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Mechanism for enhanced oxygen reduction kinetics at the 
(La,Sr)CoO$_{3-\delta}$/(La,Sr)$_2$CoO$_{4+\delta}$ hetero-interface

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Abstract

The recently reported fast oxygen reduction kinetics at the interface of (La,Sr)CoO$_{3-\delta}$ (LSC$_{113}$) and (La,Sr)$_2$CoO$_{4+\delta}$ (LSC$_{214}$) phases opened up new questions for the potential role of dissimilar interfaces in advanced cathodes for solid oxide fuel cells (SOFCs). Using first-principles based calculations in the framework of density functional theory, we quantitatively probed the possible mechanisms that govern the oxygen reduction activity enhancement at this hetero-interface as a model system. Our findings show that both the strongly anisotropic oxygen incorporation kinetics on the LSC$_{214}$ and the lattice strain in the vicinity of the interface are important contributors to such enhancement. The LSC$_{214}$(100) surface exposed to the ambient at the LSC$_{113}$/LSC$_{214}$ interface facilitates oxygen incorporation because the oxygen molecules very favorably adsorb on it compared to the LSC$_{214}$(001) and LSC$_{113}$(001) surfaces, providing a large source term for oxygen incorporation. Lattice strain field present near the hetero-interface accelerates oxygen incorporation kinetics especially on LSC$_{113}$(001). At 500 °C 4×10$^2$ times faster oxygen incorporation kinetics is predicted in the vicinity of the LSC$_{113}$/LSC$_{214}$ hetero-interface with 50% Sr-doped LSC$_{214}$ compared to that on the single phase LSC$_{113}$(001) surface. Contributions from both the anisotropy and local strain effects are of comparable magnitude. The insights obtained in this work suggest that hetero-structures which have a large area of (100) surfaces and smaller thickness in [001] direction of the Ruddlesden-Popper phases, and larger tensile strain near the interface would be promising for high-performance cathodes.

Keywords: solid oxide fuel cell, oxygen reduction reaction, cathode, anisotropy, strain, perovskite, Ruddlesden-Popper, (La,Sr)CoO$_3$, (La,Sr)$_2$CoO$_{4+\delta}$, density functional theory

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Anisotropic oxygen incorporation on \((\text{La,Sr})_2\text{CoO}_{4+\delta}\) and the lattice strain near the \((\text{La,Sr})\text{CoO}_{3-\delta}\) / \((\text{La,Sr})_2\text{CoO}_{4+\delta}\) interface serve as two possible sources to accelerate the oxygen reduction kinetics on the hetero-structure by \(4 \times 10^2\) times at 500 °C.

**Broader context**

High efficiency and fuel flexibility of Solid Oxide Fuel Cells (SOFCs) render them attractive as a sustainable energy conversion technology. There is growing interest in the design of dissimilar interfaces at the nano-scale to enable high-performance SOFC cathodes with fast oxygen reduction reaction (ORR) kinetics at lower temperatures than their traditional operation temperature of 800 °C. A motivating example for this purpose has been the hetero-structure made of the \((\text{La,Sr})\text{CoO}_{3-\delta}\) and \((\text{La,Sr})_2\text{CoO}_{4+\delta}\) phases with highly active interfaces. Two previous experimental reports have observed \(10^3\)-\(10^4\) times enhancement in ORR kinetics at 500-550 °C arising from the interface of these two phases. However, these empirical observations have not yet reached a fundamental understanding of why these interfaces are so highly active to ORR. Here we use first principles based calculations to quantitatively demonstrate two important mechanisms that can govern the unusually high ORR activity of this hetero-interface system; the strongly anisotropic oxygen adsorption and incorporation kinetics on the \((\text{La,Sr})_2\text{CoO}_4(100)\) and the lattice strain effect near the interface. Our findings provide new insights for designing novel interfaces in high-performance cathodes. Furthermore, these results are applicable broadly to
other systems were oxide interfaces are critical in charge transfer kinetics, as batteries, catalysis, and sensors.

1. Introduction

The slow rate of oxygen reduction rate at the cathode has been the primary limitation to the performance of solid oxide fuel cells (SOFCs) at intermediate temperatures (500-700 °C)\(^{1-5}\) that is important for materials stability and system cost.\(^ {1,3,5}\) This has led to an extensive search for highly active cathode materials that accelerate the kinetics of oxygen reduction reaction (ORR). Traditionally, perovskite type transition metal oxides (ABO\(_3\)) have been widely investigated as SOFC cathodes.\(^ {2,3,7-10}\) More recent studies highlight the potential of layered materials, such as Ruddlesden-Popper (RP) family of A\(_{n+1}\)B\(_n\)O\(_{3n+1}\), as desirable cathodes due to their fast and anisotropic oxygen incorporation and transport properties.\(^ {6,11-14}\) Interestingly, Sase \textit{et al.} demonstrated from Secondary Ion Mass Spectrometry (SIMS) that the oxygen surface exchange rate at the hetero-interface of La\(_{0.6}\)Sr\(_{0.4}\)CoO\(_3\)/(La\(_{0.5}\)Sr\(_{0.5}\))\(_2\)CoO\(_4\) thin films is enhanced by about 10\(^3\) times at 500 °C compared to that on the single-phase La\(_{0.6}\)Sr\(_{0.4}\)CoO\(_3\) surfaces.\(^ {15}\) Following up this study, Crumlin \textit{et al.}, using electrochemical impedance spectroscopy measurements, reported also an ORR activity enhancement up to about 10\(^3\)-10\(^4\) times at 550 °C on the thin film La\(_{0.8}\)Sr\(_{0.2}\)CoO\(_3\)-\(_\delta\) cathodes whose surfaces are decorated with (La\(_{0.5}\)Sr\(_{0.5}\))\(_2\)CoO\(_4\) islands (an thus, with a high density of LSC\(_{113}/\)LSC\(_{214}\) interfaces).\(^ {16}\) While it is evident that the interfacial regions are contributing to this unusually high ORR activity, the governing mechanism behind these empirical observations was not identified to date. Obtaining a microscopic level understanding for such behavior is important for designing novel interfaces for very high-performance SOFC cathodes. In this paper, we hypothesize two possible mechanisms for the ORR enhancement on this hetero-structure; the first is the anisotropically fast O\(_2\) incorporation into the LSC\(_{214}(100)\) surface present at this interface (as is the configuration reported in both ref. 15 and 16), and the second is the effect of lattice strain on the oxygen reduction reactions near the hetero-interface.

