A basal ganglia-forebrain circuit in the songbird biases motor output to avoid vocal errors

The MIT Faculty has made this article openly available. Please share how this access benefits you. Your story matters.

<table>
<thead>
<tr>
<th>Citation</th>
<th>Andalman and, Aaron S, and Michale S Fee. “A basal ganglia-forebrain circuit in the songbird biases motor output to avoid vocal errors.” Proceedings of the National Academy of Sciences 106.30 (2009): 12518-12523. © 2009 National Academy of Sciences</th>
</tr>
</thead>
<tbody>
<tr>
<td>As Published</td>
<td><a href="http://dx.doi.org/10.1073/pnas.0903214106">http://dx.doi.org/10.1073/pnas.0903214106</a></td>
</tr>
<tr>
<td>Publisher</td>
<td>United States National Academy of Sciences</td>
</tr>
<tr>
<td>Version</td>
<td>Final published version</td>
</tr>
<tr>
<td>Citable link</td>
<td><a href="http://hdl.handle.net/1721.1/52491">http://hdl.handle.net/1721.1/52491</a></td>
</tr>
<tr>
<td>Terms of Use</td>
<td>Article is made available in accordance with the publisher’s policy and may be subject to US copyright law. Please refer to the publisher’s site for terms of use.</td>
</tr>
</tbody>
</table>
A basal ganglia-forebrain circuit in the songbird biases motor output to avoid vocal errors

Aaron S. Andalman and Michale S. Fee

McGovern Institute for Brain Research, Department of Brain and Cognitive Sciences, Massachusetts Institute of Technology, Cambridge, MA 02139

Edited by Fernando Nottebohm, The Rockefeller University, Millbrook, NY, and approved May 29, 2009 (received for review March 24, 2009)

Birdsong is a complex motor behavior that, like many human motor skills, improves with practice. Songbirds learn to sing by imitation, using auditory feedback to compare their own vocalizations with the memorized song of a tutor (1). Learning birds initially produce a highly variable juvenile song that, after thousands of repetitions, converges to a stable adult song, often a remarkably precise imitation of the tutor song (2, 3). Like many learning tasks in mammals (4–6), this goal-directed behavior requires a basal ganglia-thalamocortical circuit (Fig. 1A) known as the anterior forebrain pathway (AFP) (7–11). The mechanisms by which basal ganglia circuitry support motor learning are largely unknown, but evidence suggests that the basal ganglia are necessary to express recently learned behavior (12), and that changes in neural activity in response to learning appear first in basal ganglia circuits (13, 14).

It has recently been shown that the AFP plays a premotor role in driving vocal variability. Inactivation or lesions of LMAN (lateral magnocellular nucleus of the nidopallium), the output pathway of the AFP, largely eliminate song variability in both juvenile (8) and adult (9) song. Given the premotor influence of the AFP, an interesting possibility is that this hypothesized signal takes the form of a premotor drive that biases the song away from vocal errors. It has been suggested that such a premotor bias could instruct long-term plasticity in the motor pathway (11). Here, we combine 2 techniques—experimentally controlled vocal learning and transient localized brain inactivation—to demonstrate that the AFP is involved in generating a corrective premotor bias.

