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Attention and biased competition in multi-voxel object representations

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The biased-competition theory accounts for attentional effects at the single-neuron level: It predicts that the neuronal response to simultaneously-presented stimuli is a weighted average of the response to isolated stimuli, and that attention biases the weights in favor of the attended stimulus. Perception, however, relies not on single neurons but on larger neuronal populations. The responses of such populations are in part reflected in large-scale multivoxel fMRI activation patterns. Because the pooling of neuronal responses into blood-oxygen-level-dependent signals is nonlinear, fMRI effects of attention need not mirror those observed at the neuronal level. Thus, to bridge the gap between neuronal responses and human perception, it is fundamental to understand attentional influences in large-scale multivariate representations of simultaneously-presented objects. Here, we ask how responses to simultaneously-presented stimuli are combined in multivoxel fMRI patterns, and how attention affects the paired response. Objects from four categories were presented singly, or in pairs such that each category was attended, unattended, or attention was divided between the two. In a multidimensional voxel space, the response to simultaneously-presented categories was well described as a weighted average. The weights were biased toward the preferred category in category-selective regions. Consistent with single-unit reports, attention shifted the weights by $\approx 30\%$ in favor of the attended stimulus. These findings extend the biased-competition framework to the realm of large-scale multivoxel brain activations.

pattern classification | fMRI | response combination | ventral temporal cortex

There has recently been an explosion of fMRI studies using multivariate patterns of blood-oxygen-level-dependent (BOLD) signals, distributed over large numbers of voxels, to probe neural representations and their relation to perception. This exciting body of work has shown that there is a wealth of information about the perceptual and cognitive states of the observer to be gained from such large-scale multivariate representations that would have been otherwise hidden in the univariate (i.e., average) BOLD response. For instance, decoding or “mind-reading” studies have shown that the visual stimulus (1–7), the contents of perceptual experience (8), working memory (9), or mental imagery (10) can all be predicted from the multivariate BOLD response. That is, large-scale multivariate fMRI patterns offer a previously unavailable window into human perceptual processes (11, 12).

Although attention has long been explored with conventional (i.e., univariate) fMRI methods (13–15), these recently discovered multivariate pattern analysis techniques have only started to scratch the surface of attentional processes. For example, it has recently been shown that one can decode which of two orientations (4) or motion directions (16) is currently attended, based on multivariate patterns in early striate and extrastriate cortex. However, the operational mechanisms of attention, which have been thoroughly explored in animal single-unit electrophysiology, leading to the well-established “biased-competition” framework (17), have yet to be understood at the level of multivariate fMRI patterns. Because of the robust

correspondence between these large-scale patterns and human perceptual and cognitive variables, this understanding constitutes a necessary and critical step in bridging the gap between cognitive studies of attention and the detailed implementation of attentional mechanisms at the level of neuronal populations.

Extensive single-neuron recording studies in monkeys have revealed the effects of attention and competition on neural responses (18–23). When two stimuli, one effective and the other ineffective, are presented within a receptive field (RF) of a neuron, the neural response corresponds to a weighted average of the responses to the two stimuli presented in isolation (21). Attending to one or the other stimulus biases the neural response in favor of the attended stimulus. In the extreme case, the result is almost as if attention eliminates the influence of the unattended stimulus (18); in practice the bias, when quantified, is only $\approx 30\%$ on average (21, 23–27). These results form the basis of the biased-competition framework of attention (17).

The purpose of the present study was to explore the relevance of the biased-competition framework at the level of multivoxel fMRI response patterns. It is important to note that the effects of competition and attention in multivoxel representations cannot be understood by merely extrapolating from the single-neuron level, because several nonlinearities and unpredictable factors come into play when combining the BOLD responses to two stimuli. To cite just one example, a well controlled factor in single-neuron studies that is impossible to account for in fMRI is the control over what information is present in a given RF of a neuron: Unlike the case with single neurons when the experimenter can ensure that both stimuli are systematically presented within the RF, within a given fMRI voxel, not all neurons will necessarily respond to each stimulus present (some would respond to one stimulus, others to both, and yet others to none). Thus, one cannot trivially predict how multivoxel patterns combine or how attention affects the combination by simply observing the effects in single neurons. Furthermore, and perhaps most importantly, the relationship between neuronal signals and BOLD responses is far from being well understood (28), and, as is now frequently observed, the two signals might sometimes agree with each other, or just as easily diverge (29), depending on the experimental and perceptual conditions being tested.

