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DNA Demethylation by DNA Repair

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20 **ABSTRACT**

21 Active DNA demethylation underlies key facets of reproduction in flowering plants and  
22 mammals and serves a general genome housekeeping function in plants. A family of 5-  
23 methylcytosine DNA glycosylases catalyzes plant demethylation via the well-known DNA base  
24 excision repair process. Although the existence of active demethylation has been known for a  
25 longer time in mammals, the means of achieving it remain murky, and mammals lack  
26 counterparts to the plant demethylases. Several intriguing experiments have suggested, but not  
27 conclusively proven, that DNA repair is also a plausible mechanism for animal demethylation.  
28 Here we examine what is known from flowering plants about the pathways and function of  
29 enzymatic demethylation and discuss possible mechanisms whereby DNA repair might also  
30 underlie global demethylation in mammals.

31

32 **DNA methylation and demethylation**

33 5-methylcytosine is the only known epigenetic mark that can be inherited mitotically and  
34 in some instances meiotically. DNA methylation is associated with common and critical  
35 processes in both flowering plants and mammals, including transposon silencing and **genomic**  
36 **imprinting**. But DNA methylation can also be removed, a process that is far less well  
37 understood. The study of DNA demethylation has been led by research in plants, where it is clear  
38 that a DNA repair pathway has been adopted for removing 5-methylcytosine from DNA. The  
39 plant pathway, strongly supported biologically and biochemically, provide a framework to  
40 critically examine possible mechanisms of demethylation in mammals.

41 The enzymes responsible for DNA methylation are conserved between plants and  
42 animals, and their mechanisms of action are well understood<sup>1</sup>. DNA methylation is established

43 by *de novo* methyltransferases, which in plants are guided to their targets by small RNAs.  
44 Symmetric methylation patterns are maintained after DNA replication by maintenance  
45 methyltransferases, which methylate the new DNA strand based on the pattern found on the  
46 parent strand. Methylation can be lost passively when the maintenance methylation that usually  
47 follows DNA replication is inhibited, or by a more active process when 5-methylcytosine is  
48 enzymatically removed. Active demethylation has emerged as an important mechanism in the  
49 genomes of flowering plants for shaping methylation patterns. In this process, DNA  
50 glycosylases, which are normally associated with DNA repair, recognize and remove 5-  
51 methylcytosine from DNA, leading to its replacement with cytosine. DNA demethylation  
52 protects genic regions of the genome from a robust methylation-based transposon defense system  
53 and activates the expressed alleles of some imprinted genes. Extensive changes in DNA  
54 methylation levels occur during the genome-wide epigenetic reprogramming associated with  
55 mammalian reproduction <sup>2</sup>(**Figure 1**). Indeed, erasure of methylation acquired over the lifetime  
56 of the organism, along with other chromatin marks, might be needed to establish **totipotency**.  
57 The rapid loss of DNA methylation that occurs within the period of a single cell cycle suggests  
58 the presence of enzymes that actively remove 5-methylcytosine from DNA. The responsible  
59 enzyme(s) remains unknown, and the plant 5-methylcytosine DNA glycosylases do not appear to  
60 have animal homologues. Until the basic biochemical mechanisms underlying demethylation are  
61 known, fundamental processes that involve changes in DNA methylation, including reproductive  
62 development, cancer progression, and epigenetic reprogramming<sup>3</sup>, will remain insufficiently  
63 understood.

64 **When and where does demethylation occur?**

65 In mammals genome-wide active demethylation events occur at two points in  
66 reproductive development: in the male **pronucleus** of the zygote immediately after fertilization  
67 and possibly in the **primordial germ cells** (PGCs) of 11.5-12.5 day old embryos (**Figure 1**). By  
68 contrast, there is no evidence that plants undergo genome-wide reprogramming events as a  
69 natural part of the life cycle. However, demethylation does occur somatically to counteract the  
70 establishment of potentially detrimental methylation. Gene imprinting is a key feature of  
71 reproduction in both classes of organisms, and active demethylation appears to play a role in  
72 each.

### 73 *Global DNA demethylation in the male pronucleus*

74 Studies of methylation levels at different stages of reproductive development show that  
75 sperm DNA is more methylated than oocyte DNA<sup>4,5</sup>. At fertilization, the mature sperm arrives  
76 in an oocyte that is arrested in metaphase II of meiosis. The maternal and paternal nuclei differ  
77 markedly in terms of genome organization. In particular, sperm DNA is tightly packed by  
78 **protamines**, with some histones remaining<sup>6</sup>. After fertilization, the DNA is repackaged with  
79 nucleosomes; male chromatin decondensation is apparent within a few hours after fertilization<sup>6</sup>  
80 and correlates with methylation loss. DNA methylation within zygotes has been best studied in  
81 mice, both globally and at specific loci (**Box 1**). Immunofluorescence studies using anti-5-  
82 methylcytosine antibodies show that although both the female and male pronuclei stain strongly  
83 for DNA methylation 3 hours after fertilization, between 4-8 hours after fertilization the signal  
84 from the male pronucleus is almost entirely lost<sup>7,8</sup>. This change occurs before the first S-phase  
85 and so must represent an active demethylation mechanism. Furthermore, treatment of 6-hour-old  
86 zygotes with the DNA polymerase inhibitor aphidocolin does not prevent loss of the methylation  
87 signal<sup>7</sup>. The zygotic male pronuclei of rat, pigs, and cows also undergo demethylation<sup>9</sup>. Rabbits

88 and sheep have been reported to lack this event<sup>10</sup> but more recent data suggests that these  
89 discrepancies could stem from differences in timing between species<sup>11</sup> or the relative strength of  
90 the demethylating activity. Indeed, mouse sperm are demethylated when injected into sheep  
91 oocytes, albeit to a lesser extent than in mouse oocytes, and the reverse is also true. Therefore  
92 sheep oocytes possess a demethylating activity and their sperm has the capacity to be  
93 demethylated<sup>12</sup>.

