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Citation: Zhang, Xueyan, and Heidi M. Nepf. "Exchange flow between open water and floating vegetation." *Environmental Fluid Mechanics* 11.5 (2011): 531-546.

As Published: <http://dx.doi.org/10.1007/s10652-011-9213-4>

Publisher: Springer Science + Business Media

Persistent URL: <http://hdl.handle.net/1721.1/68004>

Version: Author's final manuscript: final author's manuscript post peer review, without publisher's formatting or copy editing

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1 Exchange Flow between Open Water and Floating Vegetation

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13

13 Abstract

14 This study describes the exchange flow between a region with open water and a region with a
15 partial-depth porous obstruction, which represents the thermally-driven exchange that occurs
16 between open water and floating vegetation. The partial-depth porous obstruction represents
17 the root layer, which does not penetrate to the bed. Initially, a vertical wall separates the two
18 regions, with fluid of higher density in the obstructed region and fluid of lower density in the
19 open region. This density difference represents the influence of differential solar heating due
20 to shading by the vegetation. For a range of root density and root depths, the velocity
21 distribution is measured in the lab using PIV. When the vertical wall is removed, the less
22 dense water flows into the obstructed region at the surface. This surface flow bifurcates into
23 two layers, one flowing directly through the root layer and one flowing beneath the root layer.
24 A flow directed out of the vegetated region occurs at the bed. A model is developed that
25 predicts the flow rates within each layer based on energy considerations. The experiments and
26 model together suggest that at time- and length-scales relevant to the field, the flow structure
27 for any root layer porosity approaches that of a fully blocked layer, for which the exchange
28 flow occurs only beneath the root layer.

29 **1 Introduction**

30 Floating vegetation is commonly seen in fresh-water systems, where it can create
31 microenvironments that are chemically distinct from adjacent open water (Ultsch, 1973).
32 Floating vegetation also impacts phytoplankton and zooplankton biomass, as well as the
33 predation and habitat of fish communities (Adams et al., 2002; Mariana et al., 2003; Padial et
34 al., 2009). In this paper, we consider the role of floating vegetation in the generation of
35 convective water exchange, which may transport water between the chemically distinct regions
36 of open and vegetated water. When solar radiation impinges on an open water surface, the
37 water absorbs solar energy and its temperature increases. In regions with floating vegetation,
38 however, the surface leaves intercept the solar radiation and shade the water column. The
39 difference in the absorption of solar radiation between open and vegetated regions creates a
40 difference in temperature. Ultsch (1973) reported temperature as much as 2°C lower beneath
41 water hyacinths than at the same depth in adjacent open water. Similarly, the daytime water
42 temperature within the marsh region of a constructed wetland remained 2°C cooler than the
43 open pond area (Lightbody et al. 2008). These temperature differences produce gradients in
44 density that can drive exchange flows between the open water and the region of vegetation.
45 Lovstedt and Bengtsson (2008) measured temperature differences up to 1°C between a reed
46 belt and adjacent open water, and they verified the existence of an exchange flow with velocity
47 up to 1.5 cm/s. For a water body that is sheltered from wind, convective exchange flow of this
48 magnitude will dominate the mass exchange between the vegetated area and the open water
49 (Zhang and Nepf, 2009). Floating vegetation in particular tends to live in quiescent regions,
50 where background flow conditions are relatively calm (Azza et al. 2006), so that flow driven
51 by differential shading will likely be important.

52 In addition to causing an uneven distribution of thermal radiation, the presence of
53 aquatic vegetation also adds hydrodynamic drag. Zhang and Nepf (2009) studied the impact
54 of rooted, emergent vegetation on thermally-driven exchange, and they showed that the flow
55 magnitude was controlled by the vegetative drag. Lovstedt and Bengtsson (2008) also
56 considered rooted emergent vegetation. In contrast, this paper considers floating vegetation,
57 for which the root layer extends through only a fraction of the water depth, creating an uneven
58 distribution of drag, which should alter the vertical structure of the flow. For example, Coates
59 and Ferris (1994) created a thermally-driven exchange between a region with floating *Azolla*
60 and *Lemna* plants and a region of open water. The exchange flow was displaced downward
61 beneath the root layer, which was 2 to 3 cm thick, with very little flow within the root layer.
62 More recently, Plew et al. (2006) studied the adjustment of ocean current near a suspended
63 aquaculture canopy, which occupied a fraction of the water depth. The strength of the
64 stratification and the horizontal span of the canopy determined whether the incoming flow was
65 diverted downward beneath the canopy or horizontally around it. In this work, we examine
66 how a root layer changes the vertical distribution of an exchange flow and influences the
67 volume of exchange. In the next section, we use energy conservation to develop a model that
68 predicts the magnitude of exchange. Section 3 describes the experiment. The comparison
69 between theoretical and experimental results, as well as the extension to field conditions, is
70 presented in Section 4.

71

72 **2 Model Development**

73 Coates and Patterson (1993) studied thermally-driven exchange between a shaded and
74 unshaded region of open water without vegetation. Zhang and Nepf (2009) studied thermally-

75 driven exchange generated by differential light absorption between a region of open water and
 76 a region of emergent, rooted vegetation. In both cases, the distribution of light absorption over
 77 depth, which follows Beer's Law, produced vertical variation in temperature, and thus density,
 78 in the heated region. Despite this vertical stratification, the exchange flow resulting from the
 79 horizontal density difference consisted of a single intrusion and a single return flow at the bed.
 80 That is, the presence of stratification within the intrusion had no observable influence on the
 81 layer structure. Given these observations, we believe that a lock exchange, with an initially,
 82 vertically-uniform density, provides a reasonable surrogate to the natural condition induced by
 83 differential light-absorption.

84 The geometry of the lock exchange model is depicted in Figure 1. We consider a
 85 rectangular flow domain with a total depth H and a total length $2L_{tank} \gg H$ (the figure is not to
 86 scale). A removable gate is located at $x = 0$. Initially, the water to the right of the gate has a
 87 higher density than the water to the left of the gate. In the lab, we use salt to change the water
 88 density, and so we label the two densities, ρ_s (saltwater) and ρ_f (fresh water), shown in white
 89 and grey, respectively, in Figure 1. Floating vegetation is present to the right of the gate, and
 90 the root depth is h_3 . The fractional root depth is h_3/H . In the lab, the root layer is modeled by
 91 an array of circular cylinders with diameter d . The root density is described by the ratio of root
 92 volume to total volume, ϕ , called the solid volume fraction, and by the frontal area per unit
 93 volume, $a = N d/A$, in which N is the number of roots per planar area A .

94 The exchange flow is initiated when the gate is removed. The surface current is broken
 95 into two layers, flow through the root layer and flow beneath the roots, in a layer of depth h_2 .
 96 The return current at the bed has depth h_1 . The velocity of each layer is given by $u_j, j = 1, 2, 3$.
 97 The extension of each layer beyond the initial position, $x = 0$, is denoted L_1, L_2 and L_3 ,

98 respectively.

99 Similar to Benjamin's (1968) classic analysis, the velocity of each layer may be
 100 predicted using energy considerations. However, in the current configuration, the potential
 101 energy is converted both to kinetic energy and to work against the vegetative drag, which is
 102 described by a quadratic drag law,

103

$$104 \quad D = \frac{1}{2} C_D a \rho_f u_3^2 h_3 L_3 \quad (1)$$

105

106 C_D is a drag coefficient that depends on both the solid volume fraction (ϕ) and the stem
 107 Reynolds number, $Re_d = u_3 d / \nu$, with ν the kinematic viscosity (*e.g.* Tanino and Nepf 2008).

108 For simplicity, we assume the velocity within each layer is vertically uniform, and the
 109 geometry of each layer is approximated by a rectangle (Fig. 1). The continuity equations can
 110 then be written as

111

$$112 \quad u_2 h_2 + u_3 h_3 = u_1 h_1 \quad (2)$$

113

114 and

115

$$116 \quad h_1 + h_2 + h_3 = H \quad (3)$$

117

118 The roots exert a drag that retards flow, so that the velocity within the root layer is
 119 expected to be lower than the velocity beneath the root layer. We characterize this difference

120 with this velocity ratio.

