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A platform pathway for production of 3-hydroxyacids provides a biosynthetic route to 3-hydroxy- γ -butyrolactone

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Citation: Martin, Collin H., Himanshu Dhamankar, Hsien-Chung Tseng, et al. 2013 A Platform Pathway for Production of 3-hydroxyacids Provides a Biosynthetic Route to 3-hydroxy- γ -butyrolactone. Nature Communications 4: 1414. 1-10.

As Published: <http://dx.doi.org/10.1038/ncomms2418>

Publisher: Nature Publishing Group

Persistent URL: <http://hdl.handle.net/1721.1/79823>

Version: Author's final manuscript: final author's manuscript post peer review, without publisher's formatting or copy editing

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2 **A platform pathway for production of 3-hydroxyacids as value-added**
3 **biochemicals – A biosynthetic route to 3-hydroxy- γ -butyrolactone**

4
5 Collin H. Martin^{1,2,†,§}, Himanshu Dhamankar^{1,2,†}, Hsien-Chung Tseng^{1,‡}, Micah J.
6 Sheppard¹, Christopher R. Reisch¹, Kristala L. J. Prather^{1, 2,*}

7
8 ¹*Department of Chemical Engineering,*
9 ²*Synthetic Biology Engineering Research Center (SynBERC),*
10 *Massachusetts Institute of Technology*
11 *Cambridge, MA, 02139*

12
13 Submitted for publication in: ***Nature Communications***

14
15 [§] Current address: The Dow Chemical Company, Spring House, PA 19477, USA

16 [‡] Current address: Manus Biosynthesis, Cambridge, MA 02139, USA

17
18 * Corresponding author:

19 Department of Chemical Engineering
20 77 Massachusetts Avenue, Room 66-454
21 Cambridge, MA 02139
22 Phone: 617.253.1950
23 Fax: 617.258.5042
24 Email: kljp@mit.edu

25
26 [†] These authors contributed equally to this manuscript.

27 Keywords: 3-hydroxy- γ -butyrolactone, metabolic engineering, *E. coli*, hydroxyacids

28

1 **ABSTRACT**

2
3 The replacement of petroleum feedstocks with biomass to produce platform chemicals
4 requires the development of appropriate conversion technologies.
5 3-Hydroxy- γ -butyrolactone (3HBL) has been identified as one such chemical; however, there
6 are no naturally-occurring biosynthetic pathways for this molecule or its hydrolyzed form,
7 3,4-dihydroxybutyric acid (3,4-DHBA). We designed a novel pathway to produce various
8 chiral 3-hydroxyacids, including 3,4-DHBA, consisting of enzymes that condense two acyl-CoAs,
9 stereospecifically reduce the resulting β -ketone, and hydrolyze the CoA thioester to release
10 the free acid. Acetyl-CoA serves as one substrate for the condensation reaction, while the
11 second is produced intracellularly by a pathway enzyme that converts exogenously supplied
12 organic acids. Feeding of butyrate, isobutyrate, and glycolate resulted in the production of
13 3-hydroxyhexanoate, 3-hydroxy-4-methyl-hydroxyvalerate, and 3,4-DHBA+3HBL, respectively,
14 molecules with potential uses in applications from materials to medicines. We also
15 unexpectedly observed the condensation reaction resulting in the production of the
16 2,3-dihydroxybutyric acid isomer, a potential value-added monomer.

1 INTRODUCTION

2 Biological synthesis has emerged as a highly promising alternative to traditional organic
3 synthesis for a variety of chemical compounds^{1,2}. One such compound,
4 3-hydroxy- γ -butyrolactone (3HBL), is widely used in the pharmaceutical industry as a chiral
5 building block for the statin class of cholesterol-reducing drugs such as Crestor[®] and Lipitor[®],
6 as well as the antibiotic Zyvox[®] and the anti-hyperlipidemic medication Zetia^{®3}. Other
7 pharmaceuticals derived from 3HBL include HIV inhibitors⁴ and the nutritional supplement
8 L-carnitine⁵. 3HBL can readily be transformed into a variety of three-carbon building blocks⁶
9 and has been listed as one of the top value-added chemicals from biomass by the U.S.
10 Department of Energy⁷.

11 One impediment to broader adoption of biological systems for the production of
12 molecules such as this is the lack of established pathways for their synthesis. There are no
13 identified natural biochemical routes nor have engineered routes been previously reported for
14 the biosynthesis of 3HBL or its hydrolyzed acid form, 3,4-dihydroxybutyric acid (3,4-DHBA).
15 3HBL is currently produced as a specialty chemical and sells at a wholesale cost of
16 approximately \$450/Kg. A continuous chemical synthesis process employing high pressure
17 hydrogenation of L-malic acid over a ruthenium-based catalyst in a fixed bed reactor has been
18 developed for the commercial synthesis of (*S*)-3HBL at a capacity of 120 tonnes per year^{8,9};
19 however, this process employs hazardous processing conditions and expensive catalyst and
20 purification processes. The various other chemical and chemoenzymatic routes developed for
21 3HBL synthesis³ also suffer from similar disadvantages including the use of hazardous materials
22 and processing conditions^{10,11}, expensive starting materials, reagents and catalysts¹¹⁻¹⁵, and

1 poor yield and formation of difficult to separate by-products^{10, 12, 13, 16}, driving up the cost of the
2 product. Biosynthesis is expected to alleviate many of these problems and offer an elegant
3 solution towards economical production of this valuable chemical; however, this requires the
4 design of a novel biosynthetic pathway^{17, 18}.

5 To design a pathway for the production of 3HBL, we focused on the hydrolyzed free
6 hydroxyacid form, 3,4-DHBA. Hydroxyacids as a class are versatile, chiral compounds that
7 contain both a carboxyl and a hydroxyl moiety, allowing for their modification into several
8 useful derivatives¹⁹ and making them suitable for applications in the synthesis of antibiotics²⁰,
9 β - and γ -amino acids and peptides^{21, 22}, and as chiral synthetic building blocks²³.

10 3-Hydroxyacids are also naturally produced as components of polyhydroxyalkanoate (PHA)
11 biopolymers²⁴ and can in turn be used to synthesize PHAs with novel properties^{25, 26}. While
12 many substituent monomers have been incorporated into PHAs through modulation of
13 substrates provided in the culture medium^{26, 27}, the number of monomers produced directly
14 from simple carbon sources is far smaller. Indeed, only 3-hydroxybutyrate (3HB) and
15 3-hydroxyvalerate (3HV) have been successfully produced at the gram per litre scale using
16 enzymes of the PHA biosynthetic pathway²⁸⁻³⁰. In these reports, 3HB or 3HV synthesis begins
17 with the condensation of two acetyl-CoA molecules or acetyl-CoA and propionyl-CoA,
18 respectively, through the action of a thiolase enzyme (PhaA or BktB). The β -keto group is
19 subsequently reduced to an alcohol by one of two 3-hydroxybutyryl-CoA dehydrogenases, PhaB
20 or Hbd, yielding the (*R*) or (*S*)-enantiomer, respectively. Lastly, production of the free acid
21 requires hydrolysis of the CoA moiety, which can be accomplished by the enzyme pair
22 phosphotransbutyrylase (Ptb) and butyrate kinase (Buk)^{28, 31}, or by thioesterase II (TesB)^{29, 32, 33}.

1 TesB is capable of hydrolyzing both enantiomers of 3HB-CoA, while the Ptb-Buk enzyme system
2 is specific to the (*R*)-enantiomer. Unlike acetyl-CoA, propionyl-CoA is not naturally produced
3 in *E. coli* in significant quantities. In Tseng *et al*³⁰, exogenously supplied propionate was
4 intracellularly activated to propionyl-CoA using the previously described Ptb-Buk enzymes,
5 known to act reversibly to produce CoA-activated compounds³¹.