94

### 95 *Demethylation in Primordial Germ Cells*

96 Active demethylation in the male pronucleus is followed by passive demethylation of  
97 both genomes during pre-implantation development, except at imprinted loci<sup>13</sup>. After  
98 implantation, methylation levels increase in the blastocyst inner cell mass, the progenitor of the  
99 embryo proper. Parent-of-origin-specific imprinting marks must be removed in PGCs and later  
100 established according to the sex of the individual. Evidence indicates that this demethylation  
101 might also be active, although accessing the cells, their temporal asynchrony, and the fact that  
102 they are actively dividing, makes experiments challenging.

103 PGCs enter the genital ridge between 10.5 and 11.5 days post coitum (dpc), proliferate  
104 until day 13.5, and then enter either meiotic prophase (females) or mitotic arrest (males). It is in  
105 this 2-3 day time period that imprints are erased along with other methylation; the greatest loss of  
106 methylation probably occurs between 11.5 and 12.5 dpc. Embryos generated from PGC cells  
107 cloned by nuclear transfer at 11.5 dpc survive longer than those cloned from 12.5 dpc cells,  
108 which suffer from complete abolition of genomic imprinting<sup>14</sup>. Clones from day 11.5 have a  
109 mixture of imprinting status and methylation patterns at particular genes. A **bisulfite sequencing**  
110 study comparing day 12.5 to day 11.5 PGCs found a reduction in methylation at differentially

111 methylated regions of 5 examined imprinted genes as well as loss of methylation at non-  
112 imprinted sequences<sup>15</sup>. IAP (intracisternal A particle) and LINE (long interspersed nuclear  
113 elements) transposable elements resist demethylation to a variable extent<sup>15,16</sup>. Because the  
114 doubling time of PGCs is 16 hours<sup>17</sup>, the almost complete loss of methylation observed for single  
115 copy genes within a 24 hr time period suggests an active, rather than passive, demethylation  
116 process. Genome-wide characterization of methylation in these cells could provide important  
117 insights into the types of sequences subject to or protected from demethylation.

### 118 *Demethylation in flowering plants*

119 Active DNA demethylation is involved in two processes in **angiosperms**: gene  
120 imprinting during reproduction and maintaining normal methylation patterns throughout the  
121 plant<sup>18</sup>. Small RNAs direct DNA methylation establishment in plants. These mainly arise from  
122 and target repetitive sequences such as transposable elements, which are generally highly  
123 methylated in comparison to genic sequences. In particular, the 5' and 3' regions of genes are  
124 generally depleted of methylation, where the accumulation of methylation can be detrimental to  
125 gene function<sup>19</sup>. Active DNA demethylation appears to remove marks that encroach on genic  
126 space<sup>20,21</sup>. In this way plants enjoy a robust methylation defense system that silences transposable  
127 elements without negatively affecting nearby genes.

128 As in mammals, imprinted genes play a crucial role in reproductive development.  
129 Imprinting takes place in the endosperm, a tissue that supports embryo growth during seed  
130 development and seedling germination. Active DNA demethylation has been implicated in plant  
131 gene imprinting, although other chromatin-based mechanisms are also important<sup>22</sup>. Five genes  
132 are known to be imprinted in *Arabidopsis thaliana* endosperm: *FWA* (*FLOWERING*  
133 *WAGENINGEN*), *MEA* (*MEDEA*), *FIS2* (*FERTILIZATION INDEPENDENT SEED 2*) and *MPC*

134 (*MATERNALLY EXPRESSED PAB C-TERMINAL*) are expressed maternally and silent  
135 paternally, whereas *PHE1* (*PHERESI*) is oppositely imprinted. Before fertilization, *FWA*, *MEA*,  
136 *FIS2*, and *MPC* are expressed in the central cell of the female gametophyte, which gives rise to  
137 the endosperm after fertilization (**Box 2**). A maize gene, *FIE1* (*FERTILIZATION*  
138 *INDEPENDENT ENDOSPERM 1*), is imprinted in the endosperm in a similar manner<sup>23,24</sup>. *FIE1*  
139 is less methylated in the central cell compared with the egg cell and sperm cells<sup>23</sup>. After  
140 fertilization, *FIE1* maternal endosperm alleles are hypomethylated compared with paternal  
141 alleles, although this difference is erased at later stages of seed development<sup>23,24</sup>. Similarly,  
142 maternal *MEA* alleles are less methylated in the endosperm than paternal alleles and maternal  
143 and paternal embryo alleles<sup>25</sup>. *FWA* is also less methylated in the endosperm than in the  
144 embryo<sup>26</sup>. These data suggest that expressed maternal alleles of some imprinted genes are  
145 actively demethylated in the central cell before fertilization. The egg cell nucleus and one of the  
146 central cell nuclei are sisters, making it unlikely that passive loss of methylation due to  
147 replication can account for methylation differences between the egg and central cell, although  
148 recent data suggests it might also contribute to the process<sup>27</sup>. As the endosperm is a terminally  
149 differentiated tissue that does not contribute to the next generation, there is no need for  
150 methylation-resetting as there is in mammals.

151 For most of the Arabidopsis life cycle, active DNA demethylation, like DNA repair,  
152 primarily serves a genome “housekeeping” function. To date, DNA demethylation appears only  
153 to have a role in development with regard to the activation of imprinted genes essential for seed  
154 viability. Similarly, not all of the active demethylation observed in mammals is necessarily a  
155 developmental or essential event. **Round spermatids** can be successfully used for in vitro  
156 fertilization in mice even though their DNA is only transiently demethylated in the zygote and is



157 then aberrantly remethylated<sup>28,29</sup>. Thus biological function might come from the process of  
158 demethylation itself, rather than the final methylation status of the DNA.