In the present study, we thus explore biased competition at the level of multivoxel response patterns: Instead of focusing on the individual neuron as a system, its RF as input and its firing rate as output, as done in seminal studies of biased competition (18, 20, 21, 23), we consider here an entire brain region as the system of interest, the entire visual field as its input, and the large-scale multivoxel pattern as its output. Is the biased-competition

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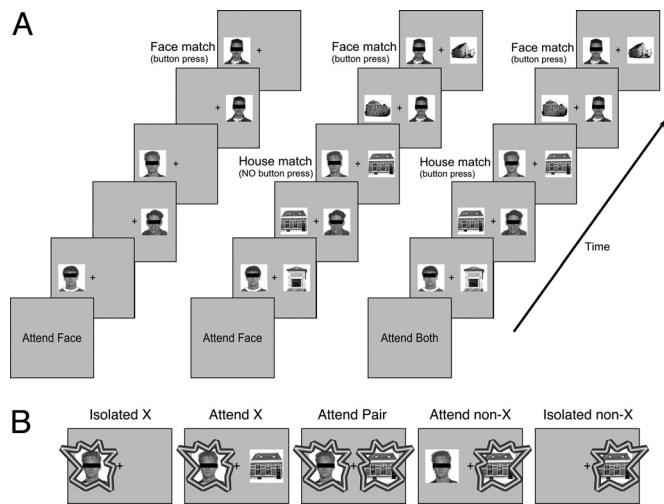


Fig. 1. Experimental design. (A) Subjects were presented with either one (isolated condition) (*Left*), or two (paired conditions) (*Center and Right*) streams of images that alternated on either side of fixation. In the paired conditions, subjects were instructed to attend to one or the other category (*Center*) or to both (*Right*). Each block began with an instruction screen presented for 2 s telling subjects which category of stimuli they were to perform a one-back task on. Images from four categories (faces, houses, shoes, and cars) were used during the experiment. (B) For any pair of categories X and non-X, this experimental design led to five conditions of interest. The highlighted area in each screen reflects the attended category(ies).

framework also relevant for understanding the function of such a system? To address this question we proceed in two successive and logically connected steps. First, we must determine how the response patterns corresponding to each of two simultaneously-presented stimuli combine in multidimensional voxel space. Using a novel analysis technique based on simple mathematical projections in a multidimensional space, we specifically compare predictions from two simple linear models of response combination: a weighted average or a weighted sum based on a simple linear summation of BOLD responses (30). Although there is considerable evidence for the existence of nonlinearities in neuronal responses (31, 32), this assumption of a linear combination serves here as a useful approximation because it permits expressing the paired response as the sum of two weighted components. This will conveniently allow us, in a second step, to address the main goal of this study, to characterize and quantify the effect of attention as a modification of these weights, i.e., a “bias” affecting the linear combination.

Results

Subjects were presented with stimuli from four categories (faces, houses, shoes, and cars), either in isolation or in pairs. In the latter condition, each category could be attended or unattended (i.e., attention was directed to the other category) or attention could be divided equally between the two categories. These conditions (isolated, attended, unattended, and divided attention) allowed us to look at the effects of competition and attention on large-scale multivoxel patterns of representation (Fig. 1).

Response Combination. Our general approach is illustrated in Fig. 2. The patterns of responses to each of two object categories presented in isolation (X and non-X) define a plane in the multidimensional space of possible responses (the dimensionality of the space being determined by the number of voxels in the analysis). A novel pattern recorded in response to a paired presentation of these two object categories can be projected onto

