing angle	Meet all requirements. Umque attributes: · Flexibility · Impact resistance		Now
Military. Medical	Sensing, Monitoring	∙OEIC in your shirt	· Sensing fabrics • Light weight	Unique attributes: • Organic sensing fibers can be woven into comfortable. lightweight clothing	Further development of sensing fibers	5-10 years

**Table 1-1 Market drivers for plastics in optoelectronics [9]**

### **1.3.2 Importance of Manufacturing**

**A** number of applications for polymer micro- and nano-devices could possibly have stringent dimensional requirements. Rectangular microfluidic channels with certain aspect ratios for flowing Newtonian fluids in the laminar regime are governed **by** the Hagan-Poiseuille equation where the fluid resistance is shown in Equation **1-1** [22]. Where  $\mu$  is the fluid viscosity,  $L$  is the length of the channel,  $w$  is the larger channel dimension, and  $h_c$  is the smaller channel dimension. This equation shows the flow is

extremely sensitive to the smaller dimension of the channel. **If** the device **is** manufactured incorrectly, it could drastically alter the predicted flow performance.

$$
R = \frac{12\mu L}{wh_c^3}
$$

#### **Equation 1-1**

Dimensional accuracy is also essential for micro-optical components. **A** paper **by** Rossi **[11]** outlined a number of fabrication specifications needed to create high fidelity micro-optical modules. Alignment needed to be within  $\pm 2 \mu$ m over the replicated area and the planarity needed to be better than  $\pm$  5  $\mu$ m. Manufacturing practice based on fundamental understanding will reduce the number of devices that are out of compliance and cut down on costs.

### **1.3.3 Prior Research on Manufacturing**

With any manufacturing process it is important to understand the sources of process variation and learn how to reduce variation **by** changing the appropriate processing conditions. The number of studies regarding manufacturing issues for polymer micro-devices is limited and non-standardized. **A** few research groups' initial characterization tests for micro-embossing are presented in this section.

**A** study **by** Lin's **[23]** research group compared hot embossed micro structures in a laboratory and commercial environment. Micro pyramid structures were created and their quality was evaluated based on qualitative **SEM** images and AFM surface roughness measurements. They found a noticeable difference between the parts created in a laboratory environment versus a commercial environment, lending to the fact that quality control was an important issue when these micro devices were created at high rates.

Another study **by** Lin's [24] group characterized micro-embossed microlenses under various processing conditions such as pressure, temperature, and time. The height and radius of curvature of the lens were used as a metric for quantifying the quality of the part. It was found that processing temperature was the dominant parameter affecting the final outcome of the part.

**A** paper **by** Chen **[25]** characterized the micro hot embossing process for polymer splitters using the Taguchi method. The typical characteristic feature dimensions were **50** pm in depth with a choose ratio of **33.** These features were embossed using a 4" silicon wafer tool. Using a micro hot embossing system from Jenoptik Mikrotechnik model HEX **03,** it was found that the optimal process parameters was an embossing temperature of 120 **'C,** embossing force of **32,500 N,** embossing time of **270** seconds, and de-molding temperature of **70 'C.** This paper gave a solid foundation for defining target processing parameters for the micro-embossing process.

**A** similar study **by** Hardt et. al. **[26]** and Qi **[27]** was conducted in the Laboratory for Manufacturing and Productivity (LMP) to investigate process window characterization for the micro-embossing process. A copper tool with features  $50 \mu m$  tall and  $500 \mu$ m wide was embossed in PMMA under various processing conditions. It was found that an embossing temperature of *110* **'C** produced the best replicated parts.

### **CHAPTER**

# **2 Background**

### **2.1 Various Types of Plastic Micro-Manufacturing**

**A** number of techniques used to create plastic micro-devices have been established with the most common being soft lithography, micro injection molding, and micro embossing. Some techniques are tailored for specific materials and each has their pros and cons. The aforementioned techniques will be detailed in the following sections.

### **2.1.1 Soft Lithography**

Soft lithography is a process where a base and curing agent is mixed, degassed, poured over a mold, and baked at an elevated temperature. The resulting part, usually made of PDMS, is then removed from the mold (Figure 2-1). There are a number of advantages to using soft lithography including the ability to utilize common wafer fabrication equipment to create parts, the material's ability to diffuse gas, and the material's good chemical stability. Its disadvantages are shrinkage upon curing, difficulty achieving accurate dimensional replication over a large area, and inability to create high fidelity extremely low and high aspect ratio features **[28].** This technique shows great promise for laboratory prototyping, but might not be the best for mass production of parts.



**Figure 2-1 Schematic of the soft lithography process [29]**

### 2.1.2 Micro **Injection Molding**

In micro-injection molding a mold is heated above the plastic glass transition temperature, molten plastic is injected into the mold, the mold and part is then cooled and separated to release the plastic part (Figure 2-2) **[30].** One of the advantages to using micro-injection molding is that it has one of the fastest cycle times out of all the polymer fabrication methods. However, this process is limited **by** its inability to easily replicate high aspect ratio parts  $(>10)$  [31].



**Figure** 2-2 **Schematic of the micro-injection molding process [30]**

### **2.1.3 Micro-Embossing**

The micro-embossing process involves heating a tool and work piece above the glass transition temperature of the plastic, then applying a force to deform the plastic, the stack is then cooled under a constant force, once the platens and work piece are at the deembossing temperature the part is released (Figure **2-3).** Typical temperature and force profiles for the micro-embossing process are shown in Figure 2-4. The advantages to using micro-embossing are its relative ease at creating high aspect ratio devices, quick cycle times to switch out tools, ability to emboss any substrate thickness and minimal shrinkage. The disadvantage to using micro-embossing is its long cycle time relative to injection molding **[30,31].** In micro-injection molding, for low aspect ratio devices the

mold does not have to be heated above the work piece glass transition temperature and there is a constant supply of molten plastic available. However, if the cycle time for micro-embossing can be on the same order as micro-injection molding it would be the most flexible manufacturing technique for polymer micro- and nano- devices. Therefore, the focus for the rest of the thesis will be on the micro-embossing process and figuring out ways to drive down the cycle time, while maintaining control of the critical processing parameters.



**Figure 2-3 Schematic of the micro-embossing process [32]**



**Figure 2-4 Typical temperature and force profiles for the micro-embossing process [29]**

### 2.2 **Types of Heating for Micro-Embossing Machines**

The rate limiting factor for the micro-embossing process lies in the heating and cooling cycles. **A** variety of mechanisms for heating and cooling have been investigated **by** a number of research groups and companies. Techniques for cooling were usually limited to using air, water, or oil. Heating was done in a wider variety of ways. Some techniques prove to be faster, but usually at the expense of the control of critical processing parameters. The following section will outline existing heating techniques for micro-embossing machines.

### 2.2.1 **Electric Heating**

Electric resistive heating was a technique explored in a thesis **by** Ganesan **[33].** Two 200 W cartridge heaters were placed within each copper platen and a reported heating time from room temperature to **135 'C** took roughly **10** minutes. This slow thermal response was mainly caused **by** the large mass of the copper platens necessary to achieve good surface temperature uniformity.

### **2.2.2 Laser/IR Heating**

**A** paper **by** Lu [34] mentioned the concept of laser/IR assisted micro-embossing. This technique focuses lasers on the surface of the substrate to heat it locally and rapidly. **A** cycle time of less than **5** seconds has been reported, but there was no data on temperature uniformity across the work piece or stability of the embossing temperature. Although, the cycle time was remarkably fast, accurate control of the temperature and the effect of non-isothermal forming conditions were not addressed.

### **2.2.3 Ultrasonic Heating**

Another technique used for rapid heating was ultrasonic heating reported in a paper **by** Liu **[35].** This technique utilizes ultrasonic vibrations to move the plastic molecules violently against each other causing friction heating. Heating to an acceptable embossing temperature within 1-2 seconds has been reported. Although the heating rate was extremely fast, there was no indication that the temperature could have been controlled well and no information was provided regarding the effect of non-isothermal forming conditions.

### 2.2.4 **Steam Heating**

Chang **[36]** investigated steam as a means for heating and force application and water for cooling. **A** heating time from **25 'C** to **130 'C** in **30** seconds was reported with an embossing pressure of **3** MPa. The total cycle time with cooling takes approximately 2 minutes. Although this technique was fast, they reported the inability to control the temperature directly since the steam temperature was a function of the pressure.

### **2.2.5 Oil Heating**

Another heating method investigated **by** Chang **[36]** was using hot oil for heating and water for cooling. He reports a heating time from *25* to **130 'C** in *3.5* minutes. This was slower than other reported techniques; however it had the advantage of accurate temperature control and uniformity across the work piece. There was only a limited amount of information regarding control of the de-embossing temperature with water cooling.

### **2.3 State of the Art Commercial Embossing Systems**

Aside from just looking at what research has been done on micro-embossing machines, it is important to know the capabilities of commercially available systems. **A** lot of commercially available micro-embossing machines are modified wafer bonders initially developed for the semiconductor industry. Wafer bonders are used to fuse two wafers together or seal a device at elevated temperatures with high precision alignment and often in a vacuum environment. These characteristics make this machine well suited for micro-embossing. The available commercial systems vary in terms of wafer size capacity, maximum processing parameters, and control of these parameters.

### **2.3.1 Commercial Embossing** Systems

Obducat, a Swedish company commercializing Nano Imprint Lithography machines, has a number of micro-embossing systems with the capacity for *2.5"* to **8"** diameter wafers **[37].** The model with the best thermal performance is the **NIL 6"** which can reach a maximum temperature of 300 °C. Its temperature uniformity is  $\pm$  3 % and it can settle to a temperature of  $\pm 2$  °C. The maximum heating ramp is 100 K/min and maximum cooling ramp is **50** K/min. This specification does not necessarily imply these heating rates can be sustained for a long period of time. Therefore, an accurate estimate for a typical cycle time is difficult to discern.

Jenoptik Mikrotechnique recently released their top of the line hot embossing/nano imprinting system called HEX 04 **[38].** This system has an automated substrate handler capable of moving **300** mm wafers. It can achieve a maximum temperature of 500 °C with a steady state temperature of  $\pm$  1 °C. Since it has the same thermal capabilities as the HEX **03** it should be able to heat up from **60** to **180 'C** in roughly **7** minutes. It can also cool from **180** to **60 'C** in roughly **7** minutes.

EV Group has a micro-embossing machine model **EVG** *520* **HE** with the capability to handle 200 mm substrates **[39].** It can reach a maximum temperature of **550** <sup>o</sup>C with temperature stability of  $\pm 1$  <sup>o</sup>C and temperature uniformity of  $\pm 1$  %. Its specified heating from **60** to **180 'C** takes **6** minutes and cooling from **180** to **60 'C** takes **5** minutes.

### **2.3.2 Best Performing Commercial System**

None of these systems outperform the others in all thermal specifications. It is also difficult to analyze each of the system's actual performance as companies tend to specify only the most favorable characteristics of the machine. **A** typical cycle time cannot be calculated since limited information is available from the companies. Table 2-1 summarizes the best thermal specifications from all of the abovementioned machines. Many of these systems do not have transparent temperature control systems which can be altered or programmed **by** the user. **A** thorough understanding of the micro-embossing process requires full knowledge of most of the processing parameters, therefore **full** control of the machine states was desired and a machine was built from scratch.



### **Table 2-1 Specifications for the best thermal performance of all mentioned commercial embossing machines**

### **CHAPTER**

## **3 Design and Construction of Second Generation HME Machine**

This chapter and any corresponding appendices were co-authored with Thaker. **A** hot micro-embossing machine was constructed instead of purchasing a commercially available one to give the user full control of the design and processing capabilities.

### **3.1 Background-First Generation HME System**

The first generation HME system built in the MPCL **by** Ganesan **[33]** has four main subsystems: **(1)** force and position actuation; (2) platens; **(3)** temperature actuation; and (4) control. An Instron model **5869** load frame provided force and position actuation. The platens, used to hold the work piece and tool, are made of copper. The platens are heated with two 200W cartridge heaters and cooled **by** running city water and/or pressurized air through tubing connected to the platens. The temperature is monitored **by** two thermocouples (one each in the top and bottom platen) and controlled with Chromalox **2110** controllers and the Instron is controlled with a hardware controller via its proprietary FastTrack and Merlin softwares. See Figure **3-1** for a close-up of the platen assembly.



**Figure 3-1 Close-up of the first generation HME system platens**

The maximum force capacity is **50kN** and temperatures up to **300'C** are possible **[29].** The Instron crosshead has a resolution of **0.0625** gm and can be controlled to speeds from **0.001** mm/min to **500** mm/min [40]. Typical heating time from ambient to **130'C** is **15** minutes and cooling time back to ambient is **5** minutes **[29].** The largest work piece that could be embossed was on the order of *40-45* mm **[29].** Both copper and silicon tools can be used with this system.

The system has three main drawbacks: **(1)** large thermal mass and the resulting slow thermal cycle time; (2) limited control of key processing parameters; and **(3)** limited to small part sizes. These drawbacks led to a second generation HME system, designed **by** Dirckx **[29],** a graduate student in the MPCL.

### **3.2 Second Generation HME Subsystems**

### **3.2.1 Platen Subsystem**

Dirckx **[29]** designed and machined the platen subsystem, one of the three subsystems that underwent major changes. The main objectives of this platen design were to: **(1)** increase the work piece area to 100mm diameter; (2) accommodate a thermal-oil heat transfer system for rapid and uniform heating; and **(3)** provide more reliable platen alignment and flexible tool and work piece fixturing. Figure **3-2** to Figure 3-4 shows the various pieces in the platen assembly. For further details on the platen subsystem design and fabrication refer to Dirckx's **SM** thesis: *Design of a Fast Cycle-Time Hot Micro-Embossing Machine [29].*



**Figure 3-2 Three-dimensional view of the full platen assembly [29]**



#### **Figure 3-3 The full platen assembly [29]**

Three major changes were made to the platen subsystem after initial construction. Omegatherm thermally conductive silicone paste Model OT-201 (Appendix **A. 1)** was placed between the top platen and top spacer plate and between the bottom spacer plate and additional bottom spacer plate. This reduced the thermal resistance **by** eliminating the air gap between layers. Given difficulties with de-embossing using a silicon tool, Wang Qi, a graduate student in the MPCL designed a top spacer plate that could be used to affix copper tools. This plate was also needed when embossing a part with an unsecured silicon tool to keep the top and bottom manifolds from contacting one another prior to forming the part (see Figure 3-4). **A** vacuum pump to integrate with the vacuum chuck was also added.



**Figure 3-4 The platen assembly without the vacuum chuck and with the top and bottom spacer plates**

**A vacuum** pump was needed to apply a holding force on the tool in contact with the vacuum chuck. The suction force on the tool is proportional to the vacuum level of the pump. The theoretical limitation of any vacuum pump is **0** Torr (pure vacuum). No pump is capable of achieving this; however, several turbo molecular pumps can achieve 10<sup>-10</sup> Torr. The objective is to maximize holding force, thus there is no need for a high vacuum pump, which would add unreasonable cost with minimal (fractions of a Torr) benefit. Therefore, the focus was on vacuum pumps with **-99%** vacuum.

The important parameters to consider when specifying the vacuum pump are: (1) absolute pressure; (2) flowrate; **(3)** pump speed; (4) weight; **(5)** dimensions; **(6)** noise level; and **(6)** power requirements.
The most suitable vacuum pump for small volume evacuation is a roughing single-stage rotary vane pump. Other pumps offer higher vacuum but at much higher costs with negligible improvement in holding force. The rotary vane pump is the most common and economical mechanical pump for the transport of clean, dry, non-reactive gases, such as air. Oil lubrication, as opposed to dry lubrication, is recommended for a longer life and lower initial cost.

**A** *0.5HP* Kinney-Tuthill KVO-5 capable of **3.1** cfm and an absolute pressure of *7.5* Torr was chosen because it met the aforementioned requirements at the most reasonable cost. The pump was wired to a switch mounted on the computer stand. **A** flexible stainless steel hose connects the vacuum pump to a brass barb epoxied with Duralco 4461 to the vacuum chuck (Figure *3-5).* For further detail, see the vacuum pump user manual.



**Figure 3-5 Vacuum pump and hose shown with vacuum chuck and barb**

### **3.2.2** Force and Position Actuation Subsystem

The force and position actuation subsystem did not change from the first to the second generation HME system. The parameters for the Instron **5869** (Figure **3-6)** shown in Table **3-1** are sufficient for the demands of the new **100 mm** system. Refer to the Instron *5869* manual for further detail.



**Figure 3-6 Instron 5869 load frame and computer controller**





# **3.2.3** Temperature Actuation Subsystem

The temperature actuation subsystem is the second of three subsystems that

underwent major changes. The thermal-oil heat transfer subsystem was designed **by**

Dirckx **[29]** and integrated and constructed **by** the author and Thaker. This subsystem will be discussed in detail in the following chapter.

### **3.2.4 Software Control Subsystem**

The third subsystem to undergo major changes is the control subsystem. The first generation **HMIE** system relied on hardware controllers to reach the desired platen temperature. Given the major changes in temperature actuation, the author developed a new software program in LabView. Force and position are still controlled with the Instron hardware via its proprietary FastTrack and Merlin softwares. Figure **3-7** is a screen capture of the new user interface used to control the system temperature.



**Figure 3-7 Screen capture of the LabView interface used to control the HME system temperature**

# **3.3 Temperature Actuation Subsystem**

#### **3.3.1 Overview of the Temperature Actuation System**

The temperature actuation system designed **by** Dirckx **[29]** utilizes heat transfer fluid flowing through mixing valves, heat exchangers, and a pump to command a certain temperature of a platen assembly. **A** layout of the system is shown in Figure **3-8.**



**Figure 3-8 Thermal Control System Schematic [29]**

Thermal fluid is pumped through a hot and cold heat exchanger. The resulting flows out of the heat exchangers are split and the hot and cold streams of fluid combine in a mixing valve. This fluid is then circulated through a copper platen assembly, which is used to heat and cool a tool and polymer work piece. The fluid is then re-circulated and the process repeats itself.

# **3.3.2 Heat Transfer Fluid**

**A** heat transfer fluid for the thermal system was selected based on good thermal properties, chemical and thermal stability in air, and non-toxicity. Dirckx **[29]** chose Paratherm MR, a paraffinic hydrocarbon oil, to be the working fluid. It has low viscosity, relatively high thermal conductivity, and a boiling point much higher than the system's maximum temperature. Other favorable characteristics of the fluid are low toxicity, low odor, and stability in air. The last point enables the fluid to be used in a non-pressurized system. **A** complete list of Paratherm MR fluid properties can be found in Appendix **A.2.**

#### **3.3.3 Hot Heat Exchanger**

The hot heat exchanger selected **by** Dirckx **[29]** is a **30** kW circulation heater from Vulcan Electric Company (Figure **3-9).** Internal to the heater are **18** U-tube heater elements enclosed within a 44" long and **8"** diameter chamber. There are also baffles located in the heater to induce turbulence. **A** specifications drawing of the hot heat exchanger is in Appendix **B.1.**



**Figure 3-9 Photo of the Circulation Heater [29]**

#### **3.3.4 Cold Heat Exchanger**

The cold heat exchanger selected **by** Dirckx **[29]** is a plate and frame MaxChanger model MX-22 from Tranter PHE (Figure **3-10).** This is a counter-flow heat exchanger with city water flowing in one direction and oil flowing in the other direction. **A** specifications drawing of the cold heat exchanger is in Appendix B.2.



**Figure 3-10 Photo of the Maxchanger model MX-22 cold heat exchanger [29]**

#### *3.3.5* **Fluid Flow Model**

In order to specify the requirements on the major components of the system, a preliminary model needs to be developed to approximate the thermal-fluid properties. According to **ANSYS** simulations **[29],** the system requires a flowrate of 40 GPM and a temperature to **T=200\*C.** The specified operating temperature range, however, is room temperature to **180\*C.** Each major component in the system was modeled in Matlab and the three key variables (pressure, flowrate, and temperature) are passed from one component to the next to find the pressure drop in the whole system for a desired platen temperature. This pressure drop was needed to select both the pump and the mixing valves. The model operates on the following assumptions:

- **1.** Constant flow rate pump
- 2. The hot/cold heat exchangers and platens are the only sites for heat transfer
- **3.** Estimated pipe length is 21 feet with a diameter of *1.5* inches

**4.** Pressure drop is considered in piping, cold/hot heat exchangers, and platens

The pressure drop in the platens was found **by** curve fitting data from Dirckx's **ANSYS** simulations **[29].** The pressure drop in the cold heat exchanger was obtained from manufacturer's data and the pressure drop in the hot heat exchanger was calculated based on dimensional specifications obtained from the manufacturer. From this model, the required pressure drop in the mixing valve was calculated and then the pump was specified. The model was run for desired platen temperatures of T=30°C and T=179°C. Table **3-2** shows the estimated pressure drop in the system for these two temperatures. As expected, the pressure drop is lower for the high temperature simulation because of the lower viscosity of the Paratherm MR and lower pressure drop through the heating subcircuit compared to the cooling subcircuit.

<b>Temperature</b>	<b>System pressure</b> drop-Valves
$30^{\circ}$ C	$30.0$ psi
$179^{\circ}$ C	$13.7$ psi

**Table 3-2 Pressure drops from a preliminary model used to specify pump and mixing valves**

As construction progressed, the Matlab model was modified to include the pressure drop in the: **(1)** Y-strainer; (2) an updated platen model; **(3)** flow meters; and **(4)** more accurate lengths, diameters, and fittings of pipe. This model was used to select pipe lengths and diameters to try to minimize the pressure drop of the system. Figure **3-11** shows the flowchart behind this model. Minor pipe losses and fittings between the major

components were also included in the model. Appendix **E** outlines the subsections and equations used to generate this model.



**Figure 3-11 Flow chart of the updated Matlab model**

### **3.3.6 Mixing Valve Assembly**

The mixing valve assembly consists of a mixing valve, actuator, and positioner. **A** photo of the mixing valve assembly is shown in Figure **3-12.** The selection and sizing of these components are described in the following sections. **A** specification chart is listed in Appendix B.3.



**Figure 3-12 Photo of the mixing valve, actuator, and positioner [29]**

#### **3.3.6.1. Mixing Valve**

An initial design consideration for the control element in the thermal system involved using four individual globe style valves to mix hot and cold fluid streams to heat up two individual platens. This particular design allows flexible control of the flow rates through the platens. However, simulating and controlling the system with four valves can be quite complicated. **A** simpler way to control the fluid temperature is using two mixing valves. One of the downsides to using mixing valves is little to no flexibility with regards to changing the flow rate of fluid through a platen. However, this problem can potentially be eliminated **by: (1)** installing throttling valves or simple ball valves upstream from the mixing valves; or (2) varying the pump speed with a motor controller. Therefore, mixing valves were chosen as the control element in the thermal control system.

Proper sizing of the mixing valves was essential for optimal performance and ease of controllability. **If** the valve was too small, minute changes in valve position may cause the flows to drastically change. On the other hand, a valve too large might have unpredictable and little effect on temperature with changes in position [41]. Equation **3-1** is a valve authority equation used for sizing valves, where  $\Delta P_1$  is the pressure drop across a fully opened valve,  $\Delta P_2$  is the pressure drop across the remainder of the system, and *N* is the valve authority.

$$
N = \frac{\Delta P_1}{\Delta P_1 + \Delta P_2}
$$

**Equation 3-1**

It is good practice to design a system with a valve authority between 0.2 and *0.5,* with better performance closer to 0.5 [41]. This ensures that with every increment in valve movement there will be a similar corresponding change in flow rate. Using the system simulation presented in Section 3.3.5,  $\Delta P_2$  was calculated for cases where 179 °C and **30 0 C** fluid flows through both platens. The pressure drop for the system excluding the mixing valves comes out to **13.7** psi and **30** psi, respectively. Given this range of pressures and choosing a valve authority of  $N = 0.5$ , the pressure drop across the valve should be between **13.7** psi and **30** psi.

Once the pressure drop across the valve was known, the flow coefficient  $(C_v)$  for the valve was calculated using Equation **3-2.**

$$
C_{v} = Q \sqrt{\frac{SG}{\Delta P_{\rm i}}}
$$

**Equation 3-2 [42]**

The flow coefficient, **Cy,** is defined as the flow of water through a valve at **60** "F in gal/min at a pressure drop of 1 *psi,* **Q** is the flow rate in gal/min, *SG* is the specific gravity of the fluid, and  $\Delta P_1$  is the pressure drop across the valve. Assuming a target flow rate through a single platen is 20 GPM, a *SG* ranging between 0.68-0.8, and  $\Delta P_1$  between 13.7 psi and **30** psi, the **C,** of the valve should be between **3.38** and 4.4. After consultation with Paxton Corporation, a control products and valve distributor, they recommended a  $\frac{1}{2}$ "  $C_v$  =6.3, model 2830 three-way mixing valve from Warren Controls. The justification for going with a higher  $C_v$  was the fear of the total system pressure getting too high and a smaller valve might induce fluid velocities high enough to approach a point where it may damage the valve seating. The valve has a linear trim meaning that given equal fluid inlet pressures, the flows should be proportional to the position of the valve stem.

### **3.3.6.2. Pneumatic Actuator**

One of the goals of the system was to reduce cycle time, so having the ability to move the mixing valve stem quickly is essential for a successful system. **A** pneumatic actuator has the response time necessary for quick and efficient control of the valve stem. **A** DL49 spring-diaphragm actuator 49 in2 was selected, which uses air pressure to move the valve stem in one direction and a spring to retract it back. More information on the DL49 can be found in the product specification manual [43].

### **3.3.6.3. Positioner**

Another component necessary for controlling the mixing valves is an electropneumatic positioner. This gives the user the capability to control the mixing valves via computer. **A** Moore **760E** positioner was selected with some of its properties shown in Table **3-3.** The positioner accepts a 4-20 mA current signal over its full range and is

assumed to be linear throughout. Mechanical feedback from the positioner actuator stem is provided **by** a feedback lever through a characterized cam to the spool valve which controls pressure to the actuator. Detailed information with regards to the Moore **760E** positioner can be found in Appendix B.4.