### 159 **DNA repair as a mechanism for DNA demethylation**

160 The search for enzymes responsible for demethylation has produced varied candidates  
161 and reaction mechanisms<sup>30</sup>. These fall into three general categories 1) direct removal of the  
162 methyl group from the 5C position of cytosine<sup>31</sup> 2) base excision repair (BER) that leads to the  
163 replacement of 5-methylcytosine with cytosine by either directly removing 5-methylcytosine or  
164 through the directed deamination of 5-methylcytosine to thymine and 3) nucleotide excision  
165 repair (NER) of DNA containing 5-methylcytosine. Here we focus on DNA repair-based  
166 mechanisms. What are likely criteria for candidate demethylases? First, the demethylase must be  
167 expressed in the cells in which demethylation occurs. Second, the reaction mechanism must be  
168 fast enough to account for the observed rate of methylation changes. In mammals, the male  
169 pronucleus is demethylated within just a few hours after fertilization. However, there has been no  
170 genome-wide methylation profiling in any of the cells in which active demethylation occurs,  
171 therefore the exact extent of demethylation is unclear. Finally, as all of the proposed DNA repair  
172 mechanisms involve nicking the DNA backbone, a mechanism to ensure the prevention of  
173 double stranded DNA breaks is required. It is with these criteria in mind that we consider the  
174 evidence for candidate demethylases.

175

### 176 *Demethylation proceeds via a base excision repair mechanism in plants*

177 DNA glycosylases function in the first step of BER to cleave the “incorrect” or damaged  
178 base from the sugar-phosphate backbone, leaving an abasic (AP) site that is repaired by other  
179 enzymes (**Figure 2**). HhH-GPD (helix-hairpin-helix – Gly/Pro/Asp) DNA glycosylases

180 recognize diverse lesions in a wide range of organisms. There is strong genetic and biochemical  
181 evidence indicating that a family of four *Arabidopsis* HhH-GPD DNA glycosylases recognize  
182 and remove 5-methylcytosine from DNA, thereby acting as DNA demethylases. Two of the  
183 genes, *ROS1* (*REPRESSOR OF SILENCING 1*) and *DME* (*DEMETER*), were discovered in  
184 genetic screens that were not targeted at finding demethylases<sup>32,33</sup>. *DML2* (*DEMETER-LIKE 2*)  
185 and *DML3* (*DEMETER-LIKE 3*) were identified based on homology to the founding members<sup>32</sup>.  
186 <sup>33</sup>.

187 *ROS1* is expressed broadly throughout plant development. It is required to demethylate  
188 endogenous loci and can also demethylate silenced transgenes<sup>21,33,34</sup>. Genomic methylation in  
189 whole plants has been profiled in *ros1 dml2 dml3* triple mutants using multiple methods.  
190 Methylation levels are not altered on a genome-wide scale, but these mutants do accumulate  
191 methylation at several hundred discrete regions, primarily near genes<sup>20,21</sup>. In most instances  
192 examined, hypermethylation does not alter gene expression<sup>21,34</sup>, suggesting that the enzymes scan  
193 the genome and remove methylation whether or not it has functional consequences. This activity  
194 is similar to other DNA glycosylases involved in BER, which remove mutated or mismatched  
195 bases from DNA regardless of whether they are immediately damaging to cellular processes.

196 *DME* is expressed in the central cell of the female gametophyte<sup>32</sup> and is required for  
197 expression of the imprinted genes *FWA*, *MEA*, and to a lesser extent *FIS2* and *MPC* in the central  
198 cell before fertilization and in the endosperm after fertilization<sup>25,26,32,35,36</sup>. Hypomethylation of  
199 the maternal *MEA* allele in the endosperm is dependent on inheritance of a wild type maternal  
200 *DME* allele<sup>25</sup>. It is unknown whether demethylation in the central cell occurs at only a few  
201 imprinted loci, or whether the demethylation is more extensive. Maize endosperm is significantly

202 hypomethylated compared to other tissues, suggesting that many sequences might be subject to  
203 demethylation<sup>37</sup>.

204 In biochemical assays, ROS1, DME, DML2, and DML3 can remove 5-methylcytosine  
205 from methylated oligonucleotides, either in CG or non-CG contexts (both exist in plants). ROS1  
206 and DME also excise thymine from T–G mismatches (the product of 5-methylcytosine  
207 deamination), albeit at a somewhat slower rate, but cannot excise U from U–G or U–A  
208 mismatches<sup>21,25,38,39</sup>. Both DME and ROS1 are bifunctional DNA glycosylases/lyases, nicking  
209 the DNA backbone and producing the characteristic Schiff base intermediate and beta and delta  
210 elimination products<sup>25,38,39</sup>. The enzymes thus function in short patch BER, replacing only a  
211 single base at a time. None of the BER enzymes that further process the AP site have been  
212 identified, and *Arabidopsis* lacks a homologue to DNA polymerase  $\beta$ , which replaces the base in  
213 other organisms<sup>40</sup> (**Figure 2**).

214 One concern with a BER-based demethylation mechanism is that the generation of  
215 single-stranded breaks on complementary strands, for example when a symmetrical CpG  
216 dinucleotide is demethylated, could lead to the formation of double strand DNA breaks (DSBs).  
217 BER-dependent DSB formation occurs in *E. coli* with clustered DNA damage<sup>41</sup>. Plant and  
218 mammalian BER pathways contain some mechanisms to safeguard against this occurrence.  
219 Human AP endonuclease is inhibited from incising an AP site if another AP site or a  $\beta$ - $\delta$  single  
220 strand break (the product of bifunctional DNA glycosylases) is located at the -1, -3 or +1  
221 position on the opposite strand<sup>42</sup>. DME inefficiently removes 5-methylcytosine if an opposing  
222 AP site is present; this inhibition is reduced as the AP site is placed farther away from the 5-  
223 methylcytosine<sup>25</sup>.

224 A persistent challenge in the field of DNA repair is to understand how DNA glycosylases  
225 recognize their targets among the vast excess of normal bases<sup>43</sup>. This might be a particular  
226 problem for 5-methylcytosine DNA glycosylases, as 5-methylcytosine is not a damaged base,  
227 and is correctly paired with guanine. This question can probably only be addressed by  
228 determining the crystal structure of one of these glycosylases in contact with its substrate.  
229 Additionally, how these enzymes work within the context of chromatin has not been explored  
230 **(Box 3)**.

### 231 *Base Excision Repair and Demethylation in Mammals*

232 Several different DNA repair based mechanisms have been suggested for animal  
233 demethylation. These include processes initiated by DNA glycosylases, DNA  
234 methyltransferases, and DNA deaminases.

