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**Metatranscriptomic analysis of autonomously collected and preserved marine  
bacterioplankton**

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**Running Title:** Autonomous sampling for marine metatranscriptomics

32 **Abstract**

33 Planktonic microbial activity and community structure is dynamic, and can change on  
34 time scales of hours to days. Yet for logistical reasons, this temporal scale is typically under-  
35 sampled in the marine environment. In order to facilitate higher-resolution, long-term  
36 observation of microbial diversity and activity, we developed a protocol for automated collection  
37 and fixation of marine microbes using the Environmental Sample Processor (ESP) platform. The  
38 protocol applies a preservative (RNALater) to cells collected on filters, for long-term storage and  
39 preservation of total cellular RNA. Microbial samples preserved using this protocol yielded  
40 high-quality RNA after thirty days of storage at room temperature, or onboard the ESP at *in situ*  
41 temperatures. Pyrosequencing of cDNA libraries generated from ESP-collected and preserved  
42 samples yielded transcript abundance profiles nearly indistinguishable from those derived from  
43 conventionally-treated replicate samples. To demonstrate the utility of the method, we used a  
44 moored ESP to remotely and autonomously collect Monterey Bay seawater for  
45 metatranscriptomic analysis. Community RNA was extracted and pyrosequenced from samples  
46 collected at four time points over the course of a single day. In all four samples, the oxygenic  
47 photoautotrophs were predominantly eukaryotic, while the bacterial community was dominated  
48 by *Polaribacter*-like *Flavobacteria* and a *Rhodobacterales* bacterium sharing high similarity  
49 with *Rhodobacterales* sp. HTCC2255. However, each time point was associated with distinct  
50 species abundance and gene transcript profiles. These laboratory and field tests confirmed that  
51 autonomous collection and preservation is a feasible and useful approach for characterizing the  
52 expressed genes and environmental responses of marine microbial communities.

53

54 **Keywords:** metatranscriptomics / gene expression / automated sampling / marine  
55 bacterioplankton / RNA preservation / Monterey Bay

56 **Introduction**

57 Community sequencing techniques have become a prominent tool in microbial ecology.  
58 Marine environments have been the focus of major metagenomic surveys, which have provided  
59 insight into microbial gene content and community structure (DeLong et al 2006, Feingersch et  
60 al, 2009, Martin-Cuadrado et al 2007, Rusch et al 2007, Venter et al 2004). Metatranscriptomic  
61 analyses, with their focus on the transcriptional activity of the community, are also yielding  
62 insight into community function and gene regulation (Frias-Lopez et al 2008, Hewson et al,  
63 Poretsky et al 2009, Shi et al 2009). However, current protocols for such studies require manual  
64 sample processing and shipboard collections, and as a result sampling schemes are often limited  
65 by ship availability, shipboard sampling logistics, and expense. Given the importance of  
66 episodic nutrient delivery events in modulating biogeochemical cycles (Fasham et al 2001, Karl  
67 et al 2001, Karl 2002), new tools for observation and sampling of microbes are needed to  
68 facilitate observation of microbial processes at ecologically meaningful temporal and spatial  
69 scales.

70 The purpose of this study was to develop protocols for automated collection and  
71 preservation of samples for transcriptomic analysis using the Environmental Sample Processor  
72 (ESP), an automated platform for water sampling and molecular analysis. The ESP is an  
73 automated fluid handling system that collects and processes biological samples from seawater  
74 (Scholin et al 2009). Current real-time capabilities include array-based detection of target  
75 organisms including harmful algal species (Greenfield et al 2006, Greenfield et al 2008,  
76 Haywood et al 2007), invertebrate larvae (Goffredi et al 2006, Jones et al 2008), and major  
77 bacterial and archaeal clades (Preston et al 2009). Quantitative PCR capabilities are also  
78 currently in development (Scholin et al 2009). The ESP can return samples preserved in a saline-  
79 ethanol solution, but sample analysis has been primarily limited to *in situ* hybridization  
80 techniques (Goffredi et al 2006, Greenfield et al 2006, Greenfield et al 2008, Jones et al 2008).  
81 To extend the scope of laboratory analysis of archived samples, new protocols for sample  
82 preservation were developed for gene expression analysis and transcriptomics.

83 In this study, we validated and field-tested protocols for automated collection and  
84 preservation of community mRNA from samples of marine bacterioplankton. Together with the  
85 ESP platform (Scholin et al 2009), these protocols enable the autonomous collection of samples  
86 and their return to the laboratory for transcriptional analysis. As the ESP also incorporates  
87 standard oceanographic instrumentation and the ability to transmit collected data and receive  
88 remote commands, environmental context monitoring and event response are also possible.  
89 Following successful laboratory tests, our protocols were applied in field deployments. We used  
90 the ESP and previously developed metatranscriptomic protocols to analyze microbial community  
91 gene expression in Monterey Bay, a coastal system that has been the focus of previous molecular  
92 microbial community analyses (Mincer et al 2007, O'Mullan and Ward 2005, Rich et al 2010,  
93 Suzuki et al 2001, Suzuki et al 2004). Transcriptomic analysis of ESP-collected surface water  
94 samples provided high resolution sequence data useful in determining the identity, relative  
95 abundance, and expressed gene profiles of predominant marine bacterioplankton.

96

## 97 **Materials and Methods**

### 98 *ESP Operation for Sample Archival*

99 Only methods for ESP sample archival are presented here; for a full description of ESP  
100 operation see Scholin et al (2009) and Roman et al (2007). Samples archived by the ESP for  
101 metatranscriptomic analysis were collected in titanium sample “pucks” (filter holders) containing  
102 the following 25 mm diameter filters stacked from top to bottom: a 5 µm Durapore prefilter  
103 (Millipore), a 0.22 µm Durapore sample filter, 0.45 µm Metricell backing filter (Pall Gelman  
104 Corporation), and a 10 µm sintered frit (Chand Eisenmann Metallurgical); see Greenfield et al.  
105 (2006) for details. Pucks are stored in a rotating carousel in the ESP. A robotic mechanism  
106 transfers fresh pucks from the carousel to a collection position where they are immobilized and  
107 connected to the ESP’s sample acquisition and reagent fluid handling system. Collection of  
108 samples was achieved by drawing seawater through the stacked filters with repeated pulls of a 25  
109 cc syringe. Between pulls, filtrate was exhausted back to the environment. The instrument

110 maintained a +10 psi pressure differential across the filter puck throughout sample collection.  
111 Filtration continued until the desired sample volume (1 L) was reached, or until the flow rate fell  
112 below 25 ml in 2.5 minutes (after which filtration was terminated and the filtered volume  
113 recorded). The material retained on the filter was then preserved with two, 20 min incubations  
114 with 1 ml of RNAlater (Ambion). Following sample collection and preservation, the puck was  
115 removed from the collection station and returned to the storage carousel. The sample intake line  
116 was then flushed with a 0.2% (v/v) sodium hypochlorite solution, which remained in the line  
117 until the next sampling event. Immediately prior to collection of the next sample, the sample  
118 intake line was flushed with a dilute Tween-20 solution (0.05 %, v/v), a fresh puck was loaded  
119 from the carousel and the sample archival procedure repeated. Pucks remained onboard the  
120 instrument (at *in situ* temperatures and under an N<sub>2</sub> gas atmosphere) until the end of the full  
121 deployment. After deployment, the 5 and 0.22 µm filters were recovered and stored at -80 °C  
122 until use. Metagenomic and metatranscriptomic studies were performed only on the 0.22 µm  
123 filters.

124 To mimic *in situ* sampling conditions, seawater collected for processing in the laboratory  
125 was loaded into a dispensing pressure vessel (Millipore), attached to the intake and exhaust  
126 valves of the ESP and pressurized to 20 psi, to simulate conditions at ~18 m from the sea surface.  
127 Collection and processing then proceeded as described above.

128

#### 129 *Validation of Fixation Protocols*

130 To examine the long-term stability of RNA following fixation, a near-shore sample was  
131 collected from the Monterey Bay Municipal Pier and pre-filtered through a 10 µm Nitex mesh.  
132 The ESP then collected and preserved five replicate samples using the protocol described above.  
133 Following collection of all samples, filter pucks were removed from the instrument and stored at  
134 room temperature under conditions that mimic deployment (in a sealed container with an N<sub>2</sub>  
135 atmosphere and damp paper towels to generate humidity). Pucks were retrieved at one week  
136 intervals, and the sample filters removed and stored at -80 °C. Following completion of the time

137 series, total RNA was extracted from all 0.22  $\mu\text{m}$  filters simultaneously as described below. The  
138 integrity of the recovered RNA was evaluated using a Bioanalyzer high-sensitivity  
139 electrophoresis system and the RNA 6000 Pico mRNA protocol (Agilent).