Results

Natural song learning proceeds slowly and unpredictably, and it is difficult to quantitatively associate song changes with a reduction in perceived vocal error. To make vocal learning more experimentally accessible, we developed a training protocol in which we controlled vocal learning by manipulating auditory feedback, similar to a recently described approach (18). Disruptive auditory feedback was played to the bird during singing (23) using an implanted speaker, and was made contingent on the pitch of a region within a targeted song syllable (Fig. 1A and B; see SI Methods and Figs. S1 and S2). Playing disruptive auditory feedback during moments when the pitch of the targeted syllable was above a threshold value caused the bird to sing the targeted syllable at gradually lower pitches (Fig. 1C and D). Upward movement of pitch was produced by reversing the contingency (Fig. 1D). To induce many sequential days of learning, we recentered the threshold of the disruptive auditory feedback each morning before the bird awoke. The average pitch of the targeted syllable changed most rapidly during the first 4 h after waking, at a rate of 3.5 ± 0.4 Hz/h (Fig. 1E, n = 5 birds, 80 experimental days, SEM unless otherwise indicated) in the instructed direction. The induced changes in pitch resulted in a reduction in the amount of disruptive auditory feedback (Fig. 1F), quantified as the average power of the feedback played during the targeted syllable. Although the majority of pitch changes in the targeted syllable occurred during the day, the pitch in the morning had a tendency to begin beyond what was acquired by the end of the previous day (Fig. 1G, 5.86 ± 1.4 Hz in the instructed direction, n = 43, P < 0.01, 2-tailed t test). For comparison, the average total shift during the day was 15.5 ± 1.6 Hz in the instructed direction (Fig. 1H and P < 10^-14). This change in pitch was larger, on average, than the standard deviation of the pitch of the targeted syllable (by 144 ± 13%); thus, to summarize, our protocol produced learned changes in...
conditional feedback protocol. Spectrogram of targeted syllable (Fig. 2A). To address this question, we used tetrodotoxin (TTX) to transiently inactivate LMAN during learning (Fig. 2C and Figs. S3 and S4). If premotor signals from the AFP directly contribute to learned pitch changes, we would expect inactivation to cause an immediate regression of the learned change. In contrast, if the learned pitch changes are immediately implemented by synaptic plasticity in the motor pathway, we would expect the average pitch to be the same before and after LMAN inactivation. Each day, after the first 4 h of conditional feedback, we infused either TTX or vehicle (on alternating days) into LMAN. Inactivation of LMAN with TTX produced an immediate change in pitch in a direction opposite that of the ongoing learning (Fig. 2B and F, 16.3 ± 2.2 Hz, n = 34 inactivations, P < 10^-7, 2-tailed t test, also see Figs. S5 and S6). Inactivation most often resulted in an increase in the amount of disruptive auditory feedback played to the bird (Fig. 2D, 30/34 inactivations, feedback power increased by a factor of 15 ± 6, P < 0.02, 1-tailed t test). In contrast, infusion of vehicle had no significant effect on average pitch (Fig. 2C and F, n = 34 infusions, P > 0.4). These observations suggest that the AFP contributes to vocal output by biasing syllable pitch in a direction that reduces experimentally imposed error.

In the remaining text, we refer to the pitch of the targeted syllable generated with LMAN intact and LMAN inactivated as “LMAN(+) pitch” and “LMAN(-) pitch” respectively. The motor pathway encodes a stereotyped motor program for singing that operates independently of the AFP (7, 8, 15, 16). Thus, LMAN(-) pitch reflects this motor-pathway-encoded version of the targeted syllable. Furthermore, the contribution of the AFP to the pitch of the targeted syllable can be quantified as LMAN(+) pitch minus LMAN(-) pitch, which we refer to as “AFP-dependent bias,” or more briefly as “AFP bias.”

Across multiple days of conditional feedback, we observed large accumulating changes in the pitch of the targeted syllable (Fig. 1D). Are all of these changes due to accumulating AFP bias, or is there also a contribution from AFP-independent mechanisms, such as plasticity in the motor pathway? To answer this question, we examined whether, over the course of the experiment, LMAN(-) pitch deviated from the baseline pitch sung before the first day of conditional feedback. We found that LMAN(-) pitch exhibited substantial deviations from baseline (Fig. 3A and B, range = 121 ± 5 Hz SD). In fact, deviations in LMAN(-) pitch closely tracked the deviations of LMAN(+) pitch (Fig. 3C and D, n = 34, r^2 = 0.85, slope = 1.08 ± 0.08), indicating that during the course of our experiments, AFP-independent mechanisms contributed substantially to the expression of learned changes in pitch. In contrast, the magnitude of the AFP bias was not correlated with how far the pitch deviated from baseline (Fig. 3E, P > 0.3, slope not different from 0), indicating that continued learning in the same direction over
multiple days was not a result of increasing AFP-dependent bias, but rather an increasing AFP-independent contribution.

Consistent with this result, we found that LMAN inactivation caused the pitch of targeted syllable to regress to near the pitch in the first morning songs. That is, the size of the AFP bias was correlated with the size of the pitch shift that was learned during the morning before inactivation (Fig. 4 A and B, \( n = 34, r^2 = 0.73, \text{slope} = 0.98 \pm 0.11, P < 10^{-3}\), slope > 0). Taken together, our findings thus far suggest that the AFP makes a direct contribution to learned pitch changes, but that the size of the AFP contribution is limited and is proportional to the amount of learning that occurred within the last day. In addition, during multiple days of learning, the majority of the accumulated deviations in pitch from baseline are encoded in the motor pathway.

The syllable pitch encoded in the motor pathway is highly plastic, as evidenced by the large changes in LMAN(−) pitch between successive inactivations (Fig. 3B). We tested the hypothesis that these plastic changes are predicted by the amount of AFP bias observed during some preceding interval. Plastic changes in the motor pathway were assessed as the difference between successive LMAN(−) syllable pitch measurements (Fig. 5A). Because LMAN inactivations were carried out every other day, this measure reflects the change over a 2-day interval. The total AFP bias was also calculated in 2-day intervals as a sum over consecutive days. AFP bias was directly measured on TTX days, and was estimated on vehicle days as the total amount of learning that occurred on that day—motivated by our finding that the AFP bias is approximately equal to the amount of learning that occurred before TTX infusion (Fig. 4).