### 235 *Direct Removal of 5-methylcytosine*

236 Long before the plant 5-methylcytosine DNA glycosylases were discovered, work in  
237 animals suggested the existence of similar enzymes. Initially, 5-methylcytosine DNA  
238 glycosylase activity was purified from chicken embryos along with T–G mismatch glycosylase  
239 activity. The activity, which is RNase-sensitive, preferentially cleaves hemi-methylated double-  
240 stranded oligos compared to fully methylated oligos<sup>44</sup>. Subsequent mass spectrometric analysis  
241 indicated that the active complex contained a homologue of human thymine DNA glycosylases  
242 (TDG)<sup>45</sup>. Purified recombinant protein produced from the chicken TDG can remove 5-  
243 methylcytosine, but has 30-40 fold higher activity against T–G mismatches<sup>45</sup>. It appears that  
244 cytosine can be flipped into the human TDG (hTDG) active site, but the enzyme does not have  
245 enough catalytic power to break the N-glycosidic bond<sup>46</sup>. Another enzyme, MBD4 (methyl-CpG  
246 binding domain protein 4), has also been suggested to possess 5-methylcytosine DNA glycosylase

247 activity in addition to thymine DNA glycosylase activity<sup>47</sup>. MBD4 contains both a N-terminal  
248 methyl-binding domain and a C-terminal HhH-GPD DNA glycosylase domain<sup>48</sup>. The human  
249 enzyme removes T and U from T–G and U–G mismatches, with greater activity when the  
250 mismatches are in a CpG context<sup>48</sup>. Initial characterization of the enzymatic activity did not  
251 identify any activity against fully or hemimethylated CpG sites<sup>48</sup>. Zhu *et al.* did detect 5-  
252 methylcytosine DNA glycosylase activity from recombinant human protein, but at levels, again,  
253 30-40x lower than T–G mismatch activity<sup>47</sup>. To date, there is no biological evidence to support a  
254 role for either MBD4 or TDG functioning as 5-methylcytosine DNA glycosylases *in vivo*.  
255 Indeed, active demethylation in the paternal pronucleus appears to take place normally in *Mbd4*-  
256 knockout mouse zygotes.

257

### 258 ***Enzymatic deamination of 5-methylcytosine followed by BER***

259 In addition to direct removal of 5-methylcytosine by a DNA glycosylase, demethylation  
260 might also be achieved by enzymatic deamination of 5-methylcytosine to thymine, followed by  
261 T–G mismatch repair that specifically replaces thymine with cytosine. Two different types of  
262 enzymes have been proposed to carry out the first step in this process: cytosine deaminases and  
263 DNA methyltransferases.

264 Cytosine DNA deaminases, which convert cytosine to uracil in nucleic acids, are well  
265 known from their roles in RNA editing, viral defense and antibody affinity maturation<sup>49</sup>.  
266 Activation-induced deaminase (AID) is responsible for both somatic hypermutation and  
267 immunoglobulin locus class switch recombination in B lymphocytes<sup>50</sup>. AID and the related  
268 deaminase APOBEC1 (apolipoprotein B mRNA editing enzyme, catalytic polypeptide 1) were  
269 identified in a screen for cytosine deaminases expressed in mouse oocytes<sup>51</sup>. They are also

270 expressed in embryonic stem cells, and AID is detected in primordial germ cells<sup>51</sup>, making them  
271 potential candidates for performing global demethylation (**Box 4**). *In vitro*, both enzymes have  
272 robust 5-methylcytosine deaminase activity, resulting in thymine and hence T–G mismatches in  
273 DNA, which can be effectively repaired through the BER pathway<sup>51</sup>.

274 Cytosine and 5-methylcytosine can also be enzymatically deaminated by DNA  
275 methyltransferases. DNA methyltransferases are primarily known as enzymes that transfer a  
276 methyl group to the C-5 position of cytosine from the methyl donor S-adenosylmethionine  
277 (SAM), generating S-adenosylhomocysteine (SAH) as a byproduct. If SAM levels are low or  
278 nonexistent, the bacterial methylases M.HpaII, M.EcoRII, and to a lesser extent M.MspI, can  
279 deaminate cytosine, generating uracil<sup>52-55</sup> (**Box 4**). Recent work in mammalian cell lines suggests  
280 that deamination by the Dnmt3a and Dnmt3b DNA methyltransferases is a means of achieving  
281 fast, active, DNA demethylation at promoters undergoing transcriptional cycling. A subset of  
282 the CpG sites in the promoter of an estrogen responsive gene undergo cycles of methylation and  
283 demethylation that correlate with transcriptional cycling<sup>56,57</sup>. Coincident with loss of methylation  
284 is the recruitment of Dnmt3a, Dnmt3b, TDG, and other BER enzymes. The authors propose that  
285 Dnmt3a and Dnmt3b deaminate 5-methylcytosine, generating thymine, which is repaired by  
286 TDG and other enzymes. Dnmt3a and Dnmt3b are known to associate with TDG, although  
287 previously this interaction has been interpreted as a means of ensuring remethylation of new  
288 cytosines incorporated after spontaneous 5-methylcytosine deamination and BER<sup>58,59</sup>. TDG  
289 interacts with various nuclear receptors, including estrogen receptor alpha (ER $\alpha$ ), and can either  
290 coactivate or repress transcription<sup>60</sup>; however, TDG-mediated ER $\alpha$  stimulation does not require  
291 DNA glycosylase activity<sup>61</sup>.

292 The suggestion that DNA methyltransferases can demethylate DNA raises further  
293 questions. Why would the deamination reaction be favored over the methylation reaction, unless  
294 SAM is completely absent? This type of demethylation mechanism would require that SAM  
295 levels also rapidly cycle *in vivo*, on the order of tens of minutes, without producing inhibitory  
296 concentrations of SAH. Considering the crucial importance of SAM in a wide variety of  
297 biochemical reactions, it is difficult to understand how these requirements would be fulfilled.  
298 Based on their expression patterns, neither Dnmt3a nor Dnmt3b is a particularly good candidate  
299 for a demethylase associated with reproduction. Dnmt3b is completely absent from the oocyte  
300 before and after fertilization; maternally supplied Dnmt3a is found in the oocyte nucleus before  
301 fertilization and in the pronuclei after fertilization, but maternal and paternal pronuclei have not  
302 been distinguished<sup>62</sup>. Moreover, Dnmt3a is absent from PGCs during the time period of active  
303 demethylation, and Dnmt3b is restricted to the cytoplasm<sup>15,63</sup>.