<b>Parameter</b>	<b>Specification</b>
Linearity	0.75% of normal span
<b>Hysteresis</b>	1.0% of normal span
Deadband	Less than $0.25\%$ of span
Repeatability	Within 0.5% valve travel

**Table 3-3 Performance parameters of the Moore 760E Positioner**

### **3.3.7 Positive Displacement Pump**

Based on the system requirements, the pump must be capable of delivering 40 GPM of fluid in a temperature range of **250C-200'C.** The pump was specified based on the highest system pressure drop expected (at lowest temperatures). The fluid model described in Section *3.3.5* indicated that a system pressure drop of **30** psi can be expected, not including the valves and other minor pipe losses. The mixing valve specification described in Section **3.3.6** showed that they would add another **30** psi. This puts the full system pressure drop estimate at **60** psi. However, given possible inaccuracies in the model and other design additions that could raise the pressure drop of the system, the pump was specified based on a possible **100** psi system pressure drop (safety factor of **1.8).** Given that there is no downside in over specifying the pump

(except for slightly higher initial capital costs), it was advisable to be cautious in selecting an adequately sized pump that can handle future pumping requirements.

Based on this number, two main types of pumps were investigated: centrifugal and positive displacement. Centrifugal pumps were considered first because they are much safer. As opposed to a positive displacement pump, which is essentially constant flow rate regardless of system pressure, according to a typical curve (see Figure **3-13),** a



**Figure 3-13 Typical centrifugal pump curve [44]**

centrifugal pump will not blow-out and cause physical injury because the pump flow diminishes with increasing system head. System head in feet *(H)* is equivalent to pressure (psi) according to Equation **3-3.**

$$
P(psi) = \frac{H * SG}{2.31}
$$

Equation **3-3**

**A** positive displacement pump, however, can over pressurize and possibly explode under **high** pressure situations. Figure **3-13** also suggests that centrifugal pump flow rates are dependent on the change in the pressure of the system. As seen in the Matlab model in Section **3.3.5,** the pressure drop in the system changes significantly with temperature. Therefore, centrifugal pumps pose a very difficult control problem. The flow rate would have to be controlled closed loop with a motor controller or a pressure regulating valve to ensure a constant flow rate as the process temperature cycles. Positive displacement pumps, on the contrary, have pump curves more similar to Figure 3-14. The flow rate is almost constant with changes in the system curve (with the exception of some slip at higher pressures).



Figure **3-14 Typical positive displacement pump curve [45]**

Based on this information, a positive displacement pump was specified. To ensure safe operating conditions, a pressure relief valve set below the pumps rated

capacity was placed immediately downstream of the pump to avoid over-pressurization. Several pump manufacturers were investigated. However, based on advice from Paratherm corporation, Roper pumps was selected because of their compatibility with hot oil-systems and all steel and cast iron construction. The Roper model **3711W** series of positive displacement pumps operate on a gear principle (see Figure *3-15)* that forces the fluid from inlet to outlet through a series of gear teeth.

> **INTERNAL SEAL INLET OUTLET INTERNAL SEAL**

**EXTERNAL GEAR PUMP**

**Figure 3-15 Schematic of gear pump operation [46]**

To size the pump, the required pump RPM for the pump was calculated. This calculation was done with the pump performance curves found in Appendix B.5. The first step was to determine the viscosity of the Paratherm MR. Based on the chart in Appendix **A.2,** the viscosity of Paratherm from *25'C* to **180'C** is **-5-0.5** centistokes. Given this viscosity, the slip GPM for the pump at its rated pressure of **100** psi was found to be **-6** GPM. Given this, the pump was specified for 46 GPM (40 GPM required plus **6**

GPM slip). From this, the appropriate RPM was found to be  $\sim$ 400 RPM. The next step was to calculate the motor size required. Based on a 400 RPM speed and **100** psi pressure, a base **2.5** HP was required in addition to another *0.5* HP for viscosity effects for a total of at least **3 HP.** Given start-up torque requirements a minimum of 4 HP motor would be required to power this pump. However, the manufacturer only had *5* HP pumps on hand, so a *5* HP motor unit was installed. **A** check of this calculation is Equation 3-4, which equates required pump horsepower to the flow rate (40 GPM), pressure head **(100** psi), specific gravity (~0.8) at 30°C and (Motor and Pump)<sub>Efficiency</sub>~0.5. This standard efficiency for the motor-gearbox-pump assembly was recommended as a rule of thumb **by** the pump manufacturer (Roper pumps).

$$
HP = \frac{Q(GPM)*H(feet)*SpecificGravity}{3960*MP_{Efficiency}}
$$

#### **Equation 3-4 [47]**

The selected pump has 2" **NPT** fittings and comes mounted to a painted steel base with a coupling and guard connected to the motor through a gear reduction box. The motor is a three-phase 230-460V Totally Enclosed Fan Cooled **(TEFC)** inverter grade motor that is compatible with motor controllers, which will be required to change the flow rate of the system. The gear-reduction box has a 4.6:1 ratio and steps down the **1760** RPM motor to **-382** RPM (as close as possible to the rated 400 RPM given the discrete gear-box ratios). The pump is rated for the high temperature option and also has an in-built relief valve (RV) style pressure relief valve that bypasses the pump outlet if the pressure exceeds a user defined value **30-125** psi. The current installation of the valve is set to **125** psi. The motor-pump assembly rests on a PVC/fiber-reinforced vibration damping pad to keep it from vibrating during operation.

Another important consideration is the Net Positive Suction Head **(NPSH)** of the pump inlet. Cavitation could occur at the pump inlet **if** there is insufficient pressure head. This is not of concern in this application because a 4-6 foot head of fluid is present on the pump inlet. For further detail on maintenance and specifications, refer to the pump, gearbox, and motor manuals.

### **3.3.8 Motor Controller**

Based on the positive displacement pump specified, a motor controller was required to control the speed of the motor to allow for variable flow rate. **A** variable flow rate is required to: **(1)** soft start the pump to ensure the motor does not overload caused **by** high start-up torque; and (2) change the flow rate through the platens at different points in the process cycle to maximize cycle time and reduce temperature nonuniformity at the platens. The minimum requirements for the motor controller were:

- **1.** Variable power input to a **5** HP three-phase 460 V motor
- 2. Manual speed control and on/off capability
- **3.** Analog inputs to control motor speed and emergency on/off from a remote site
- 4. Capable of handling moderate vibration and robust to environmental changes found near a thermal-oil system
- **5.** Full load amp delivery of at least **8.1** amps- given **5** HP **(3.7** kW) motor and its 460V three phase power

Based on these requirements, several options were investigated and the Hitachi L100 was selected because it satisfied all the requirements at the most reasonable cost. Figure **3-16** below shows a front view of the motor controller.



**Figure 3-16 Picture of the motor controller in the HME System [48]**

The motor controller operates on the principle of sine wave Pulse Width Modulation (PWM) to convert the **60** Hz three-phase 480 V input to a variable frequency **(0-60** Hz) three-phase 460 V output. The output frequency from the motor controller is approximately proportional to the motor speed and thus the flow rate of the pump. Every **1.3** Hz set on the motor controller corresponds to approximately 1 GPM of flow from the pump. The power wiring from the three-phase 480 V **10** amp fuse box to the motor controller and the motor controller to the pump motor was done **by** the MIT facilities department.

The motor controller also has the ability to direct the motor to move in the forward and reverse direction, which may be useful in breaking up an obstruction, should debris clog the system. Moreover, the motor controller has dynamic braking and soft starting (to reduce the power spike to the motor and increase the motor and pump

lifetime). Constant or reduced motor torque settings as a function of motor controller frequency are also possible. This setting determines whether the full amp rating or a reduced amp rating will be permitted to be transmitted to the motor while running at reduced speeds. Several other more advanced features, such as the remote and local programming of preset trajectories of the motor, are possible with this motor controller. Reference the motor controller manuals for further detail.

### **3.3.9 Heater Controller**

Based on the electric circulation heater specified, a heater controller to select the set point temperature of the fluid exiting the heater is required to ensure maximum flexibility when designing the micro-embossing process cycle. The minimum requirements for the heater controller are:

- **1.** Variable power input to a 480V three-phase delta **30kW** electric circulation heater
- 2. Two temperature inputs
	- a. Set point output fluid temperature- feedback control
	- **b.** Heater coil temperature (type **J** thermocouple)- auto shutoff
- **3.** Analog and emergency remote inputs
	- a. Alarm situations from the computer
	- **b.** Startup/shutdown routines from the computer
- 4. Manual controls
	- a. On/off switch
	- **b.** Set point temperature
	- c. Coil temperature shutoff

#### **5.** Analog output

a. Set point temperature

**A** review of the market of heater controllers showed that all the required functions listed above were not possible in one product, but rather, required the integration of three products: **(1)** a process controller; (2) an over-temperature controller; and **(3)** a power controller. These three products can be sold separately or combined in a power control box. These boxes have pre-wired communications and power in a **NEMA** 1 enclosure (well-insulated from ambient).

**A** Chromalox 4268 Silicon Controlled Rectified (SCR) Power Controller Box was chosen because it satisfied all the requirements at the most reasonable cost. This unit houses a 2104 Process Controller, a **1600** Over-temperature Controller and an SCR power controller. The SCR is a zero voltage switched unit that proportionally turns on and off a full cycle of the power line. The unit varies power to the heater **by** varying the number of **AC** power line cycles [49]. Figure **3-17** shows a front view of the heater controller installed on the system. The power wiring from the three-phase 480 V **100** amp fuse box to the heater controller and the heater controller to the heater was done **by** the MIT facilities department. Reference the heater controller manuals for further detail.



**Figure 3-17 Heater Controller with Process and Over-temperature displays visible**

#### **3.3.10 Expansion Tank**

The expansion tank serves as a multipurpose safety device found in thermal fluid systems allowing for thermal expansion of fluid, venting of vapor pressure, and maintaining a positive pressure into the entrance of the pump. Depending on the type of fluid used in the system there are a variety of designs for optimal performance and prevention of fluid degradation. Paratherm MR, the working fluid in the system, has a very low vapor pressure allowing the system to not be pressurized. **A** liquid phase systems design guide **by** Therminol detailed the most commonly used expansion tank designs and design rules **[50].** The expansion tank can either have a single leg or double leg, the latter being more effective in venting non-condensable and purging of air/water upon start-up of the system. **A** cold seal tank used in conjunction with the double leg expansion tank is the best design to preserve the integrity of the fluid. Figure **3-18** details the final design used in the system.



Figure **3-18** Expansion tank diagram

Upon the initial charging of the fluid in the system, it is recommended to run the fluid through the expansion tank at a low flow rate to expel any entrapped air. This requires all of the valves to be opened except valve **A.** During normal operation of the system all of the valves should be opened except valve **C.** This will prevent the bulk of the fluid from entering the expansion tank and unnecessarily heating up the fluid and air which may result in oxidation of the fluid. The hose connection between the expansion tank and cold trap serves to mitigate air contact in the expansion tank, while allowing hot air within the expansion tank to exhaust. Another function of this design is to eliminate any non-condensables, such as water. The pipes branching from the main pipe to the expansion tank are raised **1"** from the bottom of the tank, so water can settle out below into the piping between the expansion tank and cold trap. Disposing of the noncondensables requires shutting off valve **D** and **E,** then opening the cap on the right side

of valve **E.** Proper sizing of the expansion tank and cold trap is recommended for system safety and keeping costs down. **If** the tank is too small, it may overflow during heating. **If** it is too large, a significant amount of the fluid needs to be purchased. Standard design rules suggest having the fluid level in the tank at *25%* when the fluid is at room temperature and *75%* when the fluid is at the highest system temperature. These conditions are taking into account the worst possible scenario, so the fluid level should typically operate between *25%* and *50%.* In the case of a system failure, either a backup in the system causing fluid levels to rise or a catastrophic loss of fluid, level sensors should be placed within the expansion tank to send a signal to shut down the pump and heater. **A** technical representative from Therminol suggested that the low level sensor should be placed at **10%** of the tank capacity and the **high** level sensor at **90%** of the tank capacity.

An initial calculation of the fluid volume within the system excluding the expansion tank was **10.7** gallons. This calculation is detailed in Appendix **G.1.** Assuming a system temperature ranging between 20 **'C** and **180 'C,** a properly sized expansion tank using the rules stated above comes out to roughly *4.5* gallons. Staying on the conservative side of the design and using more fluid, a six gallon steel pail sealed with a lug cover serves as the expansion tank and a three gallon tin-plated steel pail is the cold trap. The dimensions of the expansion tank are **16"** in height and **11.5"** in diameter. The dimensions of the cold trap are **13.75"** in height and **9"** in diameter. Given some restrictions regarding the height differential between the expansion tank and cold trap, the side mounted stainless steel fluid level sensors from Madison Company were placed at heights of *3.5"* and **11"** in the expansion tank. An aluminum flexible-sight liquid-level

gauge 12" center-to-center with a *10.5"* sight length was attached to the cold trap enabling the user to visually monitor the liquid level in the tanks. **A** flexible hose connected from the expansion tank into the cold trap exhausts gases and pressure from the system. **A** K-type thermocouple was also attached to the outside of the tank monitoring the temperature of the fluid in the tank.

# **3.3.11 Sensor Communication**

When designing an automatic control system, the selection and placement of sensors, actuators, and data acquisition are critical to the performance of the system. **A** layout of the communication between the computer and components within the system is shown in Figure **3-19.**



**Figure 3-19 Communication between the computer and system components**

**A** total of 11 analog inputs were needed for the system, all of them thermocouple measurements. The locations of these temperature measurements are detailed in Table 3-4.





Four analog current outputs (4-20 mA) in the system are described in Table **3-5.**



#### **Table 3-5 List of current outputs needed in the system**

The system also required a number of digital inputs and outputs for the fluid level sensors and motor controller. Two digital input lines were needed to monitor the fluid level sensors in the expansion tank. They need to be integrated with a simple electrical circuit, which will be detailed in Section 3.3.14.6. Two digital output lines were used for switching the motor on and off and also switching the type of signal sent to the motor controller. The digital outputs needed to be integrated with an additional electric circuit described in Section 3.3.14.1.

#### **3.3.12 Computer Hardware**

**A** National Instruments PCI-4351 card specifically designed for thermocouple measurements is the main sensor interface for the entire system. This board has 14 differential thermocouple inputs, an auto zero channel, and cold junction compensation. Its 24-bit **ADC** resolution and onboard signal conditioning provide extremely high precision temperature measurements; however, the trade-off being a slow maximum sampling rate of only **60** readings/second. In addition to the analog inputs, the board has **8** TTL digital I/O lines. The PCI-4351 was interfaced with a **TC-2190** shielded isothermal rack mount with thermocouple mini-connector ports for ease of use. This

board was sufficient for the 11 thermocouple readings and 4 digital I/Os needed for the system.

In addition to measuring analog inputs, the system required four analog current outputs to control two mixing valves, a pump motor, and heater. The **NI** PCI-4351 does not have any analog output channels, so an Adlinktech **PCI-6208A** card was selected. The Adlinktech **PCI-6208A** provides **8** current outputs, 4 digital inputs and 4 digital outputs. The pin assignment for the card is shown in Figure **3-20.** Current sourcing is possible because of additional onboard high-precision voltage to current transducers. Performance of the board is adequate with a 4-20 mA range and current signals settling within 17  $\mu$ s at a 15-bit resolution. Interfaced with the board is a DIN-37D terminal board with a 37-pin D-sub Connector and DIN-Rail Mounting.



**Figure 3-20 Pin assignment for the PCI 6208A [51]**

### **3.3.13 Sensors**

#### **3.3.13.1. Thermocouples**

**A** variety of thermocouples were selected for measuring the temperature at different locations in the system. Directly measuring the temperature of the fluid required a thermocouple that could handle a substantial amount of pressure, since the fluid itself is pressurized. Therefore, rugged pipe plug probe K-type thermocouples with " **NPT** connections were placed after the two mixing valves and at the outlet of the cold heat exchanger. **A** dual K-type thermocouple with **1/8" NPT** connection was placed at the outlet of the hot heat exchanger. Transition junction style K-type thermocouple probes **6"** in length and **0.032"** in diameter were used in all other locations. The bottom spacer plate, machined **by** Dirckx, has five channels and ports where the thermocouples

can measure the temperature of the PMMA directly (Figure **3-21).** High temperature ceramic cement from Omega was used to mount the thermocouples in the bottom spacer plate. See Appendix **A.3** for properties of the adhesive. **A** hole was drilled into the side of the top spacer plate deep enough so that there are no thermal edge effects for the thermocouple reading. The last thermocouple was secured to the side of the expansion tank to monitor the temperature of the fluid.



**Figure 3-21 Bottom spacer plate with five thermocouple ports designed and machined by Dirckx [29] 3.3.13.2.** Level Sensors

Level sensors monitor the fluid level in the expansion tank to prevent overflow or catastrophic loss of fluid. **A** horizontally mounted stainless steel float type level sensor from Madison was used for the expansion tank. The basic operation of the sensor is to close a circuit when the fluid brings the float horizontal and breaks the circuit when the float is in air. These sensors can work in fluids with specific gravity down to **0.7,** which

corresponds to a Paratherm MR bulk fluid temperature of **150 'C.** The temperature of the Paratherm MR within the expansion tank should never reach a temperature of **150 'C,** because the temperature will typically be cycling between **55 'C** and **150 C.** The sensors can also tolerate a temperature of up to 200 **'C,** so they should operate properly when the machine is running for an extended period of time.

Integrating the level sensors to work with the PCI-4351 digital inputs required some additional circuitry. Figure **3-22** shows the electric circuit used to get the sensors functioning properly. The **PCI** 4351 has a **+5** V supply and a digital ground. The **PCI** 4351 requirement for a digital input is a maximum low level input of **0.8** V and a minimum **high** level input of 2.0 V with a current between **-10 pA** and **10** pA. Therefore, a **10** kohm resistor between the **+5** V supply and digital input port will drop the high level **by 0.1** V, which would not affect the range of voltages needed to detect a low and high level. Without the resistor, the voltage read **by** the digital input port would mostly be a **high** level reading whether or not the circuit is closed.



**Figure 3-22 Electrical circuit integrated with the level sensors**

### **3.3.14 System Wiring**

#### **3.3.14.1. Motor Controller Wiring**

Three functions that needed wiring of the motor controller were: **(1)** turning the motor on/off; (2) analog input to control the speed of the motor; and **(3)** analog current or voltage input signal switching. **A** diagram of the wire terminals on the motor controller is shown in Figure **3-23.**



Figure **3-23** Diagram of wire terminals on the motor controller [48]

In order to turn the motor on and off via computer, wires needed to be connected to terminals P24 and **1.** These wires were interfaced with the digital input/output terminals on the PCI-435 **1.** Since terminal P24 is a +24 V source and the **PCI** 4351 has a **+5** V source, the wires needed to be connected in series with a relay circuit. An external emergency button to turn off the motor was also hooked up in series with the relay circuit.

An analog current input signal to the motor controller was necessary for controlling the speed of the motor. **A** wire was connected to the **01** terminal of the motor controller and the other end was connected to the positive analog output terminal (pin *15)* in the **PCI 6208A.** The other wire was connected to the L terminal of the motor controller and the other end was connected to the negative (ground) terminal of the corresponding analog output terminal (pin 14) in the **PCI 6208A.**

In order to maintain flexibility with regard to potentially changing hardware, a switching function between sending a voltage or current analog output was used. Since the **PCI 6208A** sends out a current output, the current setting should always be on. But in the case of needing to switch to a card which sends voltage outputs, the option will be available. Wires were connected to the terminals P24 and **3** with a relay circuit in series because of the disparity in voltage supplies.

The relay circuit used for digital outputs is shown in Figure 3-24. An **SPST** reed relay with properties listed in Table **3-6** was used in the circuit. The transistor used is a **2N2222 NPN** with properties listed in Table **3-7.** Other constant variables were the *+5* V power supply and the digital input signal. Since the **PCI** 4351 has a digital output low level logic maximum of 0.4 V and a minimum high logic level of **3.8** V with a maximum current of **8** mA, the only variable needed to be selected was the resistance into the base of the transistor. In order to determine the necessary resistance, a variable resistor was placed before the base of the transistor and **3.8** V was supplied to the input, then the resistance was turned down until it turns on an **LED** connected to the relay. Knowing this threshold resistance assisted in the selection of a resistor. Finally, a **1.8** kohm resistor proved to be an adequate design for switching.



Figure 3-24 Relay circuit used to switch a +24V source to motor controller inputs







### **Table 3-7 Properties of the 2N2222 type transistor**

# 3.3.14.2. **Heater Controller Wiring**

There are three sets of wires that needed to be connected to the heater controller.

The functions are:

- **1.** Switching between two set point temperatures with a digital signal
- 2. Controlling the set point temperature with an analog current signal
- **3.** Monitoring the fluid outlet temperature of the heater

**A** diagram of the heater controller wiring terminals is shown in Figure *3-25.*



**Figure 3-25 Wiring terminals of the heater controller [52]**

The first set of wires was connected to terminals 1 and 2 and the other ends were connected to the top terminals of the emergency shutoff button. The wires were hooked up to the top terminals of the button.

In order to control the set point temperature of the heater via computer, wires were connected to terminals *5* and **6** of the heater controller. One end of a wire was connected to terminal *5* on the heater controller and the other end connected to pin **33** on the PCI **6208A,** corresponding to a positive current output channel. The other wire was connected to terminal **6** on the heater controller and pin **32** on the **PCI 6208A,** which is the analog ground channel corresponding to pin **33.**

**A** K-type thermocouple was used to measure the fluid outlet temperature of the heater. Since the particular thermocouple used has dual lead wires, a set was inputted to the **PCI** *4351* for computer monitoring and the other set was hooked up to terminals **8** and **9** on the heater controller. Since RF interference in the heater controller box causes a noisy signal, a shielded thermocouple attachment was necessary for stable measurements.

The thermocouple's positive lead should be attached to terminal **8,** the negative lead to terminal **9,** and the ground lead to terminal **18.**

# **3.3.14.3. Heater Controller Over-temperature Wiring**

The over-temperature controller protects the heater coils from exceeding **a** maximum temperature. **A** diagram of the over-temperature controller is shown in Figure **3-26.**



**Figure 3-26 Over-temperature terminal diagram [53]**

The only terminals used on this controller are **9** and **10. A** J-type thermocouple was attached to a heating coil within the heater. The positive lead was wired to terminal **10** and the negative lead to terminal **9.** This thermocouple is shielded, so RF interference does not effect the measurement.
#### **3.3.14.4. Mixing Valve Positioner Wiring**

The two mixing valve positioners control the position of the valve stem with a 4- 20 mA current signal. The Valvel (farthest from the wall) positioner was wired from its positive terminal to the **PCI 6208A** pin **18** and its negative terminal to the **PCI 6208A** pin **17.** The Valve2 (closest to the wall) positioner was wired from its positive terminal to the **PCI 6208A** pin **36** and its negative terminal to the PCI **6208A** pin *35.*

#### *3.3.14.5.* **Thermocouple Wiring**

**All** of the thermocouples in the system were inputted to the **PCI** 4351 through the **TC 2190. A** photo of the thermocouple inputs on the **TC 2190** is shown in Figure **3-27.** Table **3-8** shows the temperature readings and their corresponding inputs channels.



**Figure 3-27 TC 2190 Thermocouple Inputs**



#### **Table 3-8 Description of the thermocouples interfaced with the TC 2190**

# **3.3.14.6. Miscellaneous Wiring**

Since there is a large distance between the machine frame and the computer, a **25** wire cable was used to cover the distance. **A** picture of the **25** pin connector and its numbering is shown in Figure **3-28.** Wires were distributed from the **25** pin connector mounted on the machine frame to the components within the frame. **A 25** pin connector

was also mounted to the computer stand, with wires distributed to their respective components. **A** description of the wires connected to the **25** pins between the machine frame and computer stand is in Table **3-9.** There are also a number of wires coming out of a circuit board located near the computer, which are also attached to a **25** pin connector. **A** description of the wire connections between the circuit board and other terminals is shown in Table **3-10.**



**Figure 3-28 25 pin connector used to link wires from the machine to the computer**



# **Table 3-9 Pin Identification for the interface between the machine frame and computer stand**





# **Table 3-10 Pin identification for the interface between the circuit board and other terminals**



# **3.3.15** System **Frame/Support and Enclosure**

Given the large dimensions of the major components and the safety risk posed **by** the motor-pump and the heater, an enclosure was needed. The requirements of the HME system frame and enclosure were to: **(1)** isolate the system from the rest of the laboratory for safety and appearance; and (2) provide support to components that will be positioned off the floor. **A** customizable framing product (Unistrut) was chosen to construct the frame of the enclosure and provide support to the piping, valves, cold heat exchanger, and expansion tank. Figure **3-29** shows the framing design.



Figure **3-29 3D** model showing approximate component locations and the Unistrut frame

An enclosure was affixed to the outside of this frame to protect the user from the motor-pump rotation and the chance of hot-oil leaks. This enclosure was made of aluminum sheet metal and plastic sheeting (see Figure **3-16).** There are doors on each side to access the system for routine maintenance. Steel straps and pipe hangers were used to secure pipes, fittings, and the cold heat exchanger to the frame. The expansion tank and valves were directly bolted to the Unistrut frame.

#### **3.3.16** Exhaust System

Vaporization of Paratherm MR at higher temperatures caused **by** leakage in the system creates an unsafe operating environment. In order to alleviate this situation a complete system enclosure and exhaust fan was required. **A** Kansas State University website provided an exhaust fan sizing equation for kitchens *[54].* Using Equation *3-5* as a minimum CFM requirement for the fan should provide enough air movement to exhaust most of the smoke generated **by** the system. The dimensions of the system enclosure are *5'* x *5.5'* x **5.5'** for a total volume of roughly **150 ft3.** Using Equation **3-5,** the minimum air flow required for the exhaust fan is **30** CFM. At high temperatures the system produces more smoke than a conventional kitchen, so it makes sense to size the fan for a larger air volume. Therefore, a **10"** exhaust fan with a **350** CFM capacity should be adequate for the system. **A 10"** flexible duct connects the fan with the main exhaust line in the building. This set-up has proven to eliminate the majority of the oil vapor expelled from the system.

$$
Minimum\_CFM = Room\_Volume \times 0.2
$$

**Equation 3-5**

#### **3.3.17 Miscellaneous/Minor Component Selection and Methods**

In addition to **the major** components cited above, several minor components were specified to complete the system. Some relevant methods, such as pipe cleaning and installation are also briefly mentioned.