6 The successful direct production of 3HB and 3HV inspired the adaptation of this route to
7 produce more structurally diverse 3-hydroxyacids, especially 3,4-DHBA, thus creating a platform
8 pathway for biological synthesis from the core enzymatic elements described above (**Fig. 1**).
9 The substrate range of the thiolase enzyme catalyzing the initial carbon-carbon bond-forming
10 reaction is a key element of the pathway, and BktB exhibits broader substrate range than
11 PhaA³⁴. 3,4-DHBA synthesis using the 3-hydroxyacid pathway requires the condensation of
12 acetyl-CoA and glycolyl-CoA; however, the ability of the BktB thiolase to catalyze this reaction
13 was unknown. The pathway also requires the exogenous supply of glycolate, and there were
14 no reports describing production of glycolyl-CoA from glycolate. Thus, additional
15 CoA-activation systems were investigated. These included propionyl-CoA transferase (Pct), a
16 broad substrate-specificity enzyme from *Megasphaera elsdenii*^{35, 36} that exchanges CoA
17 moieties between short-chain organic acids including acetyl-CoA, and propionyl-CoA synthetase
18 (PrpE) from *Salmonella typhimurium* LT2³⁷, in addition to Ptb-Buk. We also investigated *in*
19 *vivo* synthesis of glycolate via the glyoxylate shunt to enable direct production of 3,4-DHBA
20 from glucose. Finally, we further explored extensibility of the pathway by feeding butyrate to
21 determine whether longer chain hydroxyacids could be produced and isobutyrate to examine
22 the potential for synthesis of branched chain hydroxyacids. While the different pathway

1 enzyme combinations tested showed considerable differences in *in vivo* activities in
2 synthesizing products from the supplied substrates, the combination consisting of Pct, BktB,
3 PhaB, and TesB was found to be most versatile and allowed synthesis of 5 novel products using
4 the platform, including 3,4-DHBA, 3HBL, 2,3-dihydroxybutyric acid (2,3-DHBA),
5 3-hydroxyhexanoic acid (3HH) and 3-hydroxy-4-methylvaleric acid (3H4MV).

6

1 RESULTS

2 Validation of the pathway enzymes through production of 3HV

3 Propionate is the natural substrate for Pct and PrpE, and the ability of Ptb-Buk to activate
4 propionate had been previously demonstrated³⁰. To validate the functional expression of all
5 pathway enzymes and to gain insights into differences in their *in vivo* activities and specificities,
6 propionate and glucose were supplied to cultures of recombinant *E. coli* cells expressing one of
7 the three activators (*pct*, *prpE*, or *ptb-buk*), one of two 3-hydroxybutyryl-CoA reductases (*phaB*
8 or *hbd*), *tesB*, and *bktB* from their respective plasmids. 3HV was produced in all six
9 recombinant strains, confirming functional expression of all pathway genes (**Fig. 2**). Among
10 the three activation enzymes, Pct resulted in the highest (*S*)-3HV titres, up to 18.00 ± 0.23 mM
11 (2130 ± 27 mg/L), when Hbd was utilized as the reductase (strain MG1), followed by Ptb-Buk
12 (strain MG3) and PrpE (strain MG2). In the case of (*R*)-3HV biosynthesis employing PhaB as
13 the reductase, all three activators were generally comparable, with up to 19.65 ± 1.81 mM
14 (2320 ± 213 mg/L) produced by strain MG4. The choice of reductase influenced both final 3HV
15 titres and 3HV production rates as strains utilizing PhaB (strains MG4-6) yielded more 3HV at a
16 faster rate than strains employing Hbd (strains MG1-3) (**Fig. 2** and **Supplementary Fig. S1**).

17 3HB is a by-product of the 3-hydroxyacid pathway since BktB retains high activity for the
18 condensation of two acetyl-CoA molecules³⁴ to produce acetoacetyl-CoA, the natural substrate
19 of both PhaB and Hbd. 3HB production levels were affected by the specific combination of
20 enzymes employed (**Fig. 3**). In all of the experiments reported here, much less 3HB was
21 detected in strains utilizing Pct for CoA activation (**Fig. 3**, strains MG1 and MG4) compared to
22 strains utilizing Ptb-Buk or PrpE. Pct can transfer the CoA moiety from acetyl-CoA to other

1 short organic acids³⁵. This CoA transfer should significantly reduce intracellular acetyl-CoA
2 concentrations, making the second-order condensation of two acetyl-CoA molecules less likely
3 than the condensation of acetyl-CoA with another acyl-CoA (a first-order reaction with respect
4 to acetyl-CoA). Thus, strains utilizing Pct achieved the highest selectivity towards both (*S*)-3HV
5 and (*R*)-3HV (**Fig. 2**), but produced the most acetate among the three activators examined (**Fig.**
6 **3**) as a direct consequence of the transferase reaction.

8 **Production of 3,4-DHBA and 3HBL from glucose and glycolate**

9 The platform pathway was designed to produce 3,4-DHBA from glycolate as a precursor
10 (**Fig. 1**). In cultures supplemented with glycolate and glucose, only the *pct*-expressing strains,
11 MG1 and MG4, produced 3,4-DHBA, while strains expressing the activators *prpE* and *ptb-buk*
12 only synthesized 3HB and acetate (**Fig. 2** and **Fig. 3**). Unexpectedly, these cultures also
13 produced small quantities of 3HBL. The lactone could be formed either due to direct
14 spontaneous lactonization of the 3,4-dihydroxybutyryl-CoA intermediate or from free 3,4-DHBA
15 upon equilibration under the culture conditions. If the former were true, then elimination of
16 TesB from the pathway should result in increased 3HBL synthesis. In strains not expressing
17 the *tesB* gene, 52% more 3HBL and 96% less 3,4-DHBA was produced (**Supplementary Fig. S2**).
18 Moreover, equilibration of 3,4-DHBA standards under final culture conditions (pH≈5.5, 30°C) did
19 not result in detectable lactonization of 3,4-DHBA to 3HBL. These results support the
20 hypothesis that 3HBL was produced by the direct cyclization of the 3,4-dihydroxybutyryl-CoA
21 intermediate. A similar spontaneous lactonization is hypothesized to result in the synthesis of
22 γ -butyrolactone from 4-hydroxybutyryl-CoA³⁸. The identity of 3,4-DHBA and 3HBL was

1 confirmed through HPLC and HPLC/MS analysis (see Methods). Strain MG4, expressing *pct*
2 and *phaB* in addition to *bktB* and *tesB*, synthesized up to 4.62 ± 0.33 mM (555 ± 52 mg/L) of
3 3,4-DHBA and 2.17 ± 0.15 mM (221 ± 15 mg/L) of 3HBL. The total 3,4-DHBA and 3HBL titres
4 estimated on a molar basis were about two fold higher with PhaB as a reductase than with Hbd
5 (**Fig. 2**), indicating limitations associated with the activity of Hbd towards the non-natural
6 substrate 4-hydroxy-3-ketobutyryl-CoA.

7

8 ***Investigating a pathway side product: synthesis of 2,3-DHBA***

9 In addition to 3,4-DHBA, strains MG1 and MG4 synthesized a second species that co-eluted
10 with 3,4-DHBA during HPLC analysis (**Supplementary Fig. S3**). This species eluted immediately
11 adjacent to the 3,4-DHBA ammonium adduct and was detected at the same m/z ratio of 138.1
12 during HPLC / MS analysis (**Supplementary Fig. S4**). In the absence of glycolate or the
13 pathway enzymes, the product was not detected, indicating that the species was a structural
14 isomer generated by the platform pathway.

15 2,3-DHBA was one possible isomeric product, identical in mass to 3,4-DHBA, that we
16 hypothesized could be formed through an alternative Claisen condensation reaction³⁹ catalyzed
17 by BktB⁴⁰. The condensation reaction between glycolyl-CoA and acetyl-CoA that results in the
18 synthesis of 3,4-DHBA involves abstraction of an α -proton from acetyl-CoA to generate a
19 carbanion that initiates the formation of the carbon-carbon bond in the
20 4-hydroxy-3-ketobutyryl-CoA intermediate (**Fig. 4a** and **Supplementary Fig. S5a**).
21 Alternatively, the abstraction of an α -proton from glycolyl-CoA (**Fig. 4b** and **Supplementary Fig.**
22 **S5b**) is expected to result in the synthesis of 2,3-DHBA via the 2-hydroxy-3-ketobutyryl-CoA

1 intermediate. The absence of a commercially available standard for 2,3-DHBA prevented the
2 direct confirmation of this hypothesis using HPLC/MS analysis. Thus, we used labelled
3 glycolate in which both of the hydrogen atoms on the α -carbon were replaced with deuterium
4 to investigate further (**Fig. 4c**). As expected, a peak corresponding to the doubly deuterated
5 3,4-DHBA ammonium adduct (**Fig. 4c**) was observed at an m/z ratio of 140.1 that coincided with
6 the peak for the unlabelled 3,4-DHBA standard detected at an m/z of 138.1, confirming
7 3,4-DHBA synthesis in strain MG4 (**Supplementary Fig. S4**). Further, a peak corresponding to
8 the unknown species was detected at an m/z of 139.1. The loss of 1 mass unit relative to the
9 labelled 3,4-DHBA ammonium adduct was consistent with the mechanism for formation of
10 2,3-DHBA by the alternative Claisen condensation reaction (**Fig. 4b and c** and **Supplementary**
11 **Fig. S5b**). The 2,3-DHBA isomer was subsequently confirmed via NMR analysis (**see**
12 **Supplementary Methods** and **Supplementary Fig. S6**).

