A Statistical Image-Based Shape Model for Visual Hull Reconstruction and 3D Structure Inference

by

Kristen Lorraine Grauman

Submitted to the Department of Electrical Engineering and Computer Science

in partial fulfillment of the requirements for the degree of

Master of Science in Computer Science and Engineering

at the

MASSACHUSETTS INSTITUTE OF TECHNOLOGY

June 2003

© Massachusetts Institute of Technology 2003. All rights reserved.

Author			
	Department of Elec	trical Engineering	and Computer Science
		^	May 9, 2003

Certified by

Trevor Darrell

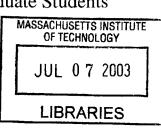
Associate Professor of Electrical Engineering and Computer Science
Thesis Supervisor

Accepted by.....

Arthur C. Smith

Chairman, Department Committee on Graduate Students







Room 14-0551 77 Massachusetts Avenue Cambridge, MA 02139 Ph: 617.253.2800 Email: docs@mit.edu http://libraries.mit.edu/docs

DISCLAIMER OF QUALITY

Due to the condition of the original material, there are unavoidable flaws in this reproduction. We have made every effort possible to provide you with the best copy available. If you are dissatisfied with this product and find it unusable, please contact Document Services as soon as possible.

Thank you.

The images contained in this document are of the best quality available.

A Statistical Image-Based Shape Model for Visual Hull Reconstruction and 3D Structure Inference

by

Kristen Lorraine Grauman

Submitted to the Department of Electrical Engineering and Computer Science on May 9, 2003, in partial fulfillment of the requirements for the degree of Master of Science in Computer Science and Engineering

Abstract

We present a statistical image-based "shape + structure" model for Bayesian visual hull reconstruction and 3D structure inference. The 3D shape of a class of objects is represented by sets of contours from silhouette views simultaneously observed from multiple calibrated cameras. Bayesian reconstructions of new shapes are then estimated using a prior density constructed with a mixture model and probabilistic principal components analysis. We show how the use of a class-specific prior in a visual hull reconstruction can reduce the effect of segmentation errors from the silhouette extraction process. The proposed method is applied to a data set of pedestrian images, and improvements in the approximate 3D models under various noise conditions are shown. We further augment the shape model to incorporate structural features of interest; unknown structural parameters for a novel set of contours are then inferred via the Bayesian reconstruction process. Model matching and parameter inference are done entirely in the image domain and require no explicit 3D construction. Our shape model enables accurate estimation of structure despite segmentation errors or missing views in the input silhouettes, and works even with only a single input view. Using a data set of thousands of pedestrian images generated from a synthetic model, we can accurately infer the 3D locations of 19 joints on the body based on observed silhouette contours from real images.

Thesis Supervisor: Trevor Darrell

Title: Associate Professor of Electrical Engineering and Computer Science

Acknowledgments

I would first like to thank my research advisor, Trevor Darrell, for the direction, enthusiasm, and insights he shared with me throughout this work. I am also grateful for the general support of the members in the Vision Interface Group. In particular, I would like to thank Greg Shakhnarovich for collaborating with me on this work over the past year, and Ali Rahimi and David Demirdjian for various helpful conversations. Thanks as well to the anonymous CVPR reviewers for their feedback on a paper we wrote earlier dealing with part of this research.

I appreciate the support of the Department of Energy, who has funded me with the Computational Science Graduate Fellowship for the past two years.

I am especially grateful to Mark Stephenson for being a constant source of support, wisdom, and encouragement. Finally, I extend great gratitude to Karen and Robert Grauman, my parents, whose inspiration and love have guided me always.

Contents

1	Intr	oduction	11
	1.1	Motivation	11
		1.1.1 Visual Hull Reconstruction	11
		1.1.2 3D Structure Estimation	12
	1.2	Proposed Shape and Structure Model	15
		1.2.1 Shape Component of the Model	15
		1.2.2 Structure Component of the Model	16
		1.2.3 Learning the Model	16
	1.3	Roadmap	17
		:	- 0
2	Rela	ted Work	19
	2.1	Computing a Visual Hull	19
	2.2	Contours and Low-Dimensional Manifolds	20
	2.3	Estimating 3D Structure	21
		2.3.1 Model Matching Directly from Observations	22
	2.4	Contributions	22
3	Baye	esian Multi-View Shape Reconstruction	25
	3.1	Multi-View Observation Manifolds	26
	3.2	Contour-Based Shape Density Models	27
			27
		3.2.2 Observation Likelihood Density Model	28
	3.3	Bayesian Reconstruction	29

