LECTURE 21

Last time:

- Multiple Access Channel
- Coding Theorem and Converse
- Successive Cancellation

Lecture outline

- Gaussian multiple access channel
- Discussions

Review

- Multiple Access channels
 - Time Sharing
 - Successive Cancellation
- Capacity Region

$$R_{1} \leq I(X_{1}; Y | X_{2})$$

$$R_{2} \leq I(X_{2}; Y | X_{1})$$

$$R_{1} + R_{2} \leq I(X_{1}, X_{2}; Y)$$

Gaussian Multiple Access Channel

$$Y = X_1 + X_2 + W$$

with Gaussian noise $W \sim N(0, \sigma_W^2)$, power constraint for individual users P_1 , P_2 .

Let

$$C(x) = \frac{1}{2}\log(1+x)$$

Capacity region

$$R_1 \leq C(P_1/\sigma_W^2)$$

$$R_2 \leq C(P_2/\sigma_W^2)$$

$$R_1 + R_2 \leq C((P_1 + P_2)/\sigma_W^2)$$

Upper bound achieved by using $X_1 \sim N(0, P_1), X_2 \sim N(0, P_2)$.

Discussions

• The achievable sum capacity is exactly the as the single user capacity with P_1 + P_2 . Recall the greedy view of the Gaussian channel capacity.

Question 1 Is this always true for any channel?

In Gaussian channel, the optimal input distribution does not depend on the noise level.

Question 2 Recall the jammer problem. Difference between coexistence and adversary.

Question 3 If I have infinite number of users, each transmit at power constraint *P*, will the interference be so strong that nothing can be transmitted?

Interference

- From an individual user point of view, other users signal is independent, and is equivalent as the noise.
 - the interference is independent
 - the interference is white over the signal space

Question 4 If the transmitter 1 knows exactly the data of user 2, and vice versa, can we do better?

- Shall we try cancel the interference?
- Shall we try avoid the interference?
- Coherence combining: the difference between one user with power P and two users each has power P/2.

Question 5 Comparing to single user with total power constraint, do we lose anything when divide it into two independent sub-users?

X-DMA: multiple access in high dimensional space

Consider

$$\underline{Y} = \underline{h}_1 X_1 + \underline{h}_2 X_2 + \underline{W}$$

where all the vectors are 2-dimensional.

- The dimensionality can be thought as multiple samples over time
- Use a bandwidth larger than $1/T_s$ to accommodate multiple users.
- TDMA

$$\underline{h}_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad \underline{h}_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

• FDMA

$$\underline{h}_1 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1\\1 \end{bmatrix}, \quad \underline{h}_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1\\-1 \end{bmatrix}$$

Goal Design $\underline{h}_1, \underline{h}_2, X_1, X_2$ to maximize the overall and individual throughput, with simple encoding and decoding, and fairness.

To avoid interference

Consider a slightly different channel

 $\underline{Y} = \underline{X}_1 + \underline{h}_2 X_2 + \underline{W}$

where \underline{h}_2 is fixed and known, $X_2 \sim N(0, P_2)$, how should user 1 design \underline{X}_1 to maximize his own throughput? (assuming successive cancellation)

- Depends on the order of decoding.
- If user 1 is decoded first, water-filling

Conclusion For certain power constraints, restricting X_1 to be in the direction orthogonal to \underline{h}_2 is optimal.

- Practical reasons for orthogonal signaling
- Number of degrees of freedom

Orthogonal Multiple Access

Question 6 Can we always divide the users to be transmitting in orthogonal sub-spaces, say, different frequency bands or different time slots, to avoid multiple access?

