Water Filtration Using Plant Xylem

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Abstract

Effective point-of-use devices for providing safe drinking water are urgently needed to reduce the global burden of waterborne disease. Here we show that plant xylem from the sapwood of coniferous trees – a readily available, inexpensive, biodegradable, and disposable material – can remove bacteria from water by simple pressure-driven filtration. Approximately 3 cm³ of sapwood can filter water at the rate of several liters per day, sufficient to meet the clean drinking water needs of one person. The results demonstrate the potential of plant xylem to address the need for pathogen-free drinking water in developing countries and resource-limited settings.

Introduction

The scarcity of clean and safe drinking water is one of the major causes of human mortality in the developing world. Potable or drinking water is defined as having acceptable quality in terms of its physical, chemical, and bacteriological parameters so that it can be safely used for drinking and cooking [1]. Among the water pollutants, the most deadly ones are of biological origin: infectious diseases caused by pathogenic bacteria, viruses, protozoa, or parasites are the most common and widespread health risk associated with drinking water [1,2]. The most common waterborne pathogens are bacteria (e.g. Escherichia coli, Salmonella typhi, Vibrio cholerae), viruses (e.g. adenoviruses, enteroviruses, hepatitis, rotavirus), and protozoa (e.g. giardia) [1]. These pathogens cause child mortality and also contribute to malnutrition and stunted growth of children. The World Health Organization reports [3] that 1.6 million people die every year from diarrheal diseases attributable to lack of access to safe drinking water and basic sanitation. 90% of these are children under the age of 5, mostly in developing countries. Multiple barriers including prevention of contamination, sanitation, and disinfection are necessary to effectively prevent the spread of waterborne diseases [1]. However, if only one barrier is possible, it has to be disinfection unless evidence exists that chemical contaminants are more harmful than the risk from ingestion of microbial pathogens [1]. Furthermore, controlling water quality at the point-of-use is often most effective due to the issues of microbial regrowth, byproducts of disinfection, and contamination in the distribution system [2,4].

Common technologies for water disinfection include chlorination, filtration, UV-disinfection, pasteurization or boiling, and ozone treatment [1,2,5]. Chlorine treatment is effective on a large scale, but becomes expensive for smaller towns and villages. Boiling is an effective method to disinfect water; however, the amount of fuel required to disinfect water by boiling is several times more than what a typical family will use for cooking [1]. UV-disinfection is a promising point-of-use technology available [1], yet it does require access to electricity and some maintenance of the UV lamp, or sufficient sunlight. While small and inexpensive filtration devices can potentially address the issue of point-of-use disinfection, an ideal technology does not currently exist. Inexpensive household carbon-based filters are not effective at removing pathogens and can be used only when the water is already biologically safe [1]. Sand filters that can remove pathogens require large area and knowledge of how to maintain them [1], while membrane filters capable of removing pathogens [2,4] suffer from high costs, fouling, and often require pumping power due to low flow rates [6] that prevents their wide implementation in developing countries. In this context, new approaches that can improve upon current technologies are urgently needed. Specifically, membrane materials that are inexpensive, readily available, disposable, and effective at pathogen removal could greatly impact our ability to provide safe drinking water to the global population.

If we look to nature for inspiration, we find that a potential solution exists in the form of plant xylem – a porous material that conducts fluid in plants [7]. Plants have evolved specialized xylem tissues to conduct sap from their roots to their shoots. Xylem has evolved under the competing pressures of offering minimal resistance to the ascent of sap while maintaining small nanoscale pores to prevent cavitation. The size distribution of these pores – typically a few nanometers to a maximum of around 500 nm, depending on the plant species [8] – also happens to be ideal for filtering out pathogens, which raises the interesting question of whether plant xylem can be used to make inexpensive water filtration devices. Although scientists have extensively studied plant xylem and the ascent of sap, use of plant xylem in the context of...
water filtration remains to be explored. Measurements of sap flow in plants suggest that flow rates in the range of several liters per hour may be feasible with less than 10 cm-sized filters, using only gravitational pressure to drive the flow [7].