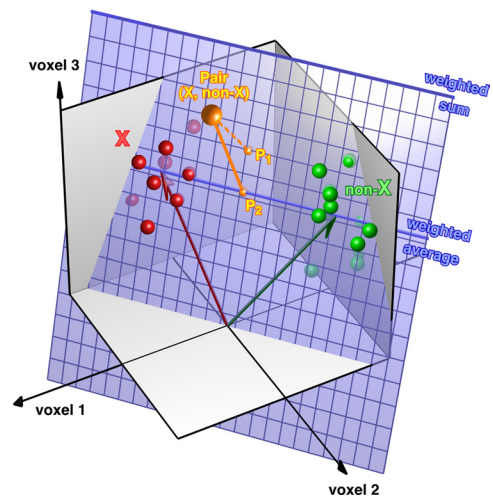


Fig. 2. A schematic representation of the analysis in a simplified 3D space, where each voxel defines a dimension. In this space, the BOLD response to different presentations of category X (presented in isolation) is shown as the cluster of red points, the response to another isolated category (non-X) is shown as the cluster of green points, and the response to the simultaneous presentation of the Pair (X, non-X) is shown as the large orange sphere. Two vectors, X and non-X (shown in red and green, respectively), represent the average position of the responses to categories X and non-X in this space. We first describe the Pair vector as a linear combination of the two vectors X and non-X by projecting it onto the plane (shown in blue) defined by these two vectors (the projection is shown as the broken orange line to point P_1). Any weighted average or weighted sum of the two vectors X and non-X also belongs to this plane, as illustrated by the two thick blue lines. By comparing the distance of the projection P_1 to the weighted average and weighted sum lines, we can determine that the Pair corresponded more closely to a weighted average response (Fig. 3; Fig. S1). Therefore, in a second step, we projected the Pair response directly onto the weighted average line (solid orange line to point P_2). The relative position of point P_2 between points X and non-X determines the weight in the weighted average response, i.e., the bias in the biased competition model. We can thus explore how this bias varies depending on the stimulus category, the region of interest, and the amount of attention directed to objects X and non-X (Figs. 4 and 5).

this plane, i.e., it can be expressed as a linear combination of the two original patterns (plus a certain amount Δ of deviation from the plane: $\text{Pair} = \alpha \cdot X + \beta \cdot \text{non-X} + \Delta$). The weights α and β of this linear combination reveal the manner in which single-object responses are combined into paired responses in multidimensional voxel space: their sum $\alpha + \beta$ will be close to 1 for a weighted average combination (e.g., $\alpha = \beta = 0.5$ for the plain average) and close to 2 for a weighted sum (e.g., $\alpha = \beta = 1$ for the plain sum) (see also *SI Methods*). The actual plane projections of our data, derived from a leave-one-run-out analysis, are shown in Fig. 3, and the corresponding sums of weights in Fig. S1. Note that the projection procedure results in significant errors (the distance from the plane Δ , shown in Fig. S2), suggesting that other factors also come into play that cannot be explained by any linear combination model (measurement noise and the small number of data samples being the most obvious of these factors).

Fig. 3 illustrates the results of the plane projection separately for the four categories of objects used in this experiment (faces, houses, shoes, and cars) in three regions of interest (ROIs) [fusiform face area (FFA), parahippocampal place area (PPA), and the set of object responsive voxels in occipito-temporal cortex (ORX); see *Methods*]. In this figure, the axes have been normalized so that, in the ideal case, the isolated conditions (i.e., vectors X and non-X) would project onto the cardinal points (0,1) and (1,0), respectively. The large red and green points in Fig. 3 correspond to the two isolated presentations (e.g., in the