	tion		67
A	Ran	dom Sample Consensus (RANSAC) for Multi-View Contour Reconstruc-	
7	Con	clusions and Future Work	65
		6.5.5 Results Summary	60
		6.5.4 Testing on Real Data	59
		6.5.3 Testing with Missing Views	55
		6.5.2 Training on One View Versus Training on Multiple Views	55
		6.5.1 Error Measures	54
	6.5	Results	54
	6.4	Description of the Synthetic Test Set	53
	6.3	Representation for the Extended Shape Model	53
	6.2	Description of the Training Set	52
	6.1	Advantages of a Synthetic Training Set	51
6	Infe	rring 3D Structure in Pedestrian Images	51
	5.2	Advantages of the Model	48
	5.1	Extending the Shape Model	47
5	Infe	rring 3D Structure	47
	4.4	Results	37
	4.3	Expected Variation of the Data	36
	4.2	Representation	34
	4.1	Description of the Data Set	33
4	Visu	al Hull Reconstruction from Pedestrian Images	33
	3.4	Robust Reconstruction Using Random Sample Consensus	30

List of Figures

The limitations of deterministic image-based visual hull construction	13
The 3D structure estimation problem.	14
Schematic illustration of the geometry of visual hull construction as inter-	
section of visual cones	20
Illustration of prior and observed densities	31
An example of visual hull reconstruction data	34
Diagram of data flow: using the probabilistic shape model for visual hull	
reconstruction	35
Primary modes of variation for the multi-view contours	36
Comparison of segmentation error distributions for raw images and their	
Bayesian reconstructions	38
Example of visual hull segmentation improvement with Bayesian recon-	
struction	39
Example of visual hull segmentation improvement with Bayesian recon-	
struction	40
Example of visual hull segmentation improvement with Bayesian recon-	
struction	41
Example of visual hull segmentation improvement with Bayesian recon-	
struction	42
Example of visual hull segmentation improvement with Bayesian recon-	
struction	43
	The 3D structure estimation problem. Schematic illustration of the geometry of visual hull construction as intersection of visual cones. Illustration of prior and observed densities. An example of visual hull reconstruction data. Diagram of data flow: using the probabilistic shape model for visual hull reconstruction. Primary modes of variation for the multi-view contours. Comparison of segmentation error distributions for raw images and their Bayesian reconstructions. Example of visual hull segmentation improvement with Bayesian reconstruction. Example of visual hull segmentation improvement with Bayesian reconstruction. Example of visual hull segmentation improvement with Bayesian reconstruction. Example of visual hull segmentation improvement with Bayesian reconstruction. Example of visual hull segmentation improvement with Bayesian reconstruction.

4-10	Example of visual hull segmentation improvement with Bayesian recon-	
	struction	44
4-11	Example of visual hull segmentation improvement with Bayesian recon-	
	struction	45
4-12	Example of visual hull segmentation improvement with Bayesian recon-	
	struction	46
5-1	Diagram of data flow: using the probabilistic shape model for 3D structure	
	inference	48
6-1	An example of synthetically generated training data	52
6-2	Noisy synthetic test silhouettes	54
6-3	Training on single view vs. training on multiple views	56
6-4	Inferring structure from only a single view	57
6-5	Inferring structure with one missing view	58
6-6	Summary of missing view results	59
6-7	Inferring structure on real data	61
6-8	Inferring structure on real data with two missing views	62
6-9	Inferring structure on real data with two missing views	63
6-10	Inferring structure on real data from only a single view	64
A - 1	RANSAC variant for robust reconstruction of multi-view contours	68

Chapter 1

Introduction

Implicit representations of 3D shape can be formed using models of observed contours and feature locations in multiple views. With sufficient training data of objects of a known class, a statistical multi-view appearance model can represent the most likely shapes in that class. Such a model can be used to reduce noise in observed images, or to fill in missing data. In this work we present a contour-based probabilistic shape model and use it to give both a probabilistic version of image-based visual hull reconstruction and an image-based method for inferring 3D structure parameters.