13 MG4 supplied with unlabeled glycolate was estimated to have synthesized 7.17 ± 0.54 mM
14 (860 ± 65 mg/L) of 2,3-DHBA (**Fig. 2**). These titres were comparable to the total of 3,4-DHBA
15 and 3HBL (about 6.8 mM) produced in the same cultures and suggest a roughly equal selectivity
16 of BktB towards both isomers. While MG1 cultures also synthesized small quantities of
17 2,3-DHBA, these were too small to be quantified accurately using HPLC.

19 ***3,4-DHBA to 3HBL conversion by acid treatment***

20 It is well documented that lactonization is acid-catalyzed⁴¹; hence, the equilibrium
21 between 3,4-DHBA and 3HBL was expected to be governed by pH, amongst other factors.
22 Overnight acid treatment of 3,4-DHBA standards in LB with 6 N hydrochloric acid at 37°C

1 resulted in a progressive shift in the equilibrium towards 3HBL with decreasing pH, with a
2 maximum K of 1.9 ($K=[3HBL]/[DHBA]$) at a pH close to 0.7 (**Supplementary Fig. S7**). Acid
3 treatment of culture supernatants from strains MG1 and MG4 allowed effective conversion of
4 3,4-DHBA to 3HBL. Strain MG4 expressing the *pct-phaB* activator-reductase combination
5 showed the highest post-acid treatment 3HBL titres of 4.53 ± 0.33 mM (462 ± 35 mg/L) and
6 corresponding 3,4-DHBA titres of 2.27 ± 0.17 mM (272 ± 20 mg/L) (**Fig. 5**).

8 ***Direct production of DHBA/3HBL from glucose as a sole carbon source***

9 Glycolate can be directly synthesized in *E. coli* through the reduction of glyoxylate,
10 mediated by the endogenous glyoxylate reductase (YcdW). However, the glyoxylate shunt
11 (**Supplementary Fig. S8**) is heavily regulated and is typically repressed during growth on glucose
12 or other carbon sources that are easily metabolized⁴². The glyoxylate shunt is regulated by
13 the phosphorylation state of isocitrate dehydrogenase (Idh), which is both phosphorylated and
14 de-phosphorylated by Idh kinase/phosphatase (AceK). Isocitrate lyase (AceA) catalyzes the
15 cleavage of isocitrate to glyoxylate and succinate.

16 We first examined the production of glycolate directly from glucose, using a minimal (M9)
17 medium in order to limit the available carbon sources and induce the glyoxylate shunt.
18 Overexpression of *ycdW*, *aceA* and *aceK* from the plasmid pHHD/*ycdW-aceA-aceK* in strain
19 MG0-GP resulted in 1.4 g/L glycolate produced from 10 g/L glucose. Transformation of this
20 strain with plasmids expressing *pct*, *bktB*, *phaB* and *tesB* (strain MG4-GDHP) resulted in the
21 production of 3.79 ± 0.72 mM (456 ± 87 mg/L) of 2,3-DHBA, 3.97 ± 0.57 mM (476 ± 67 mg/L) of

1 3,4-DHBA and 1.85 ± 0.26 mM (189 ± 26 mg/L) of 3HBL, from 10 g/L glucose as a sole carbon
2 source.

3

4 **Extension of the pathway for the production of six carbon hydroxyacids**

5 To explore the potential of the pathway to incorporate longer chain, aliphatic substrates,
6 isobutyrate and butyrate were supplied to the culture medium to investigate the production of
7 the six carbon isomers 3-hydroxy-4-methylvalerate (3H4MV) and 3-hydroxyhexanoate (3HH),
8 respectively. While DHBA synthesis was only observed with Pct, all three CoA-activation
9 systems resulted in the production of 3H4MV, and all except PrpE could produce 3HH (**Fig. 2**
10 **and Fig. 3**, strains MG4-6). However, both 3H4MV and 3HH were detected only with the PhaB
11 reductase (**Fig. 2 and Fig. 3**, strains MG4-6). For 3H4MV, a fourth CoA-activation system was
12 tested, namely, *B. subtilis* Ptb-Buk. This system was chosen because of its association with
13 genes involved in branched-chain fatty acid synthesis, suggesting a preference for branched
14 acids such as isobutyrate⁴³. The *B. subtilis* Ptb-Buk enzyme system resulted in 3H4MV titres
15 comparable to those obtained with the *C. acetobutylicum* homologs, but produced more 3HB
16 and less acetate (**Supplementary Fig. S9**). This suggests that the two Ptb-Buk homologs may
17 be equally efficient in activating isobutyrate, but the *B. subtilis* pair has lower activity with
18 acetyl-CoA resulting in lower acetate and higher 3HB, as the increased acetyl-CoA pool would
19 favour 3HB synthesis. Overall, 3H4MV titres were observed up to 2.27 ± 0.18 mM (300 ± 25
20 mg/L) in strain MG4 and 3HH titres up to 0.170 ± 0.04 mM (22.5 ± 5.9 mg/L) were achieved in
21 strain MG6.

22

1 DISCUSSION

2 Biological synthesis of chemical compounds is an attractive option that is in part limited by
3 the availability of synthetic pathways for molecules of interest. Expanding this capacity thus
4 requires the *de novo* design of biosynthetic routes. This work represents the first report of a
5 platform pathway for 3-hydroxyacid synthesis that results in the complete biological production
6 of the value-added compounds 3,4-DHBA and 3HBL. A key enzyme in the platform pathway
7 developed is the thiolase, BktB, which catalyzes the Claisen condensation. Carbon-carbon
8 bond forming chemistry provides a unique way to extend beyond naturally-occurring
9 backbones to generate a wider array of compounds. In most other examples of pathway
10 design for targeted molecule production, carbon-carbon bonds are either broken through
11 decarboxylation (e.g., production of 1,2,4-butanetriol from pentose sugars⁴⁴; fusel alcohols
12 from amino acid precursors⁴⁵) or the carbon chain length is already set through a
13 naturally-occurring metabolic intermediate (e.g., 3-hydroxypropionic acid from pyruvate or
14 alanine⁴⁶; 1,4-butanediol from succinate or succinyl semialdehyde through decarboxylation of
15 alpha-ketoglutarate³⁸). An ability to set carbon skeletons with unnatural substrates
16 represents an entirely new opportunity to envision and achieve targeted microbial synthesis of
17 value-added compounds. A recently published study reports carbon chain elongation for
18 targeted production of the unnatural branched alcohol, 3-methyl-1-pentanol; however, eight
19 other alcohols were produced as by-products⁴⁷. Thus, our ability to produce the targeted
20 compounds through the formation of a new carbon-carbon bond while producing only two
21 related analogs (2,3-DHBA and 3HB) represents a significant achievement.

22

1 The platform pathway developed herein has the flexibility to produce various molecules
2 based on the precursors supplied (either exogenously or endogenously) and to generate
3 different stereoisomers based on the choice of reductase employed. It is well documented
4 that PhaB results exclusively in (*R*)-3-hydroxyacids while Hbd results in the (*S*) stereoisomers³³,
5 ⁴⁸. This phenomenon was experimentally verified in pathways producing both 3HB²⁹ and
6 3HV³⁰. Because 3,4-DHBA has a hydroxyl group in the δ -position that changes the
7 stereochemical priority of the different atoms of the molecule about its stereocenter, the
8 stereochemistry of 3,4-DHBA formed by PhaB should be (*S*)-3,4-DHBA while that formed by Hbd
9 should be (*R*)-3,4-DHBA. PhaB results in significantly higher titres, and fortunately, the (*S*)
10 stereoisomer is predominately used in the production of pharmaceuticals and high-value
11 compounds³. Identification and screening of *hbd* homologs could identify a reductase with
12 identical stereochemical preference but with an increased substrate tolerance for the
13 production of (*R*)-3,4-DHBA and (*R*)-3HBL.

14 A notable finding in this work was the observation of the alternative Claisen condensation
15 reaction to yield the 2,3-DHBA isomer. Since the reaction mechanism requires the abstraction
16 of an α -proton to initiate nucleophilic attack, we do not expect all molecular pairs to be equally
17 likely to form both isomers. Indeed, our inability to observe any alternative products in the
18 synthesis of 3HV is consistent with a previous report that found no activity with a BktB homolog
19 from *Zoogloea ramigera* (51% identity/68% similarity) in the thiolytic direction with the
20 branched 2-methylacetoacetyl-CoA isomer of β -ketovaleryl-CoA⁴⁰. The unexpected
21 production of 2,3-DHBA is an exciting development because of the implication for other
22 molecular structures that could be formed using substrates with sufficiently electronegative

1 α -protons. Additionally, the compound is of specific interest because of its utility as a
2 potential commercial target. Indeed, the 2,3-isomer is attractive as a monomer for use in the
3 synthesis of hyperbranched polymers⁴⁹.