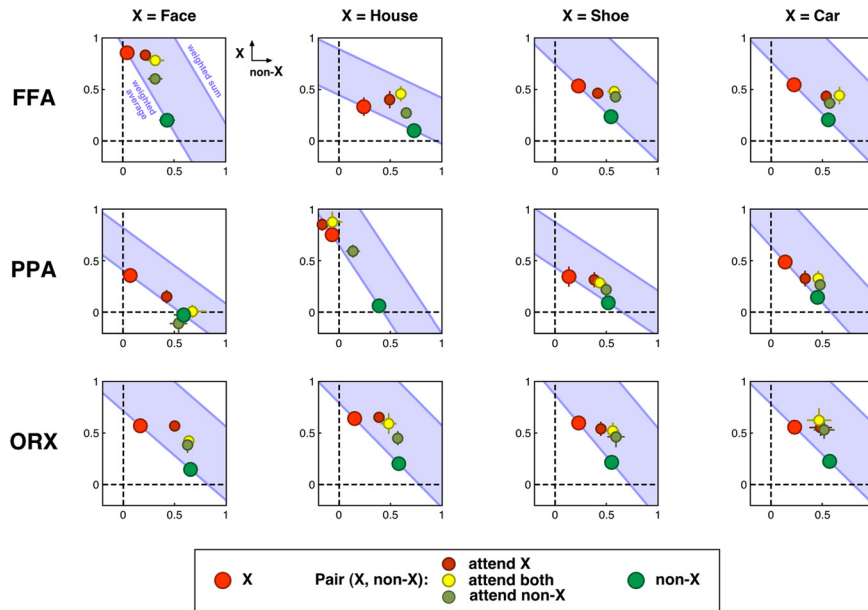


Fig. 3. The response in each condition projected onto the plane defined by the X and non-X vectors for each category and ROI. The y and x axes in each subplot correspond to the X and non-X vectors in Fig. 2 (shown here as orthogonal to each other for convenience). The two solid blue lines correspond to the families of vectors defined by the weighted average and weighted sum responses (as in Fig. 2). The projections were computed using a leave-one-run-out analysis: $N - 1$ runs of the isolated conditions defined the X and non-X vectors as well as the corresponding plane, and the Nth run of each of the five conditions was then projected onto the plane. This procedure was first performed pair-wise for all combinations of categories (i.e., if $X = \text{face}$, the projection plane and projected values were computed separately for the face-house, face-shoe, and face-car pairs), and the results were then subsequently averaged in this figure. SEM values were computed across subjects, although they are too small to be observed in most cases. Note that the X and non-X points do not lie at (0,1) and (1,0), respectively, because the leave-one-run-out analysis is influenced by the small number of runs and the variability inherent to the data.

leftmost column the large red point represents the average projection of all isolated face blocks and the large green the isolated nonface blocks). These isolated conditions do not project perfectly onto the cardinal points; this deviation is because the projections were obtained using a leave-one-out analysis: $N - 1$ runs were used to define the plane, on which data from the Nth run was projected; this procedure was repeated N times, each time with a different Nth run. The distance from the isolated conditions to the cardinal points thus reflects the variability intrinsic to the data and the small number of runs (typically, $n = 7$) in the leave-one-run-out analysis (also apparent in Fig. S2). The two blue lines in each plot in Fig. 3 correspond to the family of vectors defined by the weighted average response model (weights verify $\beta = 1 - \alpha$) and the weighted sum response model (weights verify $\beta = 2 - \alpha$), with the intervening space (shaded in blue) spanning intermediate possibilities between these two extreme models. The smaller points represent the paired presentation conditions, with attention either directed to category X (small red points), away from category X (small green points), or equally divided between the two (small yellow points). By comparing the location of these three types of points in the plane with the corresponding weighted average and weighted sum lines, we can determine how individual responses are combined during paired presentations.

Fig. 3 reveals that, in most cases (i.e., for all but one of the 36 observations = three paired conditions * four object categories * three ROIs), the paired response lay closer to the expected weighted average than to the weighted sum responses (the exception being house/nonhouse with equally divided attention in the FFA). The distance of the paired responses from the two models was quantified in Fig. S1 as follows: As mentioned above, the left and right blue lines in Fig. 3 correspond to linear combinations of vectors X and non-X such that the sum of weights $\alpha + \beta$ is 1 and 2, respectively. Thus, for all three paired conditions, we can compute an index between 1 and 2 that gives

a measure of how far these points lie from the weighted average and weighted sum conditions. This index, based on the y-intercept of lines passing through the points of interest, and parallel to the blue lines, was calculated thus:

$$(\text{y-intercept of line of interest}) / (\text{y-intercept of weighted-average line}).$$

The index would be 1 for an ideal weighted average and 2 for an ideal weighted sum. The index values obtained from the data in Fig. 3 are shown in Fig. S1, collapsed over object categories but separated by ROI and paired condition. All index values were closer to 1 than to 2, i.e., they leaned toward a weighted average response.

Note that in the above analyses (Fig. 3; Fig. S1), the reference points used to calculate the weighted average and weighted sum models were the actual projections of the isolated conditions (i.e., from the left-out run in the leave-one-out analysis), rather than the cardinal points (that were determined from the remaining $N - 1$ runs). This makes sense, because one would expect the two isolated conditions to lie directly on their weighted average line. However, we also reach a similar conclusion (i.e., consistent with the weighted average model) by directly projecting the multidimensional paired presentation vectors (of the Nth run) onto the average and sum lines (determined from the $N - 1$ runs), and then comparing the corresponding projection errors (i.e., comparing Fig. S3 with Fig. S4). In fact, in this analysis, there was not a single case among the 36 data points for which the projection onto the sum line was closer than onto the average line.