304

### 305 ***Nucleotide Excision Repair and Demethylation***

306 Another DNA repair pathway, NER, has also been implicated in active DNA  
307 demethylation<sup>64</sup>. NER differs from BER in several respects. It is responsible for removing helix-  
308 distorting lesions that can stall replication or transcription, such as those induced by UV damage  
309 or carcinogens. DNA distortion is recognized by the XPC protein (named for xeroderma  
310 pigmentosum), which facilitates formation of a pre-incision complex made up a variety of  
311 helicases, DNA binding proteins, and endonucleases. DNA around the lesion is unwound and  
312 cleaved on either side by the NER nucleases XPG and XPF, removing a 25-30 nt stretch of DNA  
313 that is filled in by a DNA polymerase and sealed by a DNA ligase. Base–base mismatches do not  
314 appear to be substrates for NER.

315 A screen for *Xenopus laevis* cDNAs that activate expression of a methylated reporter  
316 plasmid transfected into a human embryonic kidney cell line identified *Gadd45a* (growth arrest  
317 and DNA-damage-inducible alpha)<sup>64</sup>. *Gadd45a* is a p53-inducible gene involved in a multitude  
318 of cellular processes, including NER, although this involvement appears to be indirect<sup>65,66</sup>.  
319 *Gadd45a*-mediated reporter activation is inhibited by XPG knock-down<sup>64</sup>. Ectopic expression of  
320 *Gadd45a* also correlates with a partial reduction in methylation at the endogenous *Oct4* promoter  
321 and a reduction in total cellular 5-methylcytosine content. Conversely, *Gadd45* or XPG  
322 knockdown increase 5-methylcytosine content. However, in very similar assays using human  
323 *Gadd45a*, activation of methylated reporter plasmids was not detected, nor was the *Oct4*  
324 promoter demethylated in an endogenous or reporter plasmid context<sup>67</sup>.

325 Removing 30-nt stretches of DNA by NER could potentially lead to loss of  
326 methylation by replacing methylated cytosines with cytosines during fill-in by DNA polymerase.  
327 Alternatively, the involvement of XPG and *Gadd45* might reflect roles in BER. XPG  
328 stimulates BER of oxidative damage by the bifunctional DNA glycosylase/lyase Nth *in vitro*<sup>68,69</sup>,  
329 independent of XPG's nuclease activity. Furthermore, DNA damage induced by methyl  
330 methanesulfonate, which is repaired exclusively by BER, is repaired more slowly in *Gadd45*-  
331 null mouse cell lines than in wild type<sup>70</sup>. Given the evidence for involvement of XPG and  
332 *Gadd45* in both NER and BER, and the known *in vivo* targets of these two pathways, BER is  
333 the more plausible mechanism.

### 334 **Concluding remarks**

335 Key questions remain in our understanding of demethylation and demethylases in both  
336 flowering plants and mammals (Text Box 5). The conservation of *de novo* and maintenance  
337 DNA methylation pathways, and the harnessing of DNA methylation for genomic imprinting in



338 both plants and animals, leads to the expectation that common mechanisms might also underlie  
339 active demethylation. In plants, direct genetic and biochemical evidence demonstrates that  
340 demethylation results from BER. However, the lack of orthologous glycosylases, and the  
341 absence of genetic evidence tying BER enzymes to global demethylation has impeded progress  
342 in understanding whether BER is also responsible for methylation removal in mammals. This  
343 situation is likely to change in the near future. Advances in knockdown technologies make  
344 possible surrogate forward-genetic screens for functional demethylases, and we expect these will  
345 be hotly pursued. More routine use of high-resolution methylation mapping<sup>71</sup> coupled with  
346 techniques that can distinguish maternal and paternal genomes<sup>72</sup> will be important for testing at  
347 the genomic level global demethylation events observed thus far primarily cytologically. More  
348 precisely defining the sequences subject to demethylation in mammals, as has been done in  
349 plants<sup>20,21</sup>, is vital to understanding its function. Our knowledge of what is demethylated is still  
350 extremely limited – consisting of total methylation content and precise methylation patterns at a  
351 few loci in only some of the relevant cells. Unbiased methylation maps that encompass all  
352 regions of the genome will be crucial for guiding researchers. Developing methods for isolating  
353 precisely staged female or male nuclei from the zygote is also key. Alignment of methylation  
354 patterns with high-resolution chromatin profiles generated from wild type and mutant organisms  
355 is needed to understand the contribution of demethylation to changes in chromatin structure and  
356 vice versa (Text Box 4). These are likely to be fruitful areas of research in both plants and  
357 animals. We look forward to the end of an era in which mammalian DNA demethylation is  
358 regarded as merely 'colorful'<sup>30</sup>, and to the beginning of one in which basic mechanistic insights  
359 will emerge.

360

361 **Glossary**

362 **Genomic Imprinting:** differential expression of alleles depending on the parent-of-origin.

363 Genomic imprinting is often associated with differential methylation of DNA.

364 **Totipotency:** the ability to differentiate into any cell type

365 **Pronucleus:** the nuclei from sperm and egg after fertilization but before fusion.

366 **Primordial Germ Cells:** diploid germ cell precursors.

367 **Protamines:** small basic proteins that replace histones during sperm maturation, allowing  
368 compaction of DNA into the sperm head.

369 **Bisulfite Sequencing:** a method to determine methylation at individual cytosines. Treatment of  
370 DNA with sodium bisulfite converts cytosine to uracil but does not affect 5-methylcytosine.

371 After conversion, amplification of a region of interest by PCR and subsequent sequencing  
372 reveals methylation patterns.

373 **Angiosperms:** flowering plants. Double fertilization produces the embryo and endosperm, a  
374 nutritive tissue that supports the embryo during seed development.