We therefore investigated whether plant xylem could be used to create water filtration devices. First, we reason which type of plant xylem tissue is most suitable for filtration. We then construct a simple water filter from plant xylem and study the resulting flow rates and filtration characteristics. Finally, we show that the xylem filter can effectively remove bacteria from water and discuss directions for further development of these filters.

Materials and Methods

Materials

Branches were excised from white pine growing on private property in Massachusetts, USA, with permission of the owner and placed in water. The pine was identified as *Pinus strobus* based on the 5-fold grouping of its needles, the average needle length of 4.5 inches, and the cone shape. Deionized water (Millipore) was used throughout the experiments unless specified otherwise. Red pigment (pigment-based carmine drawing ink, Higgins Inks) was dissolved in deionized water. Nile-red coated 20 nm fluorescent polystyrene nanospheres were obtained from Life Technologies. Inactivated, Alexa 488 fluorescent dye labeled *Escherichia coli* were obtained from Life Technologies. Wood sections were inserted into the end of 3/8 inch internal diameter PVC tubing, sealed with 5 Minute Epoxy, secured with hose clamps, and allowed to cure for ten minutes before conducting flow rate experiments.

Construction of the Xylem Filter

1 inch-long sections were cut from a branch with approximately 1 cm diameter. The bark and cambium were peeled off, and the piece was mounted at the end of a tube and sealed with epoxy. The filters were flushed with 10 mL of deionized water before experiments. Care was taken to avoid drying of the filter.

Filtration and Flow Rate Experiments

Approximately 5 mL of deionized water or solution was placed in the tube. Pressure was supplied using a nitrogen tank with a pressure regulator. For filtration experiments, 5 psi (34.5 kPa) pressure was used. The filtrate was collected in glass vials. For dye filtration, size distribution of the pigments was measured for the input solution and the filtrate. Higgins pigment-based carmine drawing ink, diluted 1000× in deionized water, was used as the input dye solution. For bacteria filtration, the feed solution was prepared by mixing 0.08 mg of inactivated *Escherichia coli* in 20 mL of deionized water (≈1.6×10⁷ mL⁻¹) after which the solution was sonicated for 1 min. The concentration of bacteria was measured in the feed solution and filtrate by enumeration with a hemacytometer (inCyto G-chip) mounted on a Nikon TE2000-U inverted epifluorescence microscope. Before measurement of concentration and filtration experiments, the feed solution was sonicated for 1 min and vigorously mixed.

Imaging

Xylem structure was visualized in a scanning electron microscope (SEM, Zeiss Supra55VP). Samples were coated with gold of 5 nm thickness before imaging. To visualize bacteria filtration, 5 mL of solution at a bacteria concentration of ≈1.6×10⁷ mL⁻¹ was flowed into the filter. The filter was then cut longitudinally with a sharp blade. One side of the sample was imaged using a Nikon TE2000-U inverted epifluorescence microscope and the other was coated with gold and imaged with the SEM. Optical images were acquired of the cross-section of a filter following filtration of 5 mL of the dye at a dilution of ~100×.

Particle Sizing

Dynamic light scattering measurements of particle size distributions were performed using a Malvern Zetasizer Nano-ZS.

Results

Xylem Structure and Rationale for use of Conifer Xylem

The flow of sap in plants is driven primarily by transpiration from the leaves to the atmosphere, which creates negative pressure in the xylem. Therefore, xylem evolution has occurred under competing pressures of providing minimal resistance to the flow of sap, while protecting against cavitation (i.e. nucleation) and growth of bubbles that could stop the flow of sap and kill the plant, and to do this while maintaining mechanical strength [7]. The xylem structure comprises many small conduits that work in parallel and operate in a manner that is robust to cavitation [7,8] (Figure 1). In woody plants, the xylem tissue is called the sapwood, which often surrounds the heartwood (i.e. inactive, non-conducting lignified tissue found in older branches and trunks) and is in turn surrounded by the bark (Figure 1b). The xylem conduits in gymnosperms (conifers) are formed from single dead cells and are called tracheids (Figure 1c), with the largest tracheids reaching diameters up to 80 μm and lengths up to 10 mm [7]. Angiosperms (flowering plants) have xylem conduits called vessels that are derived from several cells arranged in a single file, having diameters up to 0.5 mm and lengths ranging from a few millimeters to several meters [7]. These parallel conduits have closed ends and are connected to adjacent conduits via “pits” [8] (Figure 1d,e). The pits have membranes with nanoscale pores that perform the critical function of preventing bubbles from crossing over from one conduit to another. Pits occur in a variety of configurations; Figure 1d,e shows torus-margo pit membranes that consist of a highly porous part shaped like a donut (margo) and an impermeable part in the center called torus, occurring in conifers [8]. The porosity of the pit membranes ranges in size from a few nanometers to a few hundred nanometers, with pore sizes in the case of angiosperms tending to be smaller than those in gymnosperms [8,9]. Pit membrane pore sizes have been estimated by examining whether gold colloids or particles of different sizes can flow through [8,10]. Remarkably, it was observed that 20 nm gold colloids could not pass through inter-vessel pit membranes of some deciduous tree species [10], indicating an adequate size rejection to remove viruses from water. Furthermore, inter-tracheid pit membranes were found to exclude particles in the 200 nm range [8], as required for removal of bacteria and protozoa.