We started by examining the relation between motor pathway plasticity and AFP bias 1 day earlier. Specifically, we find that plastic changes in the motor pathway over a 2-day interval (e.g., between days \( n - 2 \) and \( n \)) are strongly correlated with the sum of the AFP bias measured on day \( n - 2 \) and estimated on day \( n - 1 \) (Fig. 5A–C, \( r^2 = 0.93, \text{slope} = 0.99 \pm 0.06\)). This correlation is further supported by examining variations in the amount of learning. On up days, a large AFP bias was typically followed by a large change in the motor pathway, and a small AFP bias was followed by a small change. This same relation held for down days, but the signs of the quantities were negative. To combine all of the data, we inverted the sign of the data for down days. We find that variations in the AFP bias were correlated with variations in motor plasticity observed 1 day later (Fig. 5D, \( \text{lag} = -1 \text{ day}; r^2 = 0.70\)). These findings suggest a strong link between AFP bias and plasticity in the motor pathway within the next day.

We next examined the temporal specificity of the relation between AFP bias and subsequent plasticity in the motor pathway. Do variations in AFP bias only correlate with changes in LMAN(−) pitch on the next day, or do they also correlate with motor plasticity on the same day, or 2 days later? We find that variations in AFP bias were not as strongly correlated with variations in motor plasticity observed on the same day (lag = \( r = 0.38, P = 0.11\)) but rather an increasing AFP-independent contribution. Specifically, we find that AFP bias 1 day earlier is strongly correlated with variations in motor plasticity observed 1 day later (Fig. 5D, \( \text{lag} = -2; r^2 = 0.08\), also see Fig. 7). Of course, a careful examination of the temporal relation between AFP bias and plasticity in the motor pathway will require experiments in which LMAN inactivations are carried out at a finer time resolution. Nevertheless, these results lend further support to the hypothesis that AFP bias may be subsequently consolidated in the motor pathway by the end of the following day.

AFP bias was not only correlated with plastic changes in the motor pathway over the next day, but these quantities have the same magnitude. The change in LMAN(−) pitch between successive measurements (average 16.7 ± 1.2 Hz/day) was not significantly different from the size of the estimated AFP bias at a lag of minus 1 day (average 15.1 ± 1.7 Hz, \( P > 0.11\), paired \( t \) test, see Methods), suggesting a remarkable correspondence between the mechanisms that underlie AFP bias and subsequent motor plasticity.

**Discussion**

Our experiments take advantage of the fact that, in response to an experimentally controlled association between syllable pitch and auditory error, a singing bird makes rapid corrective pitch changes (18). Applied over many days this protocol produced large accumulating changes in pitch. By inactivating the AFP during learning, we identified 2 contributions to the observed vocal plasticity. First, we found an AFP-dependent contribution that biases vocal output to reduce the probability and intensity...
of imposed error. Second, we observed an AFP-independent contribution, likely due to plasticity in the motor pathway, that accounts for the majority of the accumulated pitch changes. We also found a remarkable correspondence between AFP-dependent bias and plastic changes in the motor pathway observed within the next day. Thus, our results establish the timescale on which adaptive changes in vocal output become encoded in the motor pathway and expressed in an AFP-independent manner.

Our findings suggest a possible role for AFP-dependent bias during natural vocal imitation. It will be important to determine whether during natural song learning, the AFP biases motor output to improve the match between auditory feedback and tutor song. This could be examined by carrying out LMAN inactivations during the rapid, early phases of vocal learning in juvenile songbirds. We anticipate that inactivation of LMAN in the evening would result in a regression of learned changes in the song that occurred during the hours before inactivation and furthermore would result in a decrease in similarity with tutor song.

There are several mechanisms by which the bias we observe could be dependent on AFP activity. The AFP could serve a permissive role. For example, it is possible that HVC drives bias, but that its expression is dependent on AFP input to RA. Alternatively, the AFP could serve a direct premotor role in generating bias. Given the premotor contribution of the AFP to generating vocal variability, we favor the interpretation that AFP-driven variability itself becomes biased, generating larger or more frequent variations in the direction of reduced vocal error.