4 While our achievable titres of nearly 800 mg/L (*S*)-3,4-DHBA/3HBL from glucose and
5 glycolate and approximately 650 mg/L from glucose as a sole carbon source certainly require
6 improvement for large-scale production, these values are extremely promising as the first
7 demonstration of engineered synthesis in comparison to other engineered pathways that rely
8 on carbon-carbon bond forming reactions. Khosla and colleagues reported titres of ~25 mg/L
9 in the initial reconstruction of polyketide synthesis in *E.coli*⁵⁰, while the Keasling group obtained
10 maximum amorphadiene titres of 112 mg/L in the first reported synthesis in an engineered
11 organism⁵¹. These low titres were observed in spite of the availability of natural pathways to
12 produce the target molecules. The latter example is especially encouraging given more recent
13 reports of 27.4 g/L amorphadiene produced in 2-L fed-batch reactors⁵². Indeed, we anticipate
14 that production of (*S*)-3,4-DHBA/3-HBL at comparable titres can result in a substantial reduction
15 in cost of goods for high-value applications such as pharmaceutical synthesis.

16 Taken together, the initial findings of novel molecules produced here point to three main
17 avenues for greatly enhancing the potential of this pathway to launch new commercial
18 bio-products. First, the full potential of the wild-type enzymes remains unknown from the
19 small subset of substrates that were screened. The broad substrate range of the thiolase for
20 catalyzing carbon-carbon bond forming reactions, an essential first step, enables one to
21 envision a wide array of novel compounds that could be produced and subsequently
22 transformed into products ranging from medicines to materials. Further characterization of

1 the promiscuous CoA-activating enzyme Pct may also lead to the use of this enzyme in
2 additional novel pathways that rely on CoA-containing compounds, including those involved in
3 fatty acid β -oxidation, *Clostridial* n-butanol biosynthesis, and the mevalonate-dependent
4 isoprenoid pathway. Second, improving upon the activities of the pathway enzymes, either
5 through screening for more active homologs or through protein engineering, should result in
6 both broader substrate range and higher production titres. Of particular interest is protein
7 engineering to influence product formation⁵³, for example, to preference 3,4- over 2,3-DHBA
8 synthesis or to accelerate the alternative Claisen condensation, producing novel branched or
9 α -substituted molecules. Finally, production strains for hydroxyacid synthesis can be further
10 engineered to produce pathway substrates endogenously, as demonstrated here for the
11 production of DHBA and 3HBL from glucose and previously to produce 3HV from glucose or
12 glycerol as the sole carbon source³⁰. Intracellular production of pathway substrates would
13 mitigate the cost and/or toxicity associated with the secondary carbon sources as well as
14 eliminate the need for substrate transport across the cellular envelope, potentially accelerating
15 production. Each of these approaches has the potential to further enhance the platform
16 pathway we present here as an efficient and sustainable avenue for the production of
17 value-added biochemicals.

18

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1 **METHODS (1226 words)**

2 **Strains and plasmids (Table 1)**

3 *E. coli* DH10B (Invitrogen, Carlsbad, CA) and ElectroTen-Blue (Stratagene, La Jolla, CA) were
4 used for transformation of cloning reactions and propagation of all plasmids. *E. coli*
5 MG1655(DE3 $\Delta endA \Delta recA$) was described previously (as strain HCT10³⁰) and was used as the
6 production host for hydroxyacid synthesis, as well as endogenous glycolate synthesis.

7 Genes from *C. acetobutylicum* ATCC 824 (*ptb-buk* and *hbd*), *R. eutropha* H16 (*bktB* and
8 *phaB*), *E. coli* K-12 (*tesB*, *ycdW*, and *aceA-aceK*), *M. elsdenii* (*pct*), *S. typhimurium* LT2 (*prpE*) and
9 *B. subtilis* 3610 (*ptb* and *buk*) were obtained by polymerase chain reaction (PCR) using genomic
10 DNA (gDNA) templates. All gDNAs were prepared using the Wizard Genomic DNA Purification
11 Kit (Promega, Madison, WI). Custom oligonucleotides (primers) were purchased for all PCR
12 amplifications (Sigma-Genosys, St. Louis, MO) as listed in **Supplementary Table S2**. In all
13 cases, Phusion High Fidelity DNA polymerase (Finnzymes, Espoo, Finland) was used for DNA
14 amplification. Restriction enzymes and T4 DNA ligase were purchased from New England
15 Biolabs (Ipswich, MA). Recombinant DNA techniques were performed according to standard
16 procedures.

17 Two co-replicable vectors, pETDuet-1 and pCDFDuet-1 (Novagen, Darmstadt, Germany),
18 were used for construction of the 3-hydroxyacid pathway plasmids. Plasmid pHHd was
19 constructed from pKVS45 and enables expression of genes under the control of a tetracycline
20 inducible promoter (see **Supplementary Methods**). This plasmid is compatible with the Duet
21 vectors. The sites used for cloning the genes are underlined in **Supplementary Table S2**.

22 PCR products were digested with the appropriate restriction enzymes and ligated directly into

1 similarly digested vectors. The *ptb-buk* fragment was generated by *EcoRI* and *NotI* digestion
2 of pCDF-PB²⁹. The *B. subtilis* *ptb* and *buk* genes were cloned into an artificial operon using
3 Splicing by Overlap Extension (SOE) PCR^{54,55} to mimic the natural *C. acetobutylicum* *ptb-buk*
4 operon. The *E. coli* genes *ycdW*, *aceA* and *aceK* were similarly cloned into an artificial operon
5 to mimic the structure of the natural *aceB-aceA-aceK* operon in *E. coli*, with the *ycdW* gene
6 replacing *aceB* (see **Supplementary Methods**). Ligation reactions using pETDuet-1 and pHHD
7 as vectors were used to transform *E. coli* DH10B, while ligations using pCDFDuet-1 were used to
8 transform *E. coli* ElectroTen-Blue. One thiolase (*bktB*) and one of two 3-hydroxybutyryl-CoA
9 reductases (*phaB* and *hbd*) were cloned into pETDuet-1. The pCDFDuet-based plasmids
10 contained one of four CoA-activation genes (*pct*, *ptb-buk*, *ptb-buk(Bs)* and *prpE*) and one
11 thioesterase (*tesB*). The artificial operon *ycdW-aceA-aceK* was ligated into pHHD for
12 construction of the plasmid pHHD/*ycdW-aceA-aceK* that allows expression of enzymes for
13 endogenous glycolate synthesis upon induction with anhydrotetracycline (aTc).

14 All constructs were confirmed to be correct by restriction enzyme digestion and nucleotide
15 sequencing. Once all plasmids were constructed, one pETDuet-based plasmid and one
16 pCDFDuet-based plasmid were used to co-transform *E. coli* MG1655(DE3) Δ *endA* Δ *recA* (MG0) to
17 create hydroxyacid production strains. For endogenous synthesis of glycolate, strain MG0-GP
18 was constructed by transforming MG0 with pHHD/*ycdW-aceA-aceK*. MG4 was transformed
19 with pHHD/*ycdW-aceA-aceK* to construct strain MG4-GDHP to study the direct synthesis of
20 glycolate, the two DHBAs and 3-HBL from glucose as a sole carbon source.

21

22

1 **Culture conditions**

2 Seed cultures of the recombinant *E. coli* strains (**Table 1**) were grown in LB medium at 30°C
3 overnight, and were used to inoculate 50 mL LB medium supplemented with 10 g/L glucose at
4 an inoculation volume of 2% in 250 mL flasks. Due to HPLC peak overlapping between 3HH
5 and LB components, 3HH biosynthesis was conducted in M9 minimal medium supplemented
6 with 10 g/L glucose where seed cultures were washed and re-suspended in M9 minimal
7 medium before inoculation. The shake flask cultures were then incubated at 30°C on a rotary
8 shaker at 250 RPM. Once the cells reached mid-exponential phase (when OD₆₀₀ reached
9 0.8-1.0), cultures were supplemented (final concentrations in parentheses) with IPTG (1 mM)
10 for induction of gene expression and one of the precursor substrates: neutralized propionate
11 (15 mM), butyrate (15 mM), isobutyrate (15 mM), and glycolate (40 mM). In all cases, culture
12 medium was supplemented with 50 mg/L ampicillin and 25 mg/L streptomycin to provide
13 selective pressure for plasmid maintenance.