121

$$122 \quad \alpha = u_3 / u_2 \quad (4)$$

123

124 where α is smaller than 1. With the following simplifying assumptions, we can estimate α
 125 from the equations of linear momentum. First, when vegetation is present, the viscous drag is
 126 negligible compared to the vegetative drag (Tanino et al, 2005; Zhang and Nepf, 2008).
 127 Second, initially the exchange flow is dominated by inertia (following the classic evolution),
 128 but within the root layer the vegetative drag exceeds inertia for $C_D a L_3 > 7$ (Tanino et al 2005).
 129 The initial inertia-dominate regime is discussed in the results, but here we consider only the
 130 drag-dominated limit, so that within the root layer the inertia term is negligible compared to
 131 the drag term. Finally, we assume that the flow is slowly varying, so that a steady
 132 approximation can be made. For two-dimensional, steady flow we then have the following
 133 equations of momentum,

134

$$135 \quad 0 = -\frac{\partial P_3}{\partial x} - \frac{1}{2} \rho_f C_D a u_3^2 \quad \text{root layer} \quad (5)$$

136

$$137 \quad \rho_f u_2 \frac{\partial u_2}{\partial x} = -\frac{\partial P_2}{\partial x} \quad \text{layer beneath roots} \quad (6)$$

138

139 The longitudinal gradients in pressure and velocity occur over the length-scale of the
 140 exchange flow, which we represent by $L = L_2$, since $L_2 > L_3$ (Figure 1), so that $\partial x \sim L$.
 141 Therefore, we write $\partial u_2 / \partial x \sim u_2 / L$. In addition, the pressure gradient acting on both layers

142 depends on the density difference between the two reservoirs, and thus has the same scale in
 143 the two layers, *i.e.* $\partial P_2/\partial x = \partial P_3/\partial x \approx (\rho_s - \rho_f)gH/L$. With these scales, eqns. (5) and (6) can be
 144 combined to yield,

$$146 \quad \frac{u_2^2}{L} \sim \frac{1}{2} C_D a u_3^2 \quad (7)$$

147
 148 From eqn. (7), the velocity ratio is

$$149 \quad \alpha = u_3/u_2 = K \left(\frac{2}{C_D a L} \right)^{1/2} \quad (8)$$

150 This represents the ratio of the drag-dominated velocity scale to the inertial velocity
 151 scale. The scale constant K will be determined by experiment.

152 The total energy in the system is the sum of potential (PE) and kinetic (KE) energy.
 153 Over time, energy is lost to dissipation in the root layer. This dissipation is equivalent to the
 154 rate of work done against the root-layer drag, *i.e.* Du_3 . The rate of change of the total energy in
 155 the system is then,

$$157 \quad \frac{\partial KE}{\partial t} + \frac{\partial PE}{\partial t} = -Du_3 \quad (9)$$

158
 159 The potential (PE) and kinetic (KE) energy per unit width are given by the following
 160 equations. For simplification, we use $\Delta\rho = \rho_s - \rho_f$.

161

162 $PE =$

$$\frac{1}{2} \rho_f g H^2 L_{tank} + \frac{1}{2} \rho_s g H^2 L_{tank} + \frac{1}{2} \Delta \rho g L_1 h_1^2 - \Delta \rho g L_3 h_3 \left(H - \frac{h_3}{2} \right) - \Delta \rho g L_2 h_2 \left(H - h_3 - \frac{h_2}{2} \right) \quad (10)$$

163 $KE =$

$$\frac{1}{2} \rho_s u_1^2 h_1 (L_1 + L_2) + \frac{1}{2} \rho_f (u_2^2 h_2 L_2 + u_3^2 h_3 L_3) + \frac{1}{2} \rho_f \left(\frac{u_1 h_1}{h_2 + h_3} \right)^2 (h_2 + h_3) L_1 \quad (11)$$

165
166 The last term in eqn. (11) represents flow in the open region of the upper layer (Fig. 1), which
167 supplies flow into the vegetated region. The velocity in this area is assumed to be uniform and
168 from continuity must have the magnitude $u_1 h_1 / (h_2 + h_3)$.

169 Differentiating eqns. (10) and (11) with respect to time, gives the rate of change in
170 potential and kinetic energy, $\partial PE / \partial t$ and $\partial KE / \partial t$, per unit width, respectively. We use the fact
171 that $u_j = \partial L_j / \partial t$. We also assume $\partial u_j / \partial t \approx 0$, which is justified based on experimental
172 observations. Note that the first two terms in (10) are not functions of time, and we assume the
173 layer depths are also constant, so that the rate of change in potential energy is

$$\frac{\partial PE}{\partial t} = \frac{1}{2} \Delta \rho g u_1 h_1^2 - \Delta \rho g u_3 h_3 \left(H - \frac{h_3}{2} \right) - \Delta \rho g u_2 h_2 \left(H - h_3 - \frac{h_2}{2} \right) \quad (12)$$

176
177 and the rate of change in kinetic energy is

$$\frac{\partial KE}{\partial t} = \frac{1}{2} \rho_s u_1^2 h_1 (u_1 + u_2) + \frac{1}{2} \rho_f (u_2^3 h_2 + u_3^3 h_3) + \frac{1}{2} \rho_f \left(\frac{u_1 h_1}{h_2 + h_3} \right)^2 (h_2 + h_3) u_1 \quad (13)$$

180

181 With simple algebraic manipulation, eqn. (12) can be written in terms of the inertial velocity,
 182 u_i , for the density-driven exchange flow between two open regions (Benjamin, 1968),

183

$$184 \quad u_i = \frac{1}{2}(g'H)^{1/2} \quad (14)$$

185

186 The reduced gravity is $g' = g\Delta\rho / \rho_s$. Eqn (12) then becomes,

187

$$188 \quad \frac{\partial PE}{\partial t} = 2\rho_s u_i^2 u_1 \frac{h_1^2}{H} - 4\rho_s u_i^2 u_3 \frac{h_3}{H} \left(H - \frac{h_3}{2} \right) - 4\rho_s u_i^2 u_2 \frac{h_2}{H} \left(H - h_3 - \frac{h_2}{2} \right) \quad (15)$$

189

190 With five unknowns (h_1, h_2, u_1, u_2, u_3), but only four equations (eqns. 2, 3, 8, 9), an
 191 additional constraint is needed to find a unique solution. Following previous studies of
 192 exchange flow, we set an additional constraint that the system adjusts to maximize the
 193 conversion to kinetic energy, or equivalently to maximize the exchange flow rate q , a
 194 condition that has been verified by Jirka (1979) and by Adams and Cosler (1988). The
 195 exchange flow rate is given by

196

$$197 \quad q = u_1 h_1 = u_2 h_2 + u_3 h_3 \quad (16)$$

198

199 The equations are made dimensionless by normalizing the layer depths by the total
 200 water depth H , and the velocities by the inertial velocity, u_i , given in eqn. (14). The non-
 201 dimensional terms are denoted by a prime, *e.g.* $h_1' = h_1 / H$ and $u_1' = u_1 / u_i$. The density is
 202 normalized by ρ_s , and we adopt the Boussinesq approximation, $\rho_f / \rho_s \approx 1$. The normalized

203 equations are an optimization problem with the objective function

204

$$205 \quad \text{Maximize}(q') \quad (17)$$

206

207 subject to

208

$$u_2' h_2' + u_3' h_3' = u_1' h_1'$$

$$h_1' + h_2' + h_3' = 1$$

$$\frac{\partial PE'}{\partial t} = 2u_1' h_1'^2 - 4u_3' h_3' \left(1 - \frac{h_3'}{2}\right) - 4u_2' h_2' \left(1 - h_3' - \frac{h_2'}{2}\right)$$

$$209 \quad (18)$$

$$\frac{\partial KE'}{\partial t} = \frac{1}{2} u_1'^2 (u_1' + u_2') h_1' - \frac{1}{2} (u_2'^3 h_2' + u_3'^3 h_3') + \frac{1}{2} \frac{(u_1' h_1')^2}{h_2' + h_3'} u_1'$$

$$\frac{\partial KE'}{\partial t} + \frac{\partial PE'}{\partial t} = -\frac{1}{2} C_D a L_3 u_3'^3 h_3'$$

$$\frac{u_3'}{u_2'} = K \left(\frac{2}{C_D a L} \right)^{1/2}$$

210

211 The normalized solution has no dependence on the density difference $\Delta\rho$ or the reduced gravity

212 g' . Note that the total domain length L_{tank} also drops out of the formulation, so that the result is

213 not dependent on the flow domain, as expected. Finally, if we let $a = 0$, or $h_3 = 0$, we recover

214 the classic solution without vegetation or dissipation, namely, $u_1 = u_2 = 0.5(g'H)^{1/2}$.