To summarize, when two objects are simultaneously presented, the large-scale multivoxel patterns tend to combine in a manner more compatible with the weighted average model than the weighted sum model (even though strong departures exist; see Figs. S2–S4). The weighted average line thus provides us with a convenient axis on which to project the paired responses in multidimensional voxel space. We can now ask how attention

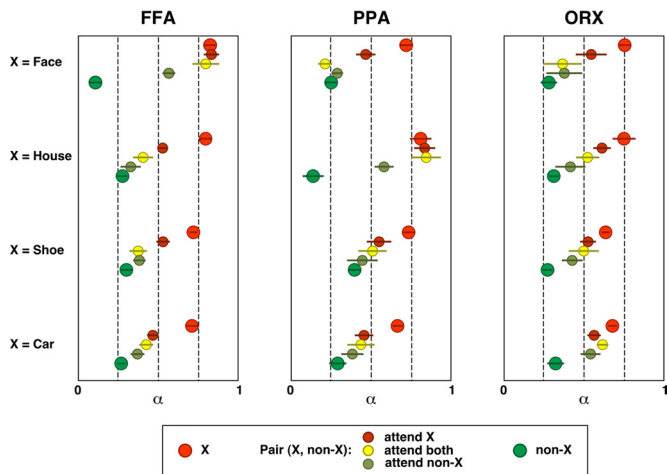


Fig. 4. Vector projections of each condition onto the weighted average line. As in Fig. 3, the projections were obtained using a leave-one-run-out analysis, $N - 1$ runs of the two isolated conditions defined the weighted average line, and the N th run was then projected onto the line. For each category X , the procedure was repeated pair-wise for all three combinations of (X , non- X), and the averaged results are shown here (as in Fig. 3). SEM values were calculated across subjects. The points for each X /non- X are staggered along the y axis to avoid superposition. The x axis represents the value (between 0 and 1) of the weight α in the weighted average: $\alpha \cdot X + (1 - \alpha) \cdot \text{non-X}$. The effects of category and attention on this bias can be viewed as a “slider” that shifts the paired representation along the axis joining the two individual representations.

alters the position of the response pattern along this axis, i.e., how attention biases the competition between simultaneously-presented stimuli.

Attention and Biased Competition. Having established that multivoxel fMRI patterns combine more in line with a weighted average model, we now turn to the main goal of this study and examine the specific influence of attention on paired responses. More precisely, we ask how attention biases the weights of the weighted average response. To this end, in a leave-one-run-out analysis, we projected each paired condition of the N th run onto the line joining the two vectors defined by the isolated conditions (in the $N - 1$ runs) of the two categories of interest. This projection corresponds to the point marked P_2 in Fig. 2. The resulting projection can be expressed as a weighted average (with weight α) of the two isolated vectors (plus a certain amount Δ' of deviation from the line: $\text{Pair} = \alpha \cdot X + (1 - \alpha) \cdot \text{non-X} + \Delta'$). Note that the projection procedure again results in important errors (the distance from the line Δ' , shown in Fig. S3), highlighting the variability, the small number of runs, and/or the nonlinearity of our fMRI dataset. However, applying the same procedure to the weighted sum model yields projections (satisfying: $\text{Pair} = \alpha \cdot X + (2 - \alpha) \cdot \text{non-X} + \Delta''$) that are even more distant from the original data (as can be seen in Fig. S4, illustrating the distance Δ''). This result confirms our choice of the weighted average as the optimal linear combination model.

Fig. 4 reports the values of the combination weight α for the four object categories in the three ROIs, as a function of the attentional condition: category X or non- X presented in isolation (large red and green points, respectively), paired presentation with attention directed to category X or non- X (small red and green points, respectively), or divided equally between both (small yellow points). As in the previous analysis, the projections for the two isolated blocks do not lie at their ideal position (i.e., $\alpha = 0$ and $\alpha = 1$), due to the leave-one-out analysis and the variability in our dataset. However, the fact that these two points are well separated in each ROI and for all categories indicates