1.1 Motivation

1.1.1 Visual Hull Reconstruction

Reconstruction of 3D shape using the intersection of object silhouettes from multiple views can yield a surprisingly accurate shape model, if accurate contour segmentation is available. Algorithms for computing the visual hull of an object have been developed based on the explicit geometric intersection of generalized cones [17]. More recently methods that perform resampling operations purely in the image planes have been developed [21], as well as approaches using weakly calibrated or uncalibrated views [18, 32].

Visual hull algorithms have the advantage that they can be very fast to compute and re-render, and they are also much less expensive in terms of storage requirements than

volumetric approaches such as voxel carving or coloring [16, 26, 28]. With visual hulls view-dependent re-texturing can be used, provided there is accurate estimation of the alpha mask for each source view [22]. When using these techniques a relatively small number of views (4-8) is often sufficient to recover models that appear compelling and are useful for creating real-time virtual models of objects and people in the real world, or for rendering new images for view-independent recognition using existing view-dependent recognition algorithms [27].

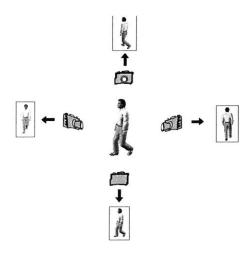
Unfortunately most algorithms for computing visual hulls are deterministic in nature, and they do not model any uncertainty that may be present in the observed contour shape in each view. They can also be quite sensitive to segmentation errors: since the visual hull is defined as the 3D shape which is the intersection of the observed silhouettes, a small segmentation error in even a single view can have a dramatic effect on the resulting 3D model (see Figure 1-1).

Traditional visual hull algorithms (e.g., [21]) have the advantage that they are general – they can reconstruct any 3D shape which can be projected to a set of silhouettes from calibrated views. While this is a strength, it is also a weakness of the approach. Even though parts of many objects cannot be accurately represented by a visual hull (e.g., concavities), the set of objects that can be represented is very large, and often larger than the set of objects that will be physically realizable. Structures in the world often exhibit local smoothness, which is not accounted for in deterministic visual hull algorithms. Additionally, many applications may have prior knowledge about the class of objects to be reconstructed, e.g. pedestrian images as in the gait recognition system of [27]. Existing algorithms cannot exploit this knowledge when performing reconstruction or re-rendering.

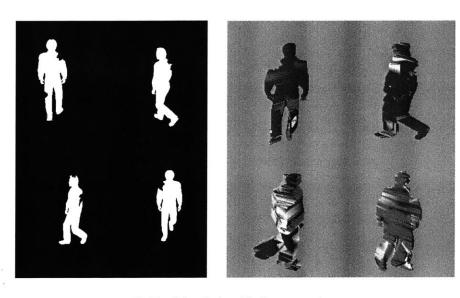
1.1.2 3D Structure Estimation

Estimating model shape or structure parameters from one or more input views is an important computer vision problem that has received considerable attention in recent years [11].

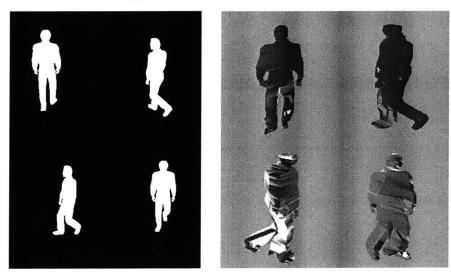
¹In practice many implementations use preprocessing stages with morphological filters to smooth segmentation masks before geometric intersection, but this may not reflect the statistics of the world and could lead to a shape bias.



(a) Camera input



(b) Traditional visual hull construction



(c) Proposed probabilistic visual hull construction

Figure 1-1: The limitations of deterministic image-based visual hull construction. Segmentation errors in the silhouettes cause dramatic effects on the approximate 3D model (b). A probabilistic reconstruction can reduce these adverse effects (c).

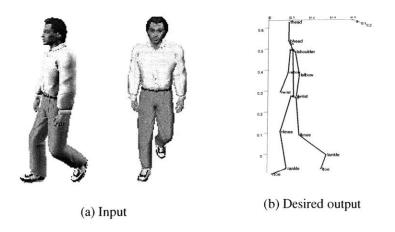


Figure 1-2: The 3D structure estimation problem: given one or more views of an object, infer the 3D locations of structural points of interest. For instance, given some number of views of a human body, estimate the 3D locations of specific body parts.