#### **3.3.17.1. Pipe and Fitting Selection**

In selecting the pipes and fittings, three main issues had to be addressed: **(1)** material choice; (2) connection type; and **(3)** pipe dimensions. Given that Paratherm MR is not corrosive, carbon steel (black steel) pipe and ductile iron and cast iron fittings were chosen because of their wide availability and low cost. Typical hot-oil systems offer two choices for the selection of piping: **(1)** high temperature flexible hose, and (2) rigid pipe. Moreover, the three main options for piping connections are: **(1) NPT** threaded; (2) welded; and **(3)** flanged.

Though welded fittings are generally recommended for hot-oil applications, Multitherm (a manufacturer of thermal hot oils) suggests that threaded fittings that are back-welded or using thread sealant are also acceptable (see Appendix **G.2).** Moreover, Paratherm was contacted and informed of the pressure **(100** psi) and temperature range *(25'C* **-180'C)** of the specified system, and they indicated a threaded option may be viable given the low pressure. Furthermore, the hot and cold heat exchangers, as well as the mixing valves, most isolation valves, Y-type strainers, and pressure relief valves are readily available with threaded connections. With the exception of the pump, which is available in both flanged and threaded connections, all other components are most commonly and cost effectively found with **NPT** threaded. Given the relatively low pressure of the system and the difficulty posed **by** welded fittings, **NPT** connections were specified.

Given the wide availability of threaded rigid carbon steel pipe, this is the main piping component. However, at certain locations in the system, there was difficulty (in terms of location and orientation) in using rigid pipe. Here high temperature flexible hose with threaded fitting were used to ease connection problems. The pipe dimensions were largely dictated **by** component sizes:

- **1.** Pump: 2" **NPT** fittings
- 2. Hot heat exchanger: *2.5"* **NPT** fittings
- **3.** Cold heat exchanger: **1.0" NPT** fittings
- 4. Mixing Valves: *0.5"* **NPT** fittings
- *5.* Platens: *1.25"* **NPT** fittings

Based on these sizes, rigid pipe in the largest available diameter was used to minimize the system pressure drop. Each major component was separated **by** at least one flexible hose with a female union (for easy installation/placement and removal). Reducers, diffusers, Y-junctions, T-junctions and **450** and **90'** fittings were used to route the piping.

#### **3.3.17.2. Isolation Valves**

Isolation of every major component of the fluid system was necessary. Therefore, ball valves will serve as isolation valves before and after the mixing valves, platens, heat exchangers, pump, and expansion tank. These valves can be manually closed off when major components need removal or maintenance. Ball valves work well because of their minimal pressure loss when fully opened. Some locations, such as the cold heat exchanger output, where pressure loss is high relative to the rest of the system have **full**port valves, while reduced-port valves were used in other locations.

#### **3.3.17.3. Y-Strainer**

**A** strainer was necessary to catch debris in the system before it is permitted to enter the pump. **A** 2" carbon steel Y-strainer with a **1/32"** perforated Type 304 stainless steel screen (approximately equivalent to a 20 mesh screen) was selected. According to the manufacturer, based on a flow rate of 40 GPM, the strainer can be expected to have a **2-3** psi pressure drop.

#### **3.3.17.4.** Pressure **Relief Valve**

Given the choice of a positive displacement pump, a pressure relief valve was needed to ensure the system does not over-pressurize and damage the pump. The pump

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itself has a built-in pressure relief valve that was set at *125* psi. However, given that the system is designed for **100** psi, a redundant pressure relief valve that has a blow-out pressure of **<100** psi was needed. **A** 2" Cast Iron **(30-100** psi) pressure relief was selected and installed directly downstream of the pump (set at **100** psi).

#### **3.3.17.5. Component Layout**

The last step before construction could begin was to determine a rough layout of the system. Figure **3-30** shows the conceptual layout of a single platen subcircuit.





Based on this information, the physical dimensions of the major components, and rough dimensions of the minor components, a model was generated in **3D** Studio Max to approximate the system layout prior to the purchasing of parts. Figure **3-31** shows this model. The placement of some items, such as the heater controller were changed during construction, however, the modeled layout is generally consistent with the actual system.

Reference the HME System Bill of Materials for a full listing of all system components (Appendix G.4).



**Figure 3-31 3D Studio Max model of the proposed system layout**

# **3.3.17.6.** Pipe Sealing

Loctite **567** was used to seal the **NPT** threaded connections. It is an anaerobic sealant that sets in contact with an active material in the absence of air. For locations where an inactive pipe material was used (all pipes except carbon steel) Loctite **7649** structural adhesive primer was used as an activator. Loctite was placed only on the male ends of pipe **1"** and smaller and both on the male and female ends of pipes larger than **1"** diameter. After the system was assembled, leakage ensued and several other thread sealants were applied (Loctite **5900,** Duralco Epoxy, Jetlock#2, **GE** high temperature silicone caulk, Permatex No.2 sealant, Loctite 294, X-Pando pipe sealant, etc) at different locations in the system. No thread sealant either placed in the threads or around the perimeter of the pipe was able to stop leakage. Loctite **5900,** however, was shown to significantly reduce leakage if applied in large amounts around the outside of the threaded connection (as seen at the platen-hose interface).

#### **3.3.17.7. Insulation**

There are two main alternatives for insulating hot-oil systems up to **T=200'C: (1)** fiberglass; and (2) closed cell foam-glass. Though fiberglass is inexpensive and commonly available, there is the tendency of hot-oil (that may leak from the system) to wick into the open cells of the fiberglass and oxidize, which will generate heat and may cause a fire. Therefore, given that threaded connections are being proposed, closed cell Foamglas insulation (a product of Coming) is recommended **by** Paratherm to insulate the system piping and components to reduce the chance of fire.

**A** thickness of **1.5** inches is recommended **by** Corning to ensure proper insulation for a **T=200'C** system. Jacketing material can either be aluminum (which will require securing the aluminum jacketing to the insulation with metal straps), or **ASG** (a type of high temperature fabric used to protect fiberglass insulation that is already attached to the Foamglas). Either option is acceptable, however, the **ASG** Foamglas will be easier to install and remove (simply slip fit the insulation over the pipe and secure with the adhesive tape provided on the insulation) then the aluminum (secure the aluminum over the pipe with metal straps). Foamglas can be purchased in standardized pipe lengths **(0.5"-2.5"** diameter and *1.5"* -2" thickness) as well as specially shaped for other components such as valves. The material can be cut on-site with the use of a saw. Given leakage in the current system, no insulation has been purchased. Once the leakage has

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been resolved, Foamglas should be installed. In the case of a fire, a Class **ABC** Dry Chemical Fire Extinguisher is located next to the system.

#### **3.3.17.8. Pipe Installation**

The pipes were installed **by 2-3** people starting from the pump outlet and proceeding toward the heat exchangers, mixing valves, platens, and back to the pump inlet. Certain subsections were pre-assembled in a vice to ease installation. Strap wrenches were found to be ineffective at properly tightening the connections. Rather, large pipe wrenches were used to torque down the fittings, unions, and hoses.

#### **3.3.17.9.** System **Cleaning**

Prior to construction, each component was cleaned in a mineral spirit bath and scrubbed with wire brushes to remove any oils, lacquers and dirt. This is to ensure that the system remains free of debris for good heat transfer performance and to avoid clogging the **1/8"** channels in the platen.

# **4 Modeling of HME II**

# **4.1 Introduction**

**A** significant portion of the thermal fluid system design was completed **by** Dirckx **[29].** In order to understand the dynamics of the system, he created a thermal simulation in MATLAB. This initial simulation assisted in the selection of the hot heat exchanger. **A** summary of his model is described in Section 4.2.

# **4.2 Initial Thermal Model**

The initial thermal fluid simulation included four major system components: platens, hot heat exchanger, cold heat exchanger, and mixing valves. The components were modeled independent of each other and the fluid flow rate and temperature is passed along the flow path. Since this was a discrete simulation, the fluid was simulated as packets of flow rate and temperature with its size dictated **by** the time step chosen. There were imposed time delays between the outlet of the platens and entrance to the heat exchangers and between the outlet of the heat exchangers and entrance to the platens. These delays caused oscillations in the model, but do not show up in the real system because the fluid is mixed, not discrete packets. **A** schematic of the information flow **is** shown in Figure 4-1. The platens were modeled as an insulated thermal mass with the only heat transfer from the Paratherm MR fluid convection. **A** shell-and-tube heat exchanger analysis modeled the hot heat exchanger, while the cold heat exchanger was modeled with curve fitted Manufacturer's data. The mixing valves were open loop and

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calculate the flows necessary to achieve a commanded temperature. Dirckx's thesis **[29]** provides for a more detailed explanation of the model.

After the construction of the HME **II,** a number of experiments were run to confirm the system performance. The model simulated a heating step from 40 to **150 'C** at a system flow rate of 40 GPM to take under **100** seconds. However, experiments showed that this step could only be achieved in **860** seconds. This implied the initial model vastly overestimates the heating capacity of the system. Since a thorough understanding of the thermal fluid system dynamics was not only essential in the selection of components, but also helpful in designing a high performance control system, a more accurate model was developed.



Figure 4-1 Information flow for the initial simulation **[29]**

# **4.3 Modifications to the Model**

The initial model created **by** Dirckx overestimated the heating capacity of the system. After running a number of tests on the system, the data suggested that the cold and hot heat exchanger models needed to be redesigned. For the new system simulation, the base program was reused with the same flow and logic assumptions. However, major modifications to the hot heat exchanger and cold heat exchanger models were made. The platen and mixing valve models have no changes.

**A** flowchart of the simulation is shown in Figure 4-2. The simulation starts with an initialization of the constant parameters, such as the heater power, maximum heater temperature, time step, system flow rate, and delays. Then, a desired temperature profile is defined. The simulation proceeds to define the initial temperatures of the fluid out of each component in the model. Once these are set, the program enters a "for" loop with each iteration corresponding to a time step. In the "for" loop, temperature of the fluid and fluid flow rates are passed from component to component. The first component in the loop is the cold heat exchanger with the temperature of the fluid input defined **by** the temperature profile and initial flow rate half of the defined system flow rate. The temperature out of the cold heat exchanger will be an input to the mixing valve model. The next component in the loop is the hot heat exchanger with the temperature of the fluid input defined **by** the temperature profile and initial flow rate half of the defined system flow rate. Another variable monitored in the heater model is the temperature of its thermal mass. The temperature of the fluid out of the hot heat exchanger will be an input to the mixing valve model. Next, the simulation analyzes the platen model where the flow rate and temperature of the fluid input is defined in the initial conditions. The

surface temperature of the platen is also monitored in this component model. **A** delay between the platens and the heat exchangers is imposed. The program then analyzes the mixing valve component model where the flows to the cold and hot heat exchangers are calculated. Finally, a delay between the mixing valves and platens are imposed. This sequence is repeated. Each of these major component models will be discussed in detail in the following sections.



**Figure 4-2 Flowchart of the simulation**

# **4.3.1 Hot Heat Exchanger**

As mentioned in Section **3.3.3,** the hot heat exchanger is a **30** kW electric circulation heater from Vulcan. The initial heater model used a shell-and-tube heat exchanger analysis. This proved to overestimate the heating capacity, so instead a conservation of energy approach to model the heater dynamics was implemented. Assumptions behind the model include a mixed vessel that was perfectly insulated and the heater body was treated as a lumped thermal mass. **A** diagram of the heater with its defined control volume and energy flows is shown in Figure 4-3.



**Figure 4-3 Diagram of the heater with energy flows and its defined control volume**

Conservation of energy for this system is shown in Equation 4-1 **[55].** The energy entering the system is from the fluid flowing into the heater. Equation 4-2 is the energy

entering the system, where Q is the flow rate of fluid into the heater,  $\rho_i$  is the density of the fluid at the inlet temperature,  $c_{pi}$  is the specific heat of the fluid at the inlet temperature, and  $T_i$  is the temperature of the fluid at the inlet. The energy generated by the system is a perfect transfer of energy from the electric heating coils to the fluid shown in Equation 4-3. The energy out of the system is the thermal energy of fluid exiting the heat exchanger and the heat transfer between the fluid in the heat exchanger and the thermal mass of the heater body. Equation 4-4 describes the energy out of the system, where  $Q$  is the flow rate of fluid exiting the heater,  $\rho_o$  is the density of the fluid at the outlet temperature,  $c_{po}$  is the specific heat of the fluid at the outlet temperature,  $T_o$  is the temperature of the fluid at the outlet,  $h_{fm}$  is the heat transfer coefficient between the fluid in the heat exchanger and the thermal mass of the heat exchanger,  $A_{fm}$  is the area of heat transfer between the fluid and thermal mass in the heat exchanger,  $T_a$  is the average of the fluid inlet and outlet temperatures, and  $T_m$  is the temperature of the thermal mass. The last term in the conservation of energy equation is the energy storage term given **by** Equation 4-5. The terms are the following:  $\rho_a$  is the density of the average fluid temperature,  $c_{pa}$  is the specific heat of the average fluid temperature,  $V$  is the fluid volume within the heat exchanger, and  $T_a$  is the average fluid temperature.  $T_a$  is the average of the inlet and outlet temperatures with the derivative calculated using the previous time step value.

$$
\dot{E}_{st} = \dot{E}_{in} + \dot{E}_{g} - \dot{E}_{out}
$$
  
Equation 4-1  

$$
\dot{E}_{in} = Q \rho_i c_{pi} T_i
$$

**Equation 4-2**

$$
E_g = 30 \text{ kW}
$$
  
Equation 4-3  

$$
\dot{E}_{out} = Q\rho_o c_{po} T_o + h_{fm} A_{fm} (T_a - T_m)
$$
  
Equation 4-4  

$$
\dot{E}_{st} = \rho_a c_{pa} V \frac{dT_a}{dt}
$$

**Equation 4-5**

Another equation is used to calculate the temperature of the heater's thermal mass. This equation needs to be solved before calculating the outlet fluid temperature using the conservation of energy equation. The rate of change in temperature of the heater thermal mass is calculated using Equation 4-6, where  $h_{fm}$ ,  $A_{fm}$ ,  $T_a$ , and  $T_m$  have been described earlier and  $\rho_m$  is the density of the material of the thermal mass,  $c_{pm}$  is the specific heat of the thermal mass, and  $V_m$  is the volume of the thermal mass.

$$
\frac{dT_m}{dt} = \frac{h_{fm}A_{fm}(T_a - T_m)}{\rho_m c_{pm}V_m}
$$

**Equation 4-6**

The heater is assumed to be the carbon steel shell with a mass of *50* **kg.** The heat transfer coefficient is calculated the same way as Dirckx **[29]** where an effective diameter is calculated using Equation 4-7, where  $P_T$  is the heater coil pitch and  $OD_T$  is the diameter of the coils **[56].** Once the effective diameter is known, the Nusselt number is calculated using Equation 4-7, where Re is the Reynolds number and Pr is the Prandtl number *[57].* Once the  $D_e$  and Nu are known, the  $h_{fm}$  is calculated using Equation 4-9, where  $k_m$  is the thermal conductivity of the fluid inlet temperature. Equation 4-6 can then be solved **by** Euler integration. Plugging in  $T_m$  and manipulating the conservation of energy equation to isolate *To,* it can be solved in a straightforward manner. The complete MATLAB code for the heater can be found in Appendix **E.3.**

$$
D_e = \frac{4(p_r^2 - OD_r^2 \pi / 4)}{\pi OD_r}
$$

*De*

**Equation 4-7**

$$
Nu = 0.36 \text{Re}^{0.55} \text{Pr}^{1/3}
$$
  
Equation 4-8  

$$
h_{\text{fm}} = \frac{Nu \times k_{\text{m}}}{1.50 \times 10^{-3} \text{ J/m} \cdot \text{F}^2}
$$

**Equation 4-9**

## **4.3.2 Cold Heat Exchanger**

The initial cold heat exchanger model utilized curve fitted manufacturer's data to predict the thermal response. Only a limited number of data points were provided, so the model tended to deviate from the actual performance. The modified model simulated the cold heat exchanger as a plate-and-frame heat exchanger. **A** diagram of the MaxChanger model MX-22 from Tranter PHE is shown in Figure 4-4. This heat exchanger has 40 stainless steel plates 24" long and 4" wide spaced 0.048" apart with Paratherm MR flowing in one direction and city water flowing in the opposite direction alternating between plates. The MX-22 was analyzed as a counter flow parallel heat exchanger using an effectiveness method under the following assumptions:

- **1.** The heat exchanger is perfectly insulated.
- 2. Axial conduction is neglected.
- **3.** Potential and kinetic energy changes are negligible.



**Figure 4-4 Schematic of the MaxChanger model MX-22 from Tranter PHE [58]**

The first step necessary for this type of analysis is finding the heat transfer coefficients for the hot and cold sides. Since the entrance of the fluid channels is noncircular, a hydraulic diameter,  $D_h$ , is calculated. This is used to calculate the Reynolds number given by Equation 4-10, where *Vel* is the velocity of the fluid,  $\rho$  is the density of the fluid, and  $\mu$  is the fluid viscosity. The Nusselt number is calculated using a singlephase **450** Chevron plate analysis shown in Equation 4-11 with the coefficients summarized in Table 4-1 *[59].* The heat transfer coefficients can be found using Equation 4-12. This analysis is done for both the cold water flow and hot oil flow.

$$
\text{Re} = \frac{Vel \times D_h \times \rho}{\mu}
$$

**Equation 4-10**

$$
Nu = C \times \text{Re}^n \times \text{Pr}^{1/3}
$$

**Equation 4-11**

<b>Reynolds Number</b>	$\mathbf C$	n
<10	0.718	0.349
$10-100$	0.400	0.598
$>100$	0.300	0.663

Table 4-1 Coefficients for varying Reynolds numbers with Chevron  $45^{\circ}$  plates

$$
h = \frac{Nu \times k}{D_h}
$$

**Equation 4-12**

Once the heat transfer coefficients for the two flows are calculated, an effectiveness method is used to find the outlet temperature of the Paratherm MR **[60].** The rate of heat transfer is given by Equation 4-13, where  $C_{min}$  is the minimum capacity rate,  $T_{h,i}$  is the hot fluid inlet temperature,  $T_{c,i}$  is the cold fluid inlet temperature, and  $\varepsilon$  is the effectiveness. For a counter flow heat exchanger  $\varepsilon$  is calculated by using Equation 4-14. *C\** is the capacitance ratio (Equation *4-15)* and *NTU* is the number of transfer units (Equation 4-16), which indicates the size of the heat exchanger. Equation 4-16 has  $\overline{U}$ , which is the overall heat transfer coefficient and  $A_s$  is the total heat transfer surface area. Finally, the hot fluid outlet temperature is calculated using Equation 4-17.

$$
q = C_{\min} (T_{h,i} - T_{c,i}) \varepsilon
$$

**Equation 4-13**

$$
\varepsilon = \frac{1 - \exp[-NTU(1 - C^*)]}{1 - C^* \exp[-NTU(1 - C^*)]}
$$

**Equation 4-14**

$$
C^* = \frac{C_{\min}}{C_{\max}}
$$

**Equation 4-15**

$$
NTU = \frac{\overline{U}A_s}{C_{\min}}
$$

**Equation 4-16**

$$
T_{h,o} = -\frac{q}{C_h} + T_{h,i}
$$

**Equation 4-17**

#### **4.3.3 Platen**

The platen model is the same as the one in the initial simulation created **by** Dirckx **[29].** This model treats the copper platens as a lumped thermal mass and the only heat transfer is from the fluid to the surface area of the **18 1/8"** diameter channels in each platen. In the model it is necessary to calculate the fluid temperature out of the platens, which involves finding the heat transfer coefficient. First, the Reynolds number for internal flow given in Equation 4-18 should indicate whether the flow is laminar ( $Re \le$ 2300) or turbulent ( $Re \ge 2300$ ), where *Vel* is the velocity of the fluid, *D* is the diameter of the channel,  $\rho$  is the density of the fluid, and  $\mu$  is the dynamic viscosity of the fluid. The Prandtl number needs to be calculated using Equation 4-19, where  $c_p$  is the specific heat of the fluid,  $\mu$  is the dynamic viscosity of the fluid, and  $k$  is the thermal conductivity of the fluid. Once these dimensionless numbers are found, the Nusselt number for flow in the laminar regime using a constant temperature approximation is **3.66** or for flow in the turbulent regime it can be calculated using Equation 4-20 (valid when 0.5<Pr<2000 and

3000 $\leq$ Re $\leq$ 5x10<sup>6</sup>) [57]. The friction factor in Equation 4-20 can be calculated using Equation 4-21 assuming  $3000 < Re < 5x10^6$ . Once these values are found, the heat transfer coefficient is calculated using Equation 4-22.

$$
Re = \frac{(Vel)D\rho}{\mu}
$$

Equation 4-18

$$
\Pr = \frac{c_p \mu}{k}
$$

Equation 4-19

$$
Nu_D = \frac{(f/8)(\text{Re}-1000)\,\text{Pr}}{1+12.7(f/8)^{1/2}(\text{Pr}^{2/3}-1)}
$$

Equation 4-20

$$
f = (0.790 \ln \text{Re} - 1.64)^{-2}
$$

Equation 4-21

$$
h = \frac{(N_u)k}{D}
$$

Equation 4-22

The temperature of the fluid out of the platens can be calculated using Equation 4-23, where  $T_{ip}$  is the temperature of the fluid into the platen,  $T_s$  is the temperature of the channel surface,  $P$  is the perimeter of the channel,  $L$  is the length of the channel,  $\dot{m}$  is the mass flow rate of the fluid,  $c_p$  is the specific heat of the fluid, and  $h$  is the heat transfer coefficient. Once the outlet temperature is found, the median temperature of the fluid can be estimated and the energy transferred from the fluid to the platen is calculated using Equation 4-24, where *Tnedian* is the estimated median temperature of the fluid in the platens, *A* is the contact surface area between the fluid and platen, and the other variables have been defined earlier.

$$
T_{op} = (T_{ip} - T_s)e^{-\frac{PL}{\hat{m}c_p}h} + T_s
$$
 **Equat**

**Equation 4-23**

$$
q = hA(T_{median} - T_s)
$$

Equation 4-24

The rate of change of platen surface temperature can be calculated **by** dividing the energy transfer **by** the thermal mass of the platens. Euler integration is used to solve for the platen temperature after each time step. Not included in the model is heat loss to the environment and heat transfer to the surface area within the manifolds.

#### **4.3.4 Mixing Valves**

The mixing valve model is also the same as the one used in **[29].** Conservation of mass and momentum is used to calculate the fluid flows for the hot and cold side for a commanded mixing valve outlet temperature and flow given in Equation *4-25* and Equation 4-26, respectively. The terms in these two equations are the following:  $Q$  is the flow rate,  $\rho$  is the fluid density,  $c_p$  is the fluid specific heat, and T is the fluid temperature, with the subscripts c referring to the cold side, *h* referring to the hot side, and **p** referring to the outlet platen side. The mixing valves are treated as linear. There is also an imposed finite amount of time it takes to move the mixing valve position, which is simulated in the model. **If** the desired valve position cannot be reached in the given time step, the outlet fluid temperature is calculated at that given position.

$$
Q_h = \frac{Q_p \rho_p c_{pc} (T_p - T_c)}{\rho_h c_{ph} (T_h - T_c) + \rho_h c_{pc} (T_p - T_c)}
$$

Equation 4-25

$$
Q_c = \frac{Q_p \rho_p - Q_h \rho_h}{\rho_c}
$$

**Equation 4-26**

# **4.4 Optimal Operating Conditions for each Phase of the Process Cycle**

Using the model described in Section 4.3, a variety of simulations were run to predict the thermal response of the system. One of the goals of the HME II was to reduce the process cycle time and it has been documented that the limiting rate of this process cycle is the heating and cooling phases. Therefore, flow rate dependent simulations were run to determine the optimal operating conditions.

#### **4.4.1 Flow Rate Dependencies**

Three sets of temperature step responses were simulated under **total** system flow rate conditions of **10,** 20, **30,** and 40 GPM. The first simulation is shown in Figure 4-5, where the open loop platen temperature command was stepped from **55** to 120 **'C** and back down to **55 'C.** This figure indicates that flow rate does not affect the time constant when commanding a platen temperature of 120 °C. A physical explanation for this effect is that there is enough hot fluid in the hot heat exchanger to get the platens up to **100 'C** very quickly with the heater coils needing to heat up only a small amount of additional cold fluid from the cold side of the system. The simulation also suggests that a higher flow rate will cool down the platens at a faster rate. Since the cold heat exchanger does not have a large fluid storage capacity, cooling of the fluid only takes place within a small volume and is primarily driven **by** a temperature gradient rather than a constant heat flux. Therefore, running fluid through the cold heat exchanger at a faster rate should cool the system fluid faster.



**Figure 4-5 Simulated open loop platen step response between predetermined temperatures of 55 and 120 'C at varying flow rates (arrow points in the direction of increasing flow rate)**

The second simulation shown in Figure 4-6 shows the open loop thermal step response for predetermined temperatures from **55** to 140 **'C** and back down to **55 'C.** The most noticeable difference from the first simulation is the heating response. At the higher set point temperature there is not enough hot fluid stored in the hot heat exchanger to quickly get the platen temperature up to 140 **'C.** Around **100 'C,** the hot supply diminishes and the system fluid relies on heat from the heater coils. The heater increases the fluid temperature quicker at the lower flow rates because the fluid spends a longer time in contact with the heater coils. It takes roughly **70** seconds longer for the platens to get to 140 *'C* at 40 GPM versus **10** GPM. The cooling response is the similar to the previous simulation for the same reasons stated before.



**Figure 4-6 Simulated open loop platen step response between predetermined temperatures of 55 and 140 \*C at varying flow rates (arrows points in the direction of increasing flow rate)**

The third simulation shown in Figure 4-7 shows the open loop thermal platen step response for predetermined temperatures from **55** to **160 'C** and back down to **55 'C.** The slow heating response at the higher flow rates is exacerbated in this simulation. **All** of the simulations indicate that heating should occur at the lowest flow rate possible, while cooling should occur at the highest flow rate.