14 The direct synthesis of glycolate, 2,3-DHBA, 3,4-DHBA and 3-HBL from glucose as a sole
15 carbon source in strains MG0-GP and MG4-GDHP was also conducted in M9 minimal medium to
16 promote glycolate synthesis via the glyoxylate shunt. MG0-GP cultures were supplemented
17 with 50 mg/L kanamycin and induced with aTc (250 ng/mL) while MG4-GDHP cultures were
18 supplemented with ampicillin (50 mg/L), streptomycin (50 mg/L) and kanamycin (30 mg/L) and
19 simultaneously induced with aTc (250 ng/mL) and IPTG (100 µM) in mid-exponential phase.

20 1 mL of culture was withdrawn every 24 h for up to 96 h for HPLC and HPLC/MS analysis.
21 Titres of 3-hydroxyacids reached a plateau at 72 h and there was essentially no difference in the
22 titres between 72 h and 96 h; accordingly, only the peak titres observed at 72 h were reported.

1 In general, experiments were performed in triplicates, and data are presented as the averages
2 and standard deviations of the results.

3

4 **Metabolite analysis**

5 Culture samples were pelleted by centrifugation and aqueous supernatant collected for
6 HPLC analysis using an Agilent 1200 series instrument with a refractive index detector (RID).
7 Analytes were separated using an Aminex HPX-87H anion-exchange column (Bio-Rad
8 Laboratories, Hercules, CA) and a 5 mM H₂SO₄ mobile phase. Glucose, propionate, butyrate,
9 isobutyrate, glycolate, acetate, 3-hydroxybutyrate, 3-hydroxyvalerate, 3-hydroxyhexanoate,
10 and (*S*)-3-hydroxy- γ -butyrolactone were quantified using commercial standards by linear
11 interpolation from calibration of external standards.

12 Due to the absence of a commercially available standard, the 3,4-DHBA standard used for
13 HPLC analysis was prepared from (*S*)-3-hydroxy- γ -butyrolactone by saponification at 37°C for 3
14 hours at pH > 10 using 10N sodium hydroxide. Complete conversion of (*S*)-3HBL to
15 (*S*)-3,4-DHBA (as confirmed by disappearance of the 3HBL peak on the HPLC time trace) was
16 observed during this treatment. Additionally, 3,4-DHBA and 2,3-DHBA synthesis was also
17 confirmed using HPLC/MS analysis on an Agilent 1100 series instrument equipped with 6120
18 Quadrapole MS with multi-mode source and an Aminex HPX-87H column with 25mM
19 ammonium formate as the mobile phase. The ammonium adduct of 3,4-DHBA was detected
20 at an m/z ratio of 138.1 to confirm 3,4-DHBA synthesis in the samples (**Supplementary Fig. S4**).
21 The ammonium adduct of deuterium labelled 3,4-DHBA formed from deuterium labelled
22 2,2-D₂-glycolic acid was detected at an m/z ratio of 140.1 while that of deuterium labelled

1 2,3-DHBA was detected at an m/z ratio of 139.1. Preparative chromatography was used to
2 co-purify 2,3-DHBA and 3,4-DHBA from the culture supernatants (see **Supplementary**
3 **Methods**). The identity of 3,4-DHBA and 2,3-DHBA was confirmed via ^1H , ^{13}C , Heteronuclear
4 Single Quantum Coherence (HSQC), and Heteronuclear Multiple Bond Correlation (HMBC) NMR
5 using a Varian 500 MHz spectrometer (**Supplementary Fig. S6**). Due to co-elution of 2,3-DHBA
6 with 3,4-DHBA during separation of culture supernatants on the Aminex Column during HPLC
7 analysis, the 3,4-DHBA and 2,3-DHBA titres were estimated indirectly as described in the
8 Supplementary Information (see **Supplementary Methods**).

9 3H4MV was analyzed using HPLC/MS since a commercial standard was not available. A
10 Zorbax SB-Aq alkyl bonded phase column (Agilent Technologies, Wilmington, DE) with a 25 mM,
11 pH 3 ammonium formate mobile phase was used to separate 3H4MV for detection with an
12 Agilent 1100 series instrument equipped with either RID or 6120 Quadrupole MS with
13 multi-mode source. The commercial 3HH isomer was used with RID to estimate the
14 concentration of 3H4MV in supernatant samples. The ammonium adducts of 3H4MV and 3HH
15 were detected at an m/z of +150.1 to confirm the presence of the branched isomer
16 (**Supplementary Fig. S10**).

17

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1

2 **ACKNOWLEDGEMENTS**

3 We thank Kevin Solomon of the Prather Lab for the expression plasmid pKVS45. This work
4 was supported by research grants from the National Science Foundation through the Synthetic
5 Biology Engineering Research Center (SynBERC, Grant No. EEC-0540879) and by Shell Global
6 Solutions (US) Inc.

7

8 **AUTHOR CONTRIBUTIONS**

9 CHM, HD, HCT, MJS, and CRR performed all experiments. KLJP supervised the research. All
10 authors wrote, reviewed, and edited the manuscript.

11

12 **COMPETING FINANCIAL INTERESTS**

13 CHM, HD and KLJP declare competing financial interests.

14

1 FIGURE LEGENDS

2 **Figure 1 | Schematic representation of the 3-hydroxyacid pathway**

3 Genes in blue were overexpressed, including one of the activation enzymes (encoded by *pct*, *prpE*, or
4 *ptb-buk*), one thiolase enzyme (encoded by *bktB*), one of two 3-hydroxybutyryl-CoA reductases
5 (encoded by *phaB* or *hbd*), and one thioesterase enzyme (encoded by *tesB*). The carbon sources used
6 in the system were glucose and one precursor substrate depicted enclosed by a rectangular box. The
7 precursor substrates and their corresponding products of 3-hydroxyalkanoic acids are colour-coded
8 accordingly.
9

10 **Figure 2 | Biosynthesis of 3-hydroxyacids through pathways with different genes and feeding 11 of various precursor substrates**

12 Shake-flask production of chiral 3-hydroxyacids at 72h. The various recombinant strains are described
13 in **Table 1**. Production of 3HV (red bars), 2,3-DHBA+3,4-DHBA+3HBL (green bars), 3H4MV (blue bars) and
14 3HH (pink bars) was achieved with supplementation of propionate, glycolate, isobutyrate and butyrate,
15 respectively, in addition to glucose. Data are presented as the mean \pm s.d. (n=3). The specific
16 activation enzymes and reductases used in each pathway are shown on the x-axis. Product selectivity,
17 defined as the molar ratio of the quantity of desired 3-hydroxyacid to the quantity of concomitant
18 product of 3HB, is shown below the x-axis. Inset shows breakdown of 2,3-DHBA, 3,4-DHBA and 3-HBL
19 synthesized by strain MG4. 2,3-DHBA was below the limit of quantitation for strain MG1.
20 Supplementary Table S1 shows the product yields on the supplied substrates.

21 **Figure 3 | Metabolite profile of the various recombinant *E. coli* cultures supplemented with 22 various precursor substrates**

23 Metabolite profile of major products, including the desired 3-hydroxyacid, 3HB, and acetate, from
24 various combinations of pathway enzymes supplemented with various precursor substrates ((a)
25 propionate; (b) glycolate; (c) isobutyrate; and (d) butyrate). The specific recombinant strains used are
26 shown on the x-axis (**Table 1**). As mentioned in the METHODS, 3HH cannot be resolved during HPLC
27 separation from one of the LB peaks; hence 3HH titres were not plotted for LB cultures in (d). The inset
28 in (d) shows titres for M9 cultures of the two 3HH producing strains MG4 and MG6 and the control
29 strain MG7.