375 **Round Spermatids:** spermatogenic cells that have not undergone the histone-protamine  
376 transistion

377

378 **Figure 1: Mammalian Methylation Cycle**

379 DNA is actively demethylated genome-wide at two points during mammalian development. i)

380 DNA of the paternal pronucleus (blue) is demethylated after fertilization but before the first

381 zygotic division, whereas the female pronucleus (pink) remains unaffected. ii) Both genomes are

382 passively demethylated, except at imprinted genes and some transposons, for several rounds of

383 cell division thereafter. The genome is *de novo* methylated around the blastocyst stage,

384 beginning in the inner cell mass (ICM). All embryonic lineages differentiate from the ICM. iii)  
385 At E11.5-12.5, PGCs are drastically demethylated, erasing parent-of-origin specific imprinting  
386 marks. Methylation patterns are reestablished at later stages during gametogenesis by *de novo*  
387 methyltransferases. Figure adapted, with permission from Oxford University Press, from Ref [3].

### 388 **Figure 2: Short Patch Base Excision Repair by Bifunctional DNA Glycosylase/lyases**

389 i) Bifunctional DNA glycosylases/lyases recognize the lesion base (purple box), flipping it out of  
390 the DNA helix into the active site and cleaving the N-glycosidic bond between the sugar-  
391 phosphate backbone and the deoxyribose. The enzyme (green circle) is covalently bound to the  
392 opened sugar ring via a nucleophilic lysine (K). ii) The intrinsic AP lyase activity of the enzyme  
393 then nicks the DNA backbone. iii) AP endonuclease cleans up the nick, leaving a 3' hydroxyl  
394 from which iv) DNA polymerase adds the correct base. DNA ligase seals the nick. The plant  
395 enzymes that repair the abasic site after 5-methylcytosine removal are unknown. Plants lack  
396 homologues to DNA polymerase  $\beta$  and DNA ligase III, which perform these functions in  
397 animals. DNA polymerase  $\lambda$  might substitute for DNA polymerase  $\beta$ .

### 398 399 **Box 1: DNA demethylation at specific mouse loci**

400 A bisulfite sequencing study of four single copy mouse genes found that they became  
401 extensively demethylated after fertilization independent of DNA replication<sup>73</sup>. For two loci  
402 where parental origin could be assayed, this change occurred specifically on the paternal allele.  
403 A recent study of mouse promoter methylation in mature sperm and embryonic stem and germ  
404 cells found that, somewhat surprisingly, the sperm promoter methylome closely resembles that of  
405 pluripotent cells except at a few key pluripotency genes<sup>71</sup>. This result underscores the need for  
406 more precise studies of DNA methylation at various stages of development. As the vast majority

407 of methylation occurs at repetitive sequences, assays that measure total methylation levels cannot  
408 accurately represent methylation at discrete regulatory sequences.

409 Not all sequences in the paternal pronucleus are subject to demethylation. Imprinted  
410 genes and some retrotransposons resist demethylation. Stella (also called PGC7), a non-specific  
411 DNA binding protein expressed in PGCs, oocytes, and embryonic stem cells, provides partial  
412 protection against demethylation in both the maternal and paternal pronucleus<sup>74</sup>. Stella  
413 accumulates in the cytoplasm of unfertilized eggs, but translocates to both pronuclei after  
414 fertilization. In Stella mutant females both the paternal and the maternal genomes lose  
415 methylation. DNA methylation is specifically reduced at some, but not all, maternally and  
416 paternally methylated imprinted genes. How might Stella protect paternal methylation? This  
417 could be achieved if histones persist at imprinted genes in sperm and Stella is directed to  
418 nucleosomal DNA.

419  
420 **Box 2: Angiosperm gametogenesis and fertilization**

421 Unlike animals, plants do not set aside a germ line during embryogenesis. Instead, reproductive  
422 organs such as ovules and anthers, which produce the female and male gametes, develop from  
423 floral meristems of mature plants. In ovules, a megaspore mother cell undergoes meiosis to give  
424 rise to four haploid gametes, 3 of which die. The remaining cell divides mitotically three times to  
425 generate a female gametophyte (or embryo sac) with 7 cells (and 8 nuclei) that resides  
426 completely within diploid maternal tissue. These seven cells consist of 3 antipodal cells, a  
427 diploid central cell, which contains two nuclei that fuse either before or at the time of  
428 fertilization, two synergid cells, and an egg cell (**Figure I**). In the anthers, meiotic division of  
429 pollen mother cells produces four haploid spores. A subsequent mitotic division generates a  
430 vegetative nucleus (VN) and a generative nucleus, which will divide again to create two sperm

431 cells (SC) (**Figure I**). One sperm fertilizes the egg cell (EC), giving rise to the diploid embryo,  
432 and the other fertilizes the central cell (CC), generating a triploid endosperm. The endosperm is  
433 terminally differentiated tissue that nourishes the embryo during later stages of seed  
434 development, loosely analogous to the mammalian placenta.

435

### 436 **Box 2, Figure I: Haploid gametophyte formation and double fertilization**

437 Mitosis following meiosis leads to the formation of female gametophytes and male gametophytes  
438 (pollen). One haploid sperm cell (SC) fertilizes the haploid egg cell (EC). This develops into the  
439 diploid embryo. The other sperm fertilizes the diploid central cell (CC), generating the triploid  
440 endosperm.