In support of our first hypothesis, several compositions belonging to the first member of the RP series, A\(_2\)BO\(_4\), were shown to exhibit fast anisotropic oxygen exchange and diffusion kinetics.\(^ {6,12,13,17-23}\) For example, on La\(_2\)NiO\(_{4+\delta}\) and La\(_{2-x}\)Sr\(_x\)CuO\(_{4-\delta}\), 10\(^2\)-10\(^3\) times larger oxygen interstitial diffusion and surface exchange coefficients along the \(ab\) plane ((001) plane) than on
the $c$ plane ((100) plane) were reported.\textsuperscript{17, 19, 21, 22} Similar reports for (La,Sr)$_2$CoO$_{4+\delta}$ are scarce to date. We have previously shown a fast anisotropic oxygen interstitial transport along the $ab$ plane in La$_2$CoO$_{4+\delta}$ computationally.\textsuperscript{13} There are no reports in literature yet on its surface exchange kinetics on each major plane. LSC$_{214}$(100) surface can play an important role as an oxygen source boundary condition by an energetically favorable O$_2$ adsorption in enabling fast ORR kinetics at the LSC$_{113}$/LSC$_{214}$ interface. In fact, this surface is exposed to air in both Sase et al.’s work\textsuperscript{15} and as well as in Crumlin et al.’s work\textsuperscript{16} since they both deposited the LSC$_{214}$ on top of LSC$_{113}$ in creating a highly textured hetero-interface.

In support of our second hypothesis, lattice strain was recently shown to alter the oxygen defect chemistry as well as the oxygen reaction and diffusion kinetics on perovskite oxides. Strain fields are often induced by the lattice mismatch near a dissimilar interface. As shown in Fig. 1, the (La,Sr)CoO$_3$ / (La,Sr)$_2$CoO$_4$ layered structure (constructed using density functional theory (DFT), see Sec. 2) imposes a +1.9\% planar strain in (001) plane of LSC$_{113}$, and a -0.8\% planar strain in (001) plane of LSC$_{214}$. These theoretical values are expected to give an upper bound of the magnitude of strain near the LSC$_{113}$/LSC$_{214}$ hetero-interface. Lattice strain is shown (by works including that of the authors here) to have a significant impact on facilitating oxygen ion transport,\textsuperscript{24-28} vacancy formation,\textsuperscript{29-32} and surface adsorption\textsuperscript{27, 30} – specific to this paper’s scope are the oxygen vacancy formation, oxygen adsorption and oxygen incorporation kinetics on the (La,Sr)CoO$_3$ films.\textsuperscript{27, 30, 33} La$_2$CoO$_{4+\delta}$ has not been a subject of similar studies to date, and we address the potential effects of strain also in this material as part of this paper.

Another factor that can affect the ORR activity near the LSC$_{113}$/LSC$_{214}$ interface is the redistribution of oxygen defects and dopants. Very recently, Gadre et al., based on DFT calculations, proposed that the enhancement of interfacial ORR activity in this system could be caused by significant Sr interdiffusion from LSC$_{113}$ into LSC$_{214}$.\textsuperscript{34} The large extent of Sr enrichment in LSC$_{214}$ was expected to induce the formation of a large amount of oxygen vacancies and accelerate the oxygen incorporation kinetics.\textsuperscript{34} While this is a reasonable hypothesis, in our ongoing experimental work\textsuperscript{35} we do not find any evidence to a detectable amount of Sr segregation in LSC$_{214}$ near the LSC$_{113}$/LSC$_{214}$ interface. Furthermore, it is not clear how the increased amount of oxygen vacancies in LSC$_{214}$ is to enhance the ORR activity by several orders of magnitude since the interstitial path into LSC$_{214}$ and similar RP phase compounds is already very fast. Therefore, in this study, we focus on the interstitial oxygen
incorporation and transport as the dominant ORR path on LSC\textsubscript{214} with a Sr doping level \( \leq 50\% \),\textsuperscript{36, 37} rather than a vacancy dominated oxygen incorporation process.

![Figure 1. DFT model of the (La,Sr)CoO\textsubscript{3} (LSC\textsubscript{113}) / (La,Sr)\textsubscript{2}CoO\textsubscript{4} (LSC\textsubscript{214}) structure, and the theoretically estimated strain states induced near this interface.](image)

In this paper, we quantitatively probe the two above-discussed mechanisms for the reported enhancement of the ORR activity at the hetero-interface of LSC\textsubscript{113}/LSC\textsubscript{214}. In Sec. 2, the computational approach and method details are described. In Sec. 3, first the anisotropic oxygen adsorption, dissociation/incorporation and diffusion on LSC\textsubscript{214} as a function of Sr content, and then the strain effects on the oxygen incorporation and migration kinetics on both LSC\textsubscript{113} and LSC\textsubscript{214} are assessed. We integrate these two individual effects on each single phase, and estimate the ORR activity enhancement that they together can impose near the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-interface. Finally, in Sec. 4, we summarize our major observations and conclusions.