215

215 3 Experimental procedures

216 Experiments were conducted in a Plexiglass® tank with the following dimensions: 200cm(L)
 217 ×12.0cm(W) × 20.0cm(H). A schematic of the tank is shown in Figure 2. The tank had two
 218 chambers of equal size, separated by a vertical removable gate. The chambers were filled to
 219 depth $H = 15$ cm with fresh water (left side) and salt water (right side). The density of water in
 220 each chamber was measured by hydrometer.

221 As the experiments focused on the impact of the root depth and stem density, the water
 222 density difference was kept approximately constant across the suite of experiments. We chose
 223 a density difference based on Froude number similarity to the field. Lightbody et al. (2008)
 224 and Ultsch (1973) report a temperature difference of 2°C between open water and water
 225 beneath vegetation, which corresponds to $\Delta\rho = 0.0005$ g cm⁻³. In the field, $H = 10$ cm to 1 m
 226 in vegetated regions, so the velocity-scale $(g'H)^{1/2}$ is O(1 cm/s). This is consistent with the
 227 field observations of velocity made by Lovstedt and Bengtsson (2008). We choose $\Delta\rho$ to
 228 produce a similar velocity scale in the lab. In the field the Reynolds number, $Re = UH/\nu$, is
 229 O(10^3 to 10^4). Because our tank is 20 cm deep, we can only match the lower range of
 230 Re . However, previous researchers have shown that the dynamics of gravity currents are described
 231 primarily by the Froude number, $Fr = U/(g'H)^{1/2}$, with only a small dependence on Reynolds
 232 number. Specifically, $Fr = 0.42$ at $Re = 200$ and increases to $Fr = 0.48$ at $Re = 10^5$, consistent with a
 233 diminished impact of viscosity relative to inertia (Barr 1967). Since we cannot match both
 234 dimensionless parameters, we follow a Froude number scaling, consistent with previous studies in
 235 gravity currents (*e.g.* Shin et al. 2004 and references therein).

236 A PVC board with a random distribution of holes covered the right side of the tank.
 237 Dowels with diameter $d = 0.6$ cm were pushed through holes to create a root layer of desired

238 depth. Two fractional root depths were considered, $h_3/H = 0.13$ and 0.27 . In the field, root
239 depth, h_3 , ranges from 10 cm to 80 cm, and fractional root depth is roughly $h_3/H = 0.1$ to 0.8
240 (M. Downing-Kunz, pers. comm.). Each hole on the board was assigned a number, and a
241 program was used to select a random subset of holes to create the desired root density, or solid
242 volume fraction. We considered five solid volume fractions between $\phi = 0.05$ ($a = 6.4\text{m}^{-1}$) and
243 $\phi = 0.15$ ($a = 31.8\text{m}^{-1}$). In the field, ϕ ranges from 0.01 for water lily to 0.45 for mangroves
244 (Mazda et al., 1997). The root density for floating vegetation has not been reported in the
245 literature, but is expected to fall into a similar range. A difference between the field and the
246 lab model is the scale of individual roots, which are smaller in the field (1-2 mm diameter)
247 than the rods used in the lab (6 mm). This impacts the velocity field at the scale of the roots,
248 but not the bulk behavior of the flow. Specifically, the volumetric discharge, which is the
249 focus of this study, should be comparable for comparable values of dimensionless drag
250 ($C_{Da}L$), regardless of root diameter. Finally, to explore the limit of a fully blocked root layer,
251 two experiments (S1 and S2 in Table 1) were conducted for $\phi = 1$, by replacing the cylinder
252 array with a solid block.

253 Flow visualization with dye was used to examine the initial inertial response and the
254 subsequent transition to a drag-dominated response. The fresh water was dyed with
255 fluorescein. The vegetated region was illuminated through the tank bottom with an ultraviolet
256 light. A CCD camera was positioned to capture the exchange flow at the middle of the
257 vegetated region. The pictures were taken at 5 fps. After the toe of the intruding current
258 passed the visualization window, a second tracer, crystalline potassium permanganate, was
259 dropped in the middle of the visualization window to generate a vertical streak. The distortion
260 of this streak revealed the shape of the vertical velocity profile at this later time.

261 Detailed profiles of velocity were acquired using Particle Imaging Velocimetry (PIV).
 262 To image the flow in the root layer, it was necessary to create a 5-cm wide gap starting 40 cm
 263 from the gate. The distance from the gate to the middle of the gap is denoted $L_g = 42.5$ cm.
 264 The width of the gap was chosen both to reliably calculate the velocity field and to minimize
 265 the impact of the gap on the flow inside the root layer. Pliolite particles with a density of 1.02
 266 g/cm^3 were added to the water. The particle settling velocity was $O(0.01 \text{ cm/s})$, which was
 267 negligible compared to the exchange flow velocity, $O(1 \text{ cm/s})$. The particles were illuminated
 268 by a laser sheet that entered through the bottom of the tank (Figure 2). The movement of the
 269 particles was captured using a Sony CCD camera with a resolution of 1024×768 at a frame
 270 rate of 5 fps. The image acquisition was started after the intrusion passed the imaging
 271 window, so that the start time was different for each case. The images obtained were
 272 processed by MatPIVv.161 to produce a velocity field. For each case, a ten second averaged
 273 was constructed from the instantaneous velocity profiles.

274 The discharge rate was estimated by integrating the velocity profile from the bottom to
 275 the point where the flow changes from outflow to inflow. We denote this estimate as q_{int} . We
 276 confirmed that the inflow and outflow agreed, with less than 10% difference, indicating the
 277 conservation of volume was satisfied. The velocity profiles were also used to estimate the
 278 thicknesses of the layers (Fig. 1). The thickness of the bottom layer, h_1 , was estimated from
 279 the height above the bed at which the flow reversed. For example, in Case 2 (Fig. 4), $h_1 =$
 280 8.5 ± 0.3 cm. The thickness of layer 2 would then be, $h_2 = H - h_3 - h_1 = 4.5 \pm 0.3$ cm (Table 2).

281 The model velocities, defined in Fig. 1, were defined from the measured velocity
 282 profiles in the following way. The velocity in the root layer, u_3 , was defined as the average of
 283 the velocity over h_3 , the root depth. The velocities in the unobstructed layers (u_1, u_2) were

284 defined as the maximum in each layer. The maximum was chosen as the best representation of
 285 the velocity in the absence of viscosity, which was neglected in the model. In this way, the
 286 choice of u_2 corresponds to the inertial velocity scale defined in the momentum equation, eqn.
 287 (6). A second estimate of discharge was then made for comparison to the model. Following
 288 from eqn. (16), $u_1 h_1$ and $u_2 h_2 + u_3 h_3$ are used as two estimates of model discharge. The mean of
 289 the two values was denoted q_{16} .

290 Following from (18), each case was classified by the non-dimensional drag parameter,
 291 $C_D a L$ (see also Tanino et al 2005). For simplicity, we let $L = L_3 = L_g$, the distance to the center
 292 of the visualization window (Fig. 2). Because the velocity measurements were made as the
 293 front moved between L_g and $2L_g$, the length L_g is a reasonable estimate of the length of the
 294 intruding current during the velocity measurement. Tanino and Nepf (2008) report $C_D = f(Re_d,$
 295 $\phi)$ for randomly distributed, emergent cylinder arrays. Their semi-empirical relations cover
 296 flow conditions $Re_d = O(1)$ to $O(100)$ and $\phi = 0.05$ to 0.4 , which includes most of the cases we
 297 consider. For our case $\phi = 0.03$, we estimated C_D using the empirical equation for an isolated
 298 cylinder, as given in White (1991, p. 183). Given the trends of C_D with ϕ , this is a reasonable
 299 approximation (Nepf, 2011).

