Highly - Conductive Cathode for Lithium-Ion Battery Using M13 Phage **- SWCNT** Complex

By

Melanie Chantal Adams

Submitted to the Department of Materials Science and Engineering in partial fulfillment of the requirements for the degree of

Bachelor of Science

at the

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Abstract

Lithium-ion batteries are commonly used in portable electronics, and the rapid growth of mobile technology calls for an improvement in battery capabilities. Reducing the particle size of electrode materials in synthesis is an important strategy for improving their rate capability and power density (which is the capacity at high rates). Using biological materials as a template during synthesis allows us to achieve this, improving synthesis methods. Utilizing biological materials makes it possible to synthesize nano-scale particles, and using the M13 virus has shown to be an early solution. The addition of conductive material, such as single-walled carbon nanotubes **(SWCNT** or **CNT),** also improves the conductivity of the electrode, further improving the battery's rate capabilities (Lee et al., **2009).** In this study, our goal is to improve the conductivity of the LIB battery cathode using M13-carbon nanotube complexes.

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1. Introduction

1.1 Lithium-ion battery

The lithium-ion battery (LIB) is used in most common portable electronic devices, such as cellphones, laptop computers, and music players. The rapid growth of the mobile communication market demands further improvement of LIB capabilities. Though the LIB has superior energy density and self-discharge rates, one major challenge in this area is increasing the conductivity of the electrode active material to boost the capacity and the power of the battery. LIBs convert chemical energy to electrical energy, through the oxidation and reduction of lithium ions at the electrodes. Therefore, increasing transport of Li* ions and electrons would play a vital role in improving energy storage and charge/discharge rates.

In LIBs, the anode is the source of lithium ions and the cathode is the sink for the lithium ions. The choice for the cathode can vary depending on desired parameters. FePO₄ is a wellstudied active material that is inexpensive, easy to fabricate, and environmentally benign which makes it an ideal for the applications of this project. Previous work has shown that the use of amorphous FePO4 allows for a reduction in energy during fabrication because it can be synthesized at room temperature and ensures that at room temperature the material remains chemically active. At higher temperatures, an olivine crystalline structure can form which is much less effective and has been shown to have has a lower rate capability than amorphous FePO4 **[1].** While the active material is a major component of the electrode, electrochemically active materials are typically poor conductors, so carbon black is used for improved conductivity. For the physical structure of the electrode, a binder such as polytetrafluoroethylene (PTFE) is used to hold the carbon and active material together. Most electrodes of this nature are porous composites. For electrodes with these components, an electrochemical reaction can only

occur near those points where the active material, the conductive diluent (carbon black), and electrolyte meet [2]. The reaction can occur away from these points, but relies on Li diffusion and electron conduction through the active material, which can be slow.

1.2 Function of Phage

Decreasing the size of the active materials into the nanoscale regime, increases contact area which helps to boost the performance of the battery. Even with recent progress in synthesis methods, reducing the size of the particles is a challenge. However, utilizing biological materials make it possible to have nano-scale sized particles, and using the M13 virus has shown to be an early solution **[3].**

M13 is a filamentous bacteriophage that is composed of circular single stranded **DNA** and **6** proteins. Five of these are coat proteins and **pV (p5)** which is inside binding the **DNA.** It offers a genetically tunable platform to engineer binding specificities that facilitate the synthesis of electrochemical nano-scale active materials. The M13 capsid, almost a micron long, proves to be multifunctional with **2,700** copies of the **pVIII (p8)** protein, five copies of the **pII (p3)** and pVI **(p6)** proteins each at the proximal end of the phage, and roughly five copies each of the **pVII (p7)** and **pIX (p9)** proteins forming a blunt distal end (Figure **1).**

Figure 1. Schematic of the M13 phage and the location of protein groups [4].