2. Computational method and model
2.1. Ground-state structures and energies

We performed plane wave DFT calculations using the Vienna \textit{ab initio} simulation package (VASP).\textsuperscript{38} We employed the generalized gradient approximation (GGA) parameterized by Perdew and Wang\textsuperscript{39} along with the projector augmented wave (PAW)\textsuperscript{40} method to describe ionic cores. To avoid the self-interaction errors that occur in the traditional DFT for strongly correlated electronic systems, we employed the DFT+\( U \) method within Dudarev’s approach\textsuperscript{41}.
accounting for the on-site Coulomb interaction in the localized $d$ orbitals, with an effective $U-J = 3.3$ eV taken from the previous reports for LaCoO$_3^{27,30}$ and La$_2$CoO$_{4+\delta}$.$^{13}$ For La, Co, and O, the standard PAW/PW91 potentials were used for our calculations, while for Sr, Sr$_{SV}$ potential was applied. All calculations used a plane wave expansion with a cutoff of 400 eV and included spin polarization. Our test calculation confirmed that the energy cutoff of 400 eV was well converged within 5 meV in total energy, compared to the one with 600 eV. Geometries were relaxed using a conjugate gradient algorithm until the forces on all unconstrained atoms were less than 0.03 eV/Å.

The lattice constant of the fully-relaxed bulk La$_2$CoO$_4$ model is 3.90 Å ($a$, $b$) and 12.6 Å ($c$), which is in good agreement with the experimentally reported values.$^{42}$ To replicate the interfacial strain conditions that the thin films are subjected to at the LSC$_{113}$/LSC$_{214}$ interface, a 2D-planar compressive (tensile) lattice strain was imposed by contracting (elongating) the simulation cell in the [100] and [010] directions and relaxing the cell configuration and dimension in the [001] direction for LSC$_{214}$ (LSC$_{113}$) in a slab model configuration. The bulk structure of LSC$_{214}$ was cleaved along the (100) and (001) planes to construct surfaces that are represented by slabs of ~10 and 11 Å thick, respectively, containing 6 atomic asymmetric layers with the bottom two layers constrained to bulk lattice positions. For all calculations, a vacuum spacing of ~10 Å was placed in the direction of the surface normal. This vacuum spacing, equivalent to the slab thickness, is converged to within 0.5 meV/atom compared to analogous calculations using larger vacuum gaps of 15 and 20 Å. When examining adsorption, molecules were placed on only one side of the slab. To avoid the fictitious dipole moment, dipole corrections$^{33,34}$ were applied in computing all of the energies reported here.$^{43,44}$ O$_2$ adsorption calculations were performed for one molecule per surface unit cell, corresponding to a surface coverage of 25% with four equivalent adsorption sites. A $2\times2\times1$ Monkhorst-Pack $k$-point mesh was used, which was sufficient to give well converged results. Figure 2 shows the top and side views of the LSC$_{214}$(100) unit cell. The details of LSC$_{113}$(001) slab model are described in our previous report.$^{27}$ To obtain the LSC$_{113}$/LSC$_{214}$ hetero-structure, we merged the two single-phase slabs in a multilayer configuration (Fig. 1) and fully relaxed both the lattice vectors and atomic positions. For this calculation, we increased the energy cutoff to 600 eV for expanding the wave function and used $\Gamma$ points in the Brillouin zone.
2.2. Reaction and migration energy barriers

The climbing image nudged elastic band (CI-NEB) method\textsuperscript{45} was employed to calculate the migration and incorporation energy barrier of oxygen on and in the LSC\textsubscript{214}. Initial approximations to reaction paths were obtained by linear interpolation between the energy minima configurations. Three intermediate images were used for all NEB calculations, which was sufficient to map the minimum energy path (MEP) accurately. The NEB simulations were conducted with fixed lattice vectors.

2.3. Reaction kinetics at the interface

In order to estimate the relative enhancement in the ORR kinetics near the LSC\textsubscript{113} and LSC\textsubscript{214} interface compared to the single phase of LSC\textsubscript{113}, we compute the ratio, \( \frac{k^*_{214}}{k^*_{113}} \). The surface reaction rate constants, \( k^* \), are determined by the O\textsubscript{2} adsorption and dissociation processes, considered to take place consecutively here, to ultimately incorporate oxygen into the subsurface of each phase.

![Figure 2](image-url)

**Figure 2.** Illustration of the oxygen incorporation paths (a) on the single phase LSC\textsubscript{113} and (b) near the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-interface. The latter includes both path I (a \( \rightarrow \) b) and path II (c \( \rightarrow \) d).

The oxygen incorporation path on the single phase LSC\textsubscript{113} is shown in Fig. 2(a);\textsuperscript{27} O\textsubscript{2} adsorbs on the LSC\textsubscript{113}(001) surface, and then is incorporated and dissociated by the assistance of a migrating surface oxygen vacancy. For the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-structure, there are two
parallel paths for oxygen incorporation as shown in Fig. 2(b); path I (a → b) and path II (c → d). In path I, oxygen adsorbs on LSC$_{214}$(100) surface, then dissociates, incorporates, and migrates through the LSC$_{214}$ into the LSC$_{113}$. A path that starts with the O$_2$ adsorption on the LSC$_{214}$(001) surface followed by diffusion along the [001] direction is ignored due to very high energy barriers involved, as will be discussed later. The path II is same as the oxygen incorporation path on the single phase LSC$_{113}$. As described in Fig. 1, presence of lattice strain is expected near the interfaces of this hetero-structure (Fig. 2(b)). In the next sections, we assess the energetics of each unit process along these oxygen incorporation paths, with and without strain, to estimate the enhancement of ORR activity. Here we describe how each process energetics and kinetics are treated in this analysis, especially how we get to the effective $k^*$ for each path shown in Fig. 2.

The adsorption energy, $E_{ads}$, of O$_2$ was defined as

$$E_{ads} = E_{total} - \left( E_{surf} + E_{O_2} \right)$$

where $E_{total}$ is the total energy of the system with the adsorbed O$_2$, $E_{surf}$ is the total energy of the bare surface, and $E_{O_2}$ is the total energy for the O$_2$ in the gas phase. With this definition, negative adsorption energies correspond to energetically favored states.