30 **Figure 4 | Synthesis of DHBA isomers via the Claisen condensation reaction**

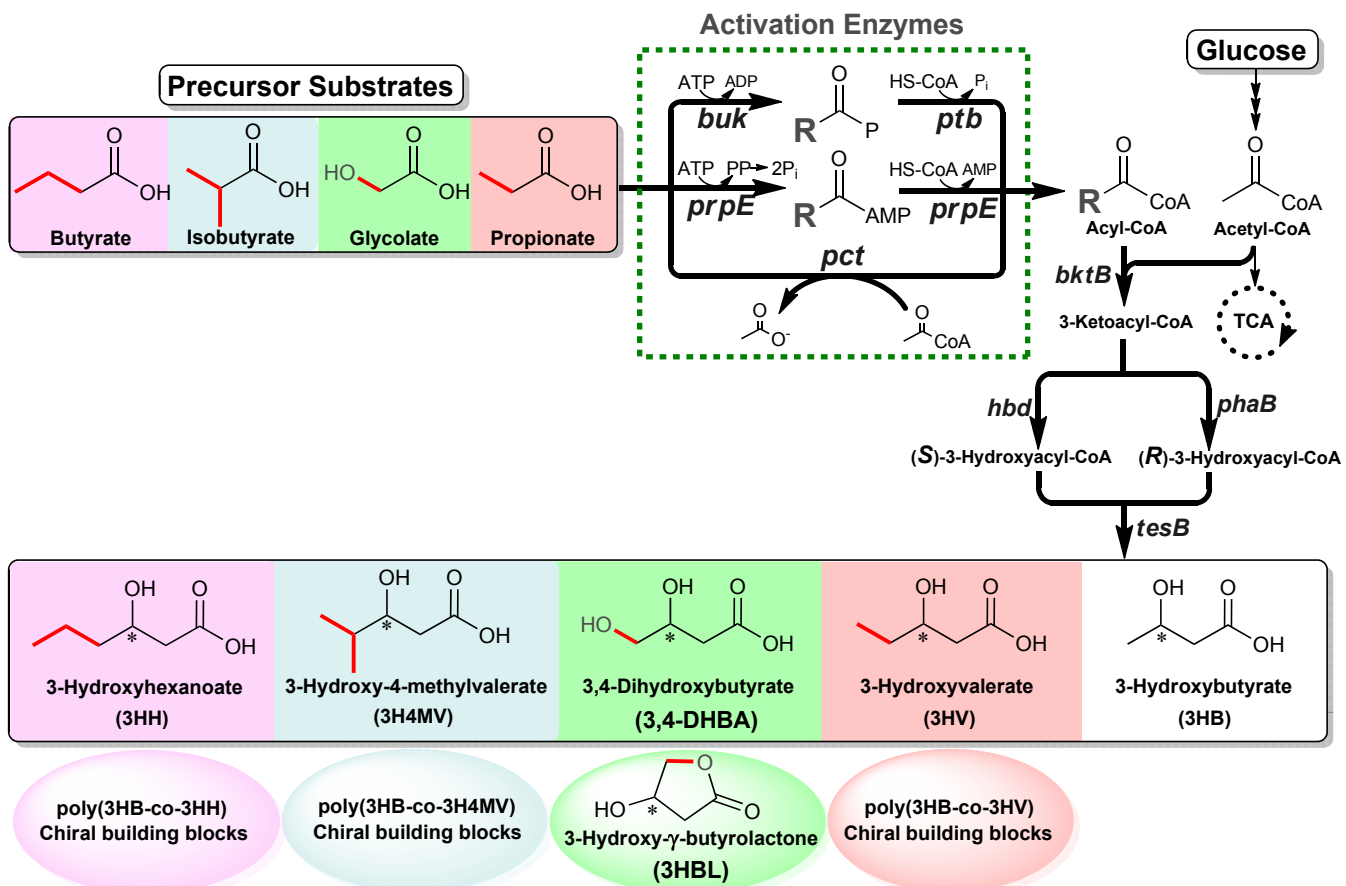
31 (a) Formation of 3,4-DHBA; (b) formation of 2,3-DHBA; (c) structures of the deuterium labelled glycolate,
32 3,4-DHBA and 2,3-DHBA ammonium adducts detected via HPLC / MS. Encircled and highlighted in red
33 are the α -protons abstracted by the enzyme for the generation of the carbanion responsible for the
34 carbon-carbon bond forming nucleophilic substitution reaction in each case (detailed mechanism
35 depicted in Supplementary Fig. S5a and S5b). Also highlighted are the respective carbon atoms from
36 each substrate involved in the carbon-carbon bond formation.
37
38

39 **Figure 5 | 3,4-DHBA and 3HBL titres before and after acid treatment**

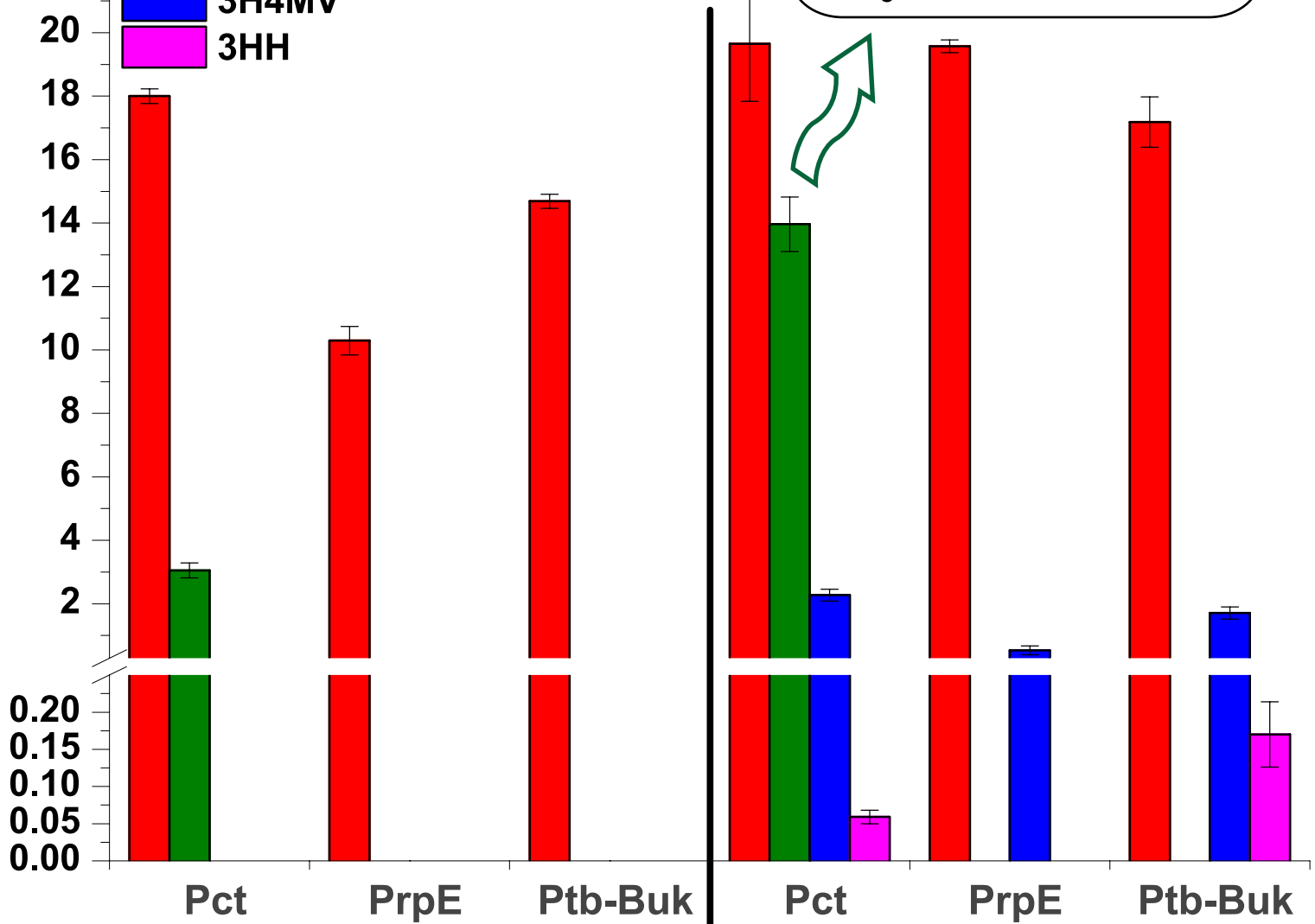
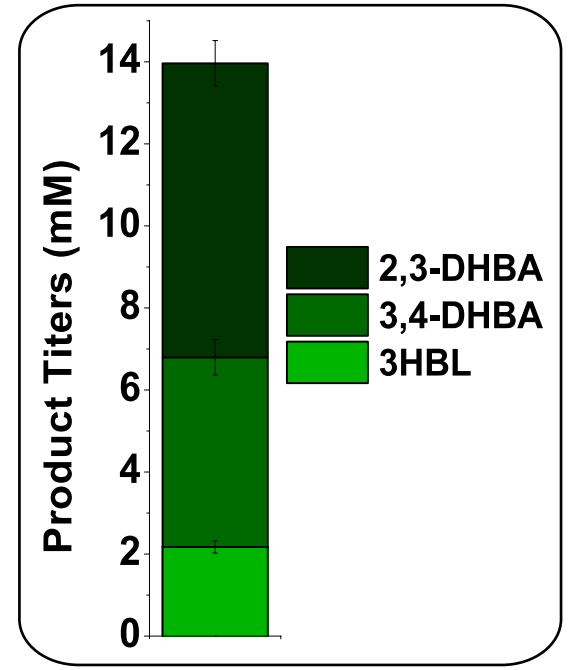
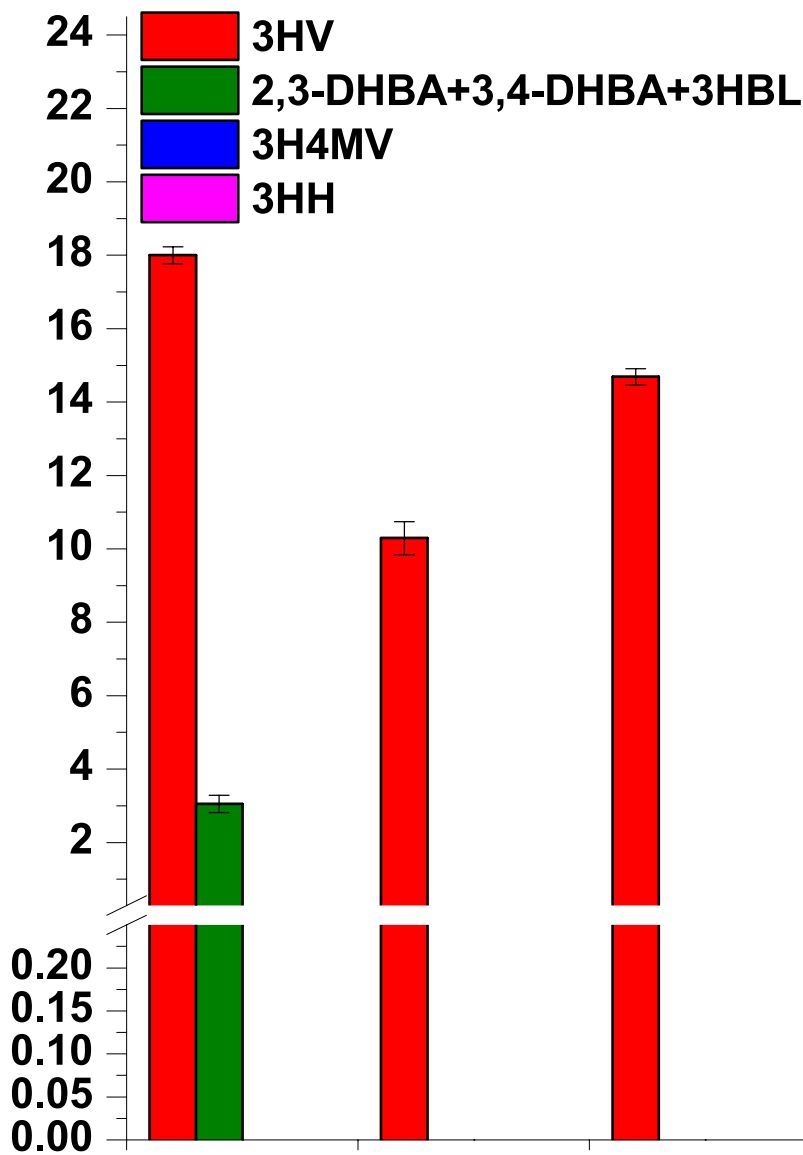
40 Culture supernatants from strains MG1 and MG4 were subjected to overnight treatment with 50 mM
41 hydrochloric acid at 37°C, allowing for effective conversion of 3,4-DHBA to 3HBL. Bars in light colours
42 represent samples before acid treatment while bars in dark colours represent samples after acid
43 treatment.