300 The model prediction (eqns. 17 and 18) required three inputs; the scale coefficient, K ,
 301 which was determined by experiment, the fractional root depth, h'_3 , and the non-dimensional
 302 drag parameter, $C_D a L$. By varying h'_2 , we generated a set of feasible solutions to eqn. (18).
 303 From this set, we selected the solution that maximized the total exchange (eqn. 17).

304

304 4 Results

305 Tanino et al. (2005) identified a transition from inertial to drag-dominated flow within an array
306 of cylinders that filled the water depth. They showed that the array drag became dominant
307 over inertia when $C_D a L > 7$. We confirmed this transition in partial depth arrays using two
308 modes of flow visualizations (Fig. 3). To visualize the intruding front, the fresh water was
309 dyed with fluorescein. As the front arrived at the visualization region ($x = 30$ to 55 cm), the
310 leading edge of the tracer within the root layer was ahead of that in the region beneath the root
311 layer, indicating that up to this time the velocity in the root layer was higher than that beneath
312 the root layer (Fig 3a). At the time corresponding to Figure 3a, $C_D a L_3 = 7$, indicating that the
313 system had just reached the drag-dominated limit, so that leading up to this time the system
314 had been in the inertial regime. A later time, when the frontal intrusion was longer and $C_D a L_3$
315 $= 18$ is depicted in Fig. 3b. At this point, the system is fully within the drag-dominated
316 regime. The intruding current had a uniform depth, *i.e.* the interface between the flow in
317 layers 2 and 3 was horizontal, and the velocity in the root layer (u_3) was less than the velocity
318 beneath the root layer (u_2), consistent with the drag-dominated regime. The new, drag-
319 dominated velocity profile (dashed line, Fig. 3) was revealed by a second tracer (potassium
320 permanganate), whose initial vertically distribution (solid line) was distorted by the flow. The
321 dashed line within the rooted layer represents the velocity profile measured by PIV, scaled to
322 match the dye streak. Note that an unstable vertical density distribution is created at the
323 leading edge, because layer 2 advances ahead of layer 3, carrying lighter fluid beneath denser
324 fluid, *e.g.* in Figure 1, the lighter grey layer (ρ_f) advances beneath the heavier white layer (ρ_s).
325 We suspected that convection will eventually occur at the leading edge of the front, but we
326 were not able to observe it in our tank before the front reached the end wall. Once convection

327 is initiated, the velocities in layers 2 and 3 will be more uniform, as momentum mixes between
328 the layers.

329 The time-averaged velocity profile for case 2 ($\phi = 0.05$, $h_3/H = 0.13$) is shown in Fig.
330 4. In this case the root depth, h_3 , is 2 cm. The bottom of the root layer is marked by a
331 horizontal line. The error bars show the standard deviation of the individual measurements
332 made over the 10 sec averaging period. Similarly, the velocity profile for case 7 ($\phi = 0.05$
333 with $h_3/H = 0.28$) is shown in Fig. 5. In both cases, the intruding current bifurcated into a
334 distinct flow within the root layer and beneath it, with $u_3 < u_2$. The measured values of u_2 and
335 u_3 for all the cases are listed in Table 2. The uncertainty was estimated by the standard
336 deviation among the 50 to 60 instantaneous values recorded.

337 The scale constant, K , that defines the velocity ratio, $\alpha = u_3 / u_2$ (eqn. 8) was estimated
338 from measured values of u_3 and u_2 (Table 2). The measured α are plotted against the
339 dimensionless drag, $C_{Da}L_g$, and a regression was used to find K (Fig. 6). The drag coefficient
340 for each case was estimated from empirical relations, as described above, with the values
341 reported in Table 2. The velocity ratio decreases as the dimensionless drag increases, and the
342 trend follows at $-1/2$ power law, as predicted in eqn. 8. Based on the fit, $K = 0.75$.

343 As $C_{Da}L$ becomes large, we expect from eqn. 8 and Figure 6 that the velocity within the
344 root layer will eventually become negligibly small, and the system will behave as if the root
345 layer is fully blocked ($\phi = 1$). To verify this behavior, we compare the velocity profile
346 measured with the highest $C_{Da}L$ (case 10, $\phi = 0.15$, $h_3/H = 0.27$, and $C_{Da}L = 400$) to that
347 measured for a case with the top layer has the same depth, but is fully blocked (case S2, $\phi = 1$,
348 $h_3/H = 0.27$). The velocity profiles beneath the root layer were nearly identical (Figure 7). The
349 inflection point observed in case 10 near $y = 7$ cm is presumably due to the limited time-

350 average, as it cannot be explained by the balance of forces in that region of the flow (pressure,
351 inertia, viscosity).

352 The model eqns. 18 were solved for the value of h_2 and u_2 that maximized the
353 exchange flow, eqn 17. The model results are non-dimensional, and must be converted back to
354 dimensional form for comparison to experiments. The model discharge, q_{mod} , was then
355 calculated using eqn. 16. The high uncertainty in the model prediction (Table 3) is due to the
356 uncertainty in g' , which is due to uncertainty in the density measurement (Table 1). The model
357 discharge is compared to the two estimates of measured discharge in Table 3. The ratios of the
358 measured and modeled discharge (q_{int}/q_{mod} and q_{16}/q_{mod}) are shown in Figure 8. The heavy line
359 marks the ratio of 1, corresponding to perfect agreement. First consider the cases that clearly
360 fall in the drag-dominated regime (*i.e.* $C_{Da}L_g > 7$), as these cases best fit the model
361 assumptions. For most of these cases the model discharge and the integrated measured
362 discharge (q_{int}) agree within uncertainty. The average across the drag-dominated cases is
363 $q_{int}/q_{mod} = 0.92 \pm 0.12$. However, the model tends to over predict the integrated discharge
364 ($q_{int}/q_{mod} < 1$). This is expected, since viscosity, which would tend to diminish the exchange,
365 was neglected in the model, but its affects are evident in the full velocity profile. In contrast,
366 the measured discharge q_{16} is based on the measured layer velocities, u_1, u_2, u_3 , and provides a
367 more direct comparison to the model discharge, which is also based on the layer velocities.
368 Nearly all of the model estimates agree with q_{16} , within uncertainty, and the average agreement
369 across the drag-dominated cases is $q_{16}/q_{mod} = 1.06 \pm 0.14$. Next, consider the two cases not at
370 the drag-dominated limit ($C_{Da}L_g < 7$). For these cases the model significantly over predicts
371 both measures of discharge ($C_{Da}L_g = 4$, $q_{16}/q_{mod} = 0.84, 0.85$, and $q_{int}/q_{mod} = 0.67, 0.7$, Figure
372 8). Because these two cases are not in the drag-dominated regime, viscous forces, which are

373 not accounted for in the model, are important. Note that the two cases with low $C_D a L_g$
 374 produce discharge that is similar in magnitude to the unobstructed exchange flow in the same
 375 tank (open circle at $C_D a L_g = 0$ in Figure 8). This is consistent with the expectation that for low
 376 $C_D a L_g$ the flow approaches the limit of unobstructed behavior. A similar disparity between
 377 observed and theoretical discharge has been observed in other unobstructed lock-exchange
 378 studies, and the difference is attributed to viscosity. The theoretical discharge is given by eqn.
 379 14, but measured values are depressed near rigid boundaries, $0.44 \sqrt{g'H}$, and higher near the
 380 free surface, $0.59 \sqrt{g'H}$ (Simpson, 1999).

381 Floating vegetation in the field typically exists as a belt of vegetation along the
 382 shoreline. Ultsch (1973) reported temperature difference of 2°C between water beneath the
 383 hyacinth and adjacent open water, which corresponds to $\Delta\rho = 5 \times 10^{-4} \text{ g cm}^{-3}$. The typical water
 384 depth in the shallow band of a lake is approximately 1 m. For floating vegetation with $\phi = 0.1$
 385 and $h_3/H = 0.2$, the model predicts an exchange velocity of 3 cm s^{-1} beneath the floating
 386 vegetation. During a diurnal cycle, this exchange flow could flush a region of $O(100 \text{ m})$. In
 387 the Finnis River of Australia, the floating vegetation mat extends 65 m from the bank (Hill et
 388 al., 1987). Similarly, Lovstedt and Bengtsson (2008) reported that that width of reed belt in
 389 Lake Krankejon in southern Sweden is 40 m. Considering the width of vegetation observed in
 390 the field, $O(10)\text{m}$ to $O(100)\text{m}$, the predicted exchange flow could flush the entire vegetated
 391 area each day.