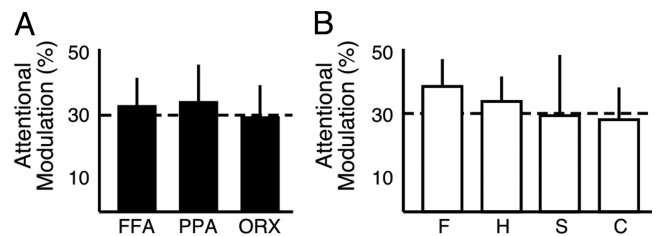


Fig. 5. Attention modulates the weights by $\approx 30\%$ in each ROI (A; collapsed across categories) and for each category (B; collapsed across ROIs). The strength of the attentional modulation was computed as the amount (in Fig. 4) by which the weight of the “attend-both” condition shifted toward the “isolated X ” or “isolated non- X ” weight in the “attend- X ” and “attend-non- X ” conditions, respectively.

that meaningful category information can be extracted from these multivoxel patterns, as already demonstrated by several previous studies (1, 2, 4, 5). The weight value when attention is equally divided between two simultaneously-presented categories (represented by the position of the yellow points in Fig. 4) reflects a large category bias in the FFA and PPA for faces and houses, respectively. In these two areas, the paired presentation is almost superimposed onto the isolated presentation of the “preferred” stimulus category, meaning that the weight of the preferred category is ≈ 1 (when it is expressed relative to the isolated conditions of the left-out run in the leave-one-out analysis, i.e., the large red and green points, rather than the absolute points of 0 and 1 determined from the $N - 1$ runs). In other words, in these regions, the preferred stimulus acts as an attractor for the paired response. In ORX, however, for all categories, the divided attention condition lies more or less halfway between the two isolated conditions, i.e., with a weight of ≈ 0.5 . Finally, the attended and unattended (albeit to a lesser extent) conditions also tend to follow the category bias. These findings of an influence of stimulus category and ROI on the robustness of dual-image representations (statistically confirmed by the ANOVA described below) are compatible with a previous report (33).

To statistically evaluate the effect of category and attention on the magnitude of the weights, we performed a three-way ANOVA of attention (attended, divided, and unattended) \times category \times ROI with the weight as the dependent variable. We observed a main effect of attention [$F(2,324) = 16.04$; $P < 0.0001$] and a posthoc analysis revealed that the weights were ordered by attended $>$ divided $>$ unattended (corrected for multiple comparisons with Scheffé’s method), as can easily be observed in Fig. 4. We also observed a main effect of category [$F(3,324) = 3.3$; $P = 0.02$] and an interaction effect of category \times ROI [$F(6,324) = 21.46$; $P < 0.0001$] as expected from the category preferences of the FFA and PPA. No two- or three-way significant interaction effects were observed with attention, suggesting that the attentional effect is largely independent of both category and ROI.

Finally, to investigate how attention modifies the weights, we computed the amount by which attention causes the weight in the divided attention condition to shift toward either attended category (Fig. 5; Fig. S5). This measure is, basically, the bias in the biased-competition theory. We found that there was approximately a 30% shift in the weights with attention, quantitatively consistent with neurophysiological results from the monkey literature (21, 23–27). A two-way ANOVA of category \times ROI with this attentional bias as the dependent variable did not reveal a significant main effect of category [$F(3,104) = 0.27$; $P = 0.85$] or ROI [$F(2,104) = 0.1$; $P = 0.9$] or any interaction effect [$F(6,104) = 0.2$; $P = 0.98$]. Thus, the 30% attentional shift was constant, regardless of category or ROI (Fig. 5).

any voxels that were specifically selective for faces and scenes. Note that ORX does not simply correspond to LOC (that is generally defined as the set of voxels in the lateral occipital and posterior fusiform regions that respond more strongly to objects versus scrambled objects), but also includes other object responsive voxels distributed in ventral temporal cortex.

Functional MRI Data Acquisition and Analysis. Functional MRI data were collected on a 3T Siemens scanner (gradient echo pulse sequence, TR = 2 s, TE = 30 ms, 20 slices with a 12 channel head coil, slice thickness = 2 mm, in-plane voxel dimensions = 1.6×1.6 mm). The slices were positioned to cover

the entire temporal lobe and part of the occipital lobe. Data analysis was performed with FreeSurfer Functional Analysis Stream (FS-FAST) (<http://surfer.nmr.mgh.harvard.edu>), fROI (<http://froi.sourceforge.net>), and custom Matlab scripts.

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