Since angiosperms (flowering plants, including hardwood trees) have larger xylem vessels that are more effective at conducting sap, xylem tissue constitutes a smaller fraction of the cross-section area of their trunks or branches, which is not ideal in the context of filtration. The long length of their xylem vessels also implies that a large thickness (centimeters to meters) of xylem tissue will be required to achieve any filtration effect at all – filters that are thinner than the average vessel length will just allow water to flow through the vessels without filtering it through pit membranes (Figure 1a). In contrast, gymnosperms (conifers, including softwood trees) have short tracheids that would force water to flow through pit membranes even for small thicknesses (<1 cm) of xylem tissue (Figure 1a). Since tracheids have smaller diameters and are shorter, they offer higher resistance to flow, but typically a greater fraction of the stem cross-section area is devoted to...
conducting xylem tissue. For example, in the pine branch shown in Figure 1b used in this study, fluid-conducting xylem constitutes the majority of the cross-section. This reasoning leads us to the conclusion that in general the xylem tissue of coniferous trees – i.e., the sapwood – is likely to be the most suitable xylem tissue for construction of a water filtration device, at least for filtration of bacteria, protozoa, and other pathogens on the micron or larger scale.

The resistance to fluid flow is an important consideration for filtration. Pits can contribute a significant fraction (as much as 30–80%) [7,8] of the resistance to sap flow, but this is remarkably small considering that pit membrane pore sizes are several orders of magnitude smaller than the tracheid or vessel diameter. The pits and pit membranes form a hierarchical structure where the thin, highly-permeable pit membranes are supported across the microscale pits that are arranged around the circumference of the tracheids (Figure 1a). This arrangement permits the pit membranes to be thin, offering low resistance to fluid flow. Furthermore, the parallel arrangement of tracheids with pits around their circumference provides a high packing density for the pit membranes. For a given tracheid with diameter \( D \) and length \( L \), where pit membranes occupy a fraction \( \alpha \) of the tracheid wall area, each tracheid effectively contributes a pit membrane area of \( \pi DL\alpha/2 \), where the factor of 2 arises as each membrane is shared by two tracheids. However, the nominal area of the tracheid is only \( \pi D^2/4 \), and therefore, the structure effectively amplifies the nominal filter area by a factor of \( 2\pi(L/D) \) (Figure 1f). The images in Figure 1c indicate that typical \( D \sim 10–15 \) mm and \( \alpha \sim 0.2 \) yield an effective area amplification of \( \sim 20 \) for tracheid lengths of 1–2 mm. Therefore, for a filter made by cutting a slice of thickness \( L \) of the xylem, the actual membrane area is greater by a large factor due to vertical packing of the pit membranes. Larger filter thicknesses further increase the total membrane area, but the additional area of the membrane is positioned in series rather than in parallel and therefore reduces the flow rate, but potentially improves the rejection performance of the filter due to multiple filtration steps as shown in Figure 1a.