The oxygen vacancy and interstitial formation energies, $E_{vac}$ and $E_{int}$, were calculated as

$$E_{vac} = E_{defect} - E_0 + \frac{1}{2} E_{O_2}, \quad E_{int} = E_{defect} - E_0 - \frac{1}{2} E_{O_2}$$

where $E_{defect}$ is the total energy of the system with a point defect (oxygen vacancy or interstitial) and $E_0$ is the total energy of the defect-free system. Smaller formation energy favors the formation of oxygen vacancies or interstitials more easily.

Oxygen incorporation kinetics into the surface of an SOFC cathode are formulated as:

$$j = -k^* \delta c \bigg|_{surface}$$

where $j$ is the flux, $c$ the concentration, $k^*$ the effective surface incorporation rate constant of oxygen. The $k^*$ is used as a quantitative measure of ORR kinetics here and is approximately calculated as:

$$k^* \approx A_k \cdot \exp \left\{ - \frac{E_{ads} + E_{dis}}{k_BT} \right\}$$
where \( A_k \) is the prefactor, \( E_{ads} \) the \( \text{O}_2 \) adsorption energy, \( E_{dis} \) the \( \text{O}_2 \) dissociation energy, \( k_B \) the Boltzmann constant, and \( T \) the temperature. For \( \text{LSC}_{214} \), the most favorable surface dissociation for \( \text{O}_2 \) involves adsorption in a surface vacancy near the rock-salt layer and dissociation via interstitial incorporation from that site. Therefore, \( E_{dis} \) is treated as the superimposition of oxygen vacancy formation energy (\( E_{vac} \)) and oxygen incorporation energy barrier (\( E_{inc} \)) (see Section 3.1). For \( \text{LSC}_{113} \), the \( \text{O}_2 \) dissociation process is governed by the availability and mobility of oxygen vacancies on the surface.\(^{27,47}\) Therefore, \( E_{dis} \) is composed of the oxygen vacancy formation energy (\( E_{vac} \)) and oxygen vacancy migration energy (\( E_{mig} \)) on the surface.\(^{27,48}\)

3. Results and Discussion

3.1. Anisotropic oxygen adsorption, dissociation and incorporation on \((\text{La},\text{Sr})_2\text{CoO}_4\)

We assess the results on both undoped \( \text{La}_2\text{CoO}_4 \) (as a model reference system) and on the 50\% \( \text{Sr} \)-doped \((\text{La}_{0.5}\text{Sr}_{0.5})_2\text{CoO}_4 \) (same as the experimentally demonstrated composition\(^{15,16}\)). In each model, \( \text{LSC}_{214}(100) \) surface has only one type of termination which includes both the A-site and the B-site cations exposed to the environment. For the \( \text{LSC}_{214}(001) \) surface the \( \text{CoO}_2 \) termination layer is considered, and it is equivalent to the \( \text{CoO}_2 \)-terminated \( \text{LSC}_{113}(001) \) that has been theoretically\(^+\) found as the most stable surface on perovskite-type cobaltites.\(^{49-51}\)

3.1.1. \( \text{La}_2\text{CoO}_4 \)

The most stable adsorption structures of \( \text{O}_2 \) on both the (100) and (001) surfaces of undoped \( \text{LSC}_{214} \) are shown in Fig. 3(a) and (b), respectively. An \( \text{O}_2 \) molecule adsorbs onto the bridge site of \( \text{LSC}_{214}(100) \) between La atoms in the vicinity of the interstitial path between the LaO planes. Surface oxygen vacancies are not expected to be present on the undoped \( \text{LSC}_{214} \), and do not play a role on the \( \text{O}_2 \) adsorption and dissociation on the (100) surface. Interestingly, the adsorption energy in this configuration is -3.24 eV, indicating a very strong

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\(^+\) Recent experimental observations report the presence of the AO-plane terminated surface on LSM and LSC, and this is different from the theoretical (DFT-based) prediction of a stable BO\(_2\)-surface. We think that, “the relative” changes in surface reaction energies with anisotropy and with lattice strain should not be influenced significantly by this choice of the surface termination. This is because, even if the surface were AO-terminated, the oxygen vacancies are then necessary for the adsorption and dissociation of oxygen, and they reside on top of the Co cations exposed through the vacancies of the top AO-plane. Therefore, even if the CoO\(_2\) layer were beneath the surface, it is still likely to play an important role in the chemisorption and dissociation of oxygen molecules on the surface.
adsorption of O₂ on this surface. On the other hand, the O₂ adsorption energy on LSC₂₁₄(001) is -0.24 eV, which is considerably weaker. As expected, this value and the adsorption configuration (with the O₂ atop a Co atom in a tilted fashion²⁷,³⁰) are very close to the one on LSC₁₁₃(001).²⁷ The adsorption energy difference between (100) and (001) surfaces on undoped LSC₂₁₄ is 3 eV, favoring that on the former.

O₂ adsorption on LSC₂₁₄(100) is followed by rotation and dissociation of O₂ that directly leads to O incorporation as an interstitial into the rock-salt layer, as shown in Fig. 3(c) and the insets of Fig. 3(d). The dissociated O replaces the lattice oxygen of the surface by kicking it off into an interstitial site between the LaO planes in the subsurface region (Fig. 3(c)). This is analogous to the interstitialcy path that governs the oxygen migration in the bulk of this material.¹³ The energy barrier for this incorporation process on the undoped LSC₂₁₄(100) is 0.77 eV (Fig. 3(d)), which is lower than the one on LSC₁₁₃(001) surface (1.31 eV) that is governed by the availability and mobility of surface oxygen vacancy.²⁷ Therefore, the O₂ dissociation kinetics alone on the LSC₂₁₄ surface is expected to present an additional enhancement by 0.54 eV compared to that on the LSC₁₁₃ surface.