The idea is to estimate 3D locations or angles between parts of an articulated object using some number of 2D images of that object. If the class of objects is people, for instance, the goal may be to obtain estimates of the 3D locations of different body parts in order to describe the body's pose (see Figure 1-2). These estimates can then be passed on to a higher-level application that performs a task such as gesture recognition, pose estimation, gait recognition, or character creation in a virtual environment. There is a large body of work in the computer vision and human-computer interfaces communities devoted to these topics alone.

Although we do not consider temporal constraints in this work, many techniques for human body tracking require the initial pose to be given for the first video frame either through a hand initialization step or by having the subject stand in a canonical pose. Thus another application of our method for inferring structure is to automate that initialization process and make it more flexible.

Additionally, for any object class where it is possible to establish feature correspondences between instances of the class, estimating the 3D locations of key points on the object would allow this correspondence to be established automatically. For instance, when matching a novel set of images to a 3D morphable model, correspondences must be established between multiple key points on the object and the same key points on the model. A

means of estimating the designated locations based on the input images would allow the model to be matched automatically.

Classic techniques for structure estimation attempt to detect and align 3D model instances within the image views, but high-dimensional models or models without well-defined features may make this type of search computationally prohibitive. It is an expensive task to iteratively align a 3D model so that its 2D projections fit the observed image features, and the difficulty of such model-based techniques is compounded if the class of objects lacks features that are consistently identifiable in the input image views.

1.2 Proposed Shape and Structure Model

In this work we introduce a statistical "shape + structure" model that addresses the current limitations of both deterministic visual hull construction methods as well as classic structure estimation techniques. The model is formed using a probability density of multi-view silhouette images augmented with known 3D structure parameters. Using this model, we formulate both a probabilistic version of image-based visual hull reconstruction as well as a method for learning and inferring 3D structural parameters.

1.2.1 Shape Component of the Model

To formulate a probabilistic version of image-based visual hull reconstruction, we enforce a class-specific prior shape model on the reconstruction. We learn a probability density of possible 3D shapes, and model the observation uncertainty of the silhouettes seen in each camera. From these we compute a Bayesian estimate of the visual hull given the observed silhouettes. We use an explicit image-based algorithm, and define our prior shape model as a density over the set of object contours in each view. We restrict our focus to reconstructing a single object represented by a closed contour in each view; this simplifies certain steps in contour processing and representation. It is well known that the probability densities of contour models for many object classes can be efficiently represented as linear manifolds [1, 2, 4], which can be computed using principal component analysis (PCA) techniques. In essence, we extend this approach to the case of multiple simultaneous views used for visual

hull reconstruction.

1.2.2 Structure Component of the Model

Rather than fit explicit 3D models to input images, we perform parameter inference using our image-based shape model, which can be matched directly to observed features. The shape model composed of multi-view contours is extended to include the 3D locations of key points on the object. We then estimate the missing 3D structure parameters for a novel set of contours by matching them to the statistical model and inferring the 3D parameters from the matched model.

Utilizing the same Bayesian framework described above, a reconstruction of an observed object yields the multi-view contours and their 3D structure parameters simultaneously. To our knowledge, this is the first work to formulate an image-based multi-view statistical shape model for the inference of 3D structure.

In our experiments, we demonstrate how our shape + structure model enables accurate estimation of structure parameters despite large segmentation errors or even missing views in the input silhouettes. Since parameter inference with our model succeeds even with missing views, it is possible to match the model with fewer views than it has been trained on. We also show how configurations that are typically ambiguous in single views are handled well by our multi-view model.

1.2.3 Learning the Model

In this work we also show how the image-based model can be learned from a known 3D shape model. Using a computer graphics model of articulated human bodies, we render a database of views augmented with the known 3D feature locations (and optionally joint angles, etc.) From this we learn a joint shape and structure model prior, which can be used to find the instance of the model class that is closest to a new input image. One advantage of a synthetic training set is that labeled real data is not required; the synthetic model includes 3D structure parameter labels for each example.

For applications where it is desirable to have reconstructed silhouettes that closely pre-

serve the same underlying contours and idiosyncrasies of the input data, e.g., for visual hull reconstructions used in recognition applications, the shape model may be trained on a set of relatively cleanly segmented examples of real data.