441

442

### 443 **Box 3: DNA repair in the context of chromatin**

444 The substrate for most biochemical characterizations of DNA glycosylases and BER  
445 reactions is naked DNA. A few studies have examined DNA glycosylase activity against  
446 mononucleosomal DNA<sup>75</sup>. In this context, excision of target bases is reduced, but not eliminated.  
447 SMUG1 (*single-strand-selective monofunctional uracil-DNA glycosylase 1*), which removes  
448 uracil from U–A and U–G base pairs, removes uracil from a mononucleosomal particle at a rate  
449 9-fold less than from naked DNA<sup>76</sup>. Notably, this does not cause disruption or sliding of the  
450 nucleosome, even when U is removed from core DNA. A study of thymine glycol removal by  
451 the human bifunctional DNA glycosylase NTH1 found that lesions facing away from the  
452 nucleosome particle were excised almost as efficiently as in naked DNA, but that inward facing  
453 lesions were excised 10 times less efficiently<sup>77</sup>. This difference was eliminated at physiological  
454 concentrations of the enzyme, leading the authors to favor a model whereby damaged DNA is

455 accessed through transient DNA unwrapping, which can be taken advantage of if the enzyme  
456 concentration is high<sup>77</sup>. For some reactions, active chromatin remodeling is probably necessary  
457 for base excision to occur<sup>78</sup>. The efficiency of the uracil DNA glycosylase UDG has been  
458 investigated on folded oligonucleosome arrays. UDG and AP endonuclease removed lesions in  
459 linker DNA and in the core only 2-3 fold more slowly than on naked DNA, and did so without  
460 disrupting or sliding nucleosomes<sup>79</sup>. This study and others found that DNA polymerase  $\beta$  is  
461 strongly inhibited by nucleosomal substrates and required the addition of the yeast chromatin  
462 remodellers ISWI and ISW2 in order to synthesize DNA<sup>78,79</sup>. Thus, it is possible that DNA  
463 demethylation by DNA glycosylases can begin *in vivo* without a requirement for extensive  
464 chromatin remodeling or disruption, but that completing the repair process leads to disruption of  
465 nucleosome–DNA contacts or causes nucleosome sliding. Such activity might facilitate other  
466 aspects of epigenetic reprogramming. Around the time of active demethylation in PGCs, several  
467 dramatic chromatin changes take place<sup>63,80,81</sup>. The linker histone H1 is lost from the DNA, tri-  
468 methylated H3K9 and H3K27, as well as other histone modifications, disappear, and the nucleus  
469 enlarges to accommodate less condensed chromatin. These changes are transient, and the  
470 histones and associated modifications return by late E12.5. Large-scale histone replacement  
471 might stem from DNA demethylation by a DNA repair mechanism, or could precede and  
472 facilitate it. Further experimentation is required to finely dissect the timing of these two  
473 processes.

474

#### 475 **Box 4: Enzymes capable of deaminating 5-methylcytosine**

476

477 AID deaminates 5-methylcytosine in an *E. coli* assay and in *in vitro* oligonucleotide

478 assays, where it acts on single-stranded DNA substrates and prefers 5-methylcytosine in an A/T-

479 G/A-C sequence context<sup>51</sup>. Apobec1 also deaminates single-stranded methylated  
480 oligonucleotides *in vitro*. Although *Aid* knockout mice display the expected immunological  
481 phenotypes, no reproductive phenotypes have been reported<sup>50</sup>. If AID does act as a 5-  
482 methylcytosine DNA deaminase in oocytes or PGCs, it raises a tricky question: how does a  
483 genome maintain integrity if deamination is rampant, especially if both 5-methylcytosine and  
484 cytosine are targets? In B cells, change in DNA sequence is the adaptive outcome at the  
485 immunoglobulin locus, but recent evidence indicates that AID action broadly affects other  
486 transcribed genes<sup>82</sup>. This property enhances the candidacy of AID as a DNA demethylase, as a  
487 lack of specific targeting could result in global genome-wide demethylation. Most genes  
488 deaminated by AID in B cells are subject to high fidelity base-excision and mismatch repair, but  
489 other genes, which are often found mutated in B cell tumors are repaired, like the immunoglobulin  
490 genes, in an error-prone manner<sup>82</sup>. Mutations might accumulate not because the mutational load  
491 is too high, but because error-prone repair dominates<sup>82</sup>. Clearly, the accumulation of genic  
492 mutations would not be acceptable in the zygotic or PGC genome, and high fidelity repair would  
493 have to exclusively dominate.

494 A DNA methyltransferase-like gene, *rid*, is essential for repeat-induced point mutation in  
495 *Neurospora crassa*, a process whereby C-G to T-A mutations accumulate in repeated sequences  
496 during the sexual cycle<sup>1</sup>. However, it has not been shown that RID functions as a deaminase  
497 during this process. Bacterial DNA methyltransferases do have deaminase activity *in vitro* and in  
498 *in vivo* bacterial reversion assays. Like the methylation reaction, deamination is inhibited by  
499 SAH. Overall the rate of deamination, even when SAM is absent, is much lower than the rate of  
500 methylation<sup>53</sup>. Additionally, deamination by M.HpaII and M.EcoRII is negligible at around 0.3  
501  $\mu\text{M}$  SAM<sup>53,54</sup>. At mammalian physiological concentrations of SAM and SAH, these reactions

502 would not proceed. In rats the concentration of SAM in various tissues is between 20 and 70  $\mu\text{M}$ ,  
503 whereas SAH ranges from 3-45  $\mu\text{M}$ <sup>83</sup>. 5-methylcytosine is also a target for deamination by  
504 M.EcoRII<sup>84</sup>. The rate of this reaction is lower still than the rate of cytosine deamination but can  
505 proceed at higher levels of SAM (up to 50  $\mu\text{M}$ ) and SAH (10  $\mu\text{M}$ ) than can the deamination  
506 reaction against cytosine.