Figure 1. Xylem structure. a) Structure of xylem vessels in flowering plants and tracheids in conifers. Longer length of the vessels can provide pathways that can bypass filtration through pit membranes that decorate their circumference. b) Photograph of \( \sim 1 \) cm diameter pine (pinus strobus) branch used in the present study. c) Scanning electron microscope (SEM) image of cut section showing tracheid cross section and lengthwise profile. Scale bar is 40 \( \mu \)m. d) SEM image showing pits and pit membranes. Scale bar is 20 \( \mu \)m. e) Pit membrane with inset showing a cartoon of the pit cross-section. The pit cover has been sliced away to reveal the permeable margo surrounding the impermeable torus. Arrow indicates observed hole-like structures that may be defects. The margo comprises radial spoke-like structures that suspend the torus, which are only barely visible overlaying the cell wall in the background. Scale bar is 1 \( \mu \)m. f) Dependence of area amplification, defined as the pit membrane area divided by the nominal filter area, on the tracheid aspect ratio \( L/D \) and fractional area \( \alpha \) occupied by pit membranes.

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Construction of the Xylem Filter and Measurement of Flow Rate

The xylem filter device was constructed by simply peeling off the bark and cambium from a section of the pine branch and inserting it into a tube (Figure 2a). Although a simple tube fastener could provide a leak-tight seal between the tube and the xylem, we used epoxy to ensure that there was no inadvertent leakage. When deionized water was loaded into the tube above the xylem and subjected to pressure in the 0.5–5 psi (3.45 to 34.5 kPa) range, we...
found that water readily flowed through the xylem. The flow rate was proportional to applied pressure (Figure 2b), which allowed for the extraction of the hydrodynamic conductivity \( K \) \((\text{m}^2 \text{ Pa}^{-1} \text{ s}^{-1})\) of the filter, defined by

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Q = KA \frac{\Delta P}{l}
\]  

(1)

where \(Q\) is the volumetric flow rate \((\text{m}^3 \text{ s}^{-1})\) under pressure difference \(\Delta P\) across the filter, while \(l\) and \(A\) are the thickness and the cross-section area of the filter, respectively. The observed conductivities for three different filters were in the range of \(5–6 \times 10^{-10} \text{ m}^2 \text{ Pa}^{-1} \text{ s}^{-1}\) (Figure 2c), or equivalently, \(0.5–0.6 \text{ kg s}^{-1} \text{ m}^{-1} \text{ MPa}^{-1}\) when defined with respect to mass flow rate of water.

Biologists have performed similar flow rate measurements by cutting a section of a plant stem under water, flushing to remove any bubbles, and applying a pressure difference to measure the flow rate [11,12]. Xylem conductivities of conifers [7] typically range from \(1–4 \text{ kg s}^{-1} \text{ m}^{-1} \text{ MPa}^{-1}\), which compares very well with the conductivities measured in our experiments. Lower conductivities can easily result from introduction of bubbles [11] or the presence of some non-conducting heartwood. We can therefore conclude that the flow rate measurements in our devices are consistent with those expected from prior literature on conductivity of conifer xylem.

Filtration of Pigment Dye

After construction of the filter, we tested its ability to filter a pigment dye with a broad particle size distribution. The red color of the feed solution disappeared upon filtration (Figure 3a) indicating that the xylem filter could effectively filter out the dye.

Since the dye had a broad pigment size distribution, we investigated the size-dependence of filtration by quantifying the pigment size distribution before and after filtration using dynamic light scattering. We found that the feed solution comprised particles ranging in size from \(70 \text{ nm} \text{ to} 500 \text{ nm}\), with some larger aggregates (Figure 3b). In contrast, the filtrate particle size distribution peaked at \(80 \text{ nm}\), indicating that larger particles were filtered out. In a separate experiment, we observed that \(20 \text{ nm}\) fluorescent polystyrene nanoparticles could not be filtered by the xylem filter, confirming this size dependence of filtration.

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**Figure 2. Xylem filter.** a) Construction of xylem filter. b) Effect of applied pressure on the water flux through the xylem filter. c) Hydrodynamic conductivity of the filter extracted at each measured pressure using the total filter cross-section area and thickness as defined by Equation 1. Error bars indicate \(\pm \text{S.D.}\) for measurements on three different xylem filters.