**Figure 4-7 Simulated open loop platen step response between predetermined temperatures of 55 and 160 'C at varying flow rates (arrows points in the direction of increasing flow rate)**

# 4.5 **Empirical Data Verifying Optimized Conditions**

The simulations in Section 4.4 indicate that a low flow rate will produce the fastest heating response and a high flow rate will produce the fastest cooling response. **A** number of tests were run to confirm these simulation results. Empirical data from these experiments are highlighted in the following sections.

# **4.5.1 Heating of the Platens at Various Flow Rates**

The experimental results of an open loop heating response with the mixing valve switched from fully open on the cold side to fully open on the hot side at varying system flow rates is shown in Figure 4-8. The trend of faster heating at lower flow rates is consistent with the simulation. **By** just looking at the heating response dominated **by** the

heater coils (i.e. between 200 and 400 seconds), the heating rate in this regime was calculated for the different flow rates (Figure 4-9). The heating rate appears to be linearly related to the flow rate during this portion of the heating cycle. The heating rate and temperature when the heater coils dominate the thermal response differ from the simulation. Possible explanations for these discrepancies will be discussed in Section 4.6.2.



**Figure 4-8 Open loop response from fully cold to fully hot of the average bottom platen temperature at varying bottom platen flow rates**



Figure 4-9 Heating rate of the bottom platen at varying flow rates during the heater dominated response

## 4.5.2 Cooling of the Platens at Various Flow Rates

The experimental results of the open loop response starting from an average bottom platen temperature at roughly 155 °C to fully open on the cold side at varying flow rates is shown in Figure 4-10. These results are consistent with the general trend of the simulations, where the higher flow rates correspond to a faster cooling rate. The only significant discrepancy with the experimental data and simulation is the cooling curve at **6** GPM flowing through the bottom platen. The simulation has the bottom platen temperature taking a very long time to cool down compared with the higher flow rates. This drastic change in cooling rate can be attributed to the fluid transition from turbulent to laminar flow through the cold heat exchanger. The actual system has a number of

imperfections and characteristics including chevron plates which will keep the flow in the turbulent regime at the low flow rate.



**Figure 4-10 Temperature response of the average bottom platen temperature when cooled from roughly** *155* **'C to fully open cold**

#### 4.5.3 **Low Flow Rate during De-Embossing**

In order to reduce the embossing cycle time, it is necessary to reduce the system flow rate during heating and increase the system flow rate during cooling. However, these procedures have some caveats. Figure 4-11 shows a simulation of a typical embossing cycle with a commanded open loop embossing temperature of 120 **\*C** and a commanded open loop de-embossing temperature of **55 'C** at a platen flow rate of **6** GPM. The corresponding experimental data for these same processing conditions is shown in Figure 4-12. During the cooling phase in both the simulation and experiment, the hot heat exchanger fluid temperature recovers to its set point temperature, which in
this case is **180 \*C.** Because a high flow rate is desired during cooling, the same embossing cycle is simulated and run experimentally at a platen flow rate of **18** GPM. The results are shown in Figure 4-13 and Figure 4-14. During cooling in both the simulation and experiment, the hot heat exchanger temperature recovers to its set point temperature during the initial transient, but once the mixing valves open the hot supply a little, the hot heat exchanger outlet temperature begins to decrease. This would be detrimental to the heating phase for the ensuing cycle. Therefore, it is recommended that during cooling, the flow rate is only increased when the mixing valves are fully opened on the cold side.



Figure 4-11 Simulation of an open loop step response with predetermined temperatures from 46 to **120 to 50 \*C at a platen flow rate of 6 GPM**



Figure 4-12 Experimental Data for a closed loop control step response from **55** to 120 to **55 'C** at a platen flow rate of **6** GPM



Figure 4-13 Simulation of an open loop step response with predetermined temperatures from 46 to 120 to **50 <sup>0</sup> C** at a platen flow rate of **18** GPM



Figure 4-14 Experimental Data for a closed loop control step response from **55** to 120 to **55 \*C** at a platen flow rate of **18** GPM

## 4.6 Empirical Data vs. Theoretical Simulation

The model captures the general trends of flow rate dependent thermal responses at different conditions. This information served as a basis when designing the control system for fast cycle times. It is important to directly compare the simulation with empirical data to check the accuracy of the model. Possible sources of discrepancies between the model and experimental data are discussed in Section 4.6.2.

#### 4.6.1 Various Test Conditions

The simulation was run at a number of processing conditions and compared with corresponding empirical data to check the accuracy of the model. Figure 4-15 compares the open loop simulation versus the closed loop experimental step response from 46 to 120 to **50 'C** at a flow rate of **6** GPM per platen. The model is open loop, which accounts for the first-order response of the platen temperature. The experimental data on the other hand has closed loop platen temperature PI control on the heating side and closed loop valve fluid outlet temperature PI control on the cooling side. The controllers were not optimized, which is why the experimental data had some overshoot. Both of the heat exchanger temperature responses follow the general temperature transient. However, the simulation models the heat exchangers as having unusually quick responses during the transient periods. Another test condition is shown in Figure 4-16, to confirm the simulation's ability to accurately capture the thermal response at various processing conditions. This condition was for an open loop simulation versus closed loop experimental temperature step response from **50** to 140 to **50 'C** at a flow rate of **6** GPM per platen. Again, the platen temperature response is modeled fairly well, and the same effects from the heat exchangers are observed. Therefore, the model can reliably be utilized as a tool for designing the system controls.



Figure 4-15 Simulated open loop and experimental closed loop control data for a step response from 46 to 120 to **50 \*C** at a flow rate of **6** GPM per platen



Figure 4-16 Simulated open loop and experimental closed loop data for a step response from **50** to 140 to **50 'C** at a flow rate of **6** GPM per platen

#### **4.6.2 Model Discrepancies**

**A** number of effects were not modeled in the simulation and some have a larger effect than others. The following list of discrepancies is certainly not exhaustive but stands out to have a significant effect on the model.

#### **4.6.2.1. Efficiency of Heater**

The model assumed the electric heater to be **100%** efficient in transferring all of its resistive energy into the working fluid. This assumption was valid to a certain degree, because electric circulation heaters are very efficient. However, since hot oil is used as the working fluid, fouling can occur at the heater coil fluid interface. This buildup over time can reduce the efficiency of the heater. Fouling resistances can be incorporated in the model using equations and constants described in most heat exchanger textbooks **[61].**

#### **4.6.2.2. Closed-Loop Controllers**

As mentioned before, the simulation assumed the mixing valves to be open loop and set to predetermined temperatures. Therefore, the thermal responses shown in the simulations are representative of the ideal first-order performance. The controllers used to obtain the experimental data work well, but do not match the controllers in the simulation. The PI controllers used in the experiments had some overshoot associated with the platen temperature response. As the controller is improved, the experimental data for the platen temperature should correspond better with the simulation.

#### **4.6.2.3. Valve Characteristics**

The simulation treats the mixing valves as linear, meaning the hot and cold flows are proportional to the mixing valve stem position. From a controls point of view, the mixing valve with a linear characteristic is the equivalent of a constant gain. However, a number of experiments confirmed that the valve characteristic associated with different flow rates was not linear. Steady state temperatures for set valves positions at system flow rates of **38** GPM and 12 GPM are shown in Figure 4-17 and Figure 4-18, respectively. The difference between the valve characteristics is apparent for different flow rates, with the lower flow rate condition having less of a non-linear response. From this steady state data, the valve gain was calculated **by** dividing the percent change in output **by** the percent change in valve position **[62].** The resulting valve gains for system flow rates of **38** GPM and 12 GPM are shown in Figure 4-19 and Figure 4-20, respectively. In order to get close to a linear valve characteristic, it is ideal to operate at a low system flow rate. Incorporating these non-linear valve characteristics at different flow rates in the model could improve the accuracy of the simulation.



Figure 4-17 Steady state temperatures at certain valve positions at a total system flow rate of **38** GPM



Figure 4-18 Steady state temperatures at certain valve positions at a total system flow rate of 12 GPM



Figure 4-19 Valve gains for the platen temperature at a total system flow rate of **38** GPM





There are four pipe plug probe thermocouples monitoring the fluid temperature at different locations in the system. Depending on the sensor's radial location in the pipe, the temperature measurement will be different during transients. During rapid heat up cycles, the temperature of the fluid out of the mixing valves tends to be higher than the temperature of the fluid out of the hot heat exchanger. This is counter-intuitive because one would expect atmospheric heat loss and mixing of a little amount of cold fluid with the hot fluid. This effect is due to the fact that the sensor measuring the temperature at the hot heat exchanger is closer to the edge of the flow. The thermocouples measuring the temperature out of the mixing valves are in the middle of the flow. These effects are

eliminated once the fluid flow is fully developed. This should have a negligible effect on the outcome of the experiment.

#### **4.6.2.5. Pressure Differentials**

The model assumed the same fluid flow through the top and bottom platens. Experimentally, this is not the case because there are differences in pressure for the top and bottom platens between the mixing valves and the heat exchangers. This is reflected in the difference between the top and bottom valve characteristics. Ideally, the valves should have a linear characteristic when the upstream pressure for the cold and hot supplies is the same (Figure 4-21).



**Figure 4-21 Linear valve characteristic curve**

#### **4.6.2.6. Losses due to Piping or Atmosphere**

Atmospheric losses and modeling of the system pipes were not accounted for in the simulation. There is a large amount of surface area from the heater body and lengths of un-insulated pipe where heat loss to the environment can be significant. Experimental observation showed a decrease in roughly 4 **'C** from the temperature of the fluid out of the mixing valve to the corresponding platen temperature during steady state conditions. Part of this temperature loss could be attributed to convection to the environment. **If** atmospheric losses were accounted for in the model the response of the system would be slower during heating and faster during cooling.

**A** significant amount of pipes were not accounted for in the simulation. The thermal mass of all the pipes in the system are estimated at roughly **100 kg.** This added mass would also slow down the system response if included in the simulation.

# **5 Control of HME 11**

# **5.1 Goals of the HME II Control System**

The control objectives for the HME II were the following: steady state error within  $\pm 1$   $\degree$ C, fastest possible heating and cooling rates, and being robust to a number of processing conditions. Hardware limitations and its effect on the control of the system are discussed in this chapter. In addition, discussions of the design of the control system in order to meet the objectives are covered in detail with confirmed experimental data.

## **5.2 Heater Limitations**

When developing the control system, problems with the heater and mixing valves limited the flexibility of the design and performance. As mentioned in Section *4.5.1,* the heater increases the temperature of the fluid quicker at lower flow rates, but even at a system flow rate of 12 GPM the heater can only increase the temperature of the fluid roughly **0.1** 'C/second once the platen temperature is above 120 **'C.** This slow dynamic can limit the type of controller used and imposes a minimum heating rate for desired platen temperatures above 120 **'C.**

## **5.3 Mixing Valve Limitations**

Since the control element in the thermal fluid system is the mixing valves, the performance of the system is entirely dependent on the quality of the mixing valve actuators. The main problem with the spring-diaphragm actuators used in our system is stiction. Stiction is defined as, "a property of an element such that its smooth movement

in response to a varying input is preceded **by** a static part followed **by** a sudden abrupt jump called the slip jump. In a mechanical system, the slip jump originates due to static friction, which exceeds the dynamic friction during smooth movement **[63]." A** diagram of typical valve stiction behavior is shown in Figure **5-1.** The valve starts from rest at point **A** and after the controller output overcomes the deadband (AB) and stickband (BC), the valve jumps to point **D** and continues to move. The valve may repeat the behavior just described between **D** and **E** if the velocity of the valve stem goes to zero [64]. One might consider using a linear electromechanical actuator.



**Figure 5-1 Typical response of a valve with stiction [64]**

**Initial** experiments run under closed-loop control with a proportional controller provided evidence of valve stiction. Figure **5-2** shows the closed-loop platen temperature feedback response using a proportional controller with a gain of P=O. 1 and system flow rate of 12 GPM. Linear control theory states that a system under strictly proportional control will settle to a steady state temperature with a constant error. However, the experimental data showed the temperature of the platens continuing to increase and never reaching a steady-state temperature. The hot and cold heat exchanger temperatures also increased, which would suggest that the mixing valve was not moving after a certain point and the mixture of fluid out of the valve was increasing in temperature solely due to the supply temperatures increasing.



Figure **5-2 Closed-Loop platen temperature feedback response using a proportional controller with** a gain of P=O.1 and system flow rate of **12** GPM

Valve stiction is a problem in the valve industry, where hundreds of springdiaphragm actuators are needed to control complex mixing in processing plants. Numerous research papers have been published regarding this potentially expensive problem. **A** widely implemented control solution to this problem is using a PI controller *[63,65].* This comes at no surprise since "over **90%** of the process control systems are based on PID type controllers, which represent a basic standard as regards process tuning **[66]."** Therefore, a PI controller was implemented to control the mixing valve actuators.

## **5.4 Sampling Rate Limitations**

Another hardware limitation which affected the design of the control system was the data acquisition sample rate. The **PCI** 4351 was used to acquire the thermocouple measurements and its specifications are described in Section **3.3.12.** Its maximum sampling rate given the number of analog inputs it reads is roughly *1.5-2.0* seconds because of onboard signal conditioning. This is extremely slow from a controls perspective, but this **PCI** card provides the necessary temperature precision.

**A** case study was conducted to observe the effect of the sampling rate on the temperature response of the system. **A** Labjack data acquisition system **[67]** was used, which is capable of fast sampling, but is limited in precision and number of data acquisition channels. **All** of the tests were run with a single feedback signal from a thermocouple bonded between two pieces of PMMA in between the platens. The sampling rate does not significantly affect the response of the system when the controller has conservative gains (Figure **5-3).** However, as the controller gains become more aggressive, a slow sampling rate struggles to keep the temperature stable (Figure 5-4). The sampling rate becomes even more of an issue when the feedback signal has a faster thermal response, such as the fluid out of the mixing valve. During the heating transient, the temperature of the fluid out of the valves can increase **by 35 'C** within 2 seconds. The sampling rate limitation of the **PCI** 4351 can be improved **by** switching to a **PCI** card with external signal conditioning. However, the control system was designed with this limitation.



Figure **5-3** PMMA temperature response with closed-loop PMMA temperature feedback using a proportional controller with a gain of P=0.1, a desired set point temperature=120 **'C,** and a system flow rate of 12 GPM at varying sample rates



Figure 5-4 PMMA temperature response with closed-loop PMMA temperature feedback using a proportional controller with a gain of **P=0.25,** a desired set point temperature=120 **'C,** and a system flow rate of 12 GPM at varying sample rates (Bottom figure is a close-up of the top figure)

# **5.5 Initial Controller Design Study**

Designing a linear controller typically involves creating a block diagram with the components and system dynamics modeled as transfer functions. Using this model, a root locus analysis can **be** implemented and additional poles and zeros can be placed in the frequency domain (s-plane) to achieve the desired performance. These additional poles and zeros will be the controller.

Simulink was used to design a controller for the HME **II. A** closed-loop block diagram schematic used for this analysis is shown in Figure **5-5.** This diagram is valid because the error between the desired temperature and actual temperature is passed through the controller. The resulting output is sent to the mixing valves positioners as a 4-20 mA current signal. **A** change occurs in the valve position and the resulting fluid out of the valves should change the platen temperature.





The valves needed to be modeled, so a transfer function was fit to an open loop step response for the fluid temperature out of the valves. This temperature response is shown in Figure **5-6.** The shape of the curve is a 3rd order system which can be decomposed into a lightly damped  $2<sup>nd</sup>$  order system plus a slow  $1<sup>st</sup>$  order system. Using the principle of superposition the open loop response of the valve can be summarized **by** Equation 5-1 [68], where *K* is a constant for the 1<sup>st</sup> order system,  $\tau$  is the time constant for the 1<sup>st</sup> order system,  $\xi$  is the damping ratio for the 2<sup>nd</sup> order system, and  $\omega_n$  is the natural frequency for the 2<sup>nd</sup> order system. The constant  $\xi$  was found by using the overshoot method. Using  $\xi$ , the natural frequency,  $\omega_n$ , was calculated by estimating the settling time. The time constant  $(\tau)$  was estimated by superimposing a 1<sup>st</sup> order response on the  $2<sup>nd</sup>$  order system to produce the curve in Figure 5-6. Finally, *K* is a scaling factor necessary to match the general shape of the response. The constant parameters were estimated from the curve in Figure **5-6** and are listed in Table **5-1.**



**Figure 5-6 Open loop step response of the fluid temperature out of the mixing valve, corresponding to the bottom platen**

$$
\frac{Ks^{2} + (2\xi\omega_{n}K + \omega_{n}^{2})s + (\omega_{n}^{2} + K\omega_{n}^{2})}{s^{3} + (2\xi\omega_{n} + \tau)s^{2} + (\omega_{n}^{2} + 2\xi\omega_{n}\tau)s + \tau\omega_{n}^{2}}
$$

**Equation 5-1**



#### **Table 5-1 Parameters for the third order system**

The open loop block diagram transfer function of the valve fluid outlet temperature with correction factors for the real system is shown in Figure *5-7.* When the values from Table **5-1** were inputted into the Simulink diagram, the simulation output compared with the data is shown in Figure *5-8.* There was not a strong correlation between the simulation and actual data; however the simulation does capture the general shape of the response.







**Figure 5-8 Open loop response of the valve fluid outlet temperature**

The transfer function for the valve outlet fluid temperature, in addition to a 1<sup>st</sup> order response for the platen transfer function with a time constant of five seconds was used to complete the block diagram. Root locus analysis was implemented and appropriate poles and zeros were placed in the S-plane to get the desired response. However, the simulation provided responses that were not possible to achieve with the system. Therefore, it was safe to assume that linear theory would not be a viable technique to design a controller for the system. Discrepancies between the simulation and actual performance could be attributed to **highly** non-linear effects such as saturation and stiction in the valves. Another approach to designing a controller was needed and will be discussed in the following sections.

## **5.6 Controller Design for Heating**

The objectives for the heating cycle were: fast as possible heating rates, steady state error within  $\pm 1$  °C, and quick settling times for a target set point temperature of 120 **'C.** In order to address fast heating rates it had been confirmed that low system flow rates produce the fastest response. Therefore, a system flow rate of 12 GPM was chosen because it was low enough for faster heating, but fast enough so the pump motor did not overheat.

The second objective for the heating cycle was settling to a steady state temperature within  $\pm 1$  °C. Since the system dynamics are highly non-linear, traditional linear control system design tools are ineffective. Section *5.3* mentions the problems with the mixing valve actuators and suggests using a PI controller. **A** PI controller was implemented with platen temperature feedback (Figure *5-2)* and proved to be effective in getting to a set point temperature with minimal steady state error with properly tuned gains. However, this controller used throughout the whole heating cycle breaks down in performance when the desired set point temperature is above 120 **'C.** At a desired set point temperature above 120 **'C,** the mixing valves saturate for an extended period of time waiting for the heater to raise the system temperature to the set point level. During this time, the controller accumulates a large amount of error from the integrator term in the controller. This integrator windup led to a large overshoot and a long settling time.

In order to satisfy the third objective of the heating cycle, which was a fast settling time, a proportional controller was used in conjunction with the PI controller. The proportional controller is implemented whenever the mixing valves are saturated. Once the valves are no longer saturated, the controller will switch to PI control with the

integrator term initial condition equal to zero. The proportional controller gain is large relative to the PI controller gains. **A** large proportional controller gain will get the temperature of the platens close to the desired set point. Once the controller is switched to PI control the smaller proportional gain provides for stability while the integrator term ensures minimum error.

# **5.7 Controller Design for Cooling**

The objectives for the cooling cycle were fast cooling rates and maintaining a set point temperature within  $\pm 1$  °C for a period of time long enough to de-emboss a part at a target set point temperature of *55* **'C.** In order to achieve the fastest cooling rate, a high flow rate is desired as mentioned in Section *4.5.2.* **A** system flow rate of **38** GPM was used only when the valves were fully closed to the hot flow, to prevent the hot supply from cooling down. When the valves come out of saturation, the system flow rate was turned down to 12 GPM.

Temperature from the platens is the ideal feedback variable; however because of the high system gain at typical de-embossing temperatures, referred to in Section 4.6.2.3, in combination with the slow thermal response of the platens it is extremely difficult to maintain a steady temperature. An indirect way of controlling the temperature of the platens is to control the fluid temperature out of the mixing valve (Figure *5-9).* The platens have a slow thermal response relative to the fluid so this response will be a filtered version of the fluid temperature response. Also, there will be a small temperature offset at steady state between the platen and valve.



**Figure 5-9 Block diagram of the HME 11 system with closed-loop valve outlet fluid temperature feedback**

An example of the valve fluid outlet temperature feedback during cooling is shown in Figure **5-10.** The PI controller was used to get the fluid temperature to the set point temperature. The behavior of the Valve2 outlet fluid temperature is **highly** oscillatory, which is unavoidable because of high loop gain, valve stiction, and a slow sampling rate. Referring back to Figure 4-18, a de-embossing set point temperature of *55* **'C** at a system flow rate of 12 GPM corresponds to a hot side valve percentage opening of *15%.* Translating this valve position to a system gain on Figure 4-20 shows that the valve gain is the highest at this desired temperature. **A** physical explanation for the high gain is that when the system temperature is around **55** *'C* the cold fluid supply is near its limit making the valves nearly saturated. When the outlet temperature nears its set point, the valves will open the hot supply a little. The hot supply has *5* gallons of roughly **150 'C** fluid, which mixes with the cold fluid increasing the temperature dramatically and causing the controller to overcompensate. This process repeats itself causing a limit cycle. The effective gain will actually be higher than the one stated in the Figure 4-20, because the feedback measurement is from the fluid temperature and not the platen.



**Figure 5-10 Cooling cycle with Valve2 fluid outlet temperature feedback to a set point of 55 \*C and a system flow rate of 12 GPM (Switching PI control)**

**Given the** high loop gain, one would think to just reduce the controller gain avoiding the high amplitude oscillations. However, because of the problems with valve stiction the controller gain has to be above a certain threshold. **If** the changes in current signal to the valves are too small to overcome static friction, the valve will not change positions at all.

Another reason the oscillations cannot be avoided is the slow sampling rate of the **PCI 4351.** As stated earlier, the fluid temperature can increase by 35 °C in only 2 seconds. **If** the sampling rate could be four times as fast, theoretically the fluid temperature should only change **by** roughly **9 \*C** per sample which is a lot more manageable. **A** faster sampling rate would reduce the amplitude of oscillation seen in

Figure **5-10.** Despite the large amplitude of oscillation of the valve outlet temperature, the platen temperature follows a smooth temperature curve. Therefore, it is acceptable to control the valve outlet fluid temperature during the cooling cycle.

# **5.8 Optimal Variable Controller Gains**

The justification for using certain flow rates and controllers for different phases of the process cycle was covered in the previous two sections (Section **5.6** and Section **5.7).** The top and bottom platen and both valve outlet fluid temperatures were controlled independently of each other. The final controller design for heating and cooling is summarized in Table **5-2** and Table **5-3,** respectively. Compensated set point temperatures were imposed for the cooling cycle to better match the temperature response for the top and bottom platens, which are shown in Table 5-4. The system flow rate is set to operate at 12 GPM during heating and cooling, with the exception of the case where both valves are saturated during cooling, the flow rate will be increased to **38** GPM. **A** representative heating and cooling response is shown in Figure **5-11.** This section will discuss the selection of the specific controller gains for each phase of the process.





Table **5-3** Valve controller gains for different phases of the cooling cycle









Figure **5-11** Heating and cooling response for a closed loop step test from **55** to 120 to **55 \*C** using the final control system (Bottom figure is the same as the top but with only the platen responses)

#### **5.8.1 Selection of Heating Controller Gains**

During the initial phase of the heating cycle, the controllers for both the top and bottom platens have high proportional gains. The proportional gain of **P=0.5** was chosen because it gets the temperature close to the set point quickly with minimal overshoot. When the mixing valves are out of saturation, the reason for having the top platen proportional gain of **P=0.05** and the bottom platen proportional gain of **P=0.** 1 was that the top platen had a faster thermal response than the bottom platen as shown in Figure **5-11.** The top platen having a faster response is because it has less thermal mass than the bottom platen. Therefore, the top platen takes longer to settle if it has the same gain as the bottom platen. An integrator gain of 0.002 was used in combination with the proportional gains for both the top and bottom platens to keep the steady state error near zero. **A** series of experiments with varying combinations of proportional and integrator gains were conducted and the selected gains showed the best performance in terms of settling the quickest and maintaining a steady state error within  $\pm 1^{\circ}C$  for a target set point temperature of 120 **'C.**

#### **5.8.2 Selection of Cooling Controller Gains**

Control of the valve outlet fluid temperature requires lower gains because of the inherently **high** system loop gain at de-embossing temperatures. The proportional and integral gains are initially kept low for the initial phase of cooling to avoid too much integrator windup. The PI gains are increased when the temperature gets close to the set point temperature to prevent valve stiction. Although the top platen has a faster response than the bottom platen, the proportional gain for the Valve1 is higher than Valve2 because it was found to be more prone to stiction.

Compensated set point temperatures were also included in the control system to match the cooling rate for the top and bottom platens. Since the top platen has a much faster response than the bottom platen, the top platen imposes a set point temperature higher than the desired set point temperature for the initial cooling phase. On the other hand, the bottom platen has an imposed set point temperature lower than the desired set point temperature to speed up the cooling rate. The exact compensation formulas were selected based on the response which best matched the top and bottom platen temperature cooling responses without sacrificing too much speed.