140 To evaluate the effect of long-term preservation on metatranscriptomic profiles, an ESP-  
141 collected and preserved sample was compared against a sample collected by vacuum filtration.  
142 Seawater was collected from the Santa Cruz (California) municipal wharf with one aliquot  
143 dedicated for ESP processing (as above) and a second for collection using traditional laboratory  
144 vacuum filtration. The material collected using the latter method was immediately flash frozen  
145 in liquid nitrogen, and stored at  $-80\text{ }^{\circ}\text{C}$  until extraction. The ESP-processed aliquot of that same  
146 sample remained on the instrument during a field test of the ESP at MBARI's station M0 (36.83  
147  $^{\circ}\text{N}$ , 121.90  $^{\circ}\text{W}$ ) from April 6, 2009 to April 29, 2009. Following recovery of the instrument,  
148 both ESP- and vacuum-collected samples were extracted and used for metatranscriptomic  
149 analysis as described below. To further validate collection and preservation protocols, samples  
150 collected *in situ* over the course of the deployment were also used for community RNA  
151 extraction and the RNA quality examined by size fractionation.

152 To further evaluate the effect of automated collection and preservation on  
153 metatranscriptomic profiles, ESP-collected and preserved samples were compared to a replicate  
154 sample processed by peristaltic pump filtration. Water was collected in Monterey Bay (36.7173  
155  $^{\circ}\text{N}$ , 122.1147  $^{\circ}\text{W}$ ,  $\sim 9$  km from MBARI station M1) at 00:13 local time on June 8, 2009 by CTD  
156 rosette at 30 m depth. 1 L was processed by ship-board ESP under normal conditions ( $\sim 1$  hr  
157 sample time). A replicate sample of 0.5 L was processed for RNA extraction using a standard  
158 peristaltic pump filtration protocol, as described previously (Frias-Lopez et al 2008). Following  
159 peristaltic pump filtration, the 0.22  $\mu\text{m}$  filter was immediately submersed in 300  $\mu\text{L}$  of RNA  
160 Later and stored at  $-80\text{ }^{\circ}\text{C}$ , with a total time of  $\sim 30$  min from seawater collection to preservation.  
161 To provide DNA template for synthesis of sample-specific rRNA subtractive hybridization  
162 probes, an additional 9.8 L of water from the same sample was collected for DNA extraction  
163 with the same prefilter but using a 0.22  $\mu\text{m}$  pore size Sterivex filter (Millipore). The Sterivex

164 filter was subsequently filled with 2 mL lysis buffer (50 mM Tris-HCl, 40 mM EDTA, and 0.75  
165 M sucrose) and stored at -80 °C.

166

### 167 *Field Tests in Monterey Bay*

168 Monterey Bay time series samples were collected during a deployment of the ESP at  
169 MBARI's station M0 from May 14 to June 11, 2009. Sample pucks from that deployment were  
170 recovered and processed (filters separated and placed in sterile tubes at -80 °C) on June 12. The  
171 AUV *Dorado* was repeatedly deployed from June 2-4, 2009, to survey water masses and  
172 phytoplankton variability in an area surrounding the ESP mooring. Details of AUV sensors,  
173 operation, and data processing can be found in Ryan et al. (2010b).

174 DNA for metagenomic sequencing and rRNA probe synthesis was extracted from  
175 seawater collected by CTD rosette from a ship in close proximity to the ESP on June 2, 2009 at  
176 0830 local time. A seawater sample collected by the AUV *Dorado* using the *Gulper* water  
177 sampling system (Ryan et al 2010a) on June 4th was used for DNA extraction and rRNA probe  
178 synthesis, but was not sequenced. Both DNA samples were filtered using Sterivex filters and  
179 stored in lysis buffer as described in the previous section.

180

### 181 *Nucleic Acid Extraction and Subtractive Hybridization*

182 Total RNA was extracted from filters as described previously (Frias-Lopez et al 2008).  
183 Briefly, community RNA was extracted using the *mirVana* kit (Ambion). Turbo DNase  
184 (Ambion) was used to remove genomic DNA, and the resulting samples purified and  
185 concentrated using the RNeasy MinElute cleanup kit (Qiagen). RNA extraction yields for all  
186 samples are summarized in Table 1.

187 DNA was extracted and purified using the QuickGene 610L system (Fujifilm) and DNA  
188 Tissue Kit L with a modified lysis protocol. 2 mg of lysozyme in lysis buffer (described above)  
189 was added to thawed Sterivex filters, which were incubated with rotation to mix at 37 °C for 45  
190 min. 100 µL each buffers DET and MDT were added, and the sample incubated at 55 °C for 2



191 hr. with rotation. The lysate was decanted from the filter, 2 ml LDT solution, and incubated at  
192 55 °C for a further 15 min. 2.7 ml EtOH was added, and the sample loaded onto the QuickGene  
193 instrument for purification according to the DNA Tissue protocol.

194 Antisense rRNA probes for subtractive hybridization were prepared as described  
195 previously (Stewart et al 2010). In brief, universal bacterial, archaeal, and eukaryotic SSU and  
196 LSU primers with attached T7 promoters were used in PCR reactions with Herculase II Fusion  
197 DNA polymerase (Stratagene) to generate templates for antisense-rRNA probe synthesis.  
198 Biotin-labeled antisense rRNA probes were generated from the PCR products using the  
199 MegaScript T7 kit (Ambion). The Santa Cruz municipal pier samples used for the vacuum/ESP  
200 comparison lacked a paired DNA sample, so PCR was instead performed on first-strand cDNA  
201 prepared with the SuperScript III kit (Invitrogen) with random primers and 40 ng of the ESP-  
202 collected total RNA sample.

203 Subtractive hybridization was carried out using published protocols (Stewart et al 2010).  
204 Hybridization reactions were carried out on 200 ng of total RNA and sample-specific antisense  
205 rRNA probe mixtures. For the Santa Cruz wharf vacuum/ESP comparison, the original, two-step  
206 hybridization protocol was followed, using 200 ng total RNA and 250 ng each of the SSU and  
207 LSU rRNA bacterial probes. For the remaining samples, the amended protocol presented in the  
208 supplementary materials of that paper was utilized. Station M1 samples utilized probes  
209 synthesized from the paired DNA sample, with 400 ng each bacterial SSU and LSU, 200 ng each  
210 archaeal SSU and LSU, and 300ng each eukaryotic SSU and LSU. Station M0 samples utilized  
211 probes generated from both the June 2 (CTD-collected) and June 4 (AUV-collected) DNA  
212 samples at 0.75X concentration (eg. 300ng June 2 bacterial SSU + 300ng June 4 bacterial SSU).  
213 For the station M0 samples, the archaeal SSU rRNA primers exhibited non-specific  
214 amplification, and as a result no archaeal SSU rRNA probes were included. rRNA-probe  
215 duplexes were subsequently bound to Streptavidin-coated magnetic beads, and removed from the  
216 total RNA preparation. Following this procedure, samples were purified and concentrated using  
217 the RNeasy MinElute cleanup kit.

218 rRNA-subtracted (and unsorted total RNA) samples were amplified as described  
219 previously (Frias-Lopez et al 2008). In brief, RNA was amplified using the MessageAmp II  
220 Bacteria kit (Ambion) and a poly-T primer with an additional 5' BpmI restriction site. First-  
221 strand cDNA was synthesized from the aRNA using random primers and SuperScript III  
222 (Invitrogen), second-strand cDNA synthesized using DNA pol I, *E. coli* ligase, and T4 DNA  
223 polymerase (Invitrogen), and remaining poly-A tails removed by digestion with BpmI (NEB).

224 All samples were sequenced using the 454 Genome Sequencer (Roche).  
225 Metatranscriptomic samples were prepared and sequenced using the GS FLX protocol, and the  
226 metagenomic DNA sample using the GS FLX Titanium protocol. Library preparation and  
227 sequencing was carried out according to the manufacturer's protocols.

228

### 229 *Sequence Processing and Annotation*

230 Sequencing and annotation statistics for each sample are summarized in Table 2.  
231 Sequences derived from rRNA were identified using BLASTN with a bit score cutoff of 50  
232 against a database composed of 5S, 16S, 18S, 23S, and 28S rRNA sequences from microbial  
233 genomes and the SILVA LSU and SSU databases ([www.arb-silva.de](http://www.arb-silva.de)). Non-rRNA sequences  
234 with identical start sites (first 3 bp), 99% identity, and < 1 bp length difference were identified as  
235 probable artificially duplicated sequences (Stewart et al 2010) and removed using the cd-hit  
236 program (Li and Godzik 2006) and scripts developed by Gomez-Alvarez et al. (2009). Non-  
237 rRNA sequences were compared to the November 3, 2009 version of NCBI's non-redundant (nr)  
238 protein database reference databases using BLASTX. Unless otherwise specified, a bit score  
239 cutoff of 50 was used to identify significant matches to the database.