The mechanistic role of the 3 AFP nuclei in generating bias remains to be determined. Striatal neurons have been shown to be involved in the rapid evaluation of rewarded associations (13) and in the coding of action-specific reward values (24, 25). One possibility is that “random” activity patterns in LMAN produce variability in vocal output and are evaluated by basal ganglia homologue Area X. The results of this evaluation could then be sent to LMAN (through pallidal-recipient thalamic nucleus DLM) to reinforce LMAN activity patterns that produced desirable vocal output, thereby resulting in bias. Area X receives an efference copy of activity in LMAN (26, 27) and HVC (28, 29), thus placing Area X in a position to evaluate exploratory activity in LMAN in the context of the ongoing song. It is not known, however, whether Area X receives evaluative feedback about song performance either from auditory areas (30) or from midbrain dopaminergic areas (31).

Trial and error, or reinforcement, learning requires both exploratory behavior and the evaluation of performance (32). Biased variability could subserve both of these functions. First, biased variability could make motor exploration more efficient by increasing the speed at which effective motor control parameters are discovered. Second, AFP bias could instruct plasticity in the motor pathway (21). Although we cannot rule out the possibility that AFP bias and motor plasticity are implemented by separate evaluative mechanisms, our finding that the amount of AFP bias on any 1 day is correlated with, and has the same
bias learning (33). However, several studies have shown that sleep may play an important role in the consolidation of learned skills (34–37). In particular, observations of sleep-replay activity in the motor pathway have led to the proposal that plasticity in this circuit occurs off-line (34), perhaps during sleep. Repeated brief inactivation of LMAN at several time points during the day would help distinguish these hypotheses.

Broadly speaking, our observations shed light on the function of basal ganglia-forebrain circuits in vertebrates, particularly the mechanisms by which practice of complex motor skills results in improved performance, and even more generally, the mechanisms by which goal-directed behaviors become entrained as highly stereotyped sequences or habits (5).

Materials and Methods

Subjects. Juvenile and young adult (age 77–182 dph) male zebra finches (Taeniopygia guttata) were used. Animals were selected for sufficient singing rates (~200 song bouts per day), and for songs containing a harmonic stack with a pitch unique within the song and between 500 and 2000 Hz. All procedures were approved by the Massachusetts Institute of Technology Committee on Animal Care. For surgical procedures, see SI Methods.

Song Recording. Birds were housed individually in custom sound isolation chambers. Singing was measured using a miniature microphone (WBHC-23910, Knowles Electronics, Inc, 0.08 g, Fig. S1A) attached to the bird’s head during surgery, providing measurement of song largely independent of position in the cage. Monitoring and recording of song was performed using custom MATLAB (Mathworks) software.

Conditional Auditory Feedback. The auditory signal perceived by the bird during singing was disrupted by playing broadband noise. This disruptive auditory feedback was generated using a hearing aid speaker (EM-23046-CX, Knowles Electronics, 0.21 g) and transmitted into the cranial airsac surrounding the central area via an implanted speaker tube (Fig. S3). Sound levels were calibrated individually for each bird (see SI Methods). In this configuration, feedback did not distort the signal recorded by the head-mounted microphone, allowing uninterrupted monitoring of singing.

In our conditional feedback protocol, feedback was contingent on a measure of syllable pitch (18). This measure was computed using a set of finite-impulse response (FIR) filters implemented in custom software running on a digital signal processor (RX8, Tucker-Davis Technologies) (Fig. S2 and SI Methods). The measure of syllable pitch was used to calculate the loudness of the feedback noise relative to the loudness of the ongoing song vocalization. In this way, the bird could not escape feedback simply by singing louder (see SI Methods). The pitch threshold for feedback was constant during each day, and was set each morning to the average pitch of the targeted syllable measured at the end of the previous day. All feedback powers reported are calibrated acoustic power played into the cranial airsac.

Transient LMAN Inactivation. Custom reverse microdialysis probes were built using dialysis tubing (200-μm diameter) attached to a drug reservoir (Fig. S3 A and B), as described in ref. 17. Probes were implanted bilaterally into LMAN using stereotaxic coordinates (Fig. S3C). Inactivation was carried out by filling the reservoir and dialysis tubing with 25 μM TTX in PBS. This concentration was found to saturate the reduction of pitch variability resulting from inactivation of LMAN (from dose–response curve, Fig. S4C). After nominally 4 h, drug was removed by flushing the tubing and filling the reservoir with PBS. On vehicle days, the same procedures were carried out with PBS instead of TTX. Electro-physiological recordings in anesthetized birds (n = 2) confirmed that 25 μM TTX infusion completely suppressed electrical activity within 0.75 mm of the probe, thus fully encompassing LMAN. In contrast, activity persisted in medial MAN (1.05 mm from the probe) for the duration of the recording session, at least 1–1.5 h after infusion.