All five surface exposed proteins are genetically modifiable, with **p3, p8,** and **p9** being the most solvent exposed. Through biopanning, the desired protein can be obtained **by** exposure to the target substrate **[5].** Biopanning is a process that isolates viruses with high binding affinity to the target molecules in a coated plate. The targets for use in this type battery are the nanotubes. The phage that do not bind to the target strongly are washed away at a lower **pH** and the remaining phages are amplified though bacterial infection.. Biopanning continues until a phage that has the most effective **DNA** fragment for binding to the target is selected **[6].** The two phage types used are **M13** phage clones, named **DSPH** and **EFE.** Genes that code for proteins in the phage, namely **p3** and **p8** are modified to serve as templates for amorphous iron phosphate growth **[3].** These are accomplished **by** synthesis processes involving the use of **DSPH** and **EFE. DSPH** corresponds to attaching **SWCNT** to the **p8** protein and **EFE** to **p3.**

1.3 Single walled carbon nanotubes

Uniformly attaching single wall carbon nanotubes (SWCNTs) to the M13 virus **p8** protein and synthesizing the active material on this complex will help to increase the material conductivity. Through the use of an engineered bacteriophage, a specific number **(1-10)** of the SWCNTs can attach to the major protein coat **(p8)** of M13 **[7].** Controlling the number SWCNTs allowed to bind to the protein will allow for incremental monitoring of the impact that nanotubes have on improving battery function.

Figure 2. The virus/SWCNT complex. The SWCNTs are shown in blue and the M13 phage is shown in purple [7]. Attaching carbon nanotubes to **p8** will increase surface contact to the active material, improving upon the impact of carbon black to increase conductivity. Though **p3** is less abundant, attaching carbon nanotubes there will also function to increase surface contact to the active material. This should allow for a decrease in carbon black content in forming the electrode while obtaining similar or better results.

2. Materials and Methods

2.1 Phage amplification

Procedure followed for amplification of **DSPH** and **EFE** is based on "Protocol for amplification of virus in large-scale" **by** Hyunjung Yi **-** January **2 5th** 2010.

For 1L of culture: final virus concentration is about 10^{13} pfu total (or 10^{14} based on nano-drop measurement).

Overnight culture (O.C.)

The work area is cleaned with **70%** ethanol. **A** small amount of cells were taken and spread using a pipet on an agar plate. The plate was kept at **37C** for **12-16** hours for cells to grow. **ER2738** cells are used because they grow quickly. *Stepsfor amplification*

Day 0. **10** ml of **O.C.** is needed for **1** L of amplification volume **(1:100** diluted **O.C.).** For **10** ml of **O.C.,** put **10** ml of LB medium, **10** ul of TET (antibody) and single colony of **ER2738** cells. 1 L of LB medium is prepared and autoclaved and allowed to cool down to room temperature. Solutions of PEG/NaCl and TBS were prepared. We needed **125** ml of **PEG** (120 ml **+** *5* ml) and **31** ml of TBS **(30** ml **+** 1 ml).

Day 1. **1** ml of TET and **10** ml of **O.C.** was poured in **1** L of LB medium. The virus is then poured in (around **1011** pfu total). The culture was incubated in the **37'C** shaker at around **225- 250** rpm for about **6** hours. **500** ml of cell **+** virus culture was poured into each large centrifuge tube. The tubes were centrifuged at the largest rotor **(JLA 81,000)** at **7000** rpm for **30** min. **360** ml of supernatant was transferred to fresh tubes and combined with two **360** ml in one tube **(720** ml total). 120 ml of PEG/NaCl was added to the **720** ml supernatant and put the 4 'C refrigerator overnight.

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Day 2. The phage solution was centrifuged at 7,500 rpm $(-12,000 \text{ ref})$ for 30 min. The phage solution was not disturbed as the supernatant was discarded. The phage was centrifuged again for **5** min. The white pellet was dissolved completely with **30** ml of TBS solution. The solution was transferred to **50** mL centrifuge tubes and centrifuged at **10,000** rpm for **5** min to remove residual impurities. The supernatant was transferred to new centrifuge tubes and **5** ml of PEG/NaCI solution was added. The solution was mixed until it was homogeneous. The

PEG/NaC1/TBS/phage solution was put in the 4' **C** refrigerator overnight.