240 For pairwise comparisons of metatranscriptomic profiles, each sequence was assigned to  
241 a single reference gene in the NCBI-nr database based on BLASTX alignment bit score. When a  
242 single sequence aligned equally well to multiple potential reference genes, it was assigned to the  
243 reference gene that was most frequently identified in the dataset. Reference gene abundances  
244 were compared using the cumulative form of the AC Test (Audic and Claverie 1997) and an

245 FDR correction for multiple comparisons (Benjamini and Hochberg 1995); details of how these  
246 tests were conducted are in the supplementary online materials.

247 The MEGAN program (Huson et al 2007) was used to assign sequences to a higher-order  
248 taxonomy. All analyses used a bit score cutoff of 50 and database matches with bit scores within  
249 10% of the top-scoring hit. Unique non-rRNA sequences from both subtracted and unsubtracted  
250 sequence datasets were pooled and assigned to the NCBI taxonomy based on the results of a  
251 BLASTX search of the NCBI nr database. rRNA genes were assigned to the NCBI taxonomy  
252 using manually curated rRNA databases constructed based on the approach used by Urich et al.  
253 (2008) as described in the supplementary online materials. Only rRNA-unsubtracted samples  
254 were utilized in taxonomic analysis of putative rRNA sequences. Due to the higher copy number  
255 and lower genetic diversity of rRNA genes compared to mRNA genes, rRNA taxonomies were  
256 constructed without removal of duplicates.

257 Analyses of gene expression in the *Rhodobacterales* sp. HTCC2255 and *Polaribacter*  
258 taxonomic groups used all sequences for which the taxon in question was among the top-scoring  
259 database matches (all matches with bit scores equal to the highest-scoring alignment were  
260 considered). For composite analyses of *Polaribacter* expression, read counts and annotations for  
261 genes shared by both *Polaribacter irgensii* 23-P and *Polaribacter* sp. MED152 were combined.  
262 Shared genes were defined as reciprocal best BLASTP hits with e-value  $< 1 \times 10^{-5}$ , and at least  
263 80% alignment coverage for both genes. The draft version of the *Rhodobacterales* sp.  
264 HTCC2255 genome has a large number of contigs that were annotated as contamination and  
265 removed from the genome scaffolds. These contigs (and the 2267 CDS identified within them)  
266 were not identified in surveys of Monterey Bay and were excluded from our genome analyses.

267

#### 268 *Functional Comparison using KEGG Gene Categories*

269 For comparisons of differences in community function, sequences were assigned to  
270 functional categories based on KEGG orthology groups (Kanehisa and Goto 2000). For bulk  
271 community-level analyses, sequence reads were assigned a single reference gene in the Nov. 7,

272 2009 version of the KEGG database as described above for the NCBI-nr database, with the  
273 additional weighting factor that proteins that were assigned to a KEGG ortholog category were  
274 preferred when choosing between multiple matches with identical alignment scores and  
275 frequencies in the dataset. For analyses focused on *Polaribacter* and HTCC2255, the KAAS  
276 automated annotation pipeline (Moriya et al 2007) was used to annotate each reference genome.  
277 KEGG ortholog counts for each taxon were then compiled using all sequences for which the  
278 taxon in question is among the top-scoring hits by BLASTX against NCBI-nr database (all hits  
279 with bit scores equal to the highest-scoring alignment).

280 KEGG Pathway counts were generated based on the total number of sequences assigned  
281 to KO annotations within that pathway (due to functional overlap, some orthologs were  
282 represented in multiple pathways). All comparisons used KEGG Pathway rather than BRITE  
283 hierarchies, and pathways within the “Human Diseases” or “Organismal Systems” hierarchies  
284 were not analyzed. Both ortholog and pathway counts for each sample were normalized to the  
285 total number of non-rRNA sequences with significant hits to the KEGG database (for bulk  
286 community analyses) or to the total number of sequences assigned to the taxon in question  
287 (analyses focused on HTCC2255 or *Polaribacter*). Statistical evaluation of KEGG pathway  
288 abundances used in-house R scripts utilizing a methodology explained in detail in the  
289 supplementary online materials.

290

## 291 **Results and Discussion**

### 292 *Validation of ESP Preservation Protocols*

293 Following collection and preservation on the ESP, marine bacterioplankton samples were  
294 found to be stable for at least four weeks (Figure 1). In one experiment, replicate samples of a  
295 near-shore surface water sample were filtered and preserved using an ESP in the laboratory and  
296 stored under ESP-like conditions at room temperature (high humidity, N<sub>2</sub> atmosphere). Each of

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297 the five samples that were processed at weekly intervals had similar yields (Table 1), and gel  
298 electrophoresis indicated comparable RNA quality (discrete 16S and 23S rRNA peaks with no  
299 obvious degradation) (Figure 1a). In a separate field test, RNA was extracted from cells that had  
300 been filtered and fixed by the ESP over the course of a 29-day deployment in Monterey Bay.  
301 These *in situ* filtered and fixed cells also yielded high yields of RNA (Table 1) with comparable  
302 high quality over all time points sampled (Figure 1b). We conclude that the ESP sampling and  
303 preservation protocol can provides material that is sufficient for downstream extraction of high  
304 quality, high yield total cellular RNA.

305 To evaluate preservation of mRNA, a near-shore surface water sample taken from the  
306 municipal wharf in Santa Cruz, California was processed via the ESP for filtration and fixation,  
307 and then subjected to 29 days of storage on board the instrument during a deployment in  
308 Monterey Bay. This sample, and a control sample that was filtered in parallel by vacuum  
309 filtration and immediately flash frozen, were used to prepare a community transcriptome  
310 pyrosequencing library. Transcript abundance profiles for the conventionally-processed flash-  
311 frozen sample and the 29-day ESP-preserved sample were highly similar, with only 6 out of  
312 17,284 transcripts showing significantly different abundances in the two samples (Figure 2,  
313 Table 3). An ESP-processed and preserved sample collected near MBARI station M1 in  
314 Monterey Bay was also compared to a replicate sample processed by rapid peristaltic pump  
315 filtration. This pair of samples again yielded similar transcript abundance profiles, with  
316 significant differences for 28 out of 35036 reference genes (Figure S1, Table S1).

317 Both of our comparisons of ESP- and manually-processed samples yielded numbers of  
318 significantly different references, and percentages of sequence reads mapping to significantly  
319 different transcripts, that were within the range typically observed for technically replicated  
320 metatranscriptomic profiles (Stewart et al, 2010; see Table S2 for comparisons recalculated using  
321 our updated database and cutoffs). In fact, the sample subjected to long-term storage on board  
322 the instrument (Santa Cruz wharf ESP) was more similar to its manually processed control (in  
323 terms of taxonomic composition and significantly different transcripts) than were the paired

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324 ship-board samples (Station M1 PP and ESP), in which the ESP-collected sample was  
325 immediately removed from the instrument and stored at -80 °C (Table 4). This suggests that the  
326 water filtration method used may have more impact on the observed metatranscriptomic profile  
327 than the length of time between preservation and removal to low-temperature, permanent  
328 storage.

329

#### 330 *Metatranscriptomic Analysis of Monterey Bay Surface Water Samples*

331 Four time points during a deployment of the ESP in Monterey Bay surface waters were  
332 chosen for transcriptomic sequencing. These time points represented transcriptomic profiles of  
333 microbial communities at dawn (5-6 am), late morning (10-11 am), dusk (6-7 pm), and night (10-  
334 11 pm) on June 2, 2009. As the ESP was deployed in a moored configuration, these samples  
335 represented distinct microbial populations from water masses with different physical and  
336 chemical conditions (Figures S2 and S3, Table S3). To provide genomic context and additional  
337 information on population structure, community DNA was extracted and sequenced from a 10L  
338 water sample collected near the ESP on June 2, 2009.