Similarly to the other A₂BO₄ materials,⁶,¹²,¹³,¹⁷-²³ we quantitatively show here that oxygen diffuses anisotropically in LSC₂₁₄ (Fig. 3(e)). The oxygen migration barrier along the [100] direction (by interstitialcy mechanism) in the bulk LSC₂₁₄ is 0.61 eV, which is significantly lower than the 1.95 eV in the [001] direction. The oxygen transport on the LSC₂₁₄(100) surface in the [001] direction is even more unfavorable with an energy barrier of ~3 eV (Fig. 3(f)). In LSC₂₁₄, therefore, oxygen most favorably diffuses along the [100] direction via the interstitialcy path.¹³ The kinetics of oxygen diffusion in LSC₂₁₄, however, does not significantly accelerate the overall ORR kinetics compared to LSC₁₁₃ because the migration barrier of oxygen in bulk LSC₁₁₃ (0.69 eV)²⁷ is similar to the one along the fast interstitialcy route in LSC₂₁₄. Since the focus is on “surface incorporation rate of oxygen” on LSC₂₁₄(100), oxygen diffusion in the bulk along the [001] direction was not included into the quantitative estimate of the relative enhancement of ORR kinetics based on k*. Furthermore, at the hetero-interface of LSC₁₁₃/LSC₂₁₄, the fast diffusion path in [100] direction is in direct contact with LSC₁₁₃, thus oxygen can directly incorporate into LSC₁₁₃ without the diffusion step across the rock-salt layers along the [001] in LSC₂₁₄. Lastly, even if a small number of migration steps along the [001] direction near the interface takes place, the overall “resistance” of this very short path would be small despite
the relatively large energy barrier. These points justify that diffusion along [001] direction is not a significant contributor to the ORR assessment.

Figure 3. Top view of (a) La$_2$CoO$_4$(100), (b) La$_2$CoO$_4$(001), (c) side view of La$_2$CoO$_4$ model unit cell which schematically shows the oxygen migration pathways along the [001] on the
surface (blue arrow) and in the bulk (yellow arrow), and along the [100] direction into the bulk (purple arrow), and (d) the relative energy of the system for O\textsubscript{2} dissociation/incorporation on La\textsubscript{2}CoO\textsubscript{4} along the [100] direction. The yellow boxes in (a-c) show the adsorbed oxygen molecule on each surface. Charge density plots for the initial, transition, and final states are shown in the insets of (d) for oxygen incorporation. The relative energy of the system for (e) O migration along the [100] direction (purple arrows in (c)) and along the [001] direction (orange arrow in (c)) in the bulk La\textsubscript{2}CoO\textsubscript{4}; and for (f) O migration on La\textsubscript{2}CoO\textsubscript{4}(100) surface (blue arrow in (c)). The 3 eV barrier in (f) corresponds to the migration of oxygen across the AO-AO rock-salt layers on the La\textsubscript{2}CoO\textsubscript{4}(100) surface (Fig. S1 in the Supplementary Information).

3.1.2. \((La_{0.5}Sr_{0.5})_2CoO_4\)

For assessing the oxygen incorporation kinetics on the 50\% Sr-doped LSC\textsubscript{214}, one must know the most stable Sr distribution in the structure. We compared the energetic stability of LSC\textsubscript{214} with a uniform distribution of Sr and with a cation ordered structure. In the latter, double layers of SrO and LaO are 1:1 ordered perpendicular to the \(c\) axis as shown in Fig. 4(a) and (c). This layered structure of the A-site cations has also been observed in similar RP phase systems.\textsuperscript{53-60} Our DFT calculations showed that this cation ordered LSC\textsubscript{214} is energetically more stable than the one with uniform distribution of Sr, by 0.04 eV/formula unit (f.u.) (See Fig. S2 in the Supplementary Information). We therefore evaluated the oxygen adsorption, dissociation, and incorporation processes on the cation ordered LSC\textsubscript{214} model. Multiple pathways for these processes were considered and quantitatively investigated (see Fig. S4 in the Supplementary Information).

Although oxygen incorporation into \((La_{0.5}Sr_{0.5})_2CoO_4\) is not mediated by exchange with surface and subsurface vacancies (as noted in Sec. 1), it is reasonable to expect presence of oxygen vacancies on the (100) surface with as high of a Sr content.\textsuperscript{36,37,52} Vacancy and interstitial formation energies calculated here on various positions on the surface and in the bulk LSC\textsubscript{214} justify this (see Fig. S3 in the Supplementary Information). In the bulk, oxygen interstitials are most dominantly favored, with a formation energy of \(E_{\text{int}} = 0.22\) eV, over oxygen vacancies with \(E_{\text{vac}} = 2\) eV. Thus, oxygen incorporation into and diffusion in the bulk \((La_{0.5}Sr_{0.5})_2CoO_4\) take place via oxygen interstitials, similarly to the case of undoped LSC\textsubscript{214}. On the \((La_{0.5}Sr_{0.5})_2CoO_4\) (100) surface, however, the surface oxygen interstitial is not stable. Oxygen
rather preferentially binds on the bridge site between La atoms on the rock-salt layer; this is 0.52 eV more favorable than the binding onto the interstitial site on the (100) surface. Vacancy formation energy on this surface varies as 2.15 ~ 3.60 eV depending on the vacancy site considered. Without surface oxygen interstitials, it is possible that the surface vacancies (with formation energy of 2.15 eV) can exist on the AO-AO rock-salt layers at elevated temperatures. Although their concentration is not expected to be very high, their presence is considered crucial upon surveying the oxygen reaction pathways on the surface.

Among the oxygen incorporation pathways considered on \((\text{La}_{0.5}\text{Sr}_{0.5})_2\text{CoO}_4\) (100), the one in which an \(\text{O}_2\) first adsorbs into a surface vacancy on the rock-salt layer (position 2 in Fig. S3(c) in the Supplementary Information), followed by oxygen dissociation and incorporation into an interstitial site in the rock-salt layer is energetically the most favorable (Fig. 4(c)). This is unlike the case on the undoped LSC\(_{214}\)(100) where the most preferred \(\text{O}_2\) adsorption site is the bridging site between La atoms on the rock-salt layer. Although the adsorption on \((\text{La}_{0.5}\text{Sr}_{0.5})_2\text{CoO}_4\) (100) is into a vacancy, the dissociation is into an interstitial site between the rock-salt layers, as shown in the insets of Fig. 4(d), and would be followed by interstitialcy diffusion. 2.15 eV is required for vacancy formation and 0.83 eV for oxygen dissociation and incorporation barrier. The energy barrier for this incorporation process on 50% Sr-doped LSC\(_{214}\)(100) is slightly higher than that on undoped LSC\(_{214}\)(100) (0.77 eV) and on LSC\(_{113}\)(001) surface (0.70 eV). This suggests that the increase of Sr content on LSC\(_{214}\) does not further facilitate the oxygen incorporation.