# **5.9 Empirical Data for the given Controller**

Once the controller was built, a number of experiments were run to test its performance. **A** list of experiments and their testing conditions using the same controller are summarized in Table *5-5.* Preliminary tests suggested that embossing PMMA at a temperature of 120 **'C** with sufficient force and de-embossing at *55* **'C** produced a **fully** replicated part **[27].** Therefore, this processing condition was used to benchmark the performance of the controller. Figure **5-11** shows a profile of this processing condition (Run 2) and it shows a worst case overshoot of roughly **10%** during heating, which is acceptable. The only stringent heating requirement was that the temperature settles to  $\pm 1$ **'C** of the set point temperature. During the cooling transient, the highest temperature differential between the top and bottom platens was only **13 'C,** which was a drastic improvement over the approximately **50 'C** temperature differential seen in the HME I. The system was able to heat the both of the platens to  $120 \pm 1$  °C in 138 seconds and cool both of the platens to  $55 \pm 1$  °C in 190 seconds. Therefore, given an embossing hold time of roughly **30** seconds the whole process cycle can be completed in **6** minutes. This is a

significant improvement over the HMIE **I** with a reported heat time of approximately **15** minutes and cool time of approximately **5** minutes **[29].** Granted, these times are quoted for higher embossing temperatures, but from a manufacturing standpoint as long as parts are fully replicated regardless of embossing temperature the fastest cycle time should be implemented.
<b>Run Number</b>	Location	Init. Temp. $(^{\circ}C)$	<b>Emboss Temp.</b> $(^{\circ}C)$	De-emboss Temp $(^{\circ}C)$
$\mathbf{1}$	<b>Top</b>	42	110	55
	<b>Bottom</b>	56.5	110	55
$\overline{2}$	Top	54.5	120	55
	<b>Bottom</b>	54.4	120	55
3	Top	87.5	150	55
	<b>Bottom</b>	73.6	150	55
$\overline{4}$	Top	50.8	170	55
	<b>Bottom</b>	53.5	170	55
5	Top	64.6	110	65
	<b>Bottom</b>	62.7	110	65
6	Top	56.8	120	65
	<b>Bottom</b>	60.1	120	65
$\overline{7}$	Top	60.4	170	65
	<b>Bottom</b>	63.1	170	65
$8\,$	Top	75.6	110	77.5
	<b>Bottom</b>	74.2	110	75
9	Top	78.4	120	77.2
	<b>Bottom</b>	73.8	120	75
$10\,$	Top	65.6	170	77.1
	Bottom	63.3	170	75

**Table 5-5 Description of test conditions for each run for the top and bottom platens using the same controller**

The rest of the heating and cooling times for Runs 1-4 are shown in Figure *5-12* and Figure *5-13,* respectively. The reported cooling times represent the first time the platens come within the stated temperature tolerance. Figure *5-12* suggests the controller is robust to different heating conditions. The top and bottom platens for each test settled to the set point temperature at approximately the same amount of time. As expected, the higher set point temperature took much longer to get to because of the slow heat exchanger dynamics. The reason for the 110 °C set point temperature heating taking longer than the 120 **'C** condition was because of more overshoot. Figure *5-13* shows that the cooling times for different starting temperatures do not change much. It also shows that the top platen responds a lot faster than the bottom platen.

Runs **8-10** suggest that the controller does not work effectively in getting the top platen down to a de-embossing set point temperature of *75* **'C.** The top platen gets within **3 'C** of the set point temperature, which should only have a negligible effect to the final part. **If** this effect indeed has a significant effect on the output part, a conditional can be implemented in the control system to accommodate for this de-embossing temperature. Information regarding Runs **5-10** and thermal response graphs for the rest of the runs can be found in Appendix F.



**Figure 5-12 Heating times for Runs 1-4 described in Table 5-5**



**Figure 5-13 Cooling times for Runs 1-4 described in Table 5-5**

Repeatability of the controller was also tested, since this is a significant issue in manufacturing. Figure 5-14 shows the thermal response of three cycles between **55 \*C** and 120 **\*C.** The shape of the thermal response looks identical and repeatable for each cycle. Quantification of the repeatability is shown in Table **5-6,** where the settling times to within  $\pm 1$  °C were calculated. The worse case was for the bottom platen during heating which had a settling time deviation of roughly **15%;** however this deviation should have been much less because the first cycle had the bottom platen starting temperature **3 'C** lower than the other two cycles. Overall, the controller developed for the process was repeatable to an acceptable degree.



Figure 5-14 Three repeated cycles between **55 'C** and 120 **'C**





# **6 Software Design for Automation of the HME II**

# **6.1 Overview of the Software Platform**

**A** graphical user interface **(GUI)** was developed in Labview **7.0** to automate the thermal cycle process. **A** screen capture of the user interface is shown in Figure **6-1.** This program allows a user to input an embossing and de-embossing set point temperature and manually switch between cooling and heating. Real time monitoring of all the temperatures in the system are displayed in the two charts at the bottom of the screen. The program is split into six sections: motor controls, expansion tank fluid level monitoring, system controls, top platen controls and indicators, bottom platen controls and indicators, and temperature monitoring. These will be discussed in the following sections.



**Figure 6-1 Labview graphical user interface for the thermal control system**

#### **6.1.1 Motor Controls**

The motor control section allows the user to turn the motor on or off and change between sending a voltage or a current analog output (Figure **6-2).** Since the **PCI 6208A** operates with an analog current output, the current setting should always be on. This section also monitors the frequency of the motor. The frequency of the motor is linearly related to speed which is theoretically linearly related to the system flow rate from the gear pump. However, empirical data has shown that this is inexact. Since the program is set-up to operate at a system flow rate of 12 GPM and **38** GPM, it was found experimentally that the motor should operate at **-12.5** Hz and **-50** Hz, respectively.



**Figure 6-2 Motor controls labview interface**

## **6.1.2 Expansion Tank Fluid Level Monitoring**

Monitoring the fluid level in the expansion tank is a safety feature incorporated in the Labview interface (Figure **6-3).** The fluid level should always be between the top and bottom level sensors. Therefore, during normal operation the high level sensor should be on and the low level sensor should be off. **If** either the high level sensor is off or the low level sensor is on, the system warning light will turn on indicating the system should be shut down.



**Figure 6-3 Expansion tank fluid level monitoring Labview interface**

## **6.1.3 System Controls**

The system controls section of the screen allows the user to switch the controller between heating and cooling. **A** program shutdown button is also necessary to turn off all data acquisition and data output to the valves. Turning off the program also stops the writing of data to a file. The stop button should always be used to shut down the program.



**Figure 6-4 System controls Labview interface**

### **6.1.4 Top and Bottom Platen Controls and Indicators**

The top platen controls and indicators section includes two user inputs and five indicators (Figure **6-5).** Two user inputs include the desired top platen embossing set point temperature and the de-embossing set point temperature. "Real **SP** Top" shows the compensated set point used in the control system. The "Valve 1 Current" displays the current in mA sent to the mixing valve positioners. Three indicators on the right side show the PID controller gains. The gains correspond to platen feedback during heating

and valve fluid outlet feedback during cooling. Bottom platen controls and indicators are identical in terms of function and user inputs.



**Figure 6-5 Top platen controls and indicators Labview interface**

## **6.1.5 Temperature Monitoring**

Temperature monitoring is vital to know when embossing and de-embossing temperatures are reached (Figure **6-6).** The "source temperatures" (left) display monitors the temperature of the valve1 outlet fluid, valve2 outlet fluid, hot heat exchanger outlet fluid, cold heat exchanger outlet fluid, and expansion tank fluid. The "platen temperatures" (right) display monitors the temperature of five locations on the bottom platen, discussed in Section **3.3.13.1,** and a single location on the top platen. Both of the

displays only show ten minutes of temperature history and it is updated every two seconds.



**Figure 6-6 Temperature monitoring Labview interface**

# **6.2 Program Function**

#### **6.2.1 General Functions**

Once the program is started, it prompts the user to create a new file where the data will be written (Figure **6-7).** Another function which occurs concurrently with creating and opening a new file is configuring the channels for analog inputs in the following order: Valve1\_T, Valve2\_T, HHE\_T, CHE\_T, P1\_T, P2\_T, P3\_T, P4\_T, P5\_T, Expansion Tank\_T, TP\_T. These channels were initially configured in NI Measurement

**&** Automation Explorer as traditional virtual channels. After completing these operations, the program enters a while loop.



**Figure 6-7 Picture of the prompt window which appears upon startup**

Once **in** the while loop, the configured analog inputs are displayed on two charts and written to the opened file. **A** total list of signals are written to the opened file and are placed in this order: **(1)** Time stamp, (2) Valve 1 outlet temperature, **(3)** Valve2 outlet temperature, (4) Hot heat exchanger outlet temperature, **(5)** Cold heat exchanger outlet temperature, **(6)** P1 (Front right bottom platen) temperature, **(7)** P2 (Back right bottom platen) temperature, **(8)** P3 (Middle bottom platen) temperature, **(9)** P4 (Front left bottom platen) temperature, **(10) P5** (Back left bottom platen) temperature, **(11)** Expansion tank

temperature, (12) Top platen temperature, **(13)** Minutes, (14) Seconds, **(15)** Valvel current signal (mA), **(16)** Valve2 current signal (mA), **(17)** Compensated set point bottom platen, **(18)** Compensated set point top platen, **(19)** P gain bottom, (20) I gain bottom, (21) **D** gain bottom, (22) P gain top, **(23)** I gain top, (24) **D** gain top, *(25)* Controller type, **(26)** Motor frequency. Each iteration in the while loop is set to take two seconds.

#### **6.2.2 Heating Logic**

When the user chooses heating, the case structure frame **"0"** is active. Within the frame are three other case structures: **(1)** switch between digital outputs for the motor and digital inputs for the level sensors, (2) switch between different controllers for the top platen, **(3)** switch between different controllers for the bottom platen.

Since the **PCI** 4351 has only one digital **1/0** port it can choose only to read or write during a single iteration. The program needs to alternate between reading the level sensor signals and writing the digital outputs for the motor. This is achieved **by** multiplying each iteration frame number **by -1** and checking to see if the result is positive or negative. **If** the result is positive it reads the level sensor signals, otherwise it writes to the motor digital outputs. Another manipulation within the frame scales the motor frequency to current, which is shown in Equation **6-1.**

$$
Current = Motor_{frequency} \times .26666 + 4
$$

**Equation 6-1**

The other two case structures relate to switching controllers for the top and bottom platens. As mentioned in Section **0,** two different controllers will be implemented depending on the mixing valve current signals. When the valve current is 4 mA, the controllers will be strictly proportional; otherwise the controller will be under PI control.

For the bottom platen, the feedback signal is the average of the five temperature measurements taken from the bottom platen. For the top platen, the feedback signal is a single temperature measurement from a drilled port in the side of the top spacer plate. Once these signals are acquired, the measurements are compared with their respective set point temperatures and go through a PID controller. The output signal is then manipulated with Equation **6-2** to convert it to current, which will be sent to the mixing valves.

#### $Current = Output \times (-8) + 12$

**Equation 6-2**

#### **6.2.3 Cooling Logic**

When the user chooses cooling, the case structure frame **"1"** is active. Within the frame are three other case structures: **(1)** switch between digital outputs for the motor and digital inputs for the level sensors, (2) switch between different controllers and compensated set point temperatures for the Valve 1 outlet, **(3)** switch between different controllers and compensated set point temperatures for the Valve2 outlet.

The case structure with the digital I/O's is the same as the one described in the heating logic with an imbedded case for the motor output. The additional conditional determines whether to run the motor at a high flow rate or low flow rate. **If** the both the mixing valve currents are 20 mA, corresponding to fully open on the cold side, the motor frequency is set to **50** Hz **(38** GPM). For all other conditions the motor frequency is set to **12.5** Hz **(12** GPM).

The valve1 fluid outlet temperature feedback goes through the same manipulations as the platen feedback mentioned in the previous section. However, the conditional to switch between controllers is when the difference between the actual

valve1 fluid temperature and set point temperature below 15 °C. Also, the compensated set point temperature is changed when the conditional is met. The valve2 fluid temperature feedback follows the same logic. **A** more detailed explanation of the selected set points and gains are covered in Section **5.8.**

# **7 Process Control for Fabrication of Micro-Fluidic Devices**

# **7.1 Background on Process Control**

In any manufacturing process a general understanding of the processing parameters and their effects on the output part is critical to reducing variation. Therefore, it is important to understand and try to reduce the natural variation of the machine being used to create parts. In-vivo measurements of the parts being created during the microembossing process is nearly impossible, therefore a way of determining if the part is in compliance off line is critical for process control.

# **7.2 Metrology Problems**

Polymer micro- and nano-devices typically consist of simple geometries such as channels, vias, and gratings. These devices will typically operate as predicted based on the accuracy of a few critical dimensions. As mentioned in Section **1.3.2,** laminar microfluid flow at certain aspect ratios for Newtonian fluids is governed **by** Hagan-Pouseille flow. This equation shows that fluid flow is sensitive to a critical dimension of the channel created. Therefore, being able to measure critical dimensions becomes important for process control for these devices.

# **7.3 Types of Measurement Tools**

**A** number of metrology tools exist that rely on vastly different physics such as mechanical imaging, electron discharge, or optical imaging. These techniques vary in

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terms of highest possible resolution in the vertical and lateral directions. **A** number of different surface characterization tools are summarized in Table **7-1.** MIT has a number of these metrology tools available for use and a few of the ones investigated will be discussed in the following sections.

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#### Table **7-1** Analytical techniques for surface characterization and their limitations with regards to vertical and lateral resolution and the materials they can characterize **[69]**

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#### **7.3.1 Scanning Electron Microscope**

**A** scanning electron microscope **(SEM)** works **by** directing electrons through a lens on the sample. Once the electrons hit the sample, some are rejected and collected **by** detectors that form the image. This imaging technique requires that the sample be conductive, however the plastic devices of interest do not fall into this category. Therefore, an alternative imaging technique is the environmental scanning electron microscope **(ESEM).** The **ESEM** creates an environment within the vacuum chamber that allows for imaging of non-conductive materials. MIT has a Philips/FEI XL30 **FEG-SEM** with a resolution of *3.5* nm at **30** KV **[70].** This technique captures high resolution images, but does not provide any quantitative information.

#### **7.3.2 Atomic Force Microscope**

An atomic force microscope (AFM) works **by** detecting the deflection of a cantilever tip scanning the material surface and comparing it to a desired deflection in a **DC** feedback amplifier. This serial imaging technique is particularly slow, but has a high resolution. **A** Quesant Q-Scope Model *250* AFM is available for use at MIT with a horizontal resolution of roughly **60** nm for a representative scanning range. This metrology technique is promising because it has a high resolution while providing quantitative data. However, it is cumbersome to take measurements, has a limited range (roughly  $80 \mu m$ ), and it has some problems with controller induced artifacts, such as overshoot.

#### **7.3.3 Interferometer**

An optical interferometer works **by** splitting light into two beams, one going to the internal reference surface and the other to the sample. Once the light is reflected off

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the sample, the beams recombine inside the interferometer and undergo constructive and destructive interference producing the light and dark fringe patterns. The interferometer available for use at MIT is a Zygo model **5000** series. Its vertical resolution is extremely high at 0.1 nm, but its horizontal resolution is limited to 0.45  $\mu$ m. One of its advantages is that it can quickly capture an image and provide quantitative data.

# **7.4 Best Technique for Different Devices**

**A** process variation study on the HME **I** was conducted **by** Ganesan **[33]** using a DRIE silicon tool and PMMA. **A** series of critical lateral features were measured with the Zygo model **5000** and a number of potential sources of variation were conjectured. However, a re-evaluation of the measurements done **by** the author and Thaker **[32]** revealed that process variation detected in the initial study was dominated **by** measurement uncertainty making the variation data inconclusive. **A** run chart for a particular feature's die-part difference replicated under the same processing conditions using the interferometer is shown in Figure **7-1.** Another metrology method was investigated to conclusively detect process variation for these embossed parts.



**Figure 7-1 Run chart for a feature measured with the Zygo 5000 with measurement error bars [32]** The AFM described in Section **7.3.2,** was used to characterize and detect process variation for the smaller features  $(-4 \mu m)$  created by Ganesan [33]. Its high resolution proved to be advantageous in terms of minimizing the measurement uncertainty and a 12 **%** process variation was detected. The run chart for the measured feature is shown in Figure **7-2.** This shows that the AFM can be a viable metrology tool in detecting process variation at small feature scales.



**Figure 7-2 Run chart based on AFM measurements on a feature approximately 4 microns in width**

Another viable metrology technique studied **by** Wang **[27]** was using the Zygo **5000** scans and processing the data using a protocol developed in MATLAB to extract depth measurements. This measurement proved to be reliable because of the extremely high vertical resolution of the interferometer. The advantage to using this technique is that it cuts down on processing time.

# **7.5 Tool Design for Process Control Study**

Literature has shown that tools used for micro-embossing can be fabricated using, DRIE in silicon, **LIGA,** microEDM, **SU-8** on silicon, electroforming, and **CNC** high precision milling **[71,72,73,74,75].** Each has their advantages and disadvantage in terms of ease of fabrication and possible feature aspect ratios. Since our laboratory is interested in studying manufacturing aspects for micro-embossing, a tool was designed to study the effects of feature size, angle, spacing, uniformity, and fluid flow. **A** suitable technique

for fabricating the tool is DRIE on silicon because of the availability of resources and its versatility in creating different depths with almost vertical sidewalls.

**A** 4" mask was co-designed **by** the author, Thaker, and Wang with the intention of creating tools using DRIE on silicon. H. Taylor, an **SMA** II student, fabricated the tools using the mask. **A** schematic of the tool layout is shown in Figure **7-3.** The base set of features intended to evaluate different angles consist of a channel, circle, equilateral triangle, square, and hexagon. In order to study the effects of feature size, the widths of each base set are  $300$ ,  $100$ ,  $30$ ,  $10$ ,  $3$ , and  $1 \mu$ m. There are also three spacing schemes, where the spacing between feature sets is **10, 1** and **0.1** times the feature's width. This whole section is replicated **8** times across the wafer to evaluate the wafer scale uniformity. Various aspect ratios can also be studied **by** varying the etch depth from wafer to wafer. In addition to the base testing layout a number of different functional fluid channels and vias are included on the tool.



**Figure 7-3 Tool design for 4" silicon wafer**

# **7.6 Variability Study for HME 11**

Using an **SU-8** tool with the pattern mentioned in Section **7.5,** a series of PMMA fluid channel features were fabricated using the **HME II.** This test was conducted to quantify the natural process variation of the **HME II. A** PMMA work piece roughly **5** mm by 15 mm was embossed with a trough roughly 35  $\mu$ m in depth, 800  $\mu$ m wide, and  $8000 \mu m$  long on the silicon wafer. The processing conditions were an embossing temperature of 120 **'C,** de-embossing temperature of **65 'C,** and a force of **300 N.** Twelve parts were fabricated under these conditions **by** the author and Thaker **[76].** The depths were measured in the same area of the channel for each part using the algorithm developed **by** Wang **[27].** The results are summarized in the run chart in Figure 7-4. Measurement uncertainty dominates, making the detection of any natural process variation for the HME II unfeasible. Therefore, one conclusion is that the parts are fully formed under the specified conditions and do not have any detectable depth variation.



Figure 7-4 Run chart for part depth under identical processing conditions

# **8 Conclusions and Future Work**

# **8.1 Summary**

**A** second generation hot micro-embossing machine **(HME II)** was designed and constructed **by** Dirckx **[29],** Shoji, and Thaker **[76].** To fully understand the system dynamics and machine limitations the machine was modeled and characterized. This information was used to develop a temperature controller with the following capabilities: steady state error within  $\pm 1$  °C, fast heating and cooling rates, and being robust to a number of processing conditions. Finally, a Labview **GUI** automated the temperature cycle while allowing users to manipulate relevant processing parameters.

### **8.1.1 Best Thermal Performance Curve**

With the HME II a typical embossing thermal cycle from **55** *'C* **to** 120 **'C** was able to heat the both of the platens to  $120 \pm 1$  °C in 138 seconds and cool both of the platens to  $55 \pm 1$  °C in 190 seconds. Therefore, given an embossing hold time of roughly **30** seconds the whole process cycle can be completed in **6** minutes. This is a marked improvement over the **15** minute heating time and **5** minute cooling time achieved **by** the old system.

#### **8.1.2 Software Capabilities**

**A** Labview **GUI** automates the thermal process and provides the user with enough control over relevant processing parameters such as embossing and de-embossing set

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point temperatures. Further development and modifications to the controller can be easily implemented.

# **8.2 Improvements to the Controller**

Various linear controllers were used for the system. This worked well for a number of processing conditions, but was not robust to operating condition changes. It has been tuned for a limited range of temperature changes and for a particular platen arrangement. Modifying the gains on the existing controller could make some slight improvements regarding overshoot and settling time of the temperature response. However, making the control system robust to the whole gamut of processing conditions and the ability to track an arbitrary waveform may require using an entirely different controller. **A** non-linear adaptive controller might improve the performance of the machine. Also, a multiple loop controller might improve the cooling performance, since it will be able to directly monitor the platen temperature instead of just the temperature of the fluid entering the platens.

# **8.3 Improvements to the Hardware**

As mentioned in Section **5.3,** there are problems with the mixing valves and its actuator. Stiction and deadband are problems that not only make controlling the valves difficult, but also increase the complexity of analyzing the system dynamics. **A** fast responding electromechanical actuator could alleviate a lot of control problems.

Another improvement to the hardware would be installing a data acquisition card with a faster sampling rate. This would make for easier control of the temperature, especially in combination with a quick responding valve actuator.

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# **8.4 Improvements to the Software**

Currently, the program is only set to accommodate a step input for heating and cooling. **All** modifications to the controller gains, compensated set point temperatures, and motor speeds can be set in the block diagram of the program. **A** simple modification can be made to include sine, square, triangle, sawtooth, and **DC** waveforms using the "Simulate Signal.vi" shown in Figure **8-1.** The user should take caution when using this VI and be aware of the system sampling rate and limitations regarding the maximum heating and cooling rates. Preliminary tests show that the tracking ability of a PI controller is limited and waveforms should only be run at a very low frequency. **If** the user wants to define a ramp waveform a "Simulate Arbitrary Signal.vi" shown in Figure **8-2** should be used. Again, good judgment should used when defining a heating or cooling rate.



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#### **Figure 8-2 Simulate arbitrary signal Labview VI**

Eventually, complete automation of the embossing process is desired. This would include the addition of Instron control, heater control, and safety shutdown. The Instron can be controlled in Labview with the appropriate drivers and it has most of the capabilities available in the Merlin software. Control of the heater should only involve

programming of the data acquisition and setting up the digital **1/0. All** of the wiring for heater control is completed. Once the HME II is ready for production cycling the safety system should be programmed. This should only involve some simple logic to shut down the motor and heater when the level sensors engage.

# **8.5 Recommendations for HME III**

For the future, a HME **III** should be designed and constructed. The HME II has some limitations stated in this thesis and an ideal hot micro-embossing system for manufacturing should be flexible in design and capabilities. The following sections outline possible areas of improvement, which may be addressed in the HIME III.

#### **8.5.1** Fully Welded System

**If** the decision is to stick with a hot oil thermal system, a fully welded system is necessary. Experience suggests that **NPT** fittings will leak when put through a number of thermal cycles no matter what type of thread sealant is used. Experiments have shown that heating is the rate limiting step in the thermal cycle, but its performance can be improved at lower flow rates. Lower system flow rates will allow for a smaller pump and smaller pipes to be installed. This will lower the thermal mass of the system and speed up the thermal response.

#### **8.5.2 Vacuum Environment**

Currently, all of the PMMA parts have been created in atmospheric conditions. Some of the parts created on the first generation system **by** Ganesan had air bubbles shown in Figure **8-3. A** paper **by** Roos suggests that embossing polymers under a vacuum improves the large area uniformity of the part **by** eliminating any entrapped air

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**[77].** Since the goal of the HME II is to emboss a 4" PMMA wafer, a vacuum might be necessary to eliminate the air which may get trapped in that large area.



**Figure 8-3 Bubble on a feature created in PMMA**

#### **8.5.3 Automatic De-Embossing and Loading of a Work Piece**

The eventual goal **of** the machine is to have **a** fully automated process. Automatic temperature control has been achieved in Labview and coordinated force control can be integrated into the software. However, a subsystem to de-emboss the **PMMA** and reload a work piece has yet to be designed. Requirements for a work piece loader would include accurate displacement control for repeatable re-registration of the work piece. Quality force control is also necessary to ensure no damage is done to the plastic during handling. This last subsystem would complete the automation of the micro-embossing process.

# **Appendix**



# **A.1 Properties of OT-201**

From the Omega product webpage:

http://www.omega.com/Temperature/pdf/OT-20 **1.pdf**



# **Typical Properties**

\*M **=** Metal **C =** Ceramic PL **=** Plastic

PA **=** Paper Products W **=** Wood

**A.2 Properties of Paratherm MR**

From the Paratherm product webpage:

http://www.paratherm.com/Paratherm-MR/MRtabdataSI.asp






### **A.3 Properties of CC High Temperature Cement**

From the Omega product webpage:

#### http://www.omega.com/Temperature/pdf/CC\_CEMENT.pdf

#### **Physical Properties<sup>t</sup>**



tThese physical properties were determined under laboratory conditions using applicable ASTM procedures. Actual field data may vary. *Do* not use physical propeflies data for specifications.

\* Air Set Cements are also available See OMEGABOND\* 300, OMEGABOND\* 400 and OMEGABOND\* 500. These cements set or cure through<br>loss of moisture by evaporation. Atmospheric conditions therefore affect the drying rate. Air Se

### **A.4 Properties of Silicon**

Information obtained from the Matweb website:

http://matweb.com/search/SpecificMaterial.asp?bassnum=AMESiOO







## **A.5 Properties of PMMA**

Information obtained from the Matweb website:

http://matweb.com/search/SpecificMaterial.asp?bassnum=O **1303**





# **B Component Specifications**

# **B.1 Hot Heat Exchanger by Vulcan**

These specifications are provided **by** Vulcan.

 $\hat{\boldsymbol{\beta}}$ 









 $B.2$ Plate and Frame Cold Heat Exchanger by Tranter

### From the Warren Controls Webpage:

http://www.warrencontrols.com/html/pdf/2800ProductSpec.pdf





Dim

 $\sqrt{2}$ 

\* Includes 1-3/8 inch for air fitting<br> $H =$  Centerline of pipe to bottom of positioner<br> $CF =$  Consult factory

N/A = Not Available

Consult factory for drawings, weights,<br>and dimensions of configurations not shown.

Face to face dimensions conform to<br>historical Warren Controls standard and<br>are NOT ANSI/ISA compatible.