### 507 **Text Box 5: Key Questions**

508 Does base excision repair have a role in mammalian demethylation?

509 Are 5-methylcytosine DNA glycosylases targeted to their substrate? Are they targeted by

510 RNAs<sup>85</sup>?

511 Do demethylases act as part of complexes with other proteins? What are they?

512 What chromatin changes precede or accompany demethylation and how do they facilitate the  
513 process?

514

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519

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Figure 1

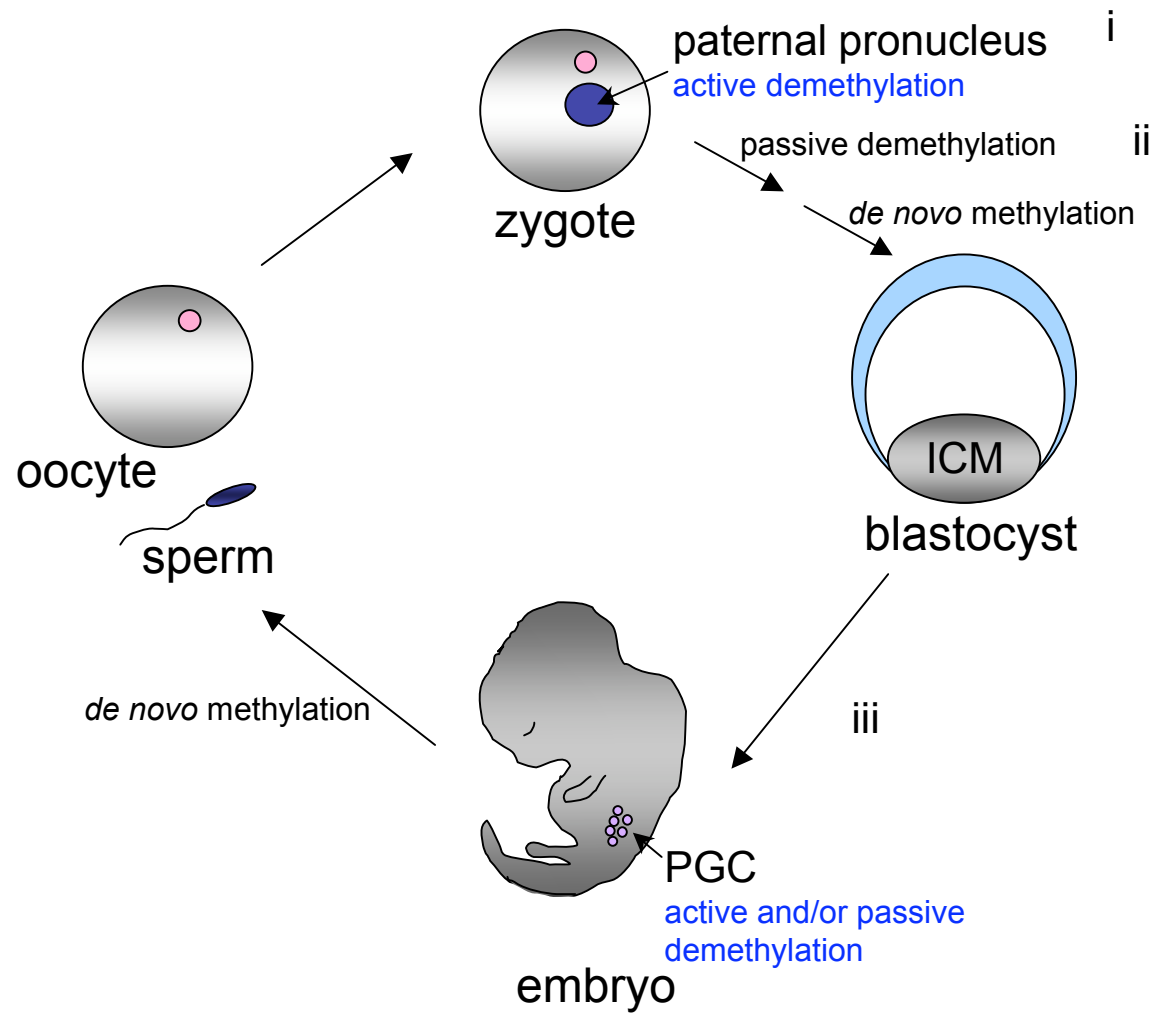
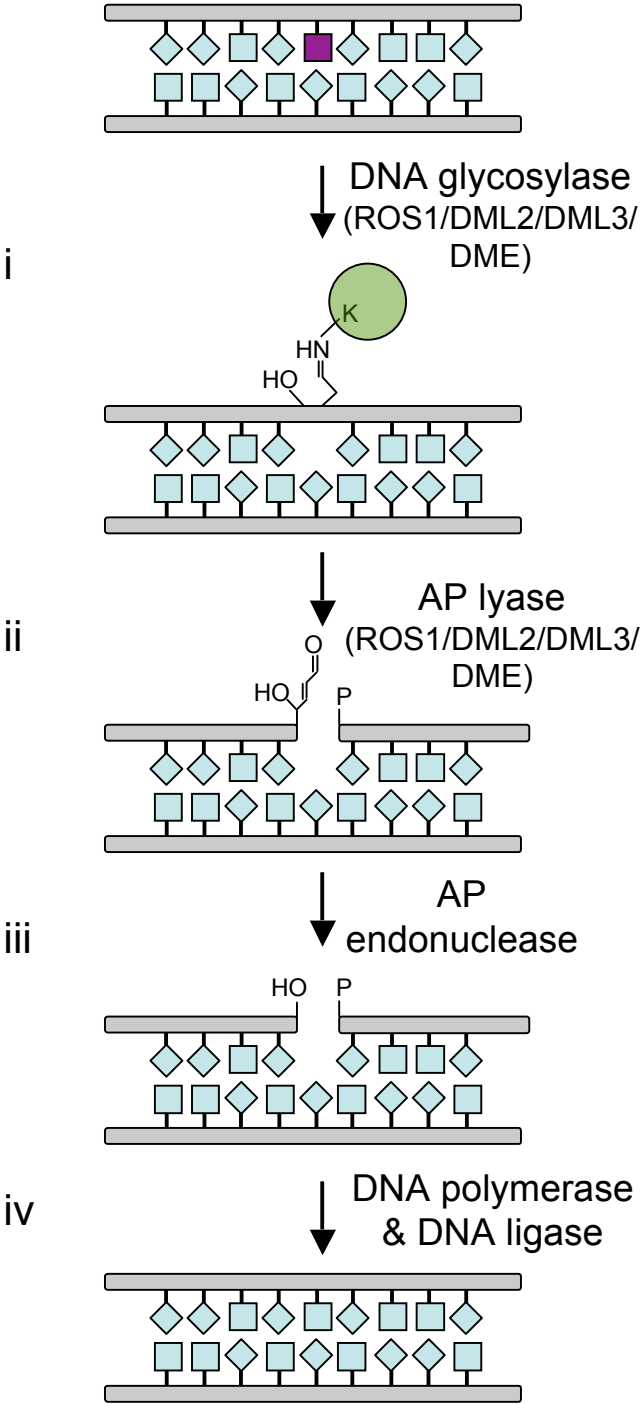


Figure 2





Box 2, Figure 1