Upon doping Sr into La sites, the adsorption strength on the LSC\(_{214}\)(100) surface reduces relatively, while the adsorption energy and configuration on the LSC\(_{214}\)(001) surface remains almost the same (due to lack of A-site cations on that surface). Despite the adsorption into an oxygen vacancy on the 50% Sr-doped LSC\(_{214}\)(100), the adsorption energy is -2.02 eV, and this is 1.22 eV weaker than the case on the undoped one. This decreases the adsorption energy difference between the (100) and (001) surfaces from 3 eV for undoped LSC\(_{214}\) to 1.82 eV for 50% Sr-doped LSC\(_{214}\), respectively (Table 1), but the 1.82 eV that represents the anisotropy of oxygen adsorption is still very significant. The relative reduction in the \(\text{O}_2\) adsorption strength upon Sr doping can be explained as follows. On an undoped LSC\(_{214}\)(100), significant surface relaxations take place to accommodate the adsorbing oxygen. On the 50% Sr-doped surface, however, the relatively large Sr atoms inhibit the relaxation of the top layer of the (100) surface and retain a
rigid structure (Fig. 4(a)) upon O₂ adsorption. Overall such strongly enhanced adsorption on the (100) surface of LSC₂₁₄ can provide a large flux of oxygen as an increased source term for the oxygen incorporation kinetics on LSC₂₁₄(100), and is one possible reason for the ORR enhancement at the hetero-interface of LSC₁₁₃/LSC₂₁₄.¹⁵,¹⁶

Our results suggest that doping of Sr into LSC₂₁₄ hinders the oxygen adsorption and incorporation process relative to the undoped LSC₂₁₄ due to structural reasons. However, electron transfer properties of Sr-doped LSC₂₁₄ at finite temperatures is actually expected to help the overall reaction kinetics. Furthermore, for both 0% and 50% Sr-doped LSC₂₁₄, the anisotropically strong adsorption of O₂ on LSC₂₁₄(100) plays a more important role than the anisotropic oxygen dissociation and incorporation into LSC₂₁₄ for the ORR enhancement near the LSC₁₁₃/LSC₂₁₄ hetero-interface.

Figure 4. Top view of (a) (La₀.₅Sr₀.₅)₂CoO₄(100), (b) (La₀.₅Sr₀.₅)₂CoO₄(001), (c) side view of (La₀.₅Sr₀.₅)₂CoO₄ model unit cell which schematically shows the oxygen incorporation and
migration pathway along the [100] direction into the bulk (purple arrow), and (d) the relative energy of the system for oxygen incorporation into \((La_{0.5}Sr_{0.5})_2CoO_4\) along the [100] direction. The yellow boxes in (a), (b) and (c) show the adsorbed oxygen molecule on each surface. Adsorption is into an oxygen vacancy on the AO-AO rock-salt layer in (a) and (c). Charge density plots for the initial, transition, and final states for oxygen incorporation are shown in the insets of (d).

<table>
<thead>
<tr>
<th>(E_{\text{ads}}) (eV)</th>
<th>La(_2)CoO(_4)</th>
<th>((La_{0.5}Sr_{0.5})_2CoO_4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(100)</td>
<td>-3.24</td>
<td>-2.02</td>
</tr>
<tr>
<td>(001)</td>
<td>-0.24</td>
<td>-0.20</td>
</tr>
<tr>
<td>Difference</td>
<td>3.00</td>
<td>1.82</td>
</tr>
</tbody>
</table>

**Table 1.** Adsorption energies of oxygen molecule on the (100) and (001) surfaces of 0% and 50% Sr-doped LSC\(_{214}\). The ‘Difference’ represents the anisotropic adsorption strength that favors the (100) surface.

### 3.2. Strain effect on the oxygen incorporation on \((La,Sr)CoO_3\) and \((La,Sr)_2CoO_4\) near the hetero-interface

#### 3.2.1. \((La,Sr)CoO_3\)

As described in Figs. 1 and 2, LSC\(_{113}\)\(\langle 001\rangle\) is in theory up to +1.9 % tensile strained in the [100] and [010] directions near the LSC\(_{113}/\)LSC\(_{214}\) interface. Thus, we can include here the effect of strain on oxygen adsorption, and vacancy formation and migration on the LSC\(_{113}\)\(\langle 001\rangle\) surface, which we previously assessed.\(^{27,30}\) We remind that the oxygen dissociation and incorporation kinetics is limited by the availability and mobility of oxygen vacancies on the surface of LSC\(_{113}\), as well as on other similar perovskite cathodes.\(^{27,48}\) As the tensile strain increases up to the limit of elastic stretching, both the oxygen molecule adsorption and oxygen vacancy formation become more favored, as shown in Fig. 5(a).\(^{27}\) Increasing planar tensile strain weakens the in-plane Co-O bonds by decreasing the Co \(d\) and lattice O \(p\) orbitals’ hybridization, which consequently causes to strengthen the chemisorption of O\(_2\) onto Co. Oxygen vacancy is more easily formed due to the weakening of the in-plane Co-O bonds upon tensile strain. The energy barrier for oxygen vacancy migration on LSC\(_{113}\)\(\langle 001\rangle\) is significantly lowered in the [1\(\bar{T}0\)] direction with the increase of strain, as shown in Fig. 5(b),\(^{27}\) because of the increased space
available in the migration path of oxygen. We will use this strain dependence later to quantify the acceleration of the ORR kinetics near the hetero-interface of LSC\textsubscript{113}/LSC\textsubscript{214} in Sec. 3.3.