Day 3. The phage solution was centrifuged at **1,100** rpm for **30** min. Without disturbing the phage solution the supernatant was discarded and the solution centrifuged again for **5** minutes. The white phage pellet was dissolved completely with **1** ml of TBS solution. (This determines your phage concentration) The solution was transferred to **1.5** ml eppendorf tubes and centrifuged at **10,000** rpm for **5** min to remove residual impurities. The supernatant was transferred to new centrifuge tubes. This is the final phage solution. The unused amount of phage was refrigerated when not in use.

To measure the concentration ofphage:

The Nanodrop **ND-1000** Spectrometer instrument was used to measure the concentration of the phage after amplification. In the **ND-1000** software ,the UV-Vis setting was selected. **A** water sample was used first to initiate/calibrate the instrument. The control or blank sample should then be measured to provide a baseline for all subsequent measurements. For the phage samples, **DSPH** and **EFE,** TBS was used. To calculate the concentration using the absorbance, the formula is:

$$
x = \frac{Abs \lambda_{269} - Abs \lambda_{320}}{7225} \times 60000
$$

Equation 1. Calculation for concentration using absorbance

where x is multiplied by 10^{10} pfu/ μ L.

2.2 **SWCNT** Dispersion Protocol

A solution of 2 wt% of sodium cholate was prepared in a beaker. Using **lg/mL** as the density of water, **500** mL of water would require **lOg** of sodium cholate. Some of this solution should be set aside and stored for dilutions and UV-Vis testing. 400 mg of Hipco **SWCNT** was dissolved in 200 ml of the 2wt% sodium cholate solution and placed in a homogenizer for 1 hour at level 2 or **3.** After homogenization, the solution was sonicated at **90%** power for **10** minutes using a cup-horn sonicator. The appropriate probe tip procedure uses a 6mm tip at 40% power amplitude and should be sonicated for **1** hour. The tip should be dipped in water and the initial run on the sonicator used for cleaning. The sonicated solution was centrifuged in *35-40* mL amounts in *5* tubes at **30,000** rpm for 4 hours when using the SW-Ti32 bucket, which can be 25,000rpm for **318** minutes when using **SW28.** This was decanted and the top half was taken from each centrifuged solution. This solution should be approximately 20 mL. The total from the entire solution should be approximately 100mL from the initial 200mL. To test the concentration of **SWCNT** in the solution **DU800** software was used. The method for testing the solution was "wavelength scan **II."** Ascan mode ofwith a start wavelength of 200, an end wavelength of **1100,** and a scan speed of 240nm/min was used. The first sample measured was a blank sample consisting of $100\mu L$ of the plain $2wt\%$ sodium cholate solution.. The concentration of the solution can be found **by** the formula:

$$
Abs_{632} \times 27 = \text{Concentration} \left(\frac{\mu g}{m} \right)
$$

Equation 2. Calculation for **CNT** concentration using absorbance

The concentration of **SWCNT** in the solutions used for virus complexation was **10** pg/mL. This value can vary but for this study this was the maximum concentration used **,** to prevent aggregation during virus complexation and synthesis. The solution was diluted using the sodium cholate solution and the concentration measured with the **DU800** until the desired concentration is achieved.

2.3 Virus Complexation

2.3.1 DSPH (p8)

For adding the virus to the **SWCNT,** a process involving dialysis is used. This process adds **CNT** to the **p8** regions of the virus and is typically used with **DSPH.** The phage to **SWCNT** ratios used were *1:5* and **1:10.** The appropriate amount of SWCNTs needed wasbased on the total phage desired for each ratio. The volume of the appropriate amount of solution needed to get these values were then calculated The number of SWCNTs was given **by** using the molecular weight and atomic density of the SWCNT, which are $1.14*10^{-12}$ μ g and $3.76*10^{19}$ C/cm², respectively. Once these values are determined, the appropriate amount of virus and **SWCNT** are mixed. More sodium cholate may need to be added to ensure that the concentration remains approximately 2wt% **SC.** The minimum **SC** concentration allowable is approximately 0.5wt% to prevent aggregation. For this reason, the virus solution should be added to the **SWCNT** and not **SWCNT** to virus. The solutions are then transferred to SpectraPor dialysis bags of 12-14,000 MWCO. The ionic strength and **pH** of the dialyzing solution is controlled **by** adding NaOH and NaCl, in addition to **HCl.** The changes to the dialyzing solution schedule are shown in a chart below. After 48 hours, the solution was removed from dialysis bags and refrigerated until needed for electrode synthesis.