Table 1 | *E. coli* strains and plasmids used in the 3-hydroxyacid pathway.

Name	Relevant Genotype	Reference
Strains		
DH10B	F ⁻ <i>mcrA</i> Δ(<i>mrr-hsdRMS-mcrBC</i>) φ80 <i>lacZ</i> ΔM15 Δ <i>lacX74 recA1 endA1 araD139</i> Δ(<i>ara, leu</i>)7697 <i>galU galk</i> λ ⁻ <i>rpsL nupG</i>	Invitrogen
ElectroTen-Blue	Δ(<i>mcrA</i>)183 Δ(<i>mcrCB-hsdSMR-mrr</i>)173 <i>endA1 supE44 thi-1 recA1 gyrA96 relA1 lac</i> Kan ^r [F ['] <i>proAB lacI</i> ^r ZΔM15 Tn10 (Tet ^r)]	Stratagene
MG1655	F ⁻ λ ⁻ <i>ilvG- rfb-50 rph-1</i>	ATCC 700926
MG0	MG1655 (DE3) Δ <i>endA</i> Δ <i>recA</i>	Tseng 2010
MG1	MG0 containing pET/bktB/hbd and pCDF/pct/tesB	This study
MG2	MG0 containing pET/bktB/hbd and pCDF/prpE/tesB	This study
MG3	MG0 containing pET/bktB/hbd and pCDF/ptb-buk/tesB	This study
MG3B	MG0 containing pET/bktB/hbd and pCDF/(ptb-buk) _B /tesB	This study
MG4	MG0 containing pET/bktB/phaB and pCDF/pct/tesB	This study
MG5	MG0 containing pET/bktB/phaB and pCDF/prpE/tesB	This study
MG6	MG0 containing pET/bktB/phaB and pCDF/ptb-buk/tesB	This study
MG6B	MG0 containing pET/bktB/phaB and pCDF/(ptb-buk) _B /tesB	This study
MG7	MG0 containing pETDuet-1 and pCDFDuet-1	This study
MG0-GP	MG0 containing pHHD/ <i>ycdW-aceA-aceK</i>	This study
MG4-GDHP	MG4 containing pHHD/ <i>ycdW-aceA-aceK</i>	This study
Plasmids		
pETDuet-1	ColE1(pBR322) <i>ori, lacI, T7lac, Amp^R</i>	Novagen
pCDFDuet-1	CloDF13 <i>ori, lacI, T7lac, Strep^R</i>	Novagen
pKVS45	p15 <i>ori, tetR, Ptet, Amp^R</i>	Prather Lab
pHHD	Constructed by replacing the Amp ^R gene in pKVS45 with a Kan ^R gene and eliminating the f1 origin of replication from the backbone	This study
pET/bktB/hbd	pETDuet-1 harboring <i>bktB</i> from <i>R. eutropha</i> H16, and <i>hbd</i> from <i>C. acetobutylicum</i> ATCC 824	This study
pET/bktB/phaB	pETDuet-1 harboring <i>bktB</i> and <i>phaB</i> from <i>R. eutropha</i> H16	This study
pCDF/pct/tesB	pCDFDuet-1 harboring <i>pct</i> from <i>M. elsdenii</i> , and <i>tesB</i> from <i>E. coli</i> MG1655	This study
pCDF/prpE/tesB	pCDFDuet-1 harboring <i>prpE</i> from <i>S. typhimurium</i> LT2, and <i>tesB</i> from <i>E. coli</i> MG1655	This study
pCDF/ptb-buk/tesB	pCDFDuet-1 harboring a <i>ptb-buk</i> operon from <i>C. acetobutylicum</i> ATCC 824, and <i>tesB</i> from <i>E. coli</i> MG1655	This study
pCDF/(ptb-buk) _{Bs} /tesB	pCDFDuet-1 harboring a <i>ptb-buk</i> artificial operon from <i>Bacillus subtilis</i> , and <i>tesB</i> from <i>E. coli</i> MG1655	This study
pHHD/ <i>ycdW-aceA-aceK</i>	pHHD harboring the <i>ycdW-aceA-aceK</i> artificial operon	This study