392 We can use the model to estimate a range of potential discharge for a reasonable range
 393 of field parameters. For simplicity, the drag coefficient C_D is set to 1. The normalized
 394 discharge rate, $q/u_i H$, is plotted as a function of fraction root depth, h_3/H , in Figure 9. Curves
 395 for several values of $C_D a L$ are included. As the density of the floating layer (a) or the length

396 of the intrusion (L) increases, the magnitude of the discharge decreases. For $C_D a L > 100$, the
397 discharge approaches the condition of a fully block surface layer (solid line in Figure 9). This
398 is consistent with our observation that the velocity structure for case 10, $C_D a L = 400$, is nearly
399 identical to the velocity structure with a fully blocked surface layer (Figure 7). The theoretical
400 curve for the blocked case was computed by setting $\phi = 1$ and $\alpha = 0$. In field applications, the
401 drag coefficient C_D and the solid volume fraction ϕ of root layer are not easily measured.
402 However, from the above discussions, we expect that the conditions will approach those of a
403 fully blocked layer, *i.e.* large $C_D a L$, because the length scales of the intrusion will be large, *e.g.*
404 from previous paragraph, $L = 10$ to 100 m. So, reasonable predictions for field conditions can
405 be made using the fully-blocked curve in Figure 9.

406

407 **5 Conclusion**

408 Differential heating between regions of open water and adjacent regions of floating vegetation
409 can produce density-driven exchange. The magnitude of exchange depends on the fluid
410 density difference, the root depth and the vegetation drag, parameterized by $C_D a L$. As the
411 intrusion length-scale (L) increases, the flow behavior approaches that of a fully blocked layer,
412 for which the normalized flow depends only on the root depth. A model developed to predict
413 the discharge agreed with measured discharge within uncertainty, for cases in the drag-
414 dominated regime ($C_D a L > 7$), which is consistent with the model assumptions. The
415 magnitude of discharge estimated for field conditions suggests that this flow could provide
416 daily flushing of vegetated regions.

417

418

418 **Acknowledgements**

419 This material is based on work supported by the National Science Foundation under grant
420 EAR0509658. Any opinions, findings or recommendations expressed herein are those of the
421 authors and do not necessarily reflect the views of the National Science Foundation.

422

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468

468

Table 1: Summary of experimental parameters.

Case	ϕ	a (m ⁻¹)	h_3/H	ρ_f (g cm ⁻³)	ρ_s (g cm ⁻³)
uncertainty	± 0.005	± 0.1	± 0.01	± 0.00005	± 0.00005
1	0.03	6.4	0.13	0.9980	1.0000
2	0.05	10.6	0.13	0.9985	0.9995
3	0.08	16.9	0.13	0.9990	1.0000
4	0.10	21.2	0.13	0.9975	0.9990
5	0.15	31.8	0.13	0.9985	1.0005
6	0.03	6.4	0.27	0.9985	1.0000
7	0.05	10.6	0.27	0.9985	0.9995
8	0.08	16.9	0.27	0.9975	0.9985
9	0.10	21.2	0.27	0.9975	0.9990
10	0.15	31.8	0.27	0.9985	0.9995
S1	1.0	-----	0.13	0.9980	1.0000
S2	1.0	-----	0.27	0.9880	1.0000

469

470

470 Table 2: Summary of experimental results.

Case	$u_i(\text{cm s}^{-1})$	$u_1(\text{cm s}^{-1})$	$u_2(\text{cm s}^{-1})$	$u_3(\text{cm s}^{-1})$	$h_2(\text{cm})$	C_D $\pm 10\%$
1	2.7 ± 0.5	1.8 ± 0.3	2.6 ± 0.3	1.5 ± 0.2	5.0 ± 0.4	5.8
2	1.9 ± 0.7	1.7 ± 0.2	3.0 ± 0.4	0.9 ± 0.3	4.5 ± 0.3	11
3	1.9 ± 0.7	1.8 ± 0.3	2.5 ± 0.4	0.5 ± 0.3	5.6 ± 0.4	21
4	2.3 ± 0.6	2.1 ± 0.2	3.2 ± 0.4	0.5 ± 0.3	5.0 ± 0.3	32
5	2.7 ± 0.5	2.6 ± 0.3	2.8 ± 0.4	0.8 ± 0.5	5.9 ± 0.7	50
6	2.3 ± 0.6	1.4 ± 0.2	2.3 ± 0.3	1.5 ± 0.2	2.5 ± 0.2	5.8
7	1.9 ± 1.0	1.5 ± 0.2	2.1 ± 0.4	0.4 ± 0.3	3.6 ± 0.6	12
8	1.9 ± 0.7	1.7 ± 0.2	2.7 ± 0.3	0.5 ± 0.3	3.8 ± 0.6	19
9	2.3 ± 0.6	1.9 ± 0.3	2.8 ± 0.4	0.8 ± 0.3	3.8 ± 0.6	26
10	1.9 ± 0.7	1.5 ± 0.3	2.5 ± 0.6	0.10 ± 0.10	5.2 ± 0.8	66
S1	2.7 ± 0.5	2.0 ± 0.2	1.5 ± 0.2	-----	5.0 ± 1.0	-----
S2	2.7 ± 0.5	2.0 ± 0.2	2.5 ± 0.2	-----	4.5 ± 0.4	-----

471

472

472

Table 3: Comparison of theoretical and measured discharge rate for case 1 to 10

Case	h_2' predicted	h_2' measured	$q(\text{cm}^2 \text{ s}^{-1})$ predicted	$q_{\text{int}}(\text{cm}^2 \text{ s}^{-1})$ measured	$q_{16}(\text{cm}^2 \text{ s}^{-1})$ measured
1	0.42	0.33 ± 0.03	18 ± 3	12.6 ± 1.7	17 ± 2
2	0.43	0.30 ± 0.02	13 ± 4	12.5 ± 0.5	15 ± 2
3	0.43	0.37 ± 0.03	13 ± 4	12.0 ± 1.8	14 ± 2
4	0.44	0.33 ± 0.02	15 ± 3	11.8 ± 1.4	17 ± 3
5	0.44	0.39 ± 0.05	18 ± 3	15.3 ± 1.6	18 ± 3
6	0.31	0.17 ± 0.05	14 ± 3	9.5 ± 1.2	11.8 ± 1.5
7	0.32	0.24 ± 0.04	8 ± 5	7.9 ± 1.2	10 ± 2
8	0.33	0.25 ± 0.04	11 ± 4	10.0 ± 1.4	12 ± 2
9	0.33	0.25 ± 0.02	13 ± 3	11.6 ± 1.5	14 ± 2
10	0.34	0.35 ± 0.06	10 ± 3	10.4 ± 1.5	11 ± 3
S1	0.45	0.40 ± 0.07	17 ± 3	12.8 ± 1.2	12 ± 3
S2	0.37	0.33 ± 0.03	15 ± 2	10.1 ± 1.0	12.3 ± 1.6

473

474

474 Figure 1: Geometry of the flow domain. The flow depth (H) is divided into three layers. The
 475 root layer has depth h_3 and velocity u_3 . The fractional root depth is h_3/H . The flow into the
 476 vegetated region that is beneath the root layer has depth h_2 and velocity u_2 . A return flow
 477 toward the open region occurs at the bed, with depth h_1 and velocity u_1 .

478

479 Figure 2: A sketch of the experimental setup. Initially, a reservoir of salt water (ρ_s)
 480 and a reservoir of fresh water (ρ_f) are separated by a removable gate. A 5-cm gap in
 481 the root layer allows PIV imaging within the root layer. The middle of the gap is
 482 located $L_g = 42$ cm from the gate. Not to scale.

483

484 Figure 3: Flow visualization using fluorescein and crystalline potassium permanganate. The
 485 image corresponds to $x = 30$ to 55 cm and $z = 0$ to 15 cm. (a) The intruding current arrives
 486 approximately 10 seconds after gate is lifted. The fluid arrives first within the root layer,
 487 indicating that up to this point the flow was in the inertial regime (b) At $t \approx 30$ sec, the front is
 488 far beyond the visualization window. Crystals of potassium permanganate dropped through
 489 the water column creates an initially vertical streak (solid line). The distortion of the dye
 490 streak (dotted line) gives an indication of the velocity field. The dashed line is estimated from
 491 PIV measurement, scaled to match the dye streak.