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Time	Ionic	pH	
	strength		
0 _{hr}	10 mM NaCl	5.3	$200 \mu L$ of 0.1 M HCl per 5 L of DI water
1.5 _{hr}	10 mM NaCl	5.3	200 µL of 0.1 M HCl per 5 L of DI water
3 ^{hr}	10 mM NaCl	5.3	$200 \mu L$ of 0.1 M HCl per 5 L of DI water
4.5 hr	10 mM NaCl	5.3	$200 \mu L$ of 0.1 M HCl per 5 L of DI water
6 ^{hr}	10 mM NaCl	5.3	200 μL of 0.1 M HCl per 5 L of DI water
$7-8hr$	10 mM NaCl	10	2 mL of 1 M NaOH per 5 L of DI water
24 _{hr}	10 mM NaCl	10	2 mL of 1 M NaOH per 5 L of DI water
48 hr	10 mM NaCl	10	2 mL of 1 M NaOH per 5 L of DI water

Table 1. Conditions for dialysis for virus complexation

During the dialysis process, any noticeable aggregation requires changing of the bath before the designated change point and increasing the **pH.** The dialysis allows for the phage to replace the sodium cholate and bind to the proper domains, because **SC** is a surfactant for the SWCNTs and prevents the surfaces from adhering. After this process, the SWCNTs are attached to **p8.**

2.3.2 EFE (p3)

The virus complexation using EFE occurs after the FePO₄ has already been formed. When the final step of synthesis was complete, the FePO₄ solution with EFE as a template was reacted at room temperature for **3** hours with the appropriate number of SWCNTs.

2.4 Electrode Synthesis

2.4.1 Active Material

2.4.1.1 FePO4 without phage or CNT:

Ammonium iron sulfate hexahydrate $((NH_4)_2Fe(SO_4)_2 \cdot 6H_2O)$ was used to incubate Fe for FePO₄ To obtain material for multiple electrodes 300 mL of 1mM (NH_4)₂Fe(SO_4)₂ \cdot 6H₂O solution used, along with 300 mL of 1mM of LiPO₄. This mixture was allowed to react in a cold room overnight. When the reaction was finished, the solution was centrifuged for **30** minutes at **3300-3500** rpm. The supernatant was thrown away and the pellet rinsed with water. The material was placed in a smaller eppendorf tube dispersed in water and centrifuged again for **10** minutes at **11,000** rpm using a microcentrifuge. The supernatant was thrown away and rinsed again with water and centrifuged. After the last centrifugation, the tube was placed, uncovered, in the vacuum oven overnight or for at least **6** hours. The material obtained is the active material, FePO4.

2.4.1.2 FePO4 with phage only:

To understand the effect of the phage, the same quantities of $LIPO₄$ and $(NH₄)₂Fe(SO₄)₂·6H₂O$ are used but phage solution with the desired concentration is added before the addition of LiPO4 .For this part of the study we used **DSPH.** The concentration of the phage solution is used to calculate the volume of phage needed. **.** This is the pfu value used for all electrode syntheses containing phage. The phage and **300** mL of Fe **3*** were incubated overnight in a cold room. The phage acts as a template for the formation of FePO4. When this incubation was completed, **300** mL of LiPO4 was added and the entire solution left overnight in a cold room again. When this reaction was completed, the material was centrifuged in the same manner as above.

2.4.1.3 FePO4 using DSPH with CNT:

After the completion of dialysis to attach the phage to **CNT** in the ratios of **1:5** and **1:10,** the proper amount of the phage-CNT complex to get the desired amount is used and added to **300** mL of (NH4)2Fe(SO4)2-6H20. This solution is incubated overnight in a cold room. **300** mL of LiPO4 was then added and the entire solution is reacted overnight in a cold room. When the final

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reaction was complete, the material was centrifuged in the same manner and the final pellet was dried in a vacuum oven.