339

#### 340 *Taxonomic Composition of Station M0 Metagenomic and Metatranscriptomic Samples*

341 Metagenomic and metatranscriptomic sequences were assigned to taxonomic groups  
342 using MEGAN (Huson et al 2007) to analyze both protein-coding regions and rRNA small  
343 (SSU) and large (LSU) subunit sequences (Figure 3). The metagenomic dataset showed a  
344 similar taxonomic composition among all transcript types, with a primarily bacterial community  
345 dominated by flavobacteria and alpha- and gamma-proteobacteria. Cyanobacterial transcripts  
346 represented only a small proportion of the library, suggesting that primary production in these  
347 samples was dominated by eukaryotic phytoplankton. A diversity of transcripts from eukaryotic  
348 nano- and pico-plankton capable of passing through the 5µm prefilter were detected in the  
349 samples. SSU rRNA-based analysis showed a higher representation of eukaryotic organisms in  
350 metatranscriptomic samples than in the metagenome, consistent with previous observations that

351 eukaryotic picoplankton exhibit higher transcriptional activity relative to genomic abundance  
352 than bacteria (Man-Aharonovich et al 2010). In taxonomic analyses of LSU rRNA and coding  
353 sequences, eukaryotes represented a much smaller proportion of assigned sequences than  
354 expected based on the SSU rRNA results, but this may be due to limited database coverage of  
355 marine picoeukaryotes.

356 The annotated protein-coding transcript pool contained two particularly abundant  
357 organisms/groups. The first was an alpha proteobacterium closely related to *Rhodobacterales* sp.  
358 HTCC2255. HTCC2255 is a proteorhodopsin-containing *Roseobacter* of the NAC11-7 clade  
359 (Newton et al 2010, Yooseph et al 2010) isolated by dilution-to-extinction near the coast of  
360 Oregon as part of the high throughput culture collection (Connon and Giovannoni 2002).  
361 HTCC2255 was also the most frequently identified taxon in both of the water samples used for  
362 validation studies, constituting >25% of assignable non-rRNA sequences in the two Santa Cruz  
363 wharf transcriptomes and ~10% of assignable sequences from the Station M1 transcriptomes  
364 (Figure S4). The second was a *Flavobacterium* most closely related to the two sequenced  
365 *Polaribacter* species, *Polaribacter* sp. MED152 (González et al 2008) and *P. irgensii* 23-P  
366 (Gosink et al 1998). These two reference genomes together represented 8-9% of sequences from  
367 the Santa Cruz wharf, but < 2% of sequences from the Station M1 samples.

368 Other bacteria representing significant proportions of the community included gamma  
369 proteobacterium HTCC2207 (Stingl et al 2007), a diversity of *Flavobacteria* including  
370 *Flavobacterium* MS024-2A (Woyke et al 2009), and eubacterium SCB49 (Yooseph et al 2010).  
371 *Ostreococcus* (Derelle et al 2006, Palenik et al 2007) and *Micromonas* (Worden et al 2009)  
372 picoplankton were among the most abundant eukaryotic sequences identified in annotated  
373 transcripts, but rRNA-based analysis suggests a large diversity of eukaryotes without sequenced  
374 genomes were present in the samples.

375 Across the four time points, the community composition remained broadly similar, with  
376 Whittaker's index of association (Whittaker 1952) values between 0.68-0.85 for NCBI taxon  
377 counts (Table S2). The five most abundant bacterial taxa dominated in all four samples (Figure

378 S5), although the picoeukaryote *Ostreococcus lucimarinus* showed more dramatic shifts in  
379 abundance between the different samples. All taxa present at >0.02% of uniquely assignable  
380 transcripts in at least one sample were detected in all four samples. However, the relative  
381 abundances of these organisms varied dramatically. One of the largest changes was in *O.*  
382 *lucimarinus*-like sequences, which ranged from 0.2% to 6.2% of transcripts, while MED152-like  
383 sequences ranged from 5.5-16.8% and HTCC2255-like sequences from 9.12-16.7%. This  
384 variability is greater than the differences seen in comparisons of abundant taxa in transcriptomic  
385 profiles at different depths in the euphotic zone at station ALOHA (Shi et al 2010) or of day and  
386 night samples collected two days apart at a single station in the North Pacific Subtropical gyre  
387 (Poretsky et al 2009). However, such comparisons cannot independently discriminate between  
388 changes in organism abundance and changes in activity level (cellular RNA content). For  
389 example, in incubation experiments where dimethylsulfoniopropionate (DMSP) was added to  
390 oligotrophic waters, noticeable shifts in transcript taxonomic composition were observed within  
391 30 min, presumably too short an interval for extensive growth (Vila-Costa et al 2010).

392

#### 393 *Rhodobacterales* sp. HTCC2255

394 HTCC2255-like transcripts were abundant at all four time points. HTCC2255 was  
395 among the top-scoring alignments in the NCBI-nr database for a total of 12%, 14%, 15%, and  
396 8% of assignable sequences in the 5am, 10am, 6pm, and 10pm metatranscriptomic datasets, and  
397 a corresponding 9%, 10%, 11%, and 6% of sequences were unambiguously assigned to  
398 HTCC2255 by the Megan LCA algorithm. HTCC2255-like protein coding genes averaged 95%  
399 identity to the sequenced strain at the amino acid level (Figure 4). 2092 out of 2240 of  
400 HTCC2255 coding sequences were identified in at least one of the four Station M0  
401 transcriptomes, including genes involved in DMSP degradation, the full TCA cycle,  
402 proteorhodopsin, and sulfur oxidation. The draft genome sequence of HTCC2255 has two  
403 scaffolds, NZ\_DS022282 and NZ\_DS022288, of which the smaller, NZ\_DS022288 has much  
404 lower coverage in both the metagenome and the transcriptomes (Figure S6). This may represent



405 a less conserved genomic island, or a plasmid that is missing from the Monterey Bay genotype.  
406 Alternatively, the metadata associated with the draft genome notes that contaminating gamma  
407 proteobacteria sequences were present in the raw sequence data and removed from the scaffolds  
408 during draft assembly; NZ\_DS022288 may represent a scaffold that was inappropriately  
409 assigned to HTCC2255.

410 HTCC2255 appears to be a very common component of the Monterey Bay microbial  
411 community. Several BAC sequences previously isolated from Monterey Bay (Rich et al 2010,  
412 Rich et al 2008) share a high percent identity and synteny with HTCC2255, and probes targeting  
413 these BAC clones and the HTCC2255 reference genome identified these organisms in 97 -100%  
414 of surface water samples spanning a 4 year time series at Monterey Bay station M1 (Rich et al  
415 2010). Additionally, the HTCC2255-like BAC EB000-55B11 was also detected in near-shore  
416 samples from Woods Hole, MA during experiments with the prototype genome-proxy array  
417 (Rich et al 2008). In general, HTCC2255-like organisms appear to be widely present in marine  
418 communities (Yooseph et al 2010), but may be particularly abundant in near-shore waters. The  
419 reference strain was isolated off the Oregon coast, relatives have been detected off both the  
420 California and Massachusetts coasts, and HTCC2255-like sequences were reported as the most  
421 abundant sequence type in a proteorhodopsin library from the North Sea (Riedel et al 2010).

422

#### 423 *Polaribacter-like sequences*

424 *Polaribacter*-like transcripts were identified at all four time points, but were most  
425 abundant in the 5am sample. A *Polaribacter*-derived sequence was among the top-scoring  
426 alignments in the NCBI-nr database for 27%, 12%, 12%, and 9% of assignable sequences in the  
427 5am, 10am, 6pm, and 10pm metatranscriptomic datasets, and a corresponding 15%, 6%, 5%, and  
428 5% were unambiguously assigned to this genus by the Megan LCA algorithm. These sequences  
429 appear to represent one or more unsequenced organisms related to *Polaribacter*, as sequence  
430 reads with top database hits to a *Polaribacter* sp. averaged 82% amino acid identity to *P. irgensii*  
431 23P and 83% identity to *Polaribacter* sp. MED152 (Figure 4). The two sequenced organisms

432 average 72% amino acid identity among their shared genes (defined as reciprocal best hits with  
433 e-value <  $1 \times 10^{-5}$  and 80% of the gene aligned). 1519 out of 1636 shared *Polaribacter* genes  
434 were identified in one or more of the four transcriptomic samples, while 361 of 974 MED152-  
435 specific and 259 of 920 23P-specific genes were identified (Figures S7 and S8).