492

493 Figure 4: Time-averaged horizontal velocity profile for case 2 ($\phi = 0.05$, $h_3/H = 0.13$). The
 494 bottom of the floating vegetation is at 13 cm, which is marked by a horizontal line. Error bars
 495 show the standard deviation of the velocity measurement.

496

497 Figure 5: Time-averaged horizontal velocity profile for case 7 ($\phi = 0.05$ with $h_3/H = 0.28$).
 498 The bottom of the floating vegetation is at 11 cm, which is marked by a horizontal line. Error
 499 bars indicate the standard deviation in the velocity measurement.

500
 501 Figure 6: Velocity ratio α estimated from measured profiles for cases 1 to 5 (X, $h_3/H = 0.13$)
 502 and for cases 6 to 10 (circle, $h_3/H = 0.27$). The scale constant K is found by fitting Eqn (8),
 503 solid line, $K = 0.75 \pm 0.04$. The power-law fit is $\alpha = 1.06 (C_D a L_g)^{-0.50}$, $R^2 = 0.77$.

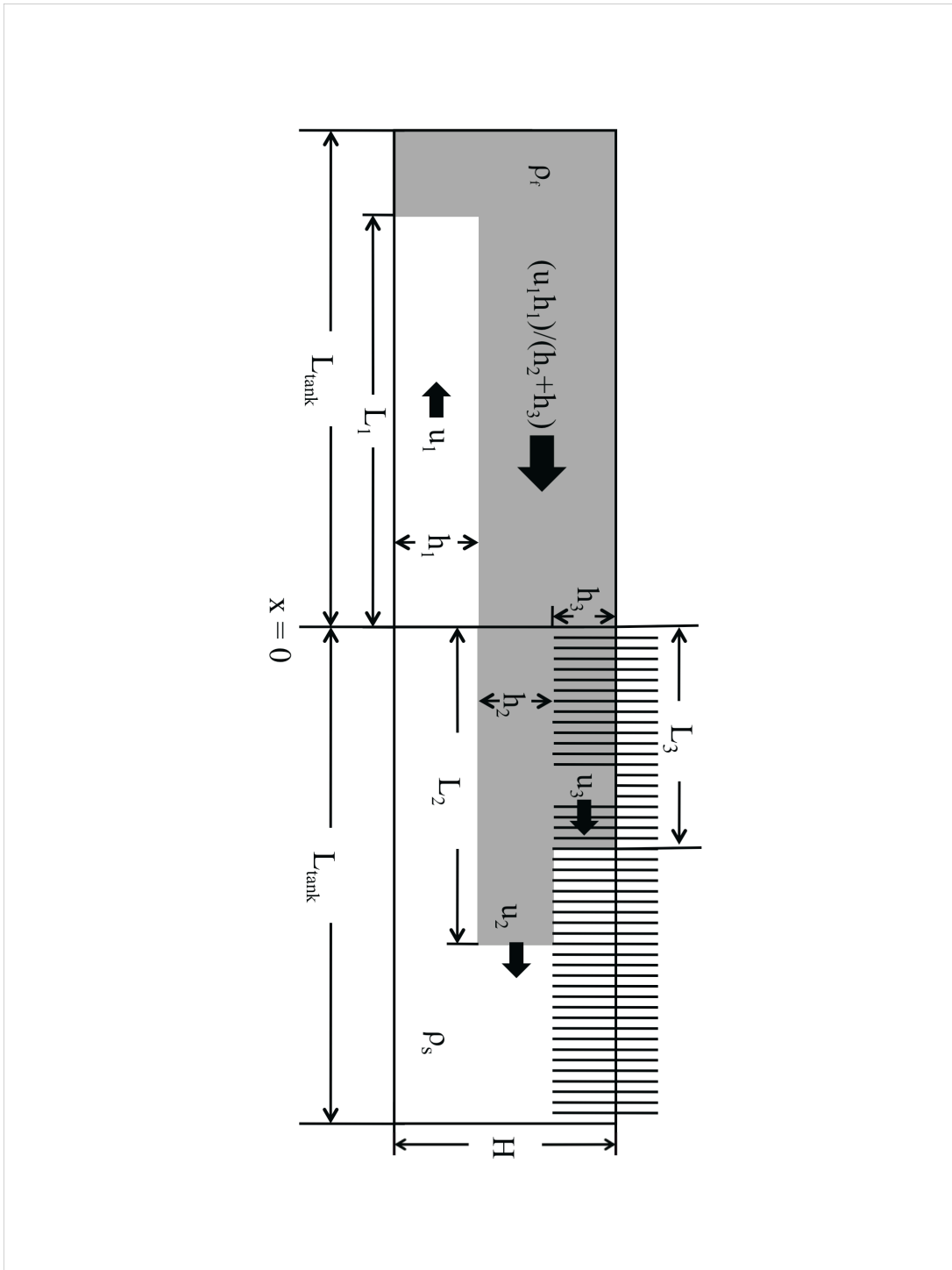
504
 505 Figure 7: Time-averaged horizontal velocity profile for case 10 (closed circle, $\phi = 0.15$, $h_3/H =$
 506 0.27) and case S2 (open circle, fully blocked, $h_3/H = 0.27$).

507
 508 Figure 8: Ratio of measured to predicted (q_{mod}) exchange flow rate versus $C_D a L_g$. Measured
 509 flow rate based on eqn. 16, q_{16} (X). Measured flow rate based on integration of $u(z)$, q_{int}
 510 (square). The unobstructed condition is included for comparison (circle). The average ratios
 511 for cases clearly in the drag-dominated regime ($C_D a L_g > 7$), are $q_{int}/q_{mod} = 0.92 \pm 0.12$ (S.D.)
 512 and $q_{16}/q_{mod} = 1.06 \pm 0.14$ (S.D.), both of which indicate agreement with model predictions,
 513 within uncertainty.

514
 515 Figure 9: Normalized discharge rate $q/u_i H$ versus fractional penetration depth h_3/H for
 516 different values of $C_D a L$ (dashed lines). The right axis shows corresponding discharge rate
 517 per unit width in $\text{cm}^2 \text{s}^{-1}$, for $\Delta T = 2 \text{ }^\circ\text{C}$ and $H = 1 \text{ m}$. The solid line corresponds to a fully-
 518 blocked root layer.