1.3 Roadmap

In the following chapter we review related previous work on visual hulls, probabilistic contour models, and image-based statistical shape models that can be directly matched to observed shape contours. In Chapter 3 we formulate the Bayesian multi-view shape reconstruction method which underlies our model. In Chapter 4 we present results from our experiments applying the proposed visual hull reconstruction method to a data set of pedestrian images. Then in Chapter 5 we formulate the extended shape model which allows the inference of 3D structure. In Chapter 6 we describe the means of learning such a model from synthetic data, and we present results from our experiments applying the proposed structure inference method to the data set of pedestrian images in order to locate 19 joints of the body in 3D. Finally, we conclude in Chapter 7 and suggest several avenues for future work.

Chapter 2

Related Work

In the this chapter we review related previous work on visual hulls, probabilistic contour models, and image-based statistical shape models that can be directly matched to observed shape contours.

2.1 Computing a Visual Hull

A visual hull (VH) is defined by a set of camera locations, the cameras' internal calibration parameters, and silhouettes from each view. Most generally, it is the maximal volume whose projections onto multiple image planes result in the set of observed silhouettes of an object. The VH is known to include the object, and to be included in the object's convex hull. In practice, the VH is usually computed with respect to a finite, often small, number of silhouettes. (See Figure 2-1.) One efficient technique for generating the VH computes the intersection of the viewing ray from each designated viewpoint with each pixel in that viewpoint's image [21]. A variant of this algorithm approximates the surface of the VH with a polygonal mesh [20]. See [17, 20, 21] for the details of these methods.

While we restrict our attention to visual hulls from calibrated cameras, recent work has shown that visual hulls can be computed from weakly calibrated or uncalibrated views [18, 32]. Detailed models can be constructed from visual hulls with view-dependent reflectance or texture and accurate modeling of opacity [22].

A traditional application of visual hulls is the creation of models for populating virtual

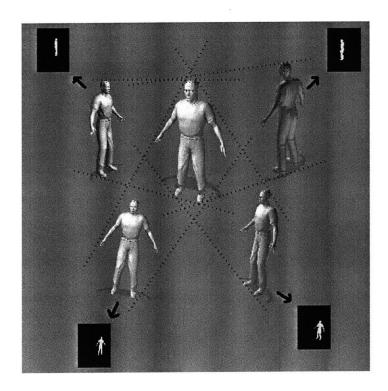


Figure 2-1: Schematic illustration of the geometry of visual hull construction as intersection of visual cones.

worlds, either for detailed models computed offline using many views (perhaps acquired using a single camera and turntable), or for online acquisition of fast and approximate models for real-time interaction. Visual hulls can also be used in recognition applications. Recognition can be performed directly on visible 3D structures from the visual hull (especially appropriate for the case of orthogonal virtual views), or the visual hull can be used in conjunction with traditional 2D recognition algorithms. In [27] a system was demonstrated that rendered virtual views of a moving pedestrian for integrated face and gait recognition using existing 2D recognition algorithms.

2.2 Contours and Low-Dimensional Manifolds

The authors of [1] developed a single-view model of pedestrian contours, and showed how a linear subspace model formed from principal components analysis (PCA) could represent and track a wide range of motion [2]. A model appropriate for feature point locations

sampled from a contour is also given in [2]. This single-view approach can be extended to 3D by considering multiple simultaneous views of features. The Active Shape Model of [5] was successfully applied to model facial variation.

The use of linear manifolds estimated by PCA to represent an object class, and more generally an appearance model, has been developed by several authors [4, 14, 30]. A probabilistic interpretation of PCA-based manifolds has been introduced in [12, 31] as well as in [23], where it was applied directly to face images. Snakes [15] and Condensation (particle filtering) [13] have also been used to exploit prior knowledge while tracking single contours. We rely on the mixture of probabilistic principal components analyzers (PPCA) formulation of [29] to model the prior density as a mixture of Gaussians.

2.3 Estimating 3D Structure

There has been considerable work on the general problem of estimating structure parameters from images, particularly for the estimation of human body part configurations or "pose". See [11] for a survey.