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Assuming that pigment particles 70 nm or less in size were not rejected, the size distributions before and after filtration enable calculation of the rejection performance of the xylem filter as a function of particle size (Figure 3c). We find that the xylem filter exhibits excellent rejection for particles with diameters exceeding 100 nm, with the estimated rejection exceeding 99% for particles over 150 nm. Smaller particles are expected to pass through the larger porosity of the pit membrane: SEM images in Figure 1e indicate sub-micron spacing between the radial spoke-like margo membrane through which the pigment particles can pass, although the porosity is difficult to resolve in the SEM image.

After filtration, we cut the xylem filter parallel to the direction of flow to investigate the distribution of dye in the filter. We observed that the dye was confined to the top 2–3 millimeters of the xylem filter (Figure 3d), which compares well with the tracheid lengths on the millimeter scale expected for coniferous trees [7]. These results show that the majority of the filtration occurred within this length scale, and suggests that the thickness of the xylem filter may be reduced to below 1 cm while still rejecting the majority of the dye.

**Filtration of Bacteria from Water**

Finally, we investigated the ability of the xylem filter to remove bacteria from water. As a model bacterium, we used fluorescently labeled and inactivated *Escherichia coli* bacteria that are cylindrical in shape with a diameter of ~1 μm. Use of fluorescently labeled bacteria enabled easy enumeration of their concentrations, and also allowed us to track the location in the xylem filter where they were trapped. Since filtration is dominated by size-exclusion at this length scale, we do not expect modification with the dye to significantly affect filtration characteristics. Filtration using three different xylem filters showed nearly complete rejection of the bacteria (Figure 4a). Using a hemacytometer to count the bacteria, we estimate that the rejection was at least 99.9%.

To investigate the mechanism of filtration, the xylem filter was cut parallel to the direction of flow after filtration. When examined under a fluorescence microscope, we observed that the bacteria accumulated over the donut-shaped margo pit membranes (Figure 4b). This distribution is consistent with the expectation that the bacteria are filtered by the porous margo of the pit membranes. The distribution of trapped bacteria was not uniform across the cross section of the filter. Similar to the case of the dye, bacteria were observed only within the first few millimeters from the end through which the solution was infused (indicated by the white arrow in Figure 4c). In addition, the low-magnification fluorescence image shows that the bacteria had accumulated primarily over pit membranes at the bottom of the tracheids, which is again not unexpected. Further investigation by SEM clearly showed individual bacterial cells accumulated on the pit membranes over the porous margo (Figure 4d,e). These results confirm the pit membranes as the functional units that provide the filtration effect in the xylem filter.
Wood has been investigated recently as a potential filtration material [13], showing moderate improvement of turbidity. While we showed filtration using freshly cut xylem, we found that the flow rate dropped irreversibly by over a factor of 100 if the xylem was dried, even when the xylem was flushed with water before drying. We also examined flow through commercially available kiln-dried wood samples cut to similar dimensions. Wood samples that exhibited filtration showed two orders of magnitude smaller flow rates than in the fresh xylem filter, while those that had high flow rates did not exhibit much filtration effect and seemed to have ruptured tracheids and membranes when observed under SEM.

Wetting with ethanol or vacuuming to remove air did not significantly increase the flow rate in the wood samples that exhibited the filtration effect, suggesting that the pit membranes may have a tendency to become clogged during drying. These results are consistent with literature showing that the pit membranes can become irreversibly aspirated against the cell wall, blocking the flow [14]. In fact, the pit membranes in the SEM images (Figure 1d,e and Figure 4d,e), which were acquired after drying the samples, appear to be stuck to the walls. Regardless, our results demonstrate that excellent rejection (> 99.9%) of bacteria is possible using the pit membranes of fresh plant xylem, and also provide insight into the mechanism of filtration as well as guidelines for selection of the xylem material.

Figure 4. Filtration of model bacteria by the xylem filter. a) Concentrations of bacteria in the feed and filtrate solutions. Inset shows fluorescence images of the two solutions. Scale bar is 200 μm. Error bars indicate ± S.D. for experiments performed on three different xylem filters. b) Fluorescence image of xylem filter cross-section showing accumulation of bacteria over the margo pit membranes. Scale bar is 20 μm. c) Low-magnification fluorescence image shows that bacteria are trapped at the bottoms of tracheids within the first few millimeters of the top surface. Scale bar is 400 μm. Arrow indicates top surface of the xylem filter and also the direction of flow during filtration. Autofluorescence of the xylem tissue also contributes to the fluorescence signal in (b) and (c). d), e) SEM images showing bacteria accumulated on the margo pit membranes after filtration. Scale bars are 10 μm and 2 μm, respectively.