Allow 4-7/8 inch clearance above actuator for removal.

Actual shipping weights may vary.

Actuator Weight (LB) DL49 24-1/2<br>DL84 84XR 48-1/2 Positioner Weight (LB) 760  $\frac{1}{10}$ 





2-WAY or 3-Way<br>w/DL49 & 760 Positioner



w/DL84 or 84XR & 760 Positioner

RADIUS is from centerline of actuator to cutside edge<br>of positioner.

**Positioner Removal Clearance** Allow 3-1/4 inch

beyond 760 for cover<br>removal/service.

# **B.4 Valve Positioner Series 760E**

From the Siemens Webpage:

http://www.sea.siemens.com/instrbu/docs/pdf/sd760r4.pdf



# *B.5* **Roper 3711 Positive Displacement Pump**

From Roper Pumps **3600** Series Manual





# **C Fluid Flow Model MATLAB Code**

# **C.1 Main File**

This is the most up-to-date fluid flow model for the system. It includes the following components: mixing valves, platens, hot heat exchanger, cold heat exchanger, pipes, pipe fittings, y-strainer, flow meters, and pump.

global **t** global interval global finalt global PumpPressure

interval= **1000;** finalt=40; count=1; len=linspace(O,finalt,interval); Z=zeros(size(len));

%initialize vectors for mixing valve **<sup>1</sup>** Poutlo=Z;Qoutlo=Z;Toutlo=Z;percentlo=Z; %initialize vectors for mixing valve 2 Pout2o=Z;Qout2o=Z;Tout2o=Z;percent2o=Z;

%initialize vectors for platen2 output 1 Pout 1 ao=Z;Qout 1 ao=Z;Tout 1 ao=Z;Tsout 1 ao=Z; %initialize vectors for platen2 output 2 Pout 1 1 bo=Z;Qout 1 1 bo=Z;Tout 1 1 bo=Z;Tsout 1 1 bo=Z;

%initialize vectors for other pipeTcombine1 output 1 Pout 1 *5o=Z;Qout* 1 5o=Z;Tout1 *5o=Z;*

%initialize vectors for pump1 output Pout25o=Z;Qout25o=Z;Tout25o=Z;Pdiffo=Z;

%initialize vectors for PipeTSeparatel output Pout27ao=Z;Qout27ao=Z;Tout27ao=Z;Pout27bo=Z;Qout27bo=Z;Tout27bo=Z;

 $\%$ initialize vectors for coldhex\_new output Pout30bo=Z;Qout30bo=Z;Tout3Obo=Z;

%initialize vectors for hotwatt output Pout30ao=Z;Qout30ao=Z;Tout30ao=Z;

```
%initialize vectors for PipeTSeparate2 output
Pout37alo=Z;Qout37alo=Z;Tout37alo=Z;Pout37a2o=Z;Qout37a2o=Z;Tout37a2o=Z;
%initialize vectors for PipeTSeparate2c output
Pout34b 1 o=Z;Qout34b 1 o=Z;Tout34b 1 o=Z;Pout34b2o=Z;Qout34b2o=Z;Tout34b2o=Z;
%User defined parameters
Q=0.0025236; %Volumetric flow rate defined at Temperature=T
PumpPressure=5e5; %Initial Condition on the pump output pressure
Tdesired=170; %Temperature desired into Platen 1
Tdesired2=170; %Temperature desired into Platen 2
T=25; %Temperature at which the volumetric flow rate Q is defined
Tsinitial=25; %Initial temperature of the platens
for t=1: length(len)
  t=t- 1;
  if t == 0%MIXING VALVE SECTION-----------------------------------------------
----- 
%Setting Initial conditions for the mixing valve 1
  Th1=180; Til=Tdesired; Tc1=25; percent1=0;
  %Setting the inital condition for the flow rate through platen 1 as half the mass flow
rate into
  %the system
  [rho,mu,cp,k]=props(T);[rho1, mu1, cp1, k1] = props(Ti1);Qi1=(Q*rho)/rho1/2;[Qh 1,Qc 1 ]=tempratios(Ti 1,Qi 1,Th 1,Tc 1);
  Ph 1=0;
  Pc 1=0;
%Executing the mixing valve 1
  [Pout1,Qout1,Tout1,percent1] =valve_mixing(Qi1,Ti1,Ph1,Qh1,Th1,Pc1,Qc1,Tc1,percent1);
%Setting Initial conditions for the mixing valve 2
  Th2=180; Ti2=Tdesired2; Tc2=25; percent2=0;
  %Setting the inital condition for the flow rate through platen 1 as half the mass flow
rate into
  %the system
  [rho,mu,cp,k] = props(T);[rho2, mu2, cp2, k2] = props(Ti2);Qi2=(Q*rho)/rho2/2;[Qh2,Qc2]=tempratios(Ti2,Qi2,Th2,Tc2);
  Ph2=0;Pc2=0;
```

```
%Executing the mixing valve 2
```
[Pout2,Qout2,Tout2,percent2] **=** valve\_mixing(Qi2,Ti2,Ph2,Qh2,Th2,Pc2,Qc2,Tc2,percent2);

%Executing the Pipel file after mixing valve 1 (User can define the diameter and length of the pipe)

[Pout3a,Qout3a,Tout3a] **=** Pipe l(Pouti, Qouti, Touti, **0.3125, 0.5);**

- %Executing the fittings file after mixing valve 1 for an expansion in area [Pout4a,Qout4a,Tout4a] **=** fittings(Pout3a, Qout3a, Tout3a, **11,0.5,1);**
- %Executing the flowmeter file after the expansion in area [Pout5a,Qout5a,Tout5a] **=** flowmeter(Pout4a,Qout4a,Tout4a);
- %Executing the fittings file after mixing valve 1 for a reduction in area [Pout6a,Qout6a,Tout6a] **=** fittings(Pout5a,Qout5a,Tout5a,1,1,0.75);

%Executing the Pipel file after mixing valve 1 (User can define the diameter and length of the pipe)

[Pout7a,Qout7a,Tout7a] **=** Pipe 1 (Pout6a,Qout6a,Tout6a, *0.375,0.75);*

%Executing the fittings file after mixing valve 1 for an expansion in area [Pout8a,Qout8a,Tout8a] **=** fittings(Pout7a,Qout7a,Tout7a, *11,0.75,1.25);*

%Executing the fittings file after mixing valve 1 for a **90** degree turn [Pout9a,Qout9a,Tout9a] **=** fittings(Pout8a,Qout8a,Tout8a,2,1.25,1.25);

%Executing the Pipe **1** file after mixing valve 1 (User can define the diameter and length of the pipe)

[Pout10a,QoutI0a,Tout10a] **=** Pipe 1 (Pout9a,Qout9a,Tout9a,2,1.25);

%Executing the Pipe 1 file after mixing valve 2 (User can define the diameter and length of the pipe)

[Pout3b,Qout3b,Tout3b] **=** Pipel(Pout2, Qout2, Tout2, **0.3125, 0.5);**

%Executing the fittings file after mixing valve 2 for an expansion in area [Pout4b,Qout4b,Tout4b] **=** fittings(Pout3b, Qout3b, Tout3b, **11,0.5,1);**

- %Executing the flowmeter file after the expansion in area  $[$ Pout5b,Qout5b,Tout5b $]$  =  $\text{flower(}$ Pout4b,Qout4b,Tout4b);
- %Executing the fittings file after mixing valve 21 for a reduction in area [Pout6b,Qout6b,Tout6b] **=** fittings(Pout5b,Qout5b,Tout5b,1,1,0.75);

%Executing the Pipel file after mixing valve 2 (User can define the diameter and length of the pipe)

[Pout7b,Qout7b,Tout7b] **=** Pipe 1 (Pout6b,Qout6b,Tout6b, **0.375,0.75);**

%Executing the fittings file after mixing valve 2 for an expansion in area [Pout8b,Qout8b,Tout8b] **=** fittings(Pout7b,Qout7b,Tout7b, **11,0.75,1.25);**

```
%Executing the fittings file after mixing valve 2 for a 90 degree turn
  [Pout9b,Qout9b,Tout9b] = fittings(Pout8b,Qout8b,Tout8b,2,1.25,1.25);
```
%Executing the Pipe1 file after mixing valve 2 (User can define the diameter and length of the pipe)

```
[Pout 10b,Qoutl0b,Tout 10b] = Pipe 1 (Pout9b,Qout9b,Tout9b,2,1.25);
% ------------------------------------------------------------------------------
```


[Pout14b,Qout14b,ToutI4b] **=** fittings(Poutl3b,Qoutl3b,Toutl3b,1,1.25,1);

%Executing the fittings file after the Platen 2 for a *45* degree elbow  $[$ Pout15b,Qout15b,Tout15b] =  $fitings(Pout14b, Qout14b, Tout14b, 4, 1, 1);$ **% ---**



%Y-STRAINER **SECTION --- -----**

%Executing the ystrain file after the pipe [Pout22,Qout22,Tout22] **=** ystrain(Pout21,Qout21,Tout21);





%PIPETSEPARATE2 **--**  %Executing the PipeTSeparate2 Hot (User can define the diameter entering the PipeTSeparate2) %(User can define the diameter entering the PipeTSeparate2)

 $[$ Pout37a1,Qout37a1,Tout37a1,Pout37a2,Qout37a2,Tout37a2] = PipeTSeparate2(Pout37a,Qout37a,Tout37a,Qh1,Qh2,2);

%Executing the PipeTSeparate2 Cold (User can define the diameter entering the PipeTSeparate2) %(User can define the diameter entering the PipeTSeparate2) [Pout34b1,Qout34b1,Tout34b1,Pout34b2,Qout34b2,Tout34b2] = PipeTSeparate2(Pout35b,Qout35b,Tout35b,Qc1,Qc2,1); %Executing the Pipel file before mixing valve 1 hot (User can define the diameter and length of the pipe) [Pout38al,Qout38al,Tout38al] **=** Pipel (Pout37al,Qout37al,Tout37al,1,2); %Executing the Pipe 1 file before mixing valve 2 hot (User can define the diameter and length of the pipe) [Pout38a2,Qout38a2,Tout38a2] **=** Pipe 1 (Pout37a2,Qout37a2,Tout37a2,1,2); %Executing the fittings file after the Hot Pipe **1** file for a reduction in area [Pout39al,Qout39al,Tout39al] **=** fittings(Pout3 8al,Qout38al ,Tout38al **,1,2,0.5);** %Executing the fittings file after the Hot Pipe2 file for a reduction in area [Pout39a2,Qout39a2,Tout39a2] **=** fittings(Pout38a2,Qout38a2,Tout38a2,1,2,0.5); %Executing the Pipe1 file before mixing valve 1 cold (User can define the diameter and length of the pipe) [Pout35b1,Qout35b1,Tout35b1] **=** Pipel (Pout34b 1,Qout34b 1,Tout34bl **,1,1);** %Executing the Pipe 1 file before mixing valve 2 cold (User can define the diameter and length of the pipe) [Pout35b2,Qout35b2,Tout35b2] **=** Pipe **1** (Pout34b2,Qout34b2,Tout34b2, **1,1);** %Executing the fittings file after the Cold Pipel file for a reduction in area [Pout36b1,Qout36b1,Tout36bl] **=** fittings(Pout35b1,Qout35bl,Tout35b1,1,1,0.5); %Executing the fittings file after the Cold Pipe2 file for a reduction in area [Pout36b2,Qout36b2,Tout36b2] **=** fittings(Pout35b2,Qout35b2,Tout35b2,1,1,0.5); **% --**  else **%MIXING** VALVE **SECTION--- -------**  %Setting desired conditions for the mixing valve **<sup>1</sup>** percent 1 =percent **1;**

%Executing the mixing valve 1

 $[$ Pout 1,Qout 1, $T$ out 1, $p$ ercent 1 $] =$ 

valvemixing(Qil,Til,Pout39al,Qout39al,Tout39al,Pout36bl,Qout36b1,Tout36bl,perc entl);

%Setting desired conditions for the mixing valve 2 percent2=percent2; %Executing the mixing valve 2  $[$ Pout2,Qout2,Tout2,percent2 $] =$ valve\_mixing(Qi2,Ti2,Pout39a2,Qout39a2,Tout39a2,Pout36b2,Qout36b2,Tout36b2,perc ent2);

%Executing the Pipe 1 file after mixing valve **1** (User can define the diameter and length of the pipe)

[Pout3a,Qout3a,Tout3a] **=** Pipe1(Pout1, QoutI, Tout1, *0.3125, 0.5);*

%Executing the fittings file after mixing valve 1 for an expansion in area [Pout4a,Qout4a,Tout4a] **=** fittings(Pout3a, Qout3a, Tout3a, **11,0.5,1);**

%Executing the flowmeter file after the expansion in area [Pout5a,Qout5a,Tout5a] **=** flowmeter(Pout4a,Qout4a,Tout4a);

%Executing the fittings file after mixing valve 1 for a reduction in area [Pout6a,Qout6a,Tout6a] **=** fittings(Pout5a,Qout5a,Tout5a, 1,1,0.75);

%Executing the Pipe1 file after mixing valve 1 (User can define the diameter and length of the pipe)

[Pout7a,Qout7a,Tout7a] **=** Pipe 1 (Pout6a,Qout6a,Tout6a, **0.375,0.75);**

%Executing the fittings file after mixing valve **I** for an expansion in area [Pout8a,Qout8a,Tout8a] **=** fittings(Pout7a,Qout7a,Tout7a, *11,0.75,1.25);*

%Executing the fittings file after mixing valve 1 for a **90** degree turn [Pout9a,Qout9a,Tout9a] **=** fittings(Pout8a,Qout8a,Tout8a,2,1.25,1.25);

%Executing the Pipel file after mixing valve **1** (User can define the diameter and length of the pipe)

[Pout 10a,QoutlOa,Tout 10a] **=** Pipe 1 (Pout9a,Qout9a,Tout9a,2,1.25);

%Executing the Pipel file after mixing valve 2 (User can define the diameter and length of the pipe)

[Pout3b,Qout3b,Tout3b] **=** Pipe I(Pout2, Qout2, Tout2, **0.3125, 0.5);**

%Executing the fittings file after mixing valve 2 for an expansion in area [Pout4b,Qout4b,Tout4b] **=** fittings(Pout3b, Qout3b, Tout3b, **11,0.5,1);**

%Executing the flowmeter file after the expansion in area

[Pout5b,Qout5b,Tout5b] **=** flowmeter(Pout4b,Qout4b,Tout4b);





%Executing the Pipel file after the Platen 2 (User can define the diameter and length of the pipe)

[PoutI2b,QoutI2b,ToutI2b] **=** Pipe l(Pout IIb,Qout IIb,Tout **IIb, 2.66,** *1.25);*

%Executing the fittings file after the Platen 2 for a Long Radius **90** degree elbow [Pout I3b,Qoutl3b,Toutl **3b] =** fittings(Pout12b,Qout 1 2b,Tout *I2b,3,1.25,1.25);*

%Executing the fittings file after the Platen 2 for a reduction in area [PoutI4b,QoutI4b,Tout14b] **=** fittings(Poutl3b,Qoutl3b,Toutl3b,1,1.25,1);

%Executing the fittings file after the Platen 2 for a 45 degree elbow  $[$ Pout  $15b$ ,Qout  $15b$ ,Tout  $15b$ ]  $=$   $fittings(Pout 14b$ ,Qout  $14b$ ,Tout  $14b$ , $4$ , $1$ , $1$ ); **%--** 

**%PIPETCOMBINE1 SECTION-- ------**  %Executing the PipeTCombinel bringing the two pipes together after the platens %(User can define the diameter entering the PipeTCombinel)  $[$ Pout  $15$ ,Qout  $15$ , $T$ out  $15$ ]  $=$   $Pipe$  $TCombinel$  $(Pout 15a,$  $Qout 15a,$  $Tout 15a,$ Poutl5b,Qoutl5b,Toutl5b, **1);** %Executing the Pipel file after the PipeTCombinel bringing the two pipes together after the platens %(User can define the diameter and length of the pipe) [PoutI6,Qout16,Toutl6] **=** Pipe l(Poutl5,Qoutl5,Toutl *5,0.5,1);* %Executing the fittings file for an expansion in area [PoutI7,Qout17,Tout17] **=** fittings(Poutl6,Qoutl6,Toutl6,11,1,2); %Executing the Pipel file after the PipeTCombinel bringing the two pipes together after the platens  $\%$ (User can define the diameter and length of the pipe) [Pouti 8,QoutI8,Tout18] **=** Pipel(PoutI7,Qout17,Tout17,5.5,2); %Executing the fittings file after for a **90** degree elbow  $[$ Pout  $19$ , $\text{Qout}19$ , $\text{Tout}19$  $] = \text{fittings}$  $($  $\text{Pout}18$ , $\text{Qout}18$ , $\text{Tout}18$ , $\text{Q},\text{Q},\text{Q}$ ); %Executing the Pipe1 file after the PipeTCombine1 bringing the two pipes together after the platens %(User can define the diameter and length of the pipe) [Pout20,Qout2O,Tout2O] **=** Pipe **1** (Pout 19,Qoutl9,Tout **19,0.5,2);** %Executing the fittings file after for a **90** degree elbow [Pout21,Qout21,Tout21] **=** fittings(Pout20,Qout2O,Tout2O,2,2,2);









end

%vectors for mixing valve output 1 Pout  $lo$ (count)=Pout 1; Qout  $lo$ (count)=Qout 1; Tout  $lo$ (count)=Tout 1;  $percent 1 o (count) = percent 1;$ 

%vectors for mixing valve output **<sup>1</sup>** Pout2o(count)=Pout2; Qout2o(count)=Qout2; Tout2o(count)=Tout2; percent2o(count)=percent2;

%vectors for platen2 output 1 Pout l lao(count)=Pout l la; Qout l lao(count)=Qout l la; Tout l lao(count)=Tout l la;  $Tsout 11ao$ (count)= $Tsout 11a$ ; %vectors for platen2 output 2 Pout l 1 bo(count)=Pout l 1 b;  $\text{O}$ out l 1 bo(count)= $\text{O}$ out l 1 b;  $\text{T}$ out l 1 bo(count)= $\text{T}$ out l 1 b; Tsout11bo(count)=Tsout11b;

%vectors for other pipeTcombinel output Pout **1** 5o(count)=Poutl **5;** Qout15o(count)=Qoutl5; Tout 1 5o(count)=Toutl **5;**

% vectors for pump1 output Pout25o(count)=Pout25; Qout25o(count)=Qout25; Tout25o(count)=Tout25; Pdiffo(count)=Pdiff;

%vectors for PipeTSeparatel output Pout27ao(count)=Pout27a; Qout27ao(count)=Qout27a; Tout27ao(count)=Tout27a; Pout27bo(count)=Pout27b; Qout27bo(count)=Qout27b; Tout27bo(count)=Tout27b;

 $\%$  vectors for coldhex new output Pout30bo(count)=Pout3Ob; Qout30bo(count)=Qout3Ob; Tout30bo(count)=Tout3Ob; %vectors for hotwatt output Pout30ao(count)=Pout3Oa; Qout30ao(count)=Qout3Oa; Tout30ao(count)=Tout3Oa;

```
%vectors for PipeTSeparate2 output
Pout37alo(count)=Pout37al; Tout37alo(count)=Tout37al; Pout37a2o(count)=Pout37a2;
Qout37a2o(count)=Qout37a2; Tout37a2o(count)=Tout37a2;
Qout37alo(count)=Qout37al;
%vectors for PipeTSeparate2c output
Pout34blo(count)=Pout34bl; Qout34blo(count)=Qout34b1;
Tout34b1o(count)=Tout34bl; Pout34b2o(count)=Pout34b2;
Qout34b2o(count)=Qout34b2; Tout34b2o(count)=Tout34b2;
```

```
count=count+1;
end
```
figure(1) title('Mixing Valve **1');** set(1,'Name','Mixing Valve 2'); subplot(4,1,1);plot(len,Pout1o);title('Mixing Valve Output 1');ylabel('Pressure  $(Pa)$ ');subplot $(4,1,2)$ ;plot $(len,Quut1o)$ ;ylabel('Flowrate  $(m^2/s)$ '); subplot(4,1,3);plot(len,Toutlo);ylabel('Temperature (C)');subplot(4,1,4);plot(len,percentlo);ylabel('Valve Position (%)');

figure $(2)$ ; title('Mixing Valve 2'); set(2,'Name','Mixing Valve 2'); subplot(4,1,1);plot(len,Pout2o);title('Mixing Valve Output 2');ylabel('Pressure (Pa)');subplot(4,1,2);plot(len,Qout2o);ylabel('Flowrate (m^3/s)'); subplot(4,1,3);plot(len,Tout2o);ylabel('Temperature  $(C)$ );subplot(4,1,4);plot(len,percent2o);ylabel('Valve Position  $(\%)$ ');

figure(3) title('Platen Output **1');** set(3,'Name','Platen Output **1');** subplot(4,1,1);plot(len,Pout11ao);title('Platen Output');ylabel('Pressure  $(Pa)$ ');subplot $(4,1,2)$ ;plot $(len,Quat 11a)$ ;ylabel('Flowrate  $(m^{3}/s)$ '); subplot(4,1,3);plot(len,Tout11ao);ylabel('Temperature (C)');subplot(4,1,4);plot(len,Tsoutl 1 ao);ylabel('Platen Temperature **(C)');**

figure $(4)$ title('Platen Output 2'); set(4,'Name','Platen Output 2'); subplot(4, 1, 1);plot(len,PoutI lbo);title('Platen Output');ylabel('Pressure  $(Pa)$ ');subplot $(4,1,2)$ ;plot $(len, Quut11bo)$ ;ylabel('Flowrate  $(m^2/s)$ '); subplot(4,1,3);plot(len,Toutl **1** bo);ylabel('Temperature (C)');subplot(4,1,4);plot(len,Tsoutl Ibo);ylabel('Platen Temperature **(C)');**

figure(5)

title('Combining Fluid From Both Platens'); set(5,'Name','Combining Fluid From Both Platens'); subplot(3,1,1);plot(len,Pout15o);title('Combining fluid from both platens');ylabel('Pressure (Pa)');subplot(3,1,2);plot(len,Qoutl5o);ylabel('Flowrate  $(m^3/5)$ ; subplot(3,1,3);plot(len,Tout15o);ylabel('Temperature  $(C)$ ');

figure $(6)$ title('Pump Output'); set(6,'Name','Pump Output'); subplot(4, 1,1);plot(len,Pout25o);title('Pump Output');ylabel('Pressure  $(Pa)$ ');subplot $(4,1,2)$ ;plot $(len,Quut250)$ ;ylabel('Flowrate  $(m^2/3s)$ ');

subplot(4,1,3);plot(len,Tout25o);ylabel('Temperature (C)');subplot(4,1 ,4);plot(len,Pdiffo);ylabel('Pressure Head in whole system (Pa)');

figure(7)

title('Fluid Separation After The Pump'); set(7,'Name','Fluid Separation After The Pump');  $subplot(6,1,1);plot(len,Pout27ao);title('Fluid separation after the$ pump'); ylabel('Pressure Hot  $(Pa)$ ');subplot $(6,1,2)$ ;plot $(len,Quat27ao)$ ;ylabel('Flowrate\_Hot  $(m^2/3s)$ '); subplot(6,1,3);plot(len,Tout27ao);ylabel('Temperature\_Hot  $(C)$ ');subplot $(6,1,4)$ ;plot $(len, Pout27bo)$ ;ylabel('Pressure\_Cold  $(Pa)$ ');  $subplot(6,1,5);plot(len,Quat27bo);ylabel$ ('Flowrate Cold (m^3/s)');subplot(6,1,6);plot(len,Tout27bo);ylabel('Temperature\_Cold (C)');

figure(8)

title('Cold Heat Exchanger Output'); set(8,'Name','Cold Heat Exchanger Output'); subplot(3,1,1);plot(len,Pout3Obo);title('Cold Heat Exchanger Output');ylabel('Pressure  $(Pa)$ ');subplot $(3,1,2)$ ;plot $(len,Qut30bo)$ ;ylabel('Flowrate  $(m^2/8)$ '); subplot(3,1,3);plot(len,Tout3Obo);ylabel('Temperature **(C)');**

figure(9)

title('Hot Heat Exchanger Output'); set(9,'Name','Hot Heat Exchanger Output'); subplot(3,1,1);plot(len,Pout3Oao);title('Hot Heat Exchanger Output');ylabel('Pressure  $(Pa)$ ');subplot $(3,1,2)$ ;plot $(len,Qut30ao)$ ;ylabel('Flowrate  $(m^{3}/s)$ '); subplot(3,1,3);plot(len,Tout30ao);ylabel('Temperature **(C)');**

figure $(10)$ title('Separate Hot Fluid'); set(10,'Name','Separate Cold Fluid'); subplot(6,1,1);plot(len,Pout37alo);title('Separate Hot Fluid');ylabel('Pressure 1  $(Pa)$ ');subplot $(6,1,2)$ ;plot $(len,Qut37a1o)$ ;ylabel('Flowrate2  $(m^2/s)$ '); subplot(6,1,3);plot(len,Tout37a1o);ylabel('Temperature 1 (C)');subplot(6,1,4);plot(len,Pout37a2o);ylabel('Pressure2 (Pa)'); subplot(6,1,5);plot(len,Qout37a2o);ylabel('Flowrate2 (mA3/s)');subplot(6,1 ,6);plot(len,Tout37a2o);ylabel('Temperature2 **(C)');**

figure(1 **1)** title('Separate Cold Fluid'); set(11,'Name','Separate Cold Fluid'); subplot(6,1,1);plot(len,Pout34blo);title('Separate Cold Fluid');ylabel('Pressure 1  $(Pa)$ ');subplot $(6,1,2)$ ;plot $(len,Qut34b1o)$ ;ylabel('Flowrate 1  $(m^2/s)$ '); subplot(6,1,3);plot(len,Tout34b1o);ylabel('Temperature 1  $(C)$ ');subplot $(6,1,4)$ ;plot $(len, Pout34b2o)$ ;ylabel('Pressure2  $(Pa)$ ');

subplot(6,1,5);plot(len,Qout34b2o);ylabel('Flowrate2 (m^3/s)');subplot(6,1,6);plot(len,Tout34b2o);ylabel('Temperature2 **(C)');**