436         There is less prior evidence for *Polaribacter* as a common component of the Monterey  
437 Bay bacterioplankton community than there is for HTCC2255. *Polaribacter*-like sequences  
438 were not detected in experiments using genome proxy arrays to examine community structure at  
439 Monterey Bay station M1, despite inclusion of both sequenced *Polaribacter* genotypes in the  
440 array (Rich et al 2010). However, the sequences recovered in this study averaged ~82% amino  
441 acid identity to the reference strains, which is too genetically dissimilar to show strong  
442 hybridization signal on the array (Rich et al 2010, Rich et al 2008). Additionally, our study used  
443 a 5  $\mu\text{m}$  prefilter during sample collection, while the array experiments used a 1.6  $\mu\text{m}$  prefilter,  
444 which may change the representation of larger and/or particle-attached bacterial cells.  
445 *Polaribacter*-like sequences were identified in 16S rRNA libraries prepared from samples  
446 collected at station M0 in September-October 2004, during development of their sandwich  
447 hybridization assay (Preston et al 2009). Other studies of Monterey Bay have not specifically  
448 examined abundance of *Flavobacteria* or *Polaribacter*, although Suzuki et al. (2004) identified  
449 the CFB group as representing 8.5% of bacteria in a surface water sample. However,  
450 *Flavobacteria* and the CFB group as a whole is thought to play a major role in degradation of  
451 particulate and high molecular weight dissolved organic matter in the ocean (Kirchman 2002),  
452 and *Polaribacter* was found to be the most abundant *Flavobacterial* group across a transect in  
453 the North Atlantic (Gómez-Pereira et al 2010).

454

#### 455 *Nutrient acquisition strategies of Monterey Bay Rhodobacterales and Polaribacter*

456         Although both HTCC2255 and the two *Polaribacter* reference strains are  
457 proteorhodopsin-bearing heterotrophs, their genome characteristics and transcriptional activity  
458 are consistent with distinctly different nutrient acquisition strategies. Among the most highly

459 expressed HTCC2255-like genes were substrate binding proteins associated with TRAP and  
460 ABC transporters of amino acids, sugars and sugar alcohols (Table S4 and Table S5). In  
461 contrast, González et al. (2008) found that *Polaribacter* sp. MED152 carries relatively few  
462 transporters for free amino acids or sugars, and no sugar-specific ABC transporters. Both  
463 *Polaribacter* genomes appear to lack TRAP and TRAP-T transporters, and few transcripts  
464 mapped to those ABC transporters they do carry (<1% of *Polaribacter*-like sequences in contrast  
465 to 10-14% HTCC2255-like sequences; details in Table S6). Similarly, in an examination of  
466 transporters in a coastal transcriptome, Poretsky et al. (2010) found an abundance of  
467 *Rhodobacterales* and SAR11 associated ABC- and TRAP-related transcripts in coastal  
468 environmental transcriptomes, and relatively few *Flavobacteriales* associated sequences. A  
469 metaproteomic investigation of SAR11 in the Sargasso Sea (Sowell et al 2009) found that  
470 transport functions similarly dominated the proteome of that alpha proteobacterium, with the  
471 most abundant proteins being ABC and TRAP transporters. This is consistent with previous  
472 studies showing that *Alphaproteobacteria* dominate uptake of amino acids and monomers, while  
473 *Bacteroidetes* specialize in utilization of polymers (Cottrell and Kirchman 2000, Kirchman  
474 2002).

475 The most abundant group of transport-related transcripts within the *Polaribacter*-  
476 associated sequences are TonB-dependent/ligand gated channels (Table S7). TonB-dependent  
477 channels were also among the most highly expressed proteins from *gamma proteobacterium*  
478 HTCC2207 and *Flavobacterium* MS024-2A. *Rhodobacterales* sp. HTCC2255 appears to lack a  
479 TonB system; the draft genome contained no significant hits to the pfam profiles of either TonB  
480 or the two TonB-dependent receptor domains. TonB-dependent transporters from a variety of  
481 taxonomic groups were the most abundant family of membrane proteins identified in a  
482 metaproteomic analysis of samples from the South Atlantic (Morris et al 2010). TonB-related  
483 proteins were also identified as among the most abundant transcripts assigned to DOM-  
484 responsive *Idiomarinaceae* and *Alteromonadaceae* in a DOM-enriched marine microcosm  
485 (McCarren et al 2010). As mentioned above, ABC transporters from *Flavobacteriales* were not

486 found to be abundant in a coastal metatranscriptome (Poretsky et al 2010). However, the authors  
487 did mention an abundance of *Flavobacteriales* transporters for inorganic compounds, and  
488 COG1629, which includes some TonB transporters, is included in this group under the COG  
489 classification scheme. TonB-dependent channels were originally identified in the context of iron  
490 transport, but have since been associated with the transport of a large variety of compounds  
491 (Schauer et al 2008). Of particular interest is their newly recognized association with  
492 degradation of polymers and complex carbohydrates (Blanvillain et al 2007, Martens et al 2009).  
493 Several of the TonB-dependent channels in *Polaribacter* sp, MED152 were associated with  
494 predicted peptidases and glycosyl hydrolases (González et al 2008), suggesting they may be  
495 involved in utilization of high molecular weight substrates in this organism.

496

#### 497 *Differences in Functional and Metabolic Profiles of Metatranscriptomic Samples*

498 In order to examine transcript abundance dynamics, we used KEGG pathways to  
499 functionally profile the four transcriptomic samples. KEGG profiles were generated for bulk  
500 community data, and individually for *Rhodobacterales* sp. HTCC2255-like and *Polaribacter*-like  
501 transcripts. Metabolic genes represented the most abundant class of annotated transcripts,  
502 although they were out-numbered by unassigned transcripts for both the bulk community and  
503 *Polaribacter*-like sequences (Table 5). Transcripts involved in environmental information  
504 processing were more abundant in the HTCC2255 fraction compared to the bulk community (14-  
505 19% vs 4.4-4.9% of transcripts); this signal was dominated by ABC transporters.

506 KEGG-annotated genes could be assigned to 188 (bulk community), 127 (HTCC2255),  
507 and 129 (*Polaribacter*) pathways, excluding BRITE hierarchies and pathways associated with  
508 human diseases and organismal systems. Of these, 133, 76, and 69 had significantly different  
509 levels of expression between samples (Table S8 and S9). Many central metabolic pathways,  
510 including oxidative phosphorylation, photosynthesis, the TCA cycle, pyruvate metabolism, and  
511 glycolysis were overrepresented in the 10am sample (Figure 5), both at the bulk community and  
512 taxon-specific levels. In contrast, ribosomal proteins peaked in morning and evening samples,

513 and had different maxima and minima for the bulk community, HTCC2255 and *Polaribacter*  
514 groups. RNA polymerase also displayed different trends among the three groups. Given the  
515 changes in taxonomic composition discussed above, we cannot rule out population-level effects  
516 in comparisons of relative transcript abundance. However, the synchronous changes in central  
517 carbohydrate metabolism and energy metabolism suggests that these pathways may be tuned to  
518 broader environmental factors, while the dynamics of translation and transcription suggest more  
519 population-specific controls.

520 Although complicating population-specific factors cannot be ruled out, these  
521 transcriptional profiles suggest that light may play a role in the metabolism of *Rhodobacterales*  
522 sp. HTCC2255 and *Polaribacter*. In recent years, a number of potential mechanisms by which  
523 light might influence the metabolism of heterotrophs in the ocean have been discovered (Béjã et  
524 al 2000, Béjã et al 2002, Kolber et al 2001, Venter et al 2004). Proteorhodopsin made up 0.18-  
525 0.82% of HTCC2255-like and 0.42-1.18% of *Polaribacter*-like transcripts. Surprisingly, in both  
526 groups the representation of proteorhodopsin transcripts was highest in the nighttime (10pm)  
527 sample. *Dokdonia* sp. MED134, another proteorhodopsin-carrying flavobacterium, has been  
528 demonstrated to have higher levels of proteorhodopsin in light-incubated vs dark-incubated  
529 cultures, but these changes were examined at time scales of 3-13 days, not hours as in this study  
530 (Gómez-Consarnau et al 2007). Proteorhodopsin was one of the most abundant transcripts  
531 associated with *gamma proteobacterium* HTCC2207 (0.55-0.91% of HTCC2207 transcripts) and  
532 *Flavobacterium* MS024-2A (0.86-3.9% of MS024-2A transcripts). HTCC2207-like  
533 proteorhodopsin transcripts appeared most abundant at night, but MS024-2A-like  
534 proteorhodopsin transcripts had the highest relative abundance at 10am. However, while the  
535 daytime increase in proteorhodopsin expression for MS024-2A was significant in the context of  
536 the total number of transcripts assigned to this organism, the relatively low coverage (1610-5210  
537 assigned sequences) precludes rigorous transcriptional analysis.