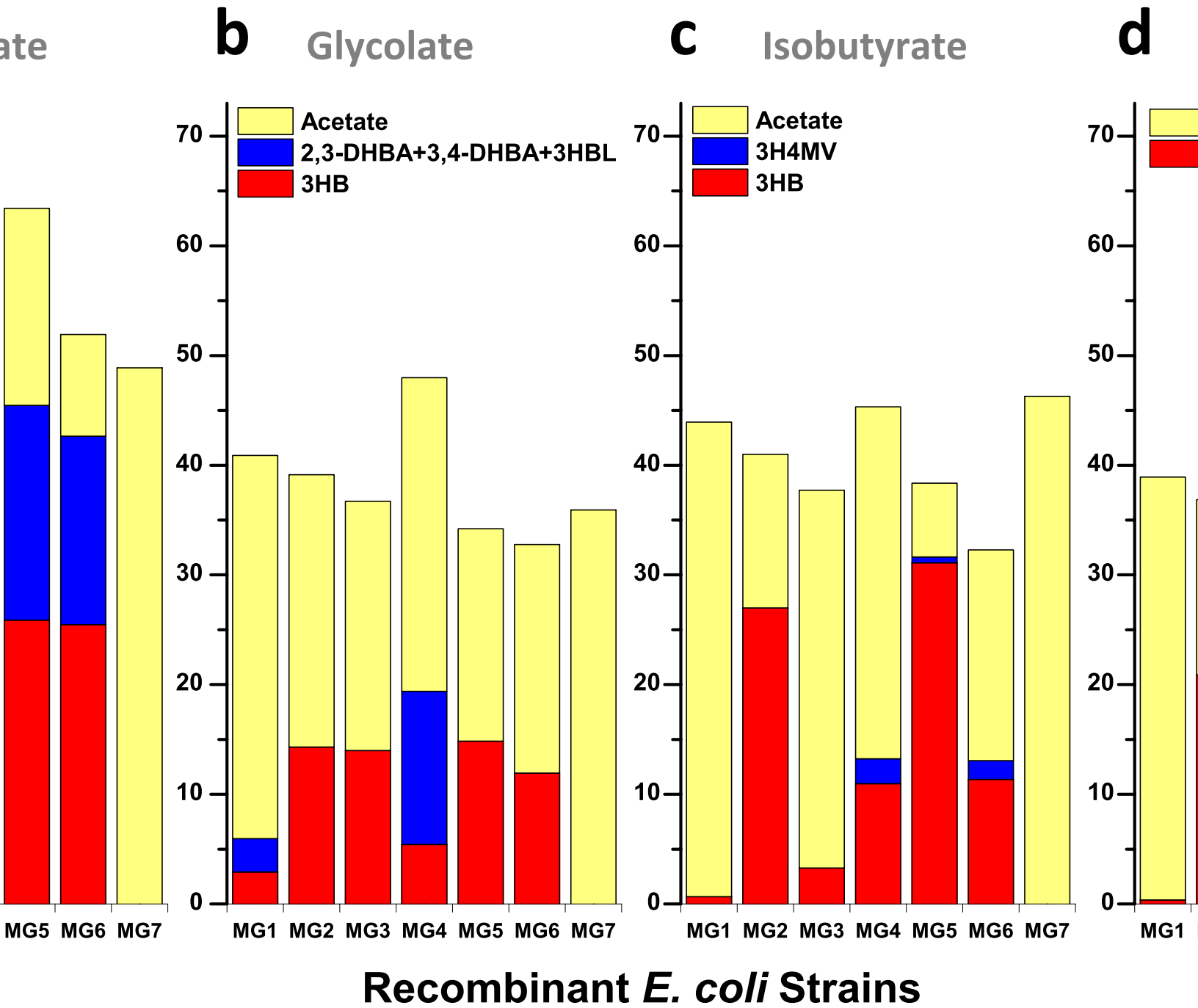


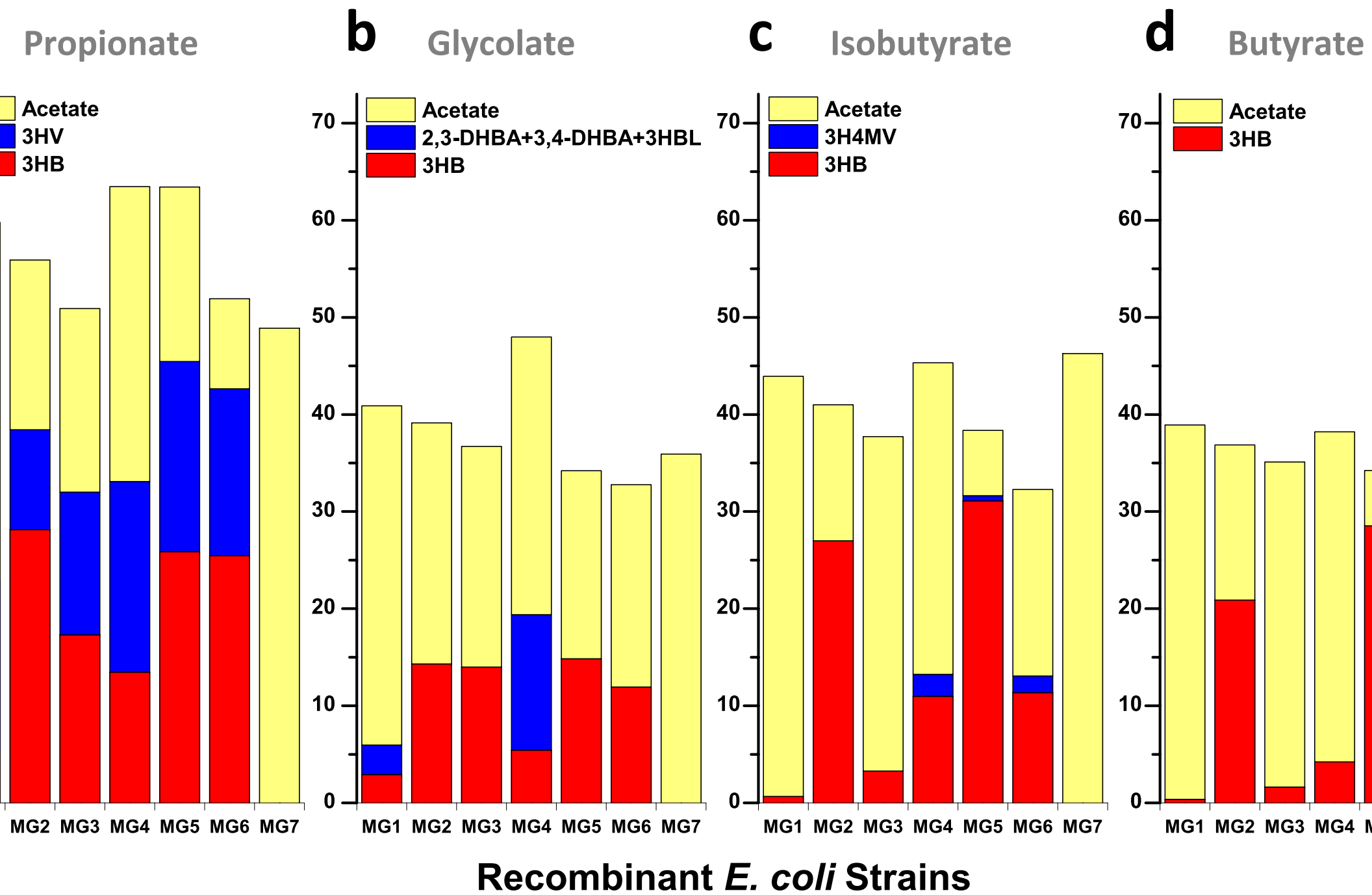
Product Titters (mM)

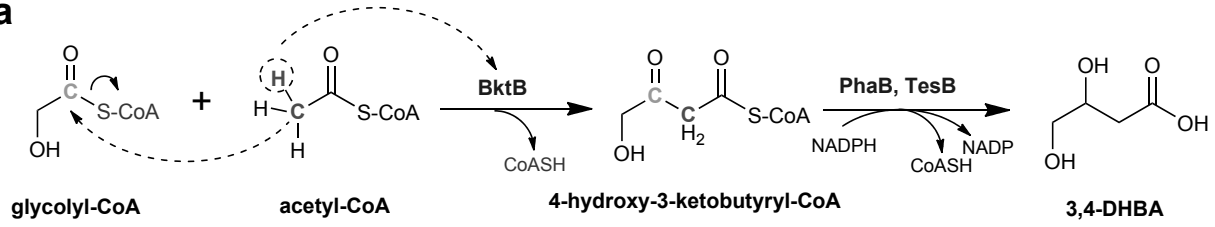
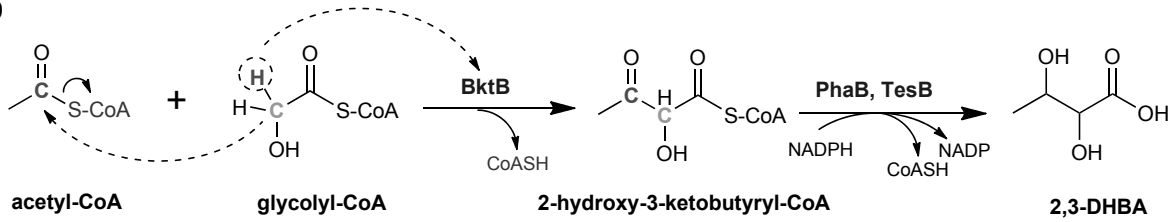


Activation	Pct	PrpE	Ptb-Buk	Pct	PrpE	Ptb-Buk
Reduction		Hbd			PhaB	
<i>E. coli</i> Strain	MG1	MG2	MG3	MG4	MG5	MG6

3HV/3HB	3.60	0.37	0.85	1.46	0.76	0.68
(2,3-DHBA+3,4-DHBA+3HBL)/3HB	1.05	0.00	0.00	2.58	0.00	0.00
3H4MV/3HB	0.00	0.00	0.00	0.21	0.02	0.15
3HH/3HB	0.00	0.00	0.00	0.04	0.00	0.03





a**b****c**