We note here that, because the LSC\textsubscript{113} (001) surface investigated here is CoO\textsubscript{2}-terminated, the Sr-dopant level does not introduce significant quantitative differences to our results, especially with regards to the relative effects of strain. Thus, all the LSC\textsubscript{113} results reported and used in this paper are taken on undoped LSC\textsubscript{113}.

**Figure 5.** (a) Tensile planar strain dependence of (a) O\textsubscript{2} adsorption and oxygen vacancy formation energies and of (b) migration energy barrier of oxygen vacancy in the [1\(\bar{1}0\)] direction on undoped LSC\textsubscript{113}(001) surface. (reprinted with permission from Ref. 27)

### 3.2.2. \((\text{La}_{0.5}\text{Sr}_{0.5})\text{CoO}_4\)

Theoretically estimated strain in LSC\textsubscript{214} near the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-interface is -0.8% compressive planar strain on (001) plane, which leads to +1.4 tensile strain relaxation in [001] direction (Fig. 1). Experimentally, in a multilayer LSC\textsubscript{113}/LSC\textsubscript{214} heterostructure the largest strain that is measured in LSC\textsubscript{214} layers is +1% tensile strain along [001],\textsuperscript{35} which arises from a -1.5% compressive planar strain on (001) plane. The latter case is considered here to represent the maximum feasible strain in such a structure for the evaluation of strain effects on LSC\textsubscript{214}. As shown in Fig. 6(a), the strain dependence of O\textsubscript{2} adsorption and vacancy formation is very weak, with a change of only 0.06 eV and 0.05 eV, respectively, at -1.5% compared to the unstrained state. The strain response of oxygen incorporation into LSC\textsubscript{214} along the [100] direction (Fig.
4(c)) is more significant, with a reduction of incorporation energy barrier up to 0.21 eV. The strain dependence of the oxygen incorporation energy is correlated to the increase in the width of the entrance channel for oxygen interstitial (measured as the distance across the rock-salt layer), Fig. S5 in the supplementary information). Overall, the strain dependence on the single phase LSC$\text{$_{214}$}$ surface is weaker than the one on the single phase LSC$\text{$_{113}$}$ surface (Fig. 5).

![Figure 6](image.png)

**Figure 6.** Strain dependence of (a) O$_2$ adsorption and oxygen vacancy formation energies, and (b) the energy barrier of oxygen incorporation into 50% Sr-doped LSC$_{214}$(100) surface.

### 3.3. Quantification of the enhancement of oxygen reduction kinetics near the LSC$_{113}$/LSC$_{214}$ hetero-interface

Here we integrate the individual effects of anisotropy and lattice strain (for each single phase), described in 3.1-3.2 above, to quantitatively estimate the relative enhancement in the ORR kinetics expected near the LSC$_{113}$/LSC$_{214}$ hetero-interface. In Sec. 1, we showed the theoretical lattice strain near the hetero-interface (Fig. 1). The actual strain induced in the LSC$_{113}$ and LSC$_{214}$ layers in a multilayer configuration in our ongoing experimental work was found by X-ray diffraction.$^{35}$ The experimentally determined strain states along the [100] and [001] directions are consistent qualitatively (in sign) with those predicted by the DFT calculations in this paper. In estimating the maximum effect of lattice strain on the relative ORR kinetics enhancement, we use the largest strain values that are experimentally found: -1.5 % compressive planar strain on the (001) plane of LSC$_{214}$ and +0.8 % tensile planar strain on the (001) plane of
LSC\textsubscript{113}. These planar strain values are deduced from the measured +1.0 \% tensile strain in LSC\textsubscript{214} and -0.6 \% compressive strain in LSC\textsubscript{113} in the [001] direction, using 0.25 as the Poisson ratio typical for most oxides.

We compare the overall oxygen reduction kinetics at/near the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-interface (Fig. 2(b)) with that on the single phase LSC\textsubscript{113} (Fig. 2(a)) on the basis of the strain- and anisotropy-dependent energetics of unit processes discussed in Sec. 3.2. The paths for oxygen incorporation near the hetero-interface were described in Fig. 2 and Sec. 2.3. Table 2 summarizes all energies used for this quantitative comparison reported next.

<table>
<thead>
<tr>
<th>Unit process energies (eV)</th>
<th>( E_{ads} )</th>
<th>( E_{vac} )</th>
<th>( E_{inc} )</th>
<th>( E_{mig} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unstrained LSC\textsubscript{214}(100)</td>
<td>-2.02</td>
<td>2.15</td>
<td>0.87</td>
<td>--</td>
</tr>
<tr>
<td>Unstrained LSC\textsubscript{113}(001)</td>
<td>-0.38</td>
<td>0.99</td>
<td>--</td>
<td>0.70</td>
</tr>
<tr>
<td>-1.5 % strained LSC\textsubscript{214} on (001) plane</td>
<td>-2.09</td>
<td>2.09</td>
<td>1.07</td>
<td>--</td>
</tr>
<tr>
<td>+0.8 % strained LSC\textsubscript{113} on (001) plane</td>
<td>-0.36</td>
<td>0.83</td>
<td>--</td>
<td>0.44</td>
</tr>
</tbody>
</table>

Table 2. Unit reaction energies on the unstrained and strained LSC\textsubscript{113} and LSC\textsubscript{214}. The strain range near the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-interface is based on our measurements on a multilayer configuration made of LSC\textsubscript{113} and LSC\textsubscript{214}.\textsuperscript{35}