538 Even in the absence of photo-regulation of proteorhodopsin expression, the HTCC2255-  
539 like transcripts did exhibit potential light-dependent changes in energy metabolism. In

540 particular, HTCC2255-like F-type ATP synthase transcripts appeared to be downregulated at  
541 night; 5 out of 9 ATP synthase-associated proteins had significant differences in abundance, and  
542 all were least abundant in the 10pm sample. This may indicate light-dependent changes in the  
543 cross-membrane proton gradient. In contrast, although ATP synthase as a whole (photosynthesis  
544 pathway in Fig 5) showed a slight but significant decrease in transcript abundance at night for  
545 *Polaribacter*-like sequences, only one subunit exhibited a significant change in expression at the  
546 transcript level. One explanation for this difference in light-dependence of ATP synthase  
547 expression is that HTCC2255-like organisms may be more dependent on ATP to power transport  
548 than *Polaribacter*, as a result of the expanded use of ABC transporters in this organism (TonB-  
549 dependent transporters utilize the proton gradient directly). More broadly, the transcriptomic  
550 profile of HTCC2255-like microbes at 10pm showed a larger decrease in many metabolic  
551 activities than seen in co-occurring *Polaribacter*-like bacteria, suggesting potentially greater light  
552 regulation in this organism. Alternatively, the particular population of HTCC2255-like  
553 organisms sampled during the 10pm timepoint could have been in a lower-energy metabolic  
554 state, for reasons independent of the time of day.

555

## 556 **Implications**

557 While a number of metatranscriptomic studies of marine microbial communities have  
558 been conducted, most have represented single or relatively few time points that were spatially  
559 segregated. Although these studies have proven useful for general surveys of expressed genes  
560 and non-coding RNAs, the utility of such comparisons is limited in the absence of data on the  
561 spatial and temporal scales of natural environmental variability. In this study, we demonstrate  
562 the feasibility of *in situ* autonomous collection of metatranscriptomic samples using the ESP  
563 platform, along with synoptic data on environmental conditions. A distinct advantage of this  
564 approach is that it allowed longer term deployments and continuous monitoring of environmental  
565 fluctuations over the full time course of multiple sample collections. Consistent with the known  
566 dynamic variability in coastal systems, our observations reflected continuously changing

567 conditions, consistent with high current velocity at the sample site. Each of the four  
568 metatranscriptomic datasets thus represents a different water mass, and a distinct microbial  
569 community. Although similar taxa were present in each time point, these taxa showed different  
570 bulk activity levels (as reflected in rRNA and mRNA abundances) and expression profiles in  
571 each of the four samples. As a result, although differences in gene expression levels could be  
572 observed, it was difficult to differentiate changes that reflect the specific water masses and  
573 microbial populations sampled, versus discrete organismal responses to broader environmental  
574 parameters (such as time of day). Our study demonstrates that such effects are large enough to  
575 require serious consideration, even when a fixed location is sampled across relatively short (24  
576 hr) time scales.

577 Automated sample collection has the potential to greatly reduce the costs associated with  
578 long-term environmental monitoring, allowing longer duration and/or higher frequency sampling  
579 schemes. Different deployment schemes for the sampler, for example on “drifters” or  
580 autonomous vehicles, may also facilitate short-term temporal sampling within coherent water  
581 masses. This may prove to be important for developing a picture of the temporal and spatial  
582 scales of natural variability in microbial populations. In this study, we found that the identities  
583 of the most abundant microbial populations did not shift dramatically in samples collected over  
584 the course of a day, but their relative abundances did. With ESP technology, it will be possible  
585 to examine such differences over longer time scales, and using different sampling modes (e.g.  
586 Lagrangian versus Eulerian) to better correlate changes in environmental conditions with shifts  
587 in microbial community composition and activity.

588

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600

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845 **Table 1. Samples and RNA extraction efficiencies**

<b>Sample</b>	<b>Vol (ml)<sup>†</sup></b>	<b>Yield (μg)</b>
Monterey wharf – Time 0	500	3.2
Monterey wharf – 1 week	500	5.0
Monterey wharf – 2 weeks	500	4.7
Monterey wharf – 3 weeks	500	5.1
Monterey wharf – 4 weeks	500	4.8
Station M0 – 4/7/09	1000	1.4
Station M0 – 4/9/09	1000	2.5
Station M0 – 4/12/09	1000	1.9
Station M0 – 4/16/09	1000	2.1
Station M0 – 4/20/09	1000	2.2
Station M0 – 4/25/09	1000	2.4
Santa Cruz wharf -- Vacuum	300	4.0
Santa Cruz wharf -- ESP	1000	11.8
Station M1 – Peristaltic Pump	500	0.7
Station M1 – ESP	1000	0.9
Station M0 – 6/2/09 5am	1000	0.7
Station M0 – 6/2/09 10am	1000	2.5
Station M0 – 6/2/09 6pm	1000	0.6
Station M0 – 6/2/09 10pm	1000	1.2

846 <sup>†</sup>Total volume of seawater filtered.

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Sample	Reads <sup>1</sup>	% rRNA <sup>2</sup>	non-rRNA reads		
			Replicates <sup>3</sup>	nr Hits <sup>4</sup>	KEGG Hits <sup>4</sup>
Santa Cruz wharf: Vacuum	179 643	80%	20%	17 988	15 869
Santa Cruz wharf: ESP	160 364	82%	18%	14 702	12 850
Station M1: Peristaltic Pump	118595	41%	26%	20981	18677
Station M1: ESP	203574	42%	11%	41295	37086
5am rRNA-subtracted	248 016	33%	4%	82 387	69 157
5am Unsubtracted	298 380	91%	7%	11 802	10 089
10am rRNA-subtracted	102 024	40%	17%	25 197	22 250
10am Unsubtracted	149 186	82%	27%	9 979	8 612
6pm rRNA-subtracted	238 635	38%	17%	54 040	46 253
6pm Unsubtracted	232 248	83%	12%	15 694	13 156
10pm rRNA-subtracted	235 339	35%	13%	52 069	43 956
10pm Unsubtracted	202 650	82%	24%	10 701	8 890
DNA <sup>5</sup>	1 535 834	0.4%	0.97%	1 035 676	956 510

848 <sup>1</sup>Total number of sequence reads passing quality filters

849 <sup>2</sup>Percentage of total pyrosequencing reads with significant (bit score > 50) BLASTN hits to  
850 prokaryotic and eukaryotic rRNA (16S, 18S, 23S, 28S, 5S)

851 <sup>3</sup>Percentage on non-rRNA reads identified as artificial replicates (99% identity, 1bp length  
852 difference) and removed

853 <sup>4</sup>Non-replicate, non-rRNA reads with significant (bit score > 50) BLASTX hits to proteins in the  
854 NCBI non-redundant (nr) or KEGG Genes databases.

855 <sup>5</sup>Metagenomic dataset, sequenced using GS FLX Titanium chemistry rather than GS FLX.

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**Table 3.** NCBI-nr reference genes with significantly different abundances in metatranscriptomes generated from vacuum filtered vs. ESP-filtered and preserved replicate samples<sup>1</sup>

NCBI-nr Reference Gene	Vacuum <sup>2</sup>	ESP <sup>2</sup>	<i>p</i> -value
AAM48736 antenna pigment protein, alpha chain [uncultured marine proteobacterium]	N.D.	0.22%	6.1x10 <sup>-08</sup>
ZP_01224258 hypothetical protein [ <i>gamma proteobacterium</i> HTCC2207]	0.25%	0.67%	4.1x10 <sup>-05</sup>
ZP_01447883 branched-chain amino acid ABC transporter, periplasmic substrate-binding protein [ <i>Rhodobacterales</i> sp. HTCC2255]	0.54%	0.17%	5.4x10 <sup>-05</sup>
ZP_03559919 50S ribosomal subunit protein L3 [ <i>Glaciecola</i> sp. HTCC2999]	0.12%	N.D.	8.5x10 <sup>-03</sup>
ZP_03559922 50S ribosomal protein L2 [ <i>Glaciecola</i> sp. HTCC2999]	0.10%	N.D.	0.041
ZP_01447418 glutamate synthase large subunit [ <i>Rhodobacterales</i> sp. HTCC2255]	0.14%	0.01%	0.043

<sup>1</sup>Reference genes with FDR-corrected *p*-value > 0.05.

<sup>2</sup>The percent of unique, non-rRNA reads with significant NCBI-nr database hits mapping to each reference gene in vacuum-filtered and the ESP-processed and preserved samples.

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**Table 4. Comparison of metatranscriptomic profiles from manually-processed and ESP-collected and preserved samples.**

DS compared <sup>1</sup>		NCBI-nr taxa <sup>2</sup>			WI <sup>3</sup>	NCBI-nr references <sup>4</sup>			sig. diff. refs <sup>5</sup>	% hits in sig. diff. refs <sup>6</sup>	
DS 1	DS 2	DS1	DS2	Both	DS1	DS2	Total	DS1		DS2	
SC Vac	SC ESP	785	666	448	0.89	10723	9386	17284	6	1.2%	1.1%
M1 PP	M1 ESP	1280	1613	1028	0.82	13754	25211	35036	28	1.6%	1.3%

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<sup>1</sup>Datasets used in pairwise comparisons (SC Vac and ESP: Santa Cruz wharf, vacuum-filtered and flash frozen sample and ESP-processed and preserved sample, stored on board ESP for a 30-day deployment in Monterey Bay; M1 PP and ESP: Monterey Bay water collected by CTD cast, filtered immediately by peristaltic pump or processed and preserved by ESP. Additional pairwise comparisons are listed in Table S2.