As described in [11], approaches to pose estimation may be generally categorized into three groups: 2D approaches that do not use explicit shape models, 2D approaches that do use explicit shape models, and 3D approaches that use a 3D model for estimating the positions of articulated structures. A 2D approach without an explicit shape model will apply either a statistical model or simple heuristic to directly observable features in the image. In contrast, a 2D explicit shape model makes use of *a priori* knowledge of how the object appears in 2D and attempts to segment and label specific parts of the object in an input image. Finally, 3D approaches attempt to fit a 3D model to some number of 2D images, often utilizing a priori knowledge about the kinematic and shape properties of the object class, and typically requiring a hand-initialized reference frame. In practice, a priori kinematic and shape constraints may be difficult to describe efficiently and thoroughly, and they require significant knowledge about the structure and movement patterns of the given object class.

Our work on 3D structure inference falls into the first category: we infer structure (or

pose) using observable features in multi-view images without constructing an explicit shape model. We do not require that any class-specific a priori kinematic or shape constraints be explicitly specified; the only prior information utilized is learned directly from easily extracted features in the training set images.

Note that in this work we are not considering any temporal constraints, so we are interested in the related work in pose estimation to the extent which it analyzes a single frame at a time.

2.3.1 Model Matching Directly from Observations

We consider image-based statistical shape models that can be directly matched to observed shape contours. Models which capture the 2D distribution of feature point locations have been shown to be able to describe a wide range of flexible shapes, and they can be directly matched to input images [5]. A drawback of such single-view models is that features need to be present, i.e., not occluded, at all times. Shape models in several views can be separately estimated to match object appearance [6]; this approach was able to learn a mapping between the low-dimensional shape parameters in each view. Typically these shape models require a good initialization in order for the model matching method to converge properly.

The idea of augmenting a PCA-based appearance model with structure parameters and using projection-based reconstruction to fill in the missing values of those parameters for new images was first proposed in [7]. A method that used a mixture of PCA approach to learn a model of single contour shape augmented with 3D structure parameters was presented in [25]. They were able to estimate 3D hand and arm location just from a single silhouette. This system was also able to model contours observed in two simultaneous views, but separate models were formed for each so no implicit model of 3D shape was formed.

2.4 Contributions

While regularization or Bayesian maximum a posteriori (MAP) estimation of single-view contours has received considerable attention, relatively little attention has been given to

multi-view data from several cameras simultaneously observing an object. With multi-view data, a probabilistic model and MAP estimate can be computed on implicit 3D structures. In this work we apply a PPCA-based probability model to form Bayesian estimates of multi-view contours used for visual hull reconstruction and 3D structure inference.

The strength of our approach lies in our use of a probabilistic multi-view shape model which restricts the object shape and its possible structural configurations to those that are most probable given the object class and the current observation. Even when given poorly segmented binary images of the object, the statistical model can infer more accurate silhouette segmentations and appropriate structure parameters. Moreover, all computation is done within the image domain, and no model matching or search in 3D space is required.

Our model may be learned from synthetic training data when a computer graphics 3D shape model is available. As we will discuss in Chapter 6, using a synthetic training set is a practical way to generate a large volume of data, it guarantees precise ground truth labels, and it eliminates some dangers of segmentation bias that real training data may possess.

The experiments we present in this work show good results on a data set of pedestrian images. However, the shape model and reconstruction method we propose have no inherent specification for this particular object class; the methods we present are intended for use on any class of objects for which the global shape of different instances of the object class is roughly similar.

Chapter 3

Bayesian Multi-View Shape

Reconstruction

In this work, we derive a multi-view contour density model for 3D visual hull reconstruction and 3D structure inference. We represent the silhouette shapes as sampled points on closed contours, with the shape vectors for each view concatenated to form a single vector in the input space. Our algorithm can be extended to a fixed number of distinguishable objects by concatenating their shape vectors, and to disconnected shapes more general than those representable by a closed contour if we adopt the level-set approach put forth in [19].

As discussed in the previous chapter, many authors have shown that a probabilistic contour model using PCA-based density models can be useful for tracking and recognition. An appealingly simple technique is to approximate a shape space with a linear manifold [5]. In practice, it is often difficult to represent complex, deformable structures using a single linear manifold.