519

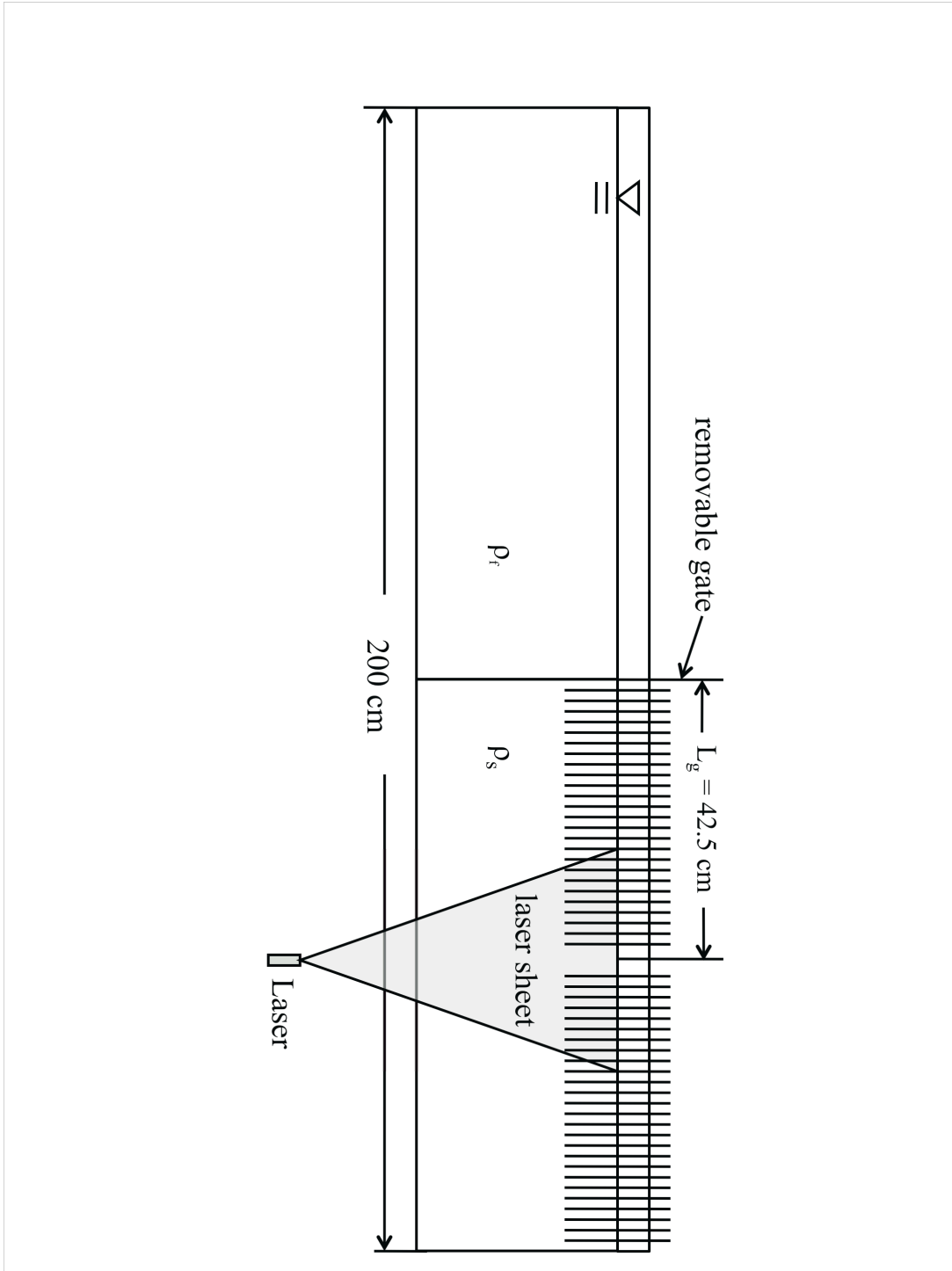
519 Figure 1



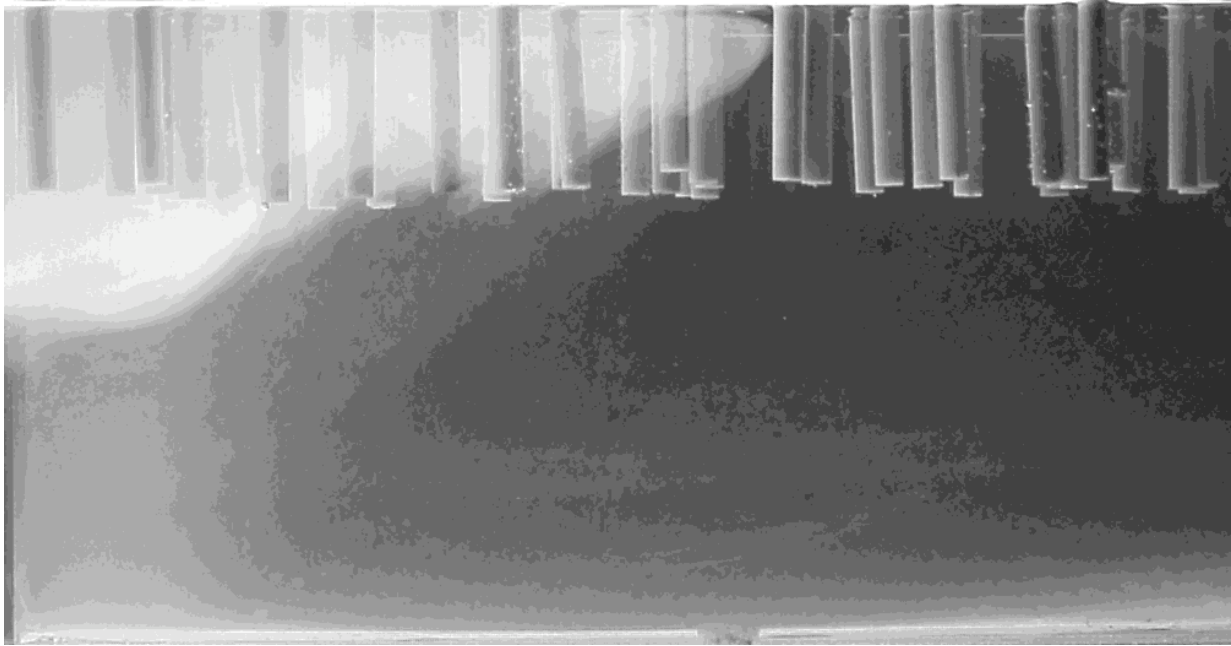
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521 Figure 2