As explained in Sec. 2, in order to estimate the relative enhancement of oxygen reduction kinetics, we calculate the ratio of the \( k^* \) on the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-structure to that on the single phase LSC\textsubscript{113}. Using Equation (4), the \( k^* \) via the oxygen incorporation path I (Fig. 2(b)) is:

\[
k_{113/214-I}^* \approx \nu_1 \cdot \exp \left\{ -\frac{(E_{ads}^{214} + E_{vac}^{214} + E_{inc}^{214})}{k_B T} \right\} \text{J}_{113/214}.
\]  

(5)

Using Equation (4), the \( k^* \) via the path II (Fig. 2(b)) is:

\[
k_{113/214-II}^* \approx \nu_2 \cdot \exp \left\{ -\frac{(E_{ads}^{113} + E_{vac}^{113} + E_{mig}^{113})}{k_B T} \right\} \text{J}_{113/214}.
\]  

(6)

All pre-factors in Equations (5) and (6) are incorporated into \( \nu_1 \) and \( \nu_2 \), respectively. The \( k_{113/214}^* \) for the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-structure is the sum of \( k_{I}^* \) and \( k_{II}^* \).
For the single phase unstrained reference state of LSC\textsubscript{113}, \( k_{113}^* \) is:

\[
    k_{113}^* \approx v_j \cdot \exp \left\{ -\frac{\left( E_{\text{ads}}^{113} + E_{\text{vic}}^{113} + E_{\text{mig}}^{113} \right)}{k_B T} \right\} ,
\]

where all prefactors are incorporated into \( v_j \). We assume that the prefactor terms, \( v_1 \), \( v_2 \), and \( v_3 \) are approximately the same on the single phase LSC\textsubscript{113} and the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-structure.

The ratio \( \frac{k^*_{113/214}}{k_{113}^*} \) that represents the relative enhancement of ORR kinetics at/near the LSC\textsubscript{113}/LSC\textsubscript{214} interface compared to that on the single phase LSC\textsubscript{113} is calculated using Equations (5)-(7) and Table 2. At 500 °C representative of Sase et al.’s\textsuperscript{15} \textsuperscript{18}O-SIMS measurement conditions \( \frac{k^*_{113/214}}{k_{113}^*} \) is found as \( 4 \times 10^2 \).

We deconvolute the effect of anisotropy and the effect of strain in this total enhancement factor by subtracting the factor with no strain from the total \( \frac{k^*_{113/214}}{k_{113}^*} \) noted above as:

\[
    \begin{bmatrix}
        k^*_{113/214} \\
        k^*_{113}
    \end{bmatrix}_{\text{strain}} = \begin{bmatrix}
        k^*_{113/214} \\
        k^*_{113}
    \end{bmatrix}_{\text{no strain}}.
\]

We note that the \( \begin{bmatrix}
        k^*_{113/214} \\
        k^*_{113}
    \end{bmatrix}_{\text{no strain}} \) is equivalent to the LSC\textsubscript{214} anisotropy effect alone at this hetero-structure. Fig. 7 shows these results in the 400 – 800 °C range, and as expected the relative acceleration in ORR is more significant at the lower temperatures. At 500 °C, the contribution to the overall enhancement factor from the LSC\textsubscript{214} anisotropy is \( 10^2 \) times. The contribution from lattice strain (considered both in LSC\textsubscript{113} and LSC\textsubscript{214} together) at 500 °C is \( 3 \times 10^2 \) times. On the basis of these results, we think that both the LSC\textsubscript{214} anisotropy and the strain effect especially in LSC\textsubscript{113} could have acted equally importantly as two possible sources to accelerate the ORR kinetics on the LSC\textsubscript{113}/LSC\textsubscript{214} hetero-structure that was reported experimentally in refs. 15 and 16.
Figure 7. The relative enhancement of ORR at/near the LSC$_{113}$/LSC$_{214}$ interface, quantified as $\frac{k_{113/214}^*}{k_{113}^*}$ as a function of temperature. The deconvoluted contributions of the LSC$_{214}$ anisotropy and the lattice strain in LSC$_{113}$ and LSC$_{214}$ (together) are also shown.

4. Conclusion

Using DFT+U calculations, we demonstrated that, at the LSC$_{113}$/LSC$_{214}$ interface, the LSC$_{214}$(100) surface serves as an energetically favorable window for oxygen adsorption and incorporation. In addition to this, the lattice strain near the hetero-interface facilitates the oxygen incorporation especially on LSC$_{113}$. These two factors together accelerate the ORR kinetics by $4 \times 10^2$ times at 500 °C. This finding explains to a large extent the experimental results of refs. 15 and 16 at 500-550 °C, and predict the RP phase anisotropy and the strain effects as two equally important sources of ORR activity enhancement reported for the LSC$_{113}$/LSC$_{214}$ hetero-structure. These results, however, quantitatively underestimate the $\times 10^3$-$10^4$ of enhancement reported experimentally. Both computational and experimental uncertainties may contribute to this difference. However, we believe that an important reason of this difference is the assessment of the single phase LSC$_{113}$ and LSC$_{214}$ separately in this work rather than an explicit representation of this LSC$_{113}$/LSC$_{214}$ interface. Recent experimental work in our group has shown that LSC$_{214}$ is electronically activated when it interfaces the LSC$_{113}$, and this electronic activation is expected to facilitate charge transfer from the surface to the oxygen adsorbates in the reduction process.$^{35}$
This effect cannot be captured in our current DFT models. Nevertheless, such electronic activation of LSC$_{214}$, concurrent with the anisotropically high oxygen incorporation into LSC$_{214}$, is thought to be another key mechanism that additionally contributes to the fast ORR near the LSC$_{113}$/LSC$_{214}$ interface. Besides these sources, we cannot ignore that other factors such as space charge effects$^{61}$ and a change in the electronic structure$^{51,62}$ near the hetero-interface may also contribute to the ORR enhancement. Insights gained from our results can guide the design of novel perovskite/Ruddlesden-Popper composites for faster ORR kinetics. Hetero-structures with a large area of the (100) surfaces and smaller thickness in the [001] direction of the RP phase, and with tensile strain fields are expected to provide faster ORR kinetics for high-performance SOFC cathodes.

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References