Experimental Design. The birds were housed individually in custom sound-attenuated chambers equipped with 10-channel commutators (SL-88, Dragonfly), and were maintained on a 12 h:12 h light–dark cycle. Conditional feedback was started once the birds consistently sang after infusion. TTX and vehicle were infused on alternate days, nominally 4 h (3.9 ± 1.0 SD) after the first morning singing. Before lights on, the FIR filters were updated so that the edge of the band of targeted pitches (i.e., the threshold) was placed approximately at the center of the pitch distribution at the end of the previous day (last 50 syllables, Fig. S2C). On the morning after a TTX day, the threshold was

---

**Fig. 5.**AFP bias is predictive of subsequent plasticity in the motor pathway. (A) Motor plasticity was assessed as the difference (Δm) in LMAN (−) pitch between successive inactivations (red dots), carried out every other day. Gray dots represent morning and preactivation syllable pitch. We examined the relation between motor plasticity and the estimated total AFP bias over a corresponding 2-day interval. The total was computed as the sum (β + β∗) of the AFP bias on TTX days (β) plus the AFP bias on vehicle days (β∗)—the latter estimated as the amount of learning that occurred during the day (see Fig. 4). (B) Scatter plot of motor plasticity (Δm) and estimated 2-day sum of AFP bias (β + β∗; linear regression, red dashed line; slope = 0.99 ± 0.06, r2 = 0.93). (C) Time series of Δm (red squares) and a 2-day running sum of estimated AFP bias (1 day earlier, black diamonds), as shown in A. (D) Scatter plots showing the correlation between Δm versus the estimated 2-day sum of AFP bias at lags of −2, −1, and 0 days (linear regression, red dashed line; slopes = 0.08 ± 0.06, 0.61 ± 0.08, 0.23 ± 0.10 respectively; up and down days combined by inverting down days; see Fig. S7). A–C correspond to a lag of −1 day. (E) Correlation coefficients as a function of time lag (days). Errors bars are 95% confidence intervals.
centered to the average of the last 50 syllables before TTX infusion. See SI Methods for further details and statistics. Conditional feedback remained on throughout the entire day, including during and after drug infusion.

**Data Analysis.** Song was segmented into syllables based on song amplitude. The fidelity of the head-mounted microphone signal made segmentation highly reliable. Acoustic feature vectors were calculated for all segmented syllables (duration, amplitude, entropy, pitch goodness, and variance of the last 2 measures). Syllables were classified using these feature vectors and custom hand-clustering software. For each rendition of the targeted syllable, the time course of the pitch (Fig. S5) and feedback power were computed. By averaging this time course, the mean pitch and mean feedback power of each rendition was calculated.

For each experimental day, pitch and feedback power were computed at 4 key time points during the day by averaging the first 50 renditions of the morning, the last 50 renditions before infusion, the first 50 renditions after infusion, and the final 50 renditions of the day. These values were used to quantify the changes in pitch: (i) during learning before infusion, (ii) as a result of infusion, and (iii) overnight. Overnight changes in pitch were quantified as the difference between the morning pitch and that of the final renditions of the preceding day; nights after TTX infusion were excluded from this analysis.

Baseline pitch was computed as the average pitch of the targeted syllable on the day before starting feedback.

The time series of motor pathway plasticity (Δm) and the estimated AFP bias (Δi + Δp) were plotted (Fig. S5C) as follows: The motor pathway plasticity plotted on day n was calculated as LMAN(−) pitch on day n minus LMAN(−) pitch on day n − 2. These points appear every other day because LMAN was only inactivated on alternate days. The running 2-day sum of AFP bias plotted on day n was calculated as the sum of AFP bias on day n − 2 and the AFP bias on day n − 1. The 95% confidence intervals for the correlation coefficients in Fig. S5E were computed using nonparametric bootstrapping.

**Histology.** Animals were deeply anesthetized with pentobarbital and perfused with 4% paraformaldehyde (Sigma). Brains were removed from the skull and postfixed in 4% paraformaldehyde. Brains were sectioned parasagittally with a vibrating Microtome (100 μm thick, Vibratome 1000, TPI), and the location of the dialysis membrane was determined (Fig. S3C).

**ACKNOWLEDGMENTS.** We thank Dmitriy Aronov, Martha Bergmark, Tom Davidson, Jesse Goldberg, Ann Graybiel, Wrenn Levenberg, Michael Long, Ben Scott, Sebastian Seung, and Matt Wilson for their helpful comments on earlier versions of this manuscript. This work was supported by National Institutes of Health Grant R01DC009183 (to M.S.F.) and a Friends of McGovern Institute Fellowship (to A.S.A.).