523 Figure 3a

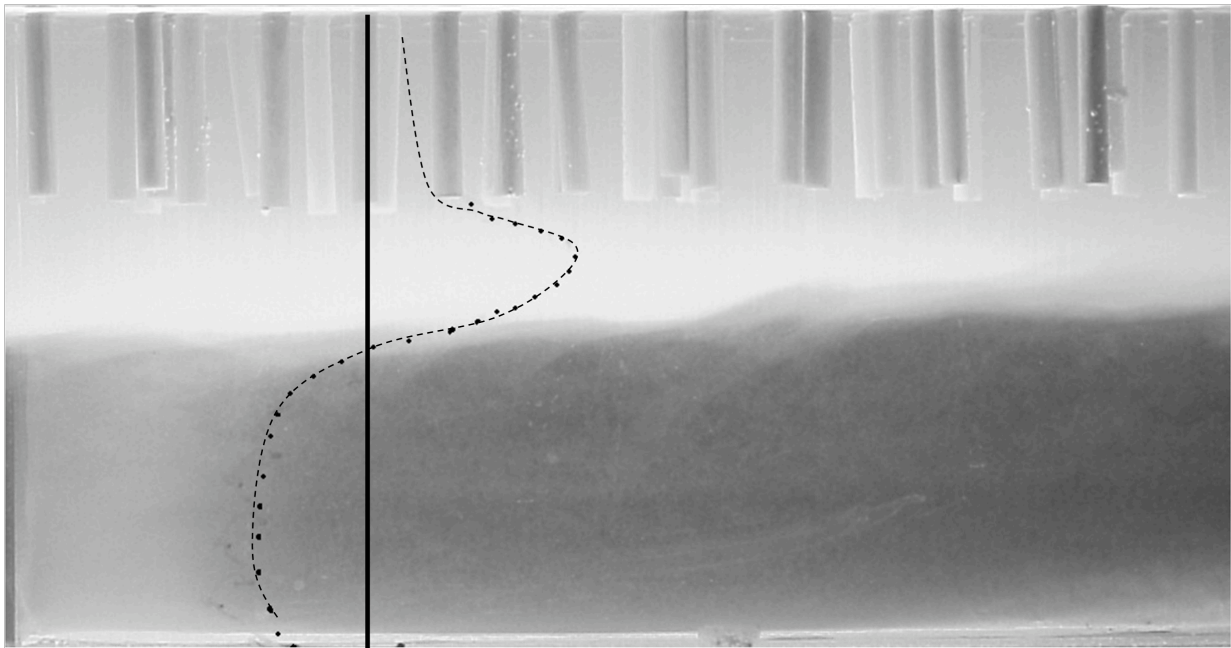


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526 Figure 3b

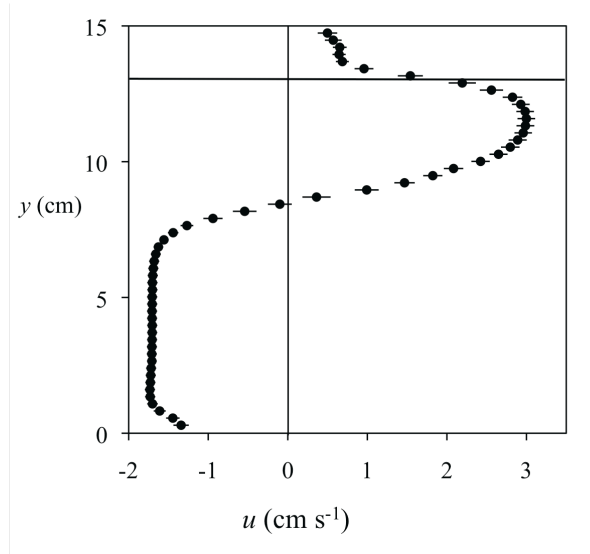
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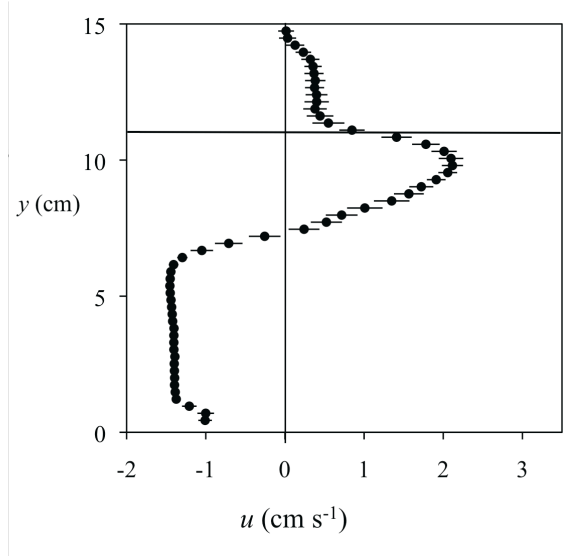
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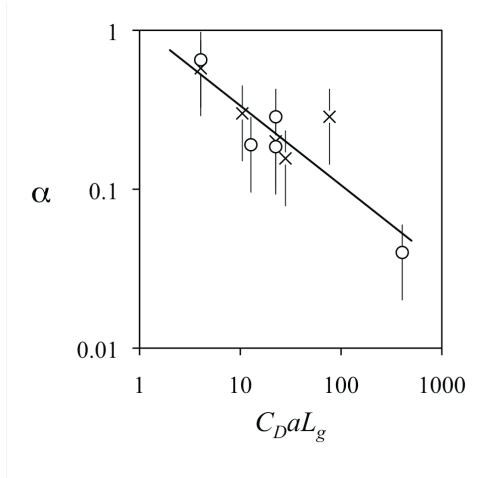
529 Figure 4



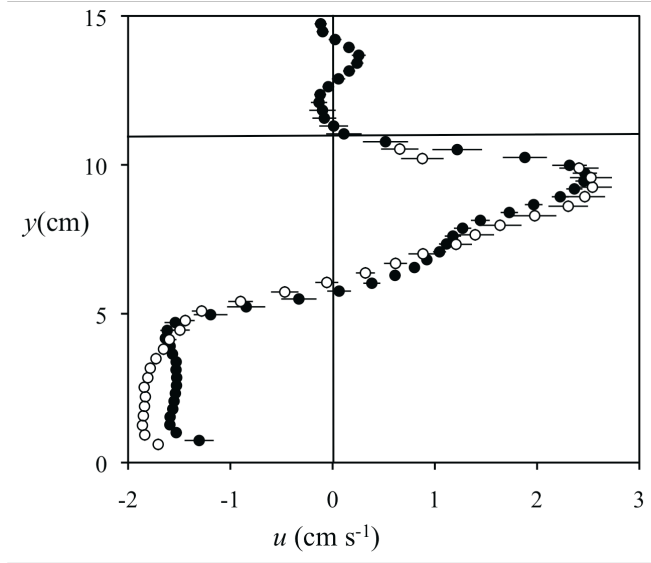
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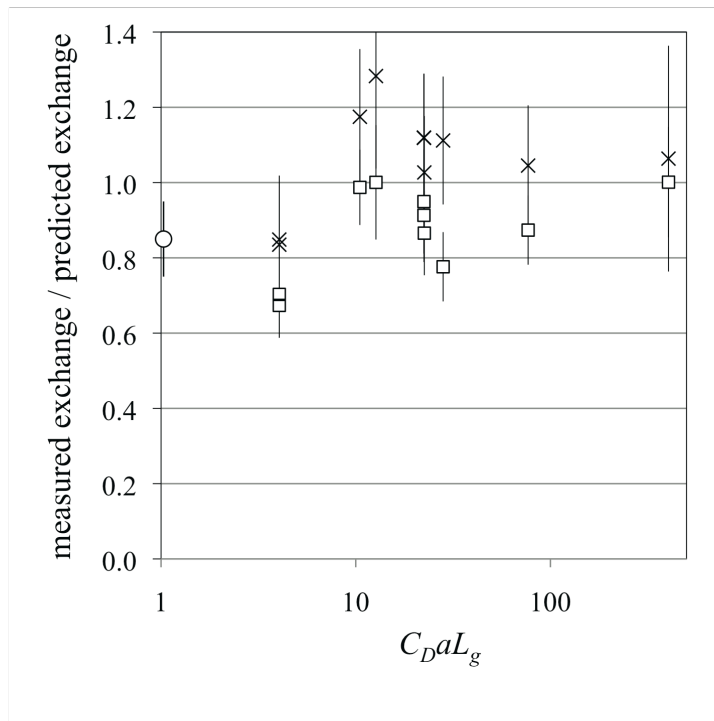
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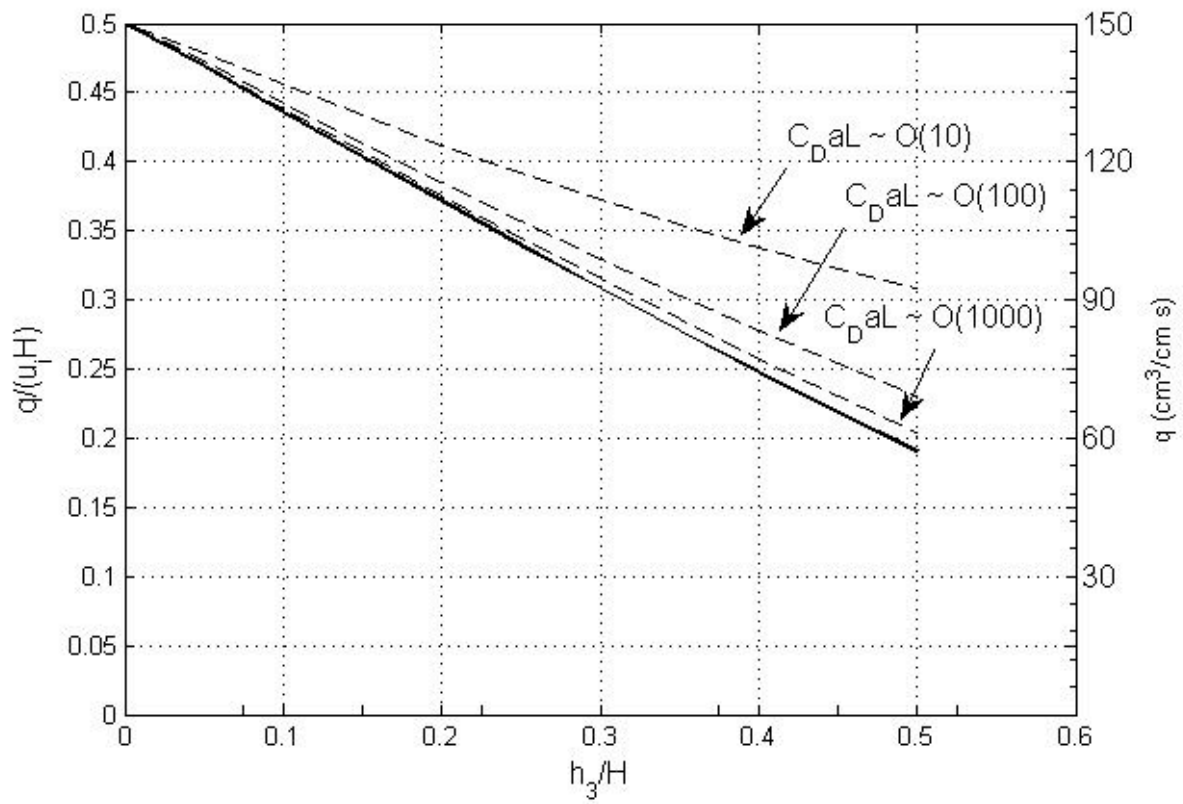
535 Figure 7



537 Figure 8



539 Figure 9



540