Consider user 1 and 2 with power constraint P_1 and P_2 , divide them into two disjoint frequency bands of bandwidth W_1 and W_2 , let the background noise to be white Gaussian noise with psd. N.

$$R_1 = \frac{W_1}{2} \log \left(1 + \frac{P_1}{W_1 N} \right)$$
$$R_2 = \frac{W_2}{2} \log \left(1 + \frac{P_2}{W_2 N} \right)$$

If instead we can joint allocate $P_1 + P_2$ over the entire bandwidth $W_1 + W_2$,

$$R_1 + R_2 = \frac{W_1 + W_2}{2} \log \left(1 + \frac{P_1 + P_2}{N(W_1 + W_2)} \right)$$

• The frequency allocation is optimal only if W_i is proportional to P_i .

How about TDMA? CDMA?

Non-Orthogonal Signatures

Consider

 $\underline{Y} = \underline{h}_1 X_1 + \underline{h}_2 X_2 + \underline{W}$ where $\|\underline{h}_i\|^2 = 1$, $E[X_1^2] = P_1$, $E[X_2^2] = P_2$, $E[W^2] = \sigma^2$.

• \underline{h}_1 and \underline{h}_2 are not orthogonal.

$$R_{2} \leq I(X_{2}; Y|X_{1})$$

$$= I(X_{2}; \underline{h}_{2}X_{2} + \underline{W}|X_{1})$$

$$= h(\underline{h}_{2}X_{2} + \underline{W}) - h(\underline{W})$$

$$= \frac{1}{2}\log\frac{|K_{W'}|}{|K_{W}|} \quad (K_{W'} = P_{2}\underline{h}_{2}\underline{h}_{2}^{\dagger} + \sigma_{2}I)$$

$$= \frac{1}{2}\log\left(1 + \frac{P_{2}}{\sigma^{2}}\|\underline{h}_{2}\|^{2}\right)$$

- Be careful when writing differential entropies.
- In white Gaussian noise, projecting to <u>h</u>₂ is optimal.

Now decode user 1 first, $h_2X_2 + W$ as noise.

$$R_1 + R_2 \leq I(X_1, X_2; Y)$$

= $\frac{1}{2} \log \frac{|K_Y|}{|K_W|}$

• Should be achieve

$$R_1 = I(X_1; Y) = \frac{1}{2} \log(|K_Y| / |K_{W'}|)$$

given that the second user transmit at the maximum power and rate.

Idea: All the information about X_1 is on the direction of \underline{h}_1 , so project \underline{Y} onto \underline{h}_1 .

• After projection, the SNR is $P_1/(P_2\langle \underline{h}_1, \underline{h}_2 \rangle^2 + \sigma^2)$.

Another Idea Reduce back to the white noise problem: whitening

$$K_{W'} = P_2 \underline{h}_2 \underline{h}_2^{\dagger} + \sigma^2 I$$
$$\triangleq BB^{\dagger}$$

• Multiply \underline{Y} by B^{-1} does not lose any-thing:

$$I(X_1; \underline{Y}) = I(X_1; B^{-1}\underline{Y})$$

• Now a new channel

$$X_1 \to \underline{Y}' = B^{-1}\underline{Y} = B^{-1}\underline{h}_1X_1 + \underline{Z}$$

where \underline{Z} is white with unit variance entries.

Now project \underline{Y}' into $B^{-1}\underline{h}_1$.

$$X_1 \to \underline{h}_1^{\dagger} (B^{-1})^{\dagger} \underline{Y}' = \underline{h}_1^{\dagger} K_{W'}^{-1} \underline{Y}$$

Achievable rate

$$R_{1} = \frac{1}{2} \log \frac{\underline{h}_{1}^{\dagger} K_{W'}^{-1} K_{Y} K_{W'}^{-1} \underline{h}_{1}}{\underline{h}_{1}^{\dagger} K_{W'}^{-1} \underline{h}_{1}}$$
$$= \frac{1}{2} \log(|K_{Y}|/|K_{W'}|)$$

Summary

- Multiple access channels with high dimensional signal space allow different techniques to avoid and suppress interference.
- Restricting individual users in single dimensional subspace, even orthogonal, is not optimal in general, but simple in practice.
- Sufficient statistics and MMSE are useful.