# **C.2 Paratherm Fluid Properties**

This function obtains the properties of Paratherm MR given a certain fluid temperature. function  $[rho,mu,cp,k]=props(T)$ %Sets porperties of Paratherm MR at given Temp in deg **C** for T: **0<=T<=250** rho=-.80441 **\*T+823.69;** mu=.001\*(1.0945E-12\*TA6 **- 9.6662E-10\*TA5 +** 3.4202E-07\*TA4 **- 6.2338E-05\*T^3 6.3028E-03\*TA2 - 3.5758E-01\*T +** 1.0649E+01); cp=2.713\*T+2131.8; k=-8.714e-5\*T+. *14594;*

# **C.3 Calculates the Hot and Cold Flows**

```
This function calculates the hot and cold flow rates given a specified mixed outlet flow.
function [Qh,Qc]=tempratios(Tp,Qp,Th,Tc)
%Calculates flowrates of hot and cold sides to produce
%desired temperature and flow, accounting for changes in props
%Qp=flowrate through platen Tp=temp of fluid to platen
%Get properties
[rhoc,muc,cpc,kc]=props(Tc);
[rhoh,muh,cph,kh]=props(Th);
[rhop,mup,cpp,kp]=props(Tp);
%Calculate flowrates
Qh=(Qp*rhop*(cpc*Tc-cpp*Tp))/(rhoh*(cpc*Tc-cph*Th));
Qc=(Qp*rhop-Qh*rhoh)/rhoc;
tempratios=[Qh,Qc];
```
# **C.4 Mixing Valve**

```
This file does not assume a pressure drop across the mixing valve.
function [Pout,Qout,Tout,percent] = valve-mixing(Qi,Ti,Ph,Qh,Th,Pc,Qc,Tc,percent);
global t
if t=0[rhoc,muc,cpc,kc]=props(Tc);
  [rhoh,muh,cph,kh]=props(Th);
  Qout=Qi;
  Tout=Ti;
  [rhoi,mui,cpi,ki]=props(Ti);
  Qout=Qout/6.31667e-5;
  CV=1000;
  deltaP=(rhoi/1000)/((CV/Qout)A2);
```
deltaP=deltaP\*6894.75729; Po=min(Ph,Pc); Pout=Po-deltaP; Qout=Qout\*6.31667e-5; percent=((rhoh\*Qh)/(rhoi\*Qi))\* **100;**

percent=((rhoh\*Qh)/(rhoi\*Qi))\* **100;**

**%** %The valve position established from the initial conditions will be used to calculate the pressure output **%** %for the proceeding time steps else [rhoc,muc,cpc,kc]=props(Tc); [rhoh,muh,cph,kh]=props(Th); Qout=Qi; Tout=Ti; [rhoi,mui,cpi,ki]=props(Ti); Qout=Qout/6.31667e-5; **CV=1000;** deltaP= $(rhoi/1000)/((CV/Quut)^2);$ deltaP=deltaP\*6894.75729; Po=min(Ph,Pc); Pout=Po-deltaP; Qout=Qout\*6.31667e-5;

end

### **C.5 Straight Pipe**

This function calculates the pressure drop across a straight pipe. function [Po,Qo,To] **=** Pipe l(Pin,Qin,Tm,length,diameterin) global **t**

%User Defined Parameters D=diameterin\*.0254;  $L=(length*12)*.0254;$ 

 $[rho,mu,cp,k]=props(Tm);$ 

%Flow  $V = Qin/((pi * D^2)/4);$ mdot=Qin\*rho;

%Dimensionless quantities Re=V\*D\*rho/mu; Pr=cp\*mu/k; if Re<2300

```
f=64/Re;
  Nu=3.66;
else
 f=(.790*log(Re)-1.64)^{(-2)};
 Nu=((f/8)*(Re-1000)*Pr)/(1+12.7*(f/8)^.5*(Pr^(2/3)-1));
end
%Heat transfer
h=Nu*k/D;
```

```
%Find pressure drop
Pdrop=f^*(L/D)^*V^2*(rho/2);
```

```
%Assign block output
Po=Pin-Pdrop;
Qo=Qin;
To=Tm;
```
### **C.6 Pipe Fittings**

This file is called upon to calculate the pressure drops across a variety of pipe fittings, including 90<sup>°</sup> elbows, 45<sup>°</sup> elbows, tees, and ball valves. function [Po,Qo,To] **=** fittings(Pin,Qin,Tm,type,diameterin,diameterout)

```
Areain=pi*(diameterin/12/2)^2;
Areaout=pi*(diameterout/12/2)^2;
AreaRatio=Areaout/Areain;
switch type
case 1
  %Pipe is being reduced from diameter_in to diameter_out
  [Kl]=loss(AreaRatio);
case 2
  %Regular elbow 90 degrees, threaded
  K = 1.5;
case 3
  %Long radius 90 degrees, threaded
  K = 0.7;
case 4
  %Regular 45 degrees, threaded
  K = 0.4;
case 5
  %180 degree bend, threaded
  K = 1.5;
case 6
  %tees line flow, threaded
  K = 0.9;
```

```
case 7
  %branch flow, threaded
  K = 2.0;
case 8
  %ball valve, fully open
  Kl = 0.05;
case 9
  %ball valve, 1/3 closed
  Kl=5.5;
case 10
  %ball valve, 2/3 closed
  Kl=2 10;
case 11
  \%Pipe is being expanded from diameter in to diameter out
  Kl=(1-(1/A)reaRatio)<sup>\wedge2;</sup>
otherwise
  error(['Unhanded type = ', num2str(K)]);end
g=32.2; <sup>%gravity in ft/sec<sup>2</sup>2</sup>
Q1 = Qin*264.172*60; %convert flowrate to gal/min
Q=Q1*(1/60)*(.13368); %convert flowrate to ft^3/sec
V=Q/min(Areain,Areaout); %velocity of the outlet (ft/s)
HL=Kl<sup>*</sup>(V^2)/(2<sup>*</sup>g); %calculates the headloss
[rho,mu,cp,k]=props(Tm); %gets density of the fluid at given temperature
rhoe=rho*2.2*(1/35.31467); %convert density SI to english
Pressuredrop=HL*rhoe*(.006944444); %Calculate the Pressure drop in Psi
Pdrop=Pressuredrop*6894.75729; %converts psi to Pa
Po=Pin-Pdrop;
To=Tm;
Qo=Qin;
```
### **C.7 Flow Meter**

This file calculates the pressure drop across the flow meter. The information is found off flow meter data sheets.

```
function [Po,Qo,To] = flowmeter(Pin,Qin,Tm)
Qo=Qin;
To=Tm;
Po=Pin-8*6894.75729 ; %A little overestimate on the pressure drop across a 1"
flowmeter
```
### **C.8 Platen Model**

This file is provided **by** Matthew Dirckx.
```
function [Pout,Qout,Tout,Tsnew]= platen2(Pin, Q, Tm, Ts)
global t
global interval
global finalt
[rho,mu,cp,k]=props(Tm);
D=.003175;
L=.132588;
%Flow
V=Q/(18*pi/4*D^2);mdot=Q*rho;
%Dimensionless quantities
Re=V*D*rho/mu;
Pr=cp*mu/k;if Re<2300
  f=64/Re;
  Nu=3.66;
else
 f=(.790*log(Re)-1.64)^{(-2)};
 Nu=((f/8)*(Re-1000)*Pr)/(1+12.7*(f/8)^{0}.5*(Pr^{0}(2/3)-1));end
%Heat transfer
h=Nu*k/D;
Tout=(Tm-Ts)*exp(-h*(pi*D*L)/(cp*mdot))+Ts;[rhoo,muo,cpo,ko]=props(Tout);
Qout=(Q*rho)/rhoo;
%Find pressure drop
Qi=Q/18;
vi=Qi/(((1/8)*2.54)/100)^2;
Tm;
a=[0.007991664436308;-15.694208783140260;-
1.866667973048096;297.997934215463720;92.787840163674872;1309.0375406340274
00;906.132950733087450;3178.791014591897200;5954.364606136258800];
Pdrop=(a(1)*Tm^4)+(a(2)*vi^4)+(a(3)*Tm^3)+(a(4)*vi^3)+(a(5)*Tm^2)+(a(6)*vi^2)+(
a(7)*Tm)+(a(8)*vi)+a(9);
% Pdrop= 0.9274400765*TmA2 + 1371.8412623064*viA2 -471.6624180105*Tm+
2223.5061065699*vi +30874.9913112640
%Pdrop=f*L/D*V^2*rho/2;
Pout=Pin-Pdrop;
```
%Platen convective area ConvA=L\*pi\*D\*18;

%Power gain/loss from the fluid to the platens (W) Q=h\*ConvA\*(Tm-Ts); **TMp=1000;**

%Rate of change of Ts (degrees C/sec) dTs=Q/TMp;

 $T$ snew= $T$ s+d $T$ s<sup>\*</sup>((finalt-1)/(interval-1));

# **C.9 Combining Flows**

This file calculates the result of two flows combining into one. function [Po,Qo,To] **=** PipeTCombinel(Ph, **Qh,** Th, Pc, Qc, Tc, diameterin) global t

[rhoh,muh,cph,kh]=props(Th); [rhoc,muc,cpc,kc]=props(Tc);

%User Defined Parameters Di=diameterin\*.0254;

%Assigns the output temperatures (same as the input) rhoo=((rhoc\*Qc)/(rhoc\*Qc+rhoh\*Qh))\*rhoc+((rhoh\*Qh)/(rhoc\*Qc+rhoh\*Qh))\*rhoh; cpo=((rhoc\*Qc)/(rhoc\*Qc+rhoh\*Qh))\*cpc+((rhoh\*Qh)/(rhoc\*Qc+rhoh\*Qh))\*cph; Qo=(Qh\*rhoh+Qc\*rhoc)/rhoo; To=(rhoc\*Qc\*cpc\*Tc+rhoh\*Qh\*cph\*Th)/(rhoh\*Qh\*cph+rhoc\*Qc\*cpc); Po=min(Ph,Pc);

# **C.10 Y-Strainer**

This file calculates the pressure drop across a 2" Y-strainer. function [Po,Qo,To] **=** ystrain(Pin,Qin,Tm) Qo=Qin; To=Tm; Po=Pin-2\*6894.75729 **; %A** little overestimate on the pressure drop across a 2" Ystrainer

## **C.11 Positive Displacement Pump**

function  $[Pi, Qi, Tfi, Pdiff] = Pump1(P, Q, Tf)$ global t global PumpPressure

%Pump performance curve is a function of the selected Speed, ImpDiameter, and Temperature

%The flow rate is set **by** the user **Qi=Q;**

%The initial pressure out of the %The temperature of the flui pump is set to **0,** so that the negative of the output pressure of the sytem is %the total system pressure required for the particular flow rate set **by** the user.

```
if t == 0Pi=PumpPressure;
else
  Pi=PumpPressure-P;
end
PumpPressure=Pi;
```
%Fluid into the pump is set as the temperature of the fluid coming into the expansion %tank (modeled the same as the temperature leaving the platens as there is assumed to be no heat loss after %the fluid exits the platens) Tfi=Tf; Pdiff=Pi-P;

# **C.12 Separation of Flows 1**

This file calculates the separation of fluid flows after the pump outlet. function [Ph,Qh,Tfh,Pc,Qc,Tfc] **=** PipeTSeparate l(Pin, **Q,** Tm, **Qh l, Tfhl, Qh2, Tfh2,** diameterin)

global **t**  $[rho,mu,cp,k]=props(Tm);$ 

%User Defined Parameters Di=diameterin\*.0254;

%Assigns the output temperatures (same as the input) Tfh=Tm; Tfc=Tm;

[rhoh2,muh2,cph2,kh2]=props(Tfh2);  $[{\rm rhoh1},{\rm muh1},{\rm cph1},{\rm kh1}] = \text{props}(T{\rm fh1});$ Qh=((rhoh2\*Qh2)+(rhoh 1 **\*Qh** *1))/rho;* Qc=Q-Qh; Ph=Pin;

Pc=Pin;

# **C.13 Cold Heat Exchanger**

This file uses information obtained from Maxchanger, the manufacturer of the cold heat exchanger.  $function$  [Pout, Qout, Tout]  $=$  coldhex\_new(Pin, Qin, Tin) global t %Pdrop and Tout from functions fitted to data provided **by** maxchanger Pdrop=1.6481E10\*Qin^2-2.2281E6\*Qin; Tout=-6.3296E-5\*Tin^3+1.1668E-2\*Tin^2-7.7929E-3\*Tin+20.744; [rhoi,mui,cpi,ki]=props(Tin); [rhoo,muo,cpo,ko]=props(Tout); Qout=Qin\*rhoi/rhoo; Pout=Pin-Pdrop;

# **C.14 Hot Heat Exchanger**

function [Pout,Qout,Tout]=hotwatt(Pin,Qin,Tin) watt=14400;  $[rho,mu,cp,k]=props(Tin);$ Tout=(watt/(Qin\*rho\*cp)+Tin);

[rhoi,mui,cpi,ki]=props(Tin); [rhoo,muo,cpo,ko]=props(Tout); Qout=Qin\*rhoi/rhoo;

%Added as a place holder until the actual pressure behavior of the hot heat exchanger can be established Pdrop=1.6481E10\*Qin^2-2.2281E6\*Qin; Pout=Pin-Pdrop; Pout=Pin;

# **C.15 Separation of Flows 2**

This file separates the fluid flows after the hot and cold heat exchangers. function **[P1,Q1,Tf1,P2,Q2,Tf2] =** PipeTSeparate2(Pin, **Q,** Tm, **Qin1,** Qin2, diameterin) global t

 $[rho,mu,cp,k]=props(Tm);$ 

%User Defined Parameters Di=diameterin\*.0254;

%Assigns the output temperatures (same as the input)

 $Tf1=Tm;$ 

Tf2=Tm;

%Calculates the flow rate into the two lines going into either the hot or cold valve

Q1=Qin1;

 $Q2 = Q$ in2;

P1=Pin; P2=Pin;

# **Operation and Use Manual**

## **D.1 Standard Use of the System**

The following protocol is used to create a PMMA part using a silicon wafer tool. The most up-to-date software file automatically controls the temperature control system, but not the force subsystem.

#### **Pretest Instructions**

- **1.** Make sure the air supply to the mixing valve positioners is set to roughly **27 psi.**
- 2. Five ball valves are closed when the machine is not running to mitigate leakage of the Paratherm MR. Open the ball valves after the two mixing valve outlets, one at the outlet of both platens, the return to the pump inlet, and the pump outlet.
- **3.** Check the fluid level in the cold trap tank and make sure it's around the room temperature level mark.
- 4. Hook up the water hose from the cold heat exchanger to the faucet and make sure the other end is going straight down the drain. **If** the waste doesn't go straight down the drain, the sink will overflow. Turn on the cold water to its maximum flow rate **(5** GPM).
- **5.** Turn on the exhaust fan to expel any vaporized Paratherm within the machine enclosure.
- **6.** Turn on the heater controller, but do not increase the set point temperature above room temperature.
- **7.** Open the LabView program called "Automate3.vi," in the GrantLabview folder on the desktop.
- **8.** Make sure within the "Motor Controls" panel that the "Motor Heating" and "Motor Cooling" buttons are **"ON."** Also, the "Motor Signal Heating" and "Motor Signal Cooling" buttons should both read "Current."
- **9.** Open the Instron software and set the Instron to manual control.
- **10.** Open the Merlin software and set the desired force profile needed to create the part. Maintain the end force for a long time **(-15** minutes) to ensure the Instron holds the force for a sufficient amount of cooling time.
- **11.** For the top platen, input the embossing set point temperature in "Heat **SP** Top" and the de-embossing set point temperature in "Cool **SP** Top."
- 12. For the bottom platen, input the embossing set point temperature in "Heat **SP** Bottom" and the de-embossing set point temperature in "Cool **SP** Bottom."
- **13.** Make sure the controller setting in the program is set to Heating.
- 14. Place a 4" wafer size piece of acrylic on the bottom spacer plate and a 4" aluminum machined wafer on the acrylic. The purpose of the acrylic is to compensate for the misalignment of the platens.

#### Test **Instructions**

- **1.** Run the program, save the file to some user defined directory and increase the set point temperature on the heater controller to **180 'C.**
- 2. Monitor the temperature of the platens on the chart on the right side of the screen.
- **3.** Once the temperature of both platens is near the embossing set point temperature  $(\pm 5^{\circ}\text{C})$ , register the PMMA sample on the desired feature of the tool. (Note: Using PMMA too large in area may cause problems with deembossing).
- 4. Quickly bring the top platen in close contact with the PMMA so it can heat up from both sides. This also prevents the PMMA from curling.
- **5.** Wait approximately three minutes to ensure the PMMA reaches its equilibrium temperature.
- **6.** Once the three minutes are up, switch over to the Merlin program and start the force/displacement profile. Also, record the timestamp on the LabView program when the Merlin program is started. This will allow for coordination of data during analysis.
- **7.** When the force reaches its set point, monitor the displacement.
- 8. When the speed of the crosshead reaches a threshold of 1  $\mu$ m/3 sec., go back to the LabView program and switch the controller to Cooling.
- **9.** Monitor the temperature of both platens on the chart on the right side of the screen.
- **10.** When the temperature reaches the cooling set point, stop the Instron from applying a force and manually disengage the top platen from the work piece.
- **11.** De-emboss the PMMA from the tool. Practice has shown that using a razor while delicately handling the silicon wafer ensures the lowest probability of the tool breaking.
- 12. Once the PMMA is off the tool, the cycle can be repeated.

#### **Posttest Instructions**

- **1. Run** the program called "55tol20\_3.vi," in the folder located in >>Desktop>>Grant\_Labview>>DOE using Cooling control and keep the cooling set point around **50 'C.**
- 2. Turn up the motor speed to 49 Hz to increase cooling.
- **3.** Turn down the set point of the heater controller to **10 'C.**
- 4. Monitor the temperature of all components in the system.
- **5.** When the temperature of all components is below **50 'C,** stop the motor in the program and then the LabView program can be stopped.
- **6.** Turn off the power to the heater controller.
- **7.** Turn off the water to the cold heat exchanger.
- **8.** Turn off the exhaust fan.
- **9.** Close the ball valves after the two mixing valve outlets, one at the outlet of both platens, the return to the pump inlet, and the pump outlet.

## **D.2 Operation of Controllers**

#### **D.2.1 Motor Controller Operation**

The motor controller comes with a number of different options. Figure **D-1** describes some parameters that may want to be configured at some point. The only two function codes which need to be changed when switching from manual to computer control are **A\_01** and **A\_02.**





## **Figure D-1 Modified Programmable Drive Parameters**

# **D.2.2 Motor Controller Notes**

**1.** Make sure all of the inverter vents are opened before operation to prevent

overheating.

- 2. Speed in RPM **=** (Frequency x 120)/# of poles.
- **3.** The potentiometer and control terminal cannot be used at the same time. There is no override option.
- 4. There is an option of setting up to three jump frequencies with bounds. Since there are known resonant frequencies of the system, we can eliminate the motor from staying those frequencies.

#### **D.2.3 Heater Controller Operation**

It has been found that computer control of the heater is not necessary for normal operation of the machine. None of the features have been implemented, but the wiring is setup. The following paragraphs describe the procedures for gaining computer control of the heater controller.

The heater controller has different security levels, which gives access to certain menus. The security code is entered on the control **PAGE** Ctrl, at the **MENU** Loch. To access and enter the Security Code, press and hold RESET for more than **3** seconds to enter Setup mode. Security Lock is the first menu that will appear.

In order to gain computer control of the heater, switch #4 on the bottom of the controller needs to be down. Enable the remote set point **by** entering **MENU** rSP on the Ctrl **PAGE** and select **ON.** To scale the input signal, go to the ScAl **PAGE,** MENUs rSPL (remote set point low) and rSPH (remote set point high). Enter the sensor span low and high ranges. For example, for a 100 to 500 °F range, 4 mA would equal 100 °F, and 20 mA would equal **500** 'F.

In order to utilize the digital input function, the rSP should be selected in the Ctrl **PAGE.** When the function is selected, the controller uses the Local Setpoint (Ctrl **PAGE,**

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**SP)** when the digital input switch is open. The remote set point is used when the switch is closed. The remote set point must be enabled (Ctrl **PAGE,** rSP=on) for this function to operate. The **AUX** indicator is **ON** when the remote set point is selected and **OFF** when the local set point is selected.

#### **D.3 Troubleshooting the System**

**A** number of problems occur on occasion when running the machine and the following sections should describe some problems that have been encountered. This list is not exhaustive, so consult the appendix or component manuals if the problem is not stated in one of the following sections.

#### **D.3.1 Instron Instability**

**Problem:** The Instron makes a grinding noise when it is in force control. It may also stop.

**Solution: Tune the** PID gains using the Instron software. Different materials being embossed change the effective stiffness of the system dynamics. Therefore, try to place a material of comparable stiffness you want to emboss with when tuning the PID gains.

#### **D.3.2 Leakage near Platens**

**Problem:** Paratherm Leaks at the interface between the hoses and platens.

**Solution:** Clean off the Paratherm from the surface of the interface as much as possible. **Apply** Loctite **6900** generously around the area of interest and try to get it to flow in most of the cracks. Let the Loctite cure at room temperature for at least 48 hours before running the machine again.

#### **D.3.3 Possible Valve Closure**

**Problem:** The pump makes an unusual sound and the temperatures being recorded in LabView do not reflect what should happen.

**Solution:** These clues typically indicate that a ball valve is closed. Sometimes the steps for operating the machine are not followed closely and a ball valve remains closed preventing normal operating flow. Check the flow meters to see if the flow is consistent with the motor setting.

#### **D.3.4 Water** is **not Turned On**

**Problem:** The temperature of the cold heat exchanger outlet remains at the same temperature as other components in the system.

**Solution:** It is not uncommon to forget to turn on the water to cool the cold heat exchanger. **If** the cold heat exchanger fluid outlet temperature is the same as other components within the system, or the system does not cool down when commanded to, it is a good indication that the water is not turned on. **If** this is the case, take caution when turning on the water because it can vaporize and burn you.

#### **D.4 Draining the HME System**

Maintenance, repair, or other factors may require the system to be drained of Paratherm MR fluid. The steps below outline the general procedures that should be followed to drain the system. Most fluid in the system will be removed with this technique; however, some fluid will remain in the lines leading to the platen due to the lower relative height.

- **1.** Ensure power is off to all the major powered components of the system (heater, pump and exhaust fan). Turn off power at both the controllers and the junction boxes found on the ceiling **(by** pulling the boxes open).
- 2. Remove the aluminum panel on the front of the system (see Figure **D-2)** to access the bottom right side of the heater body. Note: The right side aluminum panel is a door which can simply be opened to access the heaters right side.





- **3.** Set the mixing valve positions to half cold using the LabView Control program.
- 4. Ensure all valves in the system (including those near the expansion tank) are open.
- **5.** Obtain: **(1)** two large buckets that can be easily placed and removed from under the heater body directly under the opening nut which allows the heater to be drained; and (2) two pairs of gloves resistant to organic liquids. Wear gloves and complete all subsequent steps with the help of two people if possible.
- **6.** Place the first bucket underneath the heater opening nut and slowly open the nut until a steady and manageable flow of fluid drains from the system. **If** flow out of the heater is too high to manage, close a valve in the system to reduce the pressure head on the fluid exiting the heater (will reduce the flow rate). Once the flow rate has sufficiently subsided again, open the system valve that was closed to ensure the entire system is drained.
- **7.** Switch out one bucket for the other quickly as they are filled and pour the Paratherm MR from the buckets back in the storage containers with the use of the mesh-funnel.
- **8.** Repeat Step **6** until all the Paratherm MR is removed from the system. Note: as more fluid is removed from the system, the pressure head on the fluid remaining in the system is lower and the opening nut will have to be opened further to maintain a steady flow, until the opening nut can be removed completely to allow the last remaining amounts of Paratherm MR to drain from the system.
- **9.** Once flow of fluid out of the heater ceases, power should be restored to the pump and it should be operated at an extremely low flow rate (on

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the order of 1-2 GPM) for only a few minutes. This is to ensure no fluid remains backed-up in the system behind the pump.

- **10.** Once all flow from the heater ceases, turn off the pump and cut its power.
- **11.** Place Teflon tape around the opening nut and tighten the drain in the heater.
- 12. Clean all spilled Paratherm MR.
- **13.** Replace the front aluminum panel that was removed to access the heater.

Note: The next time the system is drained a **1/8"** ball valve and stop plug should be installed at the heater drain. **A** hose can then be connected to make it easier to drain the system in the future.

#### **D.5 Re-filling the HME System**

After the system has been drained and necessary maintenance, repair or other actions have been taken, the system has to be re-filled with Paratherm MR. The steps below outline the general procedures that should be followed to re-fill the system.

- **1.** Ensure power is off to the heater and exhaust fan. Turn off power at both the controller and the heater junction box found on the ceiling **(by** pulling the box open).
- 2. Ensure all valves in the system (including those near the expansion tank) are open.
- **3.** Ensure the fluid circuit is closed and no openings are present (missing sensors or pipe sections).
- 4. Begin pouring the Paratherm MR directly from the buckets to the cold baffle of the expansion tank until the tank is nearly full.
- **5.** Wait for the level of the fluid in the system to go down and repeat Step 4 until the fluid level appears to have stabilized.
- **6.** Turn on the pump and initially operate at 1-2 GPM. The fluid level should start going down. Repeat Step 4. Continue gradually increasing the flow rate of the pump (up to and not exceeding **39GPM)** while adding fluid to the system until the fluid level in the expansion tank no longer changes. Note: monitor the noise from the pump. **If** excessive noise is emitting from the pump, reduce the pump flow rate or turn the pump if necessary and wait until the more fluid has time to work its way into the system. This may be a sign of pump cavitation.
- **7.** Turn the pump off and close the valve connecting the expansion tank outlet to the pump inlet (normal operating position).