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Discussion

Wood has been investigated recently as a potential filtration material [13], showing moderate improvement of turbidity. While we showed filtration using freshly cut xylem, we found that the flow rate dropped irreversibly by over a factor of 100 if the xylem was dried, even when the xylem was flushed with water before drying. We also examined flow through commercially available kiln-dried wood samples cut to similar dimensions. Wood samples that exhibited filtration showed two orders of magnitude smaller flow rates than in the fresh xylem filter, while those that had high flow rates did not exhibit much filtration effect and seemed to have ruptured tracheids and membranes when observed under SEM.
Pumps are necessary for filtration. The measured flow rates of using a gravitational pressure head of 0.7–3.5 m, implying that no addition, the pressures of 1–5 psi used here are easily achievable options, requiring only simple periodic filter replacement. In human effort compared to existing point-of-use water treatment overcoming some of the challenges associated with conventional cost and highly efficient point-of-use water treatment by filtration, and need cleaning or replacement. Still require specialized chemicals and processes for manufacture, developing regions at reasonable cost [2]. However, membranes use devices using ultrafiltration membranes may provide water to the developing world [2]. Ultrafiltration systems run by hydrostatic pressure [19] and some recently invented point-of-use membranes are still unaffordable to poor communities in industrialized nations and the cost of membranes has significantly decreased, membranes are still unaffordable to poor communities in the developing world [2]. Ultrafiltration systems run by hydrostatic pressure [19] and some recently invented point-of-use devices using ultrafiltration membranes may provide water to developing regions at reasonable cost [2]. However, membranes still require specialized chemicals and processes for manufacture, and need cleaning or replacement.

Xylem filter technology could be an attractive option for low-cost and highly efficient point-of-use water treatment by filtration, overcoming some of the challenges associated with conventional membranes. Xylem filters could provide the advantage of reduced human effort compared to existing point-of-use water treatment options, requiring only simple periodic filter replacement. In addition, the pressures of 1–5 psi used here are easily achievable using a gravitational pressure head of 0.7–3.5 m, implying that no pumps are necessary for filtration. The measured flow rates of about 0.05 mL/s using only ~1 cm² filter area correspond to a flow rate of over 4 L/d, sufficient to meet the drinking water requirements of one person. The simple construction of xylem membrane area for filtration, providing high flow rates. Xylem filters were prepared by simply removing the bark of pine tree branches and inserting xylem tissue into a tube. Pigment filtration experiments revealed a size cutoff of about 100 nm, with most of the filtration occurring within the first 2–3 mm of the xylem filter. The xylem filter could effectively filter out bacteria from water with rejection and can effectively inactivate C. parvum, but this requires low turbidity of source water [17] and is not effective for control of viruses [16]. Filtration based on biosand and ceramic filters is also effective at removing pathogens, but the effectiveness against viruses is low or unknown [18]. Coagulation combined with chlorine disinfection removes or inactivates viruses and pathogens effectively. However, necessity of an additional filtration step and relatively high cost are potential barriers for practical use [18]. Among these methods, a review on field studies by Sobsey et al. [5] suggested that biosand and ceramic filtration are the most effective methods in practice, because once the apparatus is installed, the effort for use and dosage is significantly reduced and therefore promotes persistent use compared to disinfection approaches. Although membrane-based filtration is the most widely used for water treatment in developing countries and the cost of membranes has significantly decreased, membranes are still unaffordable to poor communities in the developing world [2]. Ultrafiltration systems run by hydrostatic pressure [19] and some recently invented point-of-use devices using ultrafiltration membranes may provide water to developing regions at reasonable cost [2]. However, membranes still require specialized chemicals and processes for manufacture, and need cleaning or replacement.

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**Author Contributions**

Conceived and designed the experiments: MSHB JL VV VC. Performed the experiments: MSHB JL VV VC. Analyzed the data: MSHB JL RR. Contributed reagents/materials/analysis tools: VC. Wrote the paper: MSHB JL RR.
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