2.4.1.4 FePO4 using EFE with CNT:

EFE is synthesized in a similar manner to phage without **CNT.** When the reaction with LiPO4 is completed, before being centrifuged, it is left to react to with a solution of **CNT** in the ratio of **1:5** and **1:10** phage to **CNT** particles for **3** hours at room temperature. It is important to maintain a sodium cholate concentration of at least **0.5%** with the optimal value around 2%. When this reaction is completed, the solution is centrifuged in the same manner and the final pellet is left in a vacuum oven to obtain the active material.

2.4.2 Fabrication of electrode

Each electrode contained **70%** active material, 20% Super P (conductive carbon black), and **5%** binder which is poly(tetrafluoroethylene) or PTFE for these electrodes. These values are calculated based on the mass of the dehydrated active material. The calculated mass of Super P and the active material without PTFE are ground and mixed using a mortar and pestle until the powder is uniform. The powder is transferred to a stainless steel plate and mixed with PTFE. This mixture is rolled to form a uniform electrode. When possible, 3/8-inch hole punch is used to cut a circular piece to fit inside the button cell. The weight of each electrode was recorded for calculations for charge/discharge during testing. Ideally, the mass of each electrode should be between **2-3** mg but slightly higher is also acceptable.

2.5 **Battery Synthesis**

Components: Case, cap, washer, spacer, separators, lithium as anode, FePO4 **-CNT** complex as cathode.

The battery is made inside a glove box with O_2 and H_2O levels below 1ppm. If levels rise, the glove box must be purged. The electrodes with different conditions were contained in glass vials held inside a vacuum oven or in the glove box to ensure that any excess moisture is removed. Prior to placement in the glove box, the final weight was recorded for use in calculations. When assembling the battery, there are separate tools for use with the lithium and for use with the other components of the battery. Lithium tape was cut and the oxide scraped off using designated lithium tools. **A 9/16** inch hole punch was used to get a circular piece to fit inside the button cell case. The large component of the case is the base for the other materials. **A** spacer was the first part placed within it, with the FePO4or other cathode material placed on top. **A** plastic separator was placed on top and 80-100µL of electrolyte, LM LiPO₄, was put on the separator. Another separator was placed on top of the liquid and the lithium anode was placed on top as the final reaction component. The washer was placed on the lid prior to placement on the cell to ensure that the anode and cathode don't make contact. The washer-lid unit was placed on top of the lithium and was hermetically sealed with a crimping machine. When removing the battery from the glove box, the cells were wrapped in non-metallic materials, individually if more than one cell is made, before placement in the materials tray to prevent discharging. Once outside the glove box, ensure that the cell does not come in contact with a metal surface prior to testing.

2.6 Battery Testing

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Solartron Analytical Cell Test software was used to test the battery. For the cells made, a galvanostatic test was used which uses a constant current. For each cell, a schedule needs to be created detailing the processes for the battery to undergo to obtain the needed data. In the schedule, an initial rest step is included to get the resting voltage of the battery. The first step in the process for testing is a discharge. An initial discharge step was used at the rate of a tenth of the current **(C/10)** needed to discharge the battery, which is calculated for each device based on its mass. This is known as the C-rate. For the case of **C/10,** the battery is discharged at a rate equal to **1/10** of the battery capacity. This means that there is a **10** hour discharge. The battery capacity varies with the discharge rate and the higher the discharge rate, the lower the cell capacity **[9].** The minimum voltage required for the discharging is 2 volts to prevent exhaustion of the material. The discharge step is followed **by** a charging step and the process is repeated two times to get results for capacity after a number of cycles.. For the safety limits of testing, the voltage should not exceed **10** V while the current should not exceed 4 **A.** For analysis, the data was saved to files in .csv format.

3. Results

Figure **3.** Cycle 2 discharge capacities of batteries with **DSPH** templating at **C/10** discharge rate.

Figure 4. Cycle **3** discharge capacities of batteries with **DSPH** templating at **C/10** discharge rate.

Figure 6. Cycle 3 discharge capacities of batteries **with and** without **phage templating** at **C/10** discharge rate.

Figure **8.** Cycle **3** discharge capacities of batteries omparing **EFE** and **DSPH** templating with mixed CNTs at **C/10** discharge rate.