<sup>2</sup>Number of taxa (NCBI-nr taxonomy ID's) with one or more uniquely assigned sequences (reads with exactly one top-scoring database match).

<sup>3</sup>Whittaker's index of association (Whittaker 1952) for NCBI-nr taxon counts.

<sup>4</sup>Number of NCBI-nr reference genes with one or more mapped reads

<sup>5</sup>NCBI-nr reference genes with significantly different abundances in the two datasets.

<sup>6</sup>Percent of sequences with NCBI-nr hits that map to reference genes with significantly different abundances.



875 **Table 5. Percent of transcripts assigned to different KEGG functional categories.**

Category	Bulk Community <sup>1</sup>				HTCC2255 <sup>2</sup>				<i>Polaribacter</i> <sup>3</sup>			
	5am	10am	6pm	10pm	5am	10am	6pm	10pm	5am	10am	6pm	10pm
Unassigned	51	49	48	54	31	30	33	40	56	54	51	60
Metabolism	30	35	32	29	35	41	37	31	27	32	29	27
Genetic information processing	18	13	17	14	23	20	20	15	17	15	21	13
Environmental information processing	4.4	4.5	4.9	4.7	15	14	16	19	3.0	2.3	3.2	2.6
Cellular processes	2.3	2.6	2.6	3.3	1.9	2.4	2.4	2.5	2.0	2.3	1.9	2.4

876 <sup>1</sup>Percent of sequences with significant hits in the KEGG genes database.

877 <sup>2</sup>Percent of total sequences assigned to *Rhodobacterales* sp. HTCC2255 or to either of the

878 sequenced *Polaribacter* genomes.

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879 **Figure Legends**

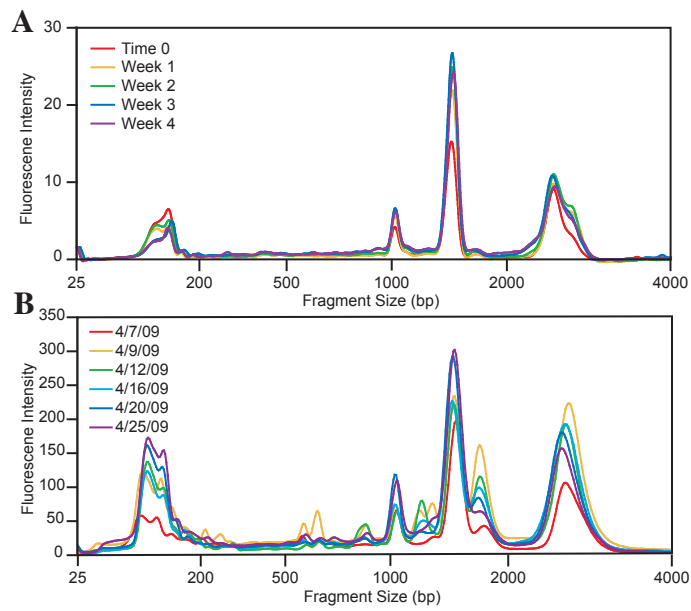
880 **Figure 1. Size fractionation of total RNA extracted from ESP-collected and preserved**  
881 **samples.** All samples were diluted to approximately equal concentrations prior to analysis to  
882 facilitate comparison of RNA quality. **A.** Total RNA extracted from replicate surface water  
883 samples collected and preserved using the ESP and stored at room temperature under conditions  
884 that simulate a deployed instrument (high humidity, N<sub>2</sub> atmosphere). **B.** Total RNA extracted  
885 from samples collected throughout a single deployment of the ESP at Monterey Bay station M0.  
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887 **Figure 2. Metatranscriptomic analysis of ESP-collected and preserved samples.** The  
888 abundance of NCBI-nr reference genes is shown for a sample collected by ESP and retained on  
889 the instrument for a 29-day deployment in Monterey Bay and a replicate sample collected by  
890 vacuum filtration and flash frozen vacuum-filtered. For visualization purposes, reference genes  
891 with 0 assigned sequence reads were counted as 0.5. Reference genes with significantly different  
892 abundances in the two data sets (FDR-corrected p-value <0.05) are shown in red. Accession  
893 numbers and p-values of significantly different reference genes are listed in Table 3.  
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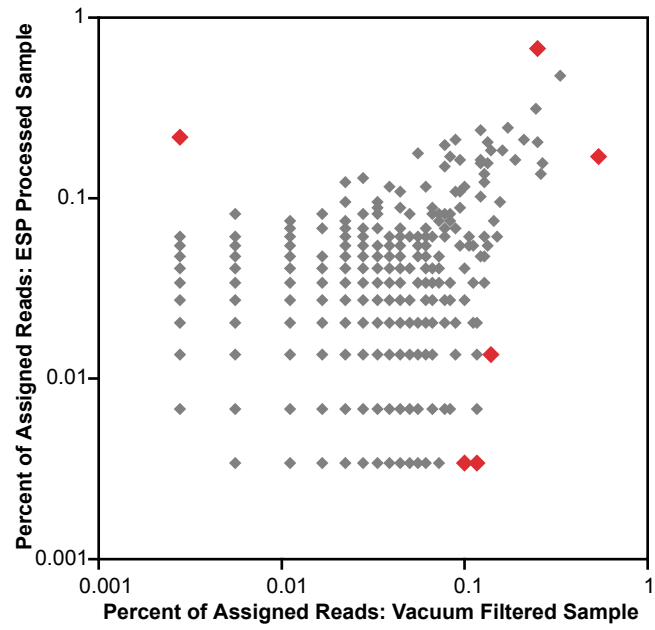
895 **Figure 3. Relative abundance of major taxonomic groups in metatranscriptomic and**  
896 **metagenomic samples.** Sequences were assigned to the NCBI taxonomy using the MEGAN  
897 program (Huson et al 2007), (bitscore > 50, top 10% of hits). Taxonomic analyses of small  
898 (SSU) and large (LSU) subunit rRNA sequences are based on unsorted RNA samples only.  
899 Coding sequence (CDS) taxonomy generated from the combined non-replicate, nonrRNA  
900 fraction of both unsorted and sorted RNA. Groups representing > 1% of assignable  
901 sequences in one or more samples are shown, those representing < 1% of sequences in all  
902 samples are included in the 'other' category, and those assigned at lower taxonomic levels are  
903 not shown.  
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905 **Figure 4. Percent identity histograms for sequences assigned to *Rhodobacterales* sp.**  
906 **HTCC2255 or *Polaribacter*.** A global percent identity (percent amino acid similarity \* fraction  
907 of read covered) was calculated for all significant (bitscore >50) BLASTX hits in the NCBI-nr  
908 database for metatranscriptomic libraries from Station M0, and the number of sequences aligning  
909 at each percent identity determined. **A.** Sequences for which *Rhodobacterales* sp. HTCC2255 is  
910 among the top-scoring hits in the NCBI-nr database. To show the specificity with which these  
911 sequences were mapped, additional database hits passing the bitscore threshold were examined,  
912 and percent identity histograms generated for the two most frequently-identified taxa,  
913 *Rhodobacterales* spp. HTCC2150 and HTCC2083. **B.** Sequences with at least one top hit to  
914 either of the two *Polaribacter* reference genomes. Significant alignments of *Polaribacter*-  
915 assigned reads to *Flavobacteriales* sp. ALC-1 and *Kordia algicida* OT-1 are also shown.