### **D.6 Switching out the Load Cell (1KN-50KN)**

- 1. Place a compliant material between the top and bottom platen assemblies. Bring the two assemblies together until the top assembly **is** resting on the complaint material.
- 2. Remove the clevis pin holding the top assembly to the load cell. Use a small rubber headed hammer if necessary.
- **3.** Disengage the top crosshead (the top assembly, now disconnected from the load cell, should remain resting on the bottom assembly).
- 4. Remove the three screws holding in the load cell and disconnect the communications cable between the load cell and the Instron frame.
- *5.* Store the load cell.
- **6.** Place the new load cell in the opening in the top crosshead and secure with the same three screws.
- **7.** Connect the cable from the load cell to the Instron frame.
- **8.** Open the Load Cell Calibration Protocol in the Instron software on the **PC** and calibrate the load cell.
- **9.** Unscrew the top anvil from the top assembly and replace with the appropriate anvil for the new load cell.
- **10.** Bring the top crosshead down until the pin in the top anvil enters the opening on the load cell and the holes for the clevis pin are aligned.
- **11.** With the help of two people, one person should adjust the alignment of the top assembly while the other forces the clevis pin through the pin in the anvil and the load cell. Once the pin has been inserted, the securing device should be installed.
- 12. The top cross head can now be raised and the top assembly and top anvil should be secured. The compliant gasket layer can now be removed and tests can be carried out.

## **D.7 Changing the Platen Subsystem**

1. The four screws securing the bottom steel plate to the Instron frame should be removed (this will allow the entire bottom platen assembly to move freely).

*235*

- 2. Place a compliant material between the top and bottom platen assemblies. Bring the two assemblies together until the top assembly **is** resting on the complaint material.
- **3.** Remove the clevis pin holding the top assembly to the load cell. Use a small rubber headed hammer if necessary.
- 4. Disengage the top crosshead (the top assembly, now disconnected from the load cell, should remain resting on the bottom assembly).
- **5.** Both the top and bottom assemblies are now free to move. Two people should move the entire table holding the Instron frame until the top and bottom assemblies can be moved out toward the back left of the Instron base (away from the load cell axis as shown in Figure **D-3).** This will allow the first generation system to be mounted in the Instron frame while still allowing the second generation system to rest on the Instron base and remain connected to the thermal-oil heat transfer system loop.



**Figure D-3 Top and bottom platen assemblies for the first generation HME system and the location the second generation HME system should rest when not in use**

**6.** Replace the centering ring on the Instron base where the bottom

assembly rested.

- **7.** Mount the bottom anvil and the first generation system bottom platen assembly.
- **8.** Change out the load cell if required (see instructions 4-8 in Section **D.6** for more details).
- **9. If** using the same load cell, remove the top anvil still connected to the second generation system and mount it to the first generation system top platen assembly. **If** using a new load cell, mount the new load cells anvil to the first generation system top platen assembly.
- **10.** Attach the top platen assembly (with top anvil attached) to the load **by** placing the assembly on a compliant layer resting on the bottom assembly and moving the Instron until the pin in the top anvil enters the opening on the load cell and the holes for the clevis pin are aligned.
- **11.** Adjust the alignment of the top assembly and force the clevis pin through the pin in the anvil and the load cell. Once the pin has been inserted, the securing device should be installed.
- 12. The top cross head can now be raised and the top assembly and top anvil should be secured. The compliant gasket layer can now be removed and tests can be carried out.

# **E Thermal Fluid Model MATLAB Code**

# **E.1 Main File**

```
%Dynamic simulation for platens and heat exchangers.
%Td=command temp
%Tin=input temp to HXs Tc=output temp of CHX Th=output temp of HHX
      Tt=temp of HHX coil
%Tvo=output temp of control valve Tpi=input temp to platen Tpo=output
      temp of platen
%Tmax=max temp of coil
%Qp=flow thru platen Qc=flow thru cold branch Qh=flor thru hot branch
disp('go');
%Set up model
flag=4; watt=30E3; Tmax=190;
Qp=.00072; %System flow rate
dt=.l; %Time step size in seconds
delayv=round(2.5/dt); delayp=round(7.34/dt); %delayv=delay from valve
      to platen, delayp=from platen to HEXs
VPspeed=100;
%set up command temp profile
len=round(1000/dt); %len steps long
initial=53; %init temp
levell=170; tl=round(10/dt); %first command
level2=53; t2=tl+round(633/dt); %second command
settle=10/dt;
%generate command temp profile
    for i=1: (t1-1)Td(i)=initial;end
    for i=t1:(t2-1)Td(i)=level1;end
    for i=t2:len
        Td(i)=level2;end
    comgen=Td;
%Generate time variable for plotting
    for i=1:len
        Time(i)=i/dt;
    end
%Initial conditions
Th(1)=initial+127; Tc(1)=initial-21; Ts(1)=initial; Tt(1)=initial;
      TM(1)=initial;Tin(1:1+delayp)=initial;
Tpi(1:1+delayv)=initial;
Qc(l)=Qp/2; Qh(l)=Qp/2;
VP(1)=0.5;%break
%Program loop
for t=1:len
    %Find output of cold HX
```

```
[Quot, Tc(t+1)] = cold2(Qc(t), Tin(t),dt);%Find output of hot HX
      [Qo,Th(t+1),TM(t+1)]=heater4(Qh(t), Tin(t+1), Tin(t), Th(t), TM(t), wa
      tt,dt);
    %Find outlet temp and new Current temp for platen
    [Quot, Tpo(t+1), Ts(t+1)] = 1 platen(Qp, Tpi(t), Ts(t), dt);
    %Pipe delay between platen and HX
    Tin(t+1+delayp)=Top(t+1);%Find new flows
    \{(ph(t+1),Qc(t+1),Two(t+1))\}=tempratios2(Td(t),Qp,Th(t),Tc(t)\};
      [Qh(t+1),Qc(t+1),Two(t+1),VP(t+1)]=valve_dyn(Td(t),Qp,Th(t),Tc(t),VP(t),dt,VPspeed);
    %Pipe delay between valve and platen
    Tpi(t+1+delayv)=Tvo(t+1);end
%Generate Plot
figure(l)
plot(Ts,'-k')
hold on
plot(Tc, '--b')plot(Th,':r')
hold off
set(gca, 'XLim', [t1-settle, len], 'YLim', [0, Tmax+10]);
Xlabel('Time (s*10)'); Ylabel('Temp (C)'); Title('Dynamic thermal
      response');
disp ('Done');
```
### **E.2 Cold Heat Exchanger File**

```
function [Qo,Tho]=cold2(Q,Thi,dt);
if Q<=O
    Q=3.15e-7;
end
Wp=4*(25.4/1000);Lp=24*(25.4/1000);Tp=0.05*(25.4/1000);
Wc=0.048*(25.4/1000);
Hc=Wp;
Lc = Lp;
TSA=22*(12*25.4/1000)*(12*25.4/1000);
Ac=Wc*Hc;
      channel
P=2*WC+2*HC;channel
Dh= (4*Ac) /P;
      channel
ks = 16.3:
      Stainless Steel
%Analysis of Hot side Paratherm MR
[rhohi,muhi,cphi,khi]=props(Thi);
      the Paratherm MR hot inlet
                                         %Width of a plate
                                         %Length of a plate
                                         %Thickness of a plate
                                         %Width of a channel
                                         %Height of a channel
                                         %Length of a channel
                                         %Total surface area of plates
                                         %Cross-sectional area of the
                                         %Wetted perimeter of the
                                         %Hydraulic diameter of the
                                         %Thermal conductivity of 316
                                         %Finds the fluid properties of
```

```
Vp=Q/(21*Ac);a channel
mdothi=Q*rhohi;
Rep=(Vp*Dh*rhohi)/muhi;
Prp=cphi*muhi/khi;
                                          %Velocity of Paratherm through
                                         %Mass flow rate of Paratherm
                                         %Reynolds number for Paratherm
                                         %Prandtl number for Paratherm
%Using a chevron angle of 45 degrees
if Rep<10
    C=0.718;
    n=.349;
elseif Rep<100
    C = .4;n=.598;
else
    C=.3;
    n=.663;
end
Nuh=C*(Rep^n)*Prp^(1/3);
%Analysis of Cold city water
Tci=18;water (C)
Qw=6*.000063;
rhoci=1001;
muci=1080/10e6;
cpci=4184;
kci=598/10e3;
mdotci=Qw*rhoci;
Vw = Qw / (20 * Wc * Hc);
      channel
Rew=(Vw*Dh*rhoci)/muci;
Prw=cpci*muci/kci;
if Rew<10
    C=0.718;
    n=.349;
elseif Rew<100
    C = .4;n=.598;
else
                                         %Temperature of the inlet city
                                         %Mass flow rate of city water
                                         %Velocity of water through a
                                         %Reynolds number for water
                                         %Prandtl number for water
                              %Flow in laminar regime
    C=. 3;
    n=. 663;
end
%Effectiveness Heat Exchanger Analysis
Nuc=C*(Rew^n)*Prw^(1/3);Ch=mdothi*cphi;
Cc=mdotci*cpci;
Cmin=min(Ch,Cc);
Cmax=max(Ch,Cc);
Cstar=Cmin/Cmax;
qmax=Cmin*(Thi-Tci);
Hh=Nuh*khi/Dh;
Hc=Nuc*kci/Dh;
U=1/(1/Hh+1/He+Tp/kg);
NTU=(U*TSA)/Cmin;
Eff=(1-exp(-NTU*(1-Cstar)))/(1-Cstar*exp(-NTU*(1-Cstar)));
q=qmax*Eff;
```

```
Tco=q/Cc+Tci;
dTo=-q/Ch;
Tho=dTo+Thi;
(rhoho,muho,cpho,kho]=props(Tho);
Qo=Q*rhohi/rhoho;
```
## **E.3 Hot Heat Exchanger File**

```
function [Qo,To,TMo]=heater4(Qi,Ti,Tilast,Tolast,TM,watt,dt);
MassM=50; %Mass of the Heater + Coils
cpM=470;
Tm=Ti; \frac{8(Tin+180)}{2};
[rhom, mum, cpm, km]=props (Tm);
%HEX characteristics
L=38*.0254; b kLength of shell
Nb=l;
B=L/Nb; N=36; %Baffle length, Number of tubes
ODt=.475*.0254;
C=.145*.0254; %Separation of tubes
Ds=8*.0254; Note and Subsetter of shell
Pt=ODt+C; 8Tube pitch
De=4*PtA2/(pi*ODt)-ODt; %Effective HT area
As=Ds*C*B/Pt; %Characteristic flow area of shell
%Fluid correlations
Vs=Qi/As; Vs=Qi/As;
Re=Vs*De*rhom/mum; %Reynolds number
Pr = cpm*num/km;<br>Nu=.36*Re^.55*Pr^(1/3);<br>Wusselt number
Nu = .36*Re^{\wedge}.55*Pr^{\wedge}(1/3);h=Nu*km/De;
Ao=L*pi*ODt*N;
     %Convective area of tubes
V=pi*(4*.0254)^2*(46*.0254)-36*(pi*((.475/2)*.0254)^2*(38*.0254));%Volume of Fluid
[rhoi,mui,cpi,ki]=props(Ti);
[rhoil,muil,cpil,kil]=props(Tilast);
[rhool, muol, cpol, kol]=props(Tolast);
Ta=(Ti+Tolast)/2;
[rhoa,mua,cpa,ka]=props (Ta);
dTM=(h*(Ao+pi*Ds)*(Ta-TM))/(MassM*cpM);
TMo=dTM*dt+TM;
A=Qi*rhoi*cpi*Ti+watt+(rhoa*cpa*V/2)*(-Ti+Tilast+Tolast)/dt-
     h*(Ao+pi*bs)*(Ta-TM);To=A/(Qi*cpol*rhool+rhoa*cpa*V/2/dt);
if To>180
   To=180;
end
[rhoo,muo,cpo,ko]=props(To);
Qo=Qi*rhoi/rhoo;
```
#### **E.4 Platen File**

function [Qout,Tout,Tsnew] **=** platen(Q, Tin, Ts,dt);

```
[rhoi, mui, cpi, ki]=props(Tin);
      fluid properties at inlet temperature
D=.003175;
      channels in platen (m)
L=.132588;
      platen (m)
V=Q/(36*pi/4*D^2);velocity of the fluid through a single channel
mdoti=Q*rhoi/36;
      fluid through a single channel
Re=(V*D*rhoi)/mui;
      Reynolds number
Pr= (cpi*mui) /ki;
      Prandtl number
if Re<2300
      regime
    f=64/Re;Nu=3.66;
else
      regime
    f=(.79*log(Re)-1.64)^-2;
    Nu = ((f/8)*(Re-1000)*Pr)/(1+12.7*(f/8)^-.5*(Pr^(2/3)-1));end
h = (Nu *ki)/D;Tout=(Tin-Ts) *exp(-h* (pi*D*L)/ (cpi*mdoti) )+Ts;
      fluid temperature
[rhoo,muo,cpo,ko]=props(Tout);
Tmedian=(Tout+Tin)/2;
[rhom, mum, cpm, km] =props(Tmedian);
AreaP=L*pi*D*36;
      internal platen surface area
q=h*AreaP*(Tmedian-Ts);
      fluid to the copper
MassTherm=3446;
      the platen including manifolds
dPt=q/MassTherm;
      increase in platen surface temperature
Tsnew=Ts+dPt*dt;
      platen surface temperature
Qout=Q*rhoi/rhoo;
                                                      %Gets Paratherm MR
                                                      %Diameter of
                                                      %Length of the
                                                      %Calculates the
                                                      %Mass flow rate of
                                                      %Calculates the
                                                      %Calculates the
                                                      %Flow in laminar
                                                      %Flow in turbulent
                                                     %Finds the outlet
                                                      %Calculates the
                                                      %Heat transfer from
                                                      %Thermal mass of
                                                      %Calculates the
                                                      %Updates the new
```
### **E.5 Mixing Valve File**

```
function [Qh,Qc,To,VPnew]=value\_dyn(Tp,Qp,Th,Tc,VP,dt,VPspeed)%dynamic valve
if Th==0
    Th=180;
end
if Tc==0
    Tc=18;end
[rhoc,muc,cpc,kc]=props(Tc);
[rhoh,muh,cph,kh]=props(Th);
[rhop,mup,cpp,kp]=props(Tp);
%Calculate flowrates
```

```
Qh = (Qp * rhop * cpc * (Tp - Tc)) / (rhoh * cph * (Th - Tp) + rhoh * cpc * (Tp - Tc));
Qc=(Qp*rhop-Qh*rhoh)/rhoc;
low=lE-12;
if Qh<low
    Qh=low;
elseif Qh>Qp
    Qh=Qp;
end
if Qc<low
    Qc=low;
elseif Qc>Qp
    Qc=Qp;
end
Qtm=(Qh*rhoh+Qc*rhoc)/rhop;
VPd=Qh/Qp;
if abs(VPd-VP)<VPspeed
    VPnew=VPd;
else
    VPnew=VP+sign(VPd-VP)*VPspeed;
end
Qh=VPnew*Qp;
Qc=Qp-Qh;
To=((Qh*rhoh*cph*Th)+(Qc*rhoc*cpc*Tc))/(Qtm*rhop*cpp);
if To>Th
    To=Th;
elseif To<Tc
    To=Tc;
end
valve-dyn= [Qh, Qc, To, VPnew];
```
 $\mathcal{L}$ 

# **F Experimental Data**

**All** of the experiment data in this Appendix section refers to tests explained in Section **5.9.**



**Figure F-1 Heating times for Runs 5-7**

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Figure F-2 Cooling times for Runs **5-7**

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Figure **F-3** Heating times for Runs **8-10**

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F-4 Cooling times for Runs **8-10**







Figure **F-6** Thermal Response for Run **3**







Figure **F-8** Thermal Response for Run **5**







Figure F-10 Thermal Response for Run **7**

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Figure F-12 Thermal Response for Run **9**


Figure **F-13** Thermal Response for Run **10**

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# **G Miscellaneous**

## **G.1 Expansion Tank Sizing**

```
%System Volume estimate
manifolds=(pi/4)*1.5^2*7*4;platens=(pi/4)*O. 125A2*4.625*18*2;
coldhx=0.5*24*4*4;
hothx=(pi/4)*8^2*33-36*(pi/4)*(.475)^2*33;
pipe=pi*(.622/2)A2* 110.496+pi*(.824/2)A2*9+pi*(1.049/2)A2*6+pi*(1.38/2)A2* 111.84+
pi*(2.067/2)^2*148.8;valves=2*pi*(1/2)^2*8;pump=(1/4)/.004329;
system=manifolds+platens+coldhx+hothx+pipe+valves+pump;
expansion=system*(807.6-680)/(0.75*680-.25*807.6);
system=expansion+system;
system=system*.004329;
thermcoef=(150-30)*0.0007822;
exptank=thermcoef*system*2;
```
## **G.2 Paratherm System Guide**

From Paratherm's technical data website:

http://www.paratherm.com/reg-eng.asp?target=/\_techsheets/techO18.asp

## **Technical Data**

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## Recommended **Hot Oil System Components**

In designing and constructing a thermal oil system, attention must be paid to the selection of appropriate components. If care is not taken, poor operation, system failure and fires can result.

#### Pine

Welded and flanged throughout. Specify achedule 40-ASTM-A-106 Grade B seamless carbon steel tubing. We strongly recommend the use of materials and methods to minimize entry of weld spatter and slag into the pipe, and to assure strong and leak-free welds. Pice should be free of mill scale, welding flux, quench oils and lacouars.

#### **Flanges/Fittings**

Must be rated for 600°F (316°C) service. For optimum service we recommend 500 lb. forged steel, 1/16' mined face, schedule 40 here (ASTM-A-181).

#### **Studs/Nuts**

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Continuous threaded, alloy steel (ASTM-A-193, Grade B7), with heavy hex nuts (ASTM-A-194. Grade 2H).

**Gaskets/Packings**<br>Flange gaskets: Spiral-wound type (Flexitallic<sup>32</sup>, Garlock Flexseal<sup>or</sup>, or equal).

Valve stem packing: Rings of dicformed graphite foil (Grafoil<sup>rs</sup>, Palfoil<sup>2M</sup> or equal).

Pump packing: End (nonextrasion) rings of braided carbon yarn (Palmetto<sup>w</sup>#1585, Garlock<sup>x</sup> 498 or equal), center (sealing) rings of die-formed graphite (Grafcil", Falfoll" or equal).

#### **Elastomeric 0-Rinos/Seals**

For service to 400°F (204°C): Fluoroelastomer (Viton<sup>-M</sup>, Fluorel<sup>18</sup> or equal).

For higher temperature service, specify perfluoroelastomer rubber:

To 450°F (232°C); Chemraz<sup>no</sup> or equal. To 480°F (249°C): Zaktk<sup>-v</sup>

or equal. To 600°F (316°C): Kalrez<sup>36</sup>

or equal.

#### **Insulation**

2' thick 900°F (482°C) rated cellular glass (Pittsburgh-Corning Foamglas<sup>22</sup> or equal). Heat loss value not to exceed 80 BTU/ft.

#### **Valves**

**bompressien fittings will often lask regardless of the type of securit amplesed. We suggest that you twok least would all therefore connections.** 

Fipai: d1 kaka, and .salinu . al-a ded iradniam-kuosdidniy. Meart eli velve stene hering stile-<br>ward, and herve potential texk pomis uninialated.

Her cogenic heat transfer fluid permitted to wick through persus insulation will conflice and decom-

5 Dentile ison only. We do not recommend the use of east from it thermal oil systems.

300 lb cast or forged steel, or<br>nodular (ductile) iron<sup>2</sup> rated for 600°F (316°C) continuous service<br>minimum, with steel or stainless steel trim (Lunkenheimer\*\* 1110-W1 or equal; Worcester<sup>®</sup>

4446XM or equal ball valves-<br>specify for thermal oil apphoation). For cottmum service, bellows valves may be considered (ARI<sup>W</sup><br>or equal).

NOTE: Install valves stem sideward.<sup>2</sup>

#### **Pumps**

Centrifugal: Cast carbon steel, carbon/tungsten carbide metal bellows mechanical seals (Dean Brothers<sup>7</sup> R-400 or equal); magnetic drive-Sundstrand<sup>w</sup> (Kontro), Dickow<sup>es</sup> or equal, 'canned' (Sunstrand or equal). Positive displacement: Alloy steel, (Viking™ or squai). Flexible connections at inlet and outlet. should be used.

#### **Pressure Gauges/ Thermometers**

Ratings to 100 psi, 650°F (343°C). Temperature range of 300°F to 600°F; thermometers should be culturated to provide accurate readings in this range.

#### **Expansion Joints**

We suggest you provide for an expansion growth of 6° per 100 ft, minimum. Both loops and joint expansion devices are acceptable. Either must be high-temperature rated and must be considered part of the piping system.

#### *<u>Strainers</u>*

**t** the construction and contraction of companents in the typical thermal oil system and the **WING III**<br>For vierneity, high inficially and low wather tension of heat <del>transfer fluids, the aded</del> points and **WINIA** . While many systems are supplied<br>with 60 mesh mechanical screens (casings of forged or must steel), we generally recommend 20 mesh ess at system temperature. This excitation process creates extra heat. Confined within the word gamerally recomme<br>numerically, and as lifts chance of excepting. Temperatures within the forefaction can rise word as such as

\*U50 Colwell Ruad **\*** Consholtecken, PA 19428 \* 610-941-4900 \* 800-222-3611 \* FAX 610-941-9191 ( ) \* chair move sex

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## **Recommended Hot Oil System Components**

for  $1/4$ <sup>\*</sup> to 3<sup>\*</sup> pipe, and .045<sup>\*</sup> perforations for 4' diameter pips and above.

NOTE: Once the construction debris is sufficiently removed Irom the system, some heater m manu rmuweri *mova* the ea *skat& ousrlt;* to nsrmo **no** reduction in flow.

#### Sealants'

Customers report satisfactory service with Loctite<sup>rs</sup> PST to 400°F. and Fel Pro<sup>ru</sup> HPS Scaler to 600°F and Jet Lube<sup> $\sim$ </sup>TFW to 500°F. For permanent installations. satisfactory service has been reported with X-pando<sup>ne</sup>.

#### **Flow Protection**

Most systems utilize a pressure differential switch to provide a method of shutting the system down when fluid flows drop below set limits. Another method used **by some manufacturers** is to provide flow switches which control flows independently through each branch of the heater.

Some systems are equipped with flowmeters in addition to the pressure differential switches. While this is an acceptable "belt and suspenders' technique, if the heat transfer fluid deteriorates, flowmeters can provide false readings.

These false readings can result from sigmficaat changes in the fluid's physical characteristics that *oxxur* with thermal degradation and normal aging.

#### Notes:

- $\bullet$  Contractors must apply all national and local codes for thermal applications.
- **Thermal heater room must be** provided with a 2-hour fire rated onclosure.
- Full pump capacity must be maintained at all times when heater is in operation.

Questions? We'd like to hear from you. Call toll-free, 800-222-3611, or fax or e-mail us, or visit our website, www.paratherm.com.

and interval to be second on of the below due. You the use of specifier should interpret and interval or stream be considered in the second of the stream of the strea **Excellent a continue of the Material and Science of Laurence Material and Science of Transfer and Science of the Sci** C 2000 Faratherm Corporation

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## **G.3 Multitherm System Guide**

From Multitherm's system design website:

http://www.multitherm.com/system-design.html

## **Thermal Fluid System Design**

### **Piping:**

Welded installations are recommended:

- \* **Up** through **1** 1/2" **- ASTM 106** Grade B Schedule 40 seamless carbon steel pipe.
- \* 2" through 24" **- ASTM A 53** Type **S** Grade B Schedule 40 seamless carbon steel pipe.
- Mill scale and protective coatings should be removed prior to installation.
- **"** Use of backing rings at pipe to pipe welds is recommended (Robvon or equal).

#### **Threaded installations:**

- \* **Up** through **1" - ASTM A 106** Grade B Schedule **80** seamless carbon steel pipe.
- \* **1"** to 2" **- ASTM A 106** Grade B Schedule 40 seamless carbon steel pipe.
- \* Greater than 2" **- ASTM A 53** Type **S** Grade B Schedule 40 seamless carbon steel pipe
- \* Back weld all connections or use thread sealant (Felpro **HPS,** Copalite, **X-PANDO** or equal).

#### **Flanges:**

**300 lb.** forged steel; welded neck: **1/16"** raised face, Schedule 40 bore, **ASTM A 181.** Use of backing rings at pipe-to flange welds in recommended.

#### **Gaskets:**

Spiral wound graphite filled (Grafoil, Flexitallic or equal) or expended/filled PTFE (Goretex, Gylon or equal).

#### **Studs:**

Alloy steel continuous threaded, **ASTM A 193,** Grade **B7** or higher.

#### Nuts:

Heavy hex nuts, **ASTM A** 194, Grade 2H or higher.

#### **Insulation:**

Calcium silicate or fiberglass rated to **850'F** is acceptable where potential for leaks is minimal. Closed cell foamed glass (Pittsburgh Corning or Equal) is recommended within several feet of flanges, valves, pipe taps or any potential leak point.

Note: Flanges should be left uninsulated to facilitate the detection of leaks. **If** flanges must be insulated after startup, closed cell formed glass is recommended.

#### **Valves:**

Cast or forged carbon steel; socket weld or flanged **(300 lb.).** Graphite or expanded/filled PTFE valve stem packing or bellows seal recommended.

**Isolation Valves:** Ball valves recommended (Orbit or equal)

#### **Control Valves:** Globe valves recommended

Note: Install valve stems pointing downwards to allow leaking fluid to drain away from insulation.

#### **Pumps:**

**Positive Displacement:** Alloy steel; gear-within-a-gear (Viking or equal) or sliding vane (Blackmer or equal).

**Centrifugal:** Ductile or cast iron wetted parts **(** Sihi, Dean brothers, Goulds, ITT, **MP** Pumps, or equal)

**Mechanical** Seals: Bellow type; carbon vs silicon/tungsten carbide seal faces recommended for low particulate loading; tungsten carbide vs. silicon carbide seal faces recommended for high particulate loading *(BW/IP,* **A** W Chesteron, John Crane, Durametallic, or equal)

**Canned Motor:** (Crane Chempump, Sundyne or equal)

Magnetic Drive: **(Caster, Dickow, Kontro or equal).**

## **G.4 HME System Bill of Materials**

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