		Capacity (mAh/g)				
		Discharging				
Sample	Composition	Cycle 2	Cycle 3	% decrease		
11	Only FePO ₄	120.61	121.07	-0.38		
	DSPH-no CNT	143.16	141.15	1.40		
6	DSPH-mix CNT	156.69	157.92	-0.79		
21	DSPH-met CNT	175.46	172.64	1.61		
28	EFE - mix CNT	136.79	134.27	1.84		
25	$EFE - met CNT$	158.06	145.74	7.79		

Table 2. Capacities and degradation of batteries for multiple cycles of selected batteries. Italicized data indicate use in charts above.

Table 3. Degradation between cycles of DSPH - metallic CNT battery

Discharging capacities (mAh/g)											
Cycle 2	\vert Cycle 3	% decrease	Cycle 4	$%$ decrease	Cycle 5	% decrease					
168.99	68.35	0.38	68.03	0.19	168.14	-0.06					

Figure 9. Changes in capacity for multiple cycles for a battery with DSPH and metallic SWCNTs. The discharge rate was C/10.

4. Discussion

Since the capacity is based on the mass of the active material of the electrode, all of the values are comparable. Based on the data obtained, **DSPH** allows for higher capacity than **EFE** when attached to CNTs. It can also be seen that the phage does have a positive impact on the overall capacity of the battery, measured at a constant rate, even when used without CNTs to attach to. Using metallic CNTs in place of a mixture which contained in a **70%** metallic and **30%** non-metallic CNTs, improved the capacity **by** approximately **9%,** at the end of the third cycle of discharging, when used with the **DSPH** phage. This is an overall improvement of 22% from the battery that used no **CNT** with **DSPH** and an improvement of 42.6% from the capacity of the battery that only contained FePO₄ in the cathode. Although gains in EFE were not as large as those seen with **DSPH,** there was still improvement in the capacity of the battery. The appearance of the batteries did not show any signs of leakage or expansion.

Despite some steep degradation in some samples with **DSPH,** the capacity of **DSPH** batteries remains higher than that of **EFE** with metallic and with mixed CNTs. The cycling of one sample of **DSPH** with metallic CNTs has shown that there is very little degradation between cycles of testing. The results from **5** charge-discharge cycles indicate that the capacity, at least over short cycling, remains consistent.

5. Conclusion

The difference in the capacities for the different conditions for the active material is most likely a result of the number of differences in contact area between the active material and the **SWCNT** used. The gains in capacity may indicate that less Super P may need to be used in the construction of the electrodes. This allows for more active material content in the electrode to further improve battery capacity. Some of the data in Table 1 may come from batteries where tests were initiated a day later than the battery was made which could lead to slow discharging of the battery. This could also explain why in 2 cases there seemed to be an increase in capacity after **3** cycles instead of a decrease.

While the energy density of this system of LIBs is lower compared to that of $LiCoO₂$ and other battery types, the voltage range it operates within is similar and the material itself provides a safer alternative. The life cycle of these batteries also greatly exceeds what is possible for similar LIB systems at possibly more than **1000** cycles before the battery is exhausted barring any operational errors **[9].** As with any battery, the capacity declines over time so further testing for the cyclability of the batteries is being done. Additional tests to confirm results and analyze the effects of metallic CNTs on the performance of batteries using the **EFE** phage as a template are also being performed.

The batteries used were tested at room temperature, so testing battery performance in different temperature conditions may give a full picture about the operation range. Since these batteries may be applicable in various situations, different temperature tests can indicate whether there may be expansion problems and whether a reduction in capacity is seen when shifted from the center of the battery's typical usable range. This would also allow us to determine whether

the phage templating allows for the material to withstand higher temperatures, as current LiFePO₄ batteries have a significant decline in capacity above 60°C.

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Long term use also needs to be assessed through more testing of the batteries capacity with multiple cycles. It is normal for battery capacity to reduce over time and for less than **10** cycles the capacity remains almost constant. For longer use, the capacity cannot be extrapolated from current data. Batteries need to be continuously cycled under similar conditions to understand the behavior of this type of battery and whether or not **EFE** and **DSPH** prolong battery life as well.

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