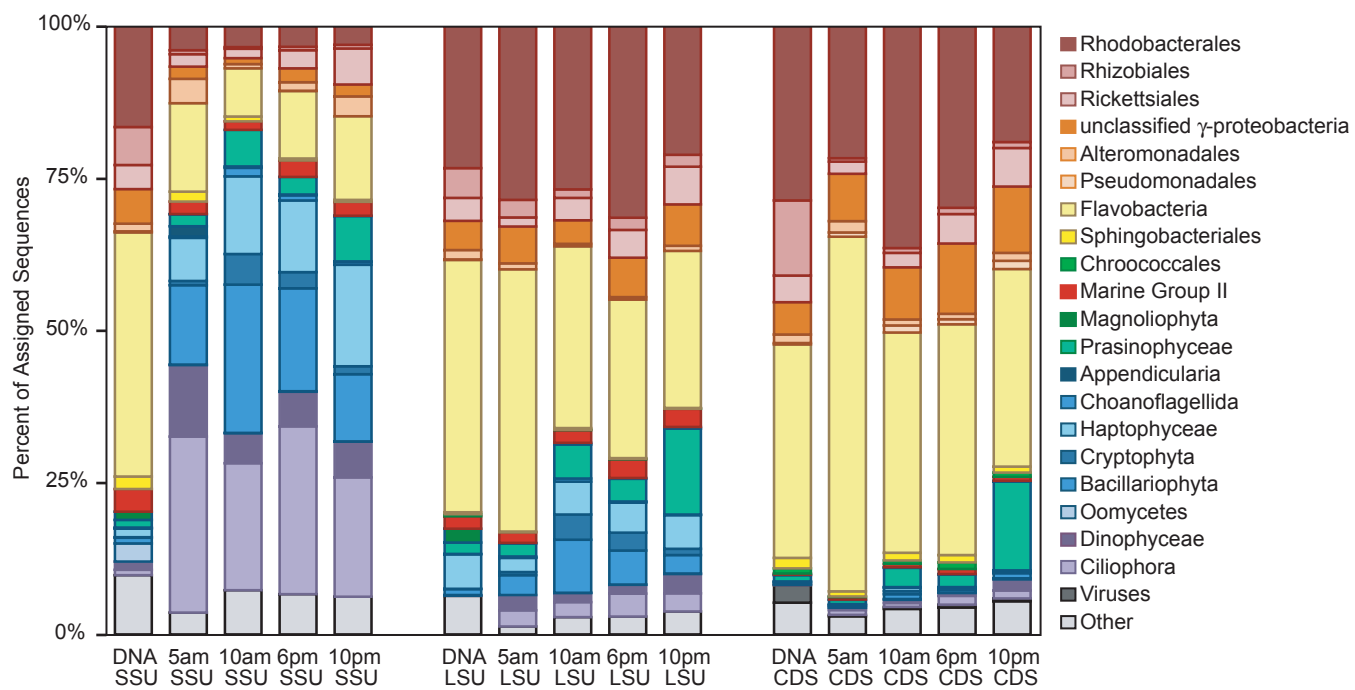
916 **Figure 5. Relative abundances of KEGG pathways in metatranscriptomic datasets.** The 10  
917 most abundant KEGG pathways in the bulk community, plus pathways within the 10 most  
918 abundant pathways for either *Rhodobacterales* sp. HTCC2255 or *Polaribacter* are shown in  
919 order of descending abundance in the total community. Percent of sequences with significant  
920 hits to the KEGG database (community) or percent of total sequences assigned to specific taxa  
921 shown. Error bars represent 95% confidence limits. Photosynthesis signal in the (non-  
922 photosynthetic) HTCC2255 and *Polaribacter* bins is due to the assignment of F0F1 ATP  
923 Synthase genes to this category.  
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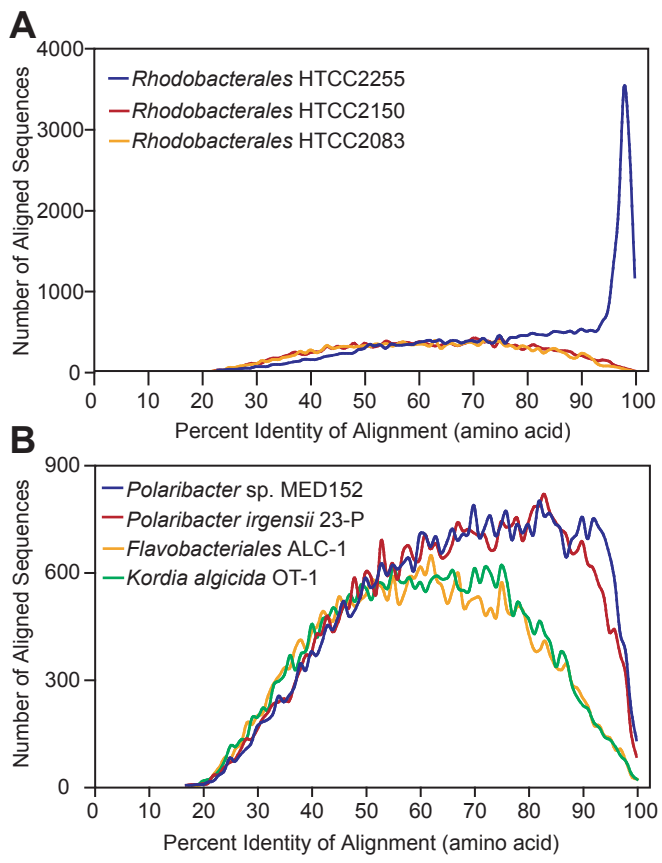
**Figure 1.**



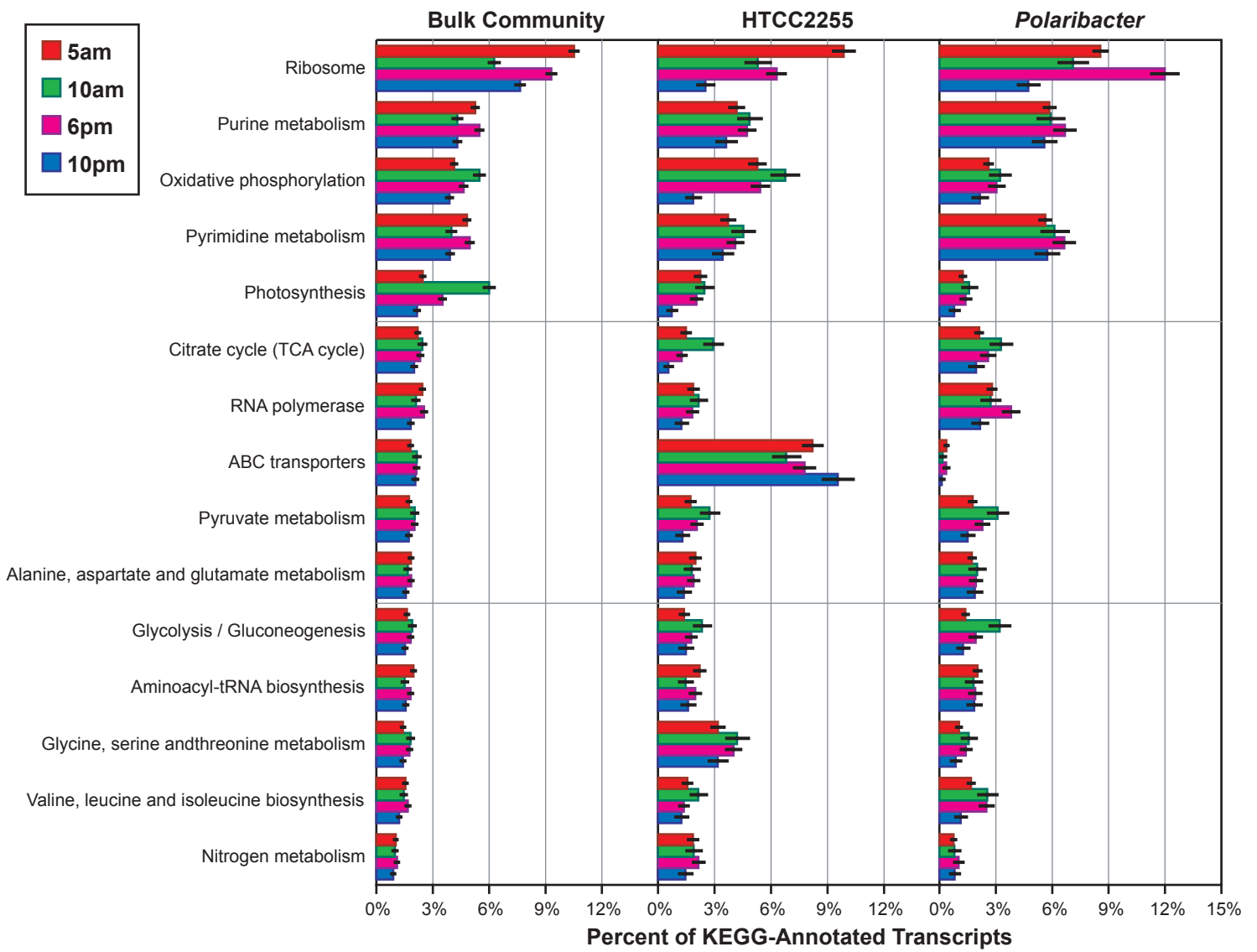
**Figure 2.**



**Figure 3.**



**Figure 4.**



**Figure 5.**