Following [4, 29], we construct a density model using a mixture of Gaussians PPCA model that locally models clusters of data in the input space with probabilistic linear manifolds. We model the uncertainty of a novel observation and obtain a MAP estimate for the low-dimensional coordinates of the input vector, effectively using the class-specific shape prior to restrict the range of probable reconstructions.

In the following section we see that if the 3D object can be described by linear bases, then an image-based visual hull representation of the approximate 3D shape of that object should also lie on a linear manifold, at least for the case of affine cameras.

3.1 Multi-View Observation Manifolds

If the vector of observed contour points of a 3D object resides on a linear manifold, then the affine projections of that shape also form a linear manifold. Assume we are given a 3D shape defined by the set of n points resulting from a linear combination of 3n-D basis vectors. That is, the 3n-D shape vector

$$\mathbf{p} = (\mathbf{p}_1, \mathbf{p}_2, ..., \mathbf{p}_n)^T$$

can be expressed as

$$\mathbf{p} = \sum_{j=1}^{M} a_j \mathbf{b}^j = \mathbf{B} \mathbf{a}^T \tag{3.1}$$

where $\mathbf{a} = (a_1, ... a_M)$ are the basis coefficients for the M 3D bases $\mathbf{b}^j = (\mathbf{b}^j_1, \mathbf{b}^j_2, ..., \mathbf{b}^j_n)^T$, \mathbf{b}^j_i is the vector with the 3D coordinate of point i in basis vector j, and \mathbf{B} is the basis matrix whose columns are the individual \mathbf{b}^j vectors. A matrix whose columns are a set of observed 3D shapes will thus have rank less than or equal to M. Note that the coefficients \mathbf{a} are computed for each given \mathbf{p} .

When a 3D shape expressed as in Equation (3.1) is viewed by a set of K affine cameras with projection matrices \mathbf{M}_k , we will obtain a set of image points which can be described as

$$\mathbf{c}_k = (\mathbf{x}_1^k, \mathbf{x}_2^k, ..., \mathbf{x}_n^k), \quad 1 \le k \le K,$$
(3.2)

where

$$\mathbf{x}_i^k = \mathbf{M}_k \mathbf{p}_i = \mathbf{M}_k \sum_{j=1}^M a_j \mathbf{b}_i^j = \sum_{j=1}^M a_j \mathbf{M}_k \mathbf{b}_i^j.$$

Therefore, c_k itself belongs to a linear manifold in the set of projected bases in each camera:

$$\mathbf{c}_k = \sum_{j=1}^M a_j \mathbf{q}_k^j = \mathbf{a} \mathbf{q}_k, \tag{3.3}$$

where \mathbf{q}_k^j is the projected image of 3D basis \mathbf{b}^j in camera k:

$$\mathbf{q}_k^j = (\mathbf{M}_k \mathbf{b}_1^j, \mathbf{M}_k \mathbf{b}_2^j, ... \mathbf{M}_k \mathbf{b}_n^j)^T.$$

A matrix whose columns are a set of observed 2D points will thus have rank less than or equal to M. For the construction of Equations (3.1) - (3.3), we assume an ideal dense sampling of points on the surface. The equations hold for the projection of all points on that surface, as well as for any subset of the points. If some points are occluded in the imaging process, or we only view a subset of the points (e.g., those on the occluding contour of the object in each camera view), the resulting subset of points can still be expressed as in Equation (3.3) with the appropriate rows deleted. The rank constraint will still hold in this reduced matrix.

It is clear from the above discussion that if the observed points of the underlying 3D shape lie on an M-dimensional linear manifold, then the concatenation of the observed points in each of the K views

$$\mathbf{o}_n = (\mathbf{c}_1, \mathbf{c}_2, ..., \mathbf{c}_K)^T$$

can also be expressed as a linear combination of similarly concatenated projected basis views \mathbf{q}_k^j . Thus an observation matrix constructed from multiple instances of \mathbf{o}_n will still be at most rank M.

3.2 Contour-Based Shape Density Models

3.2.1 Prior Density Model

We should thus expect that when the variation in a set of 3D objects is well-approximated by a linear manifold, their multi-view projection will also lie on a linear manifold of equal or lower dimension. When this is the case, we can approximate the density using PPCA with a single Gaussian. For more general object classes, object variation may only locally lie on a linear manifold; in these cases a mixture of manifolds can be used to represent the

shape model [4, 29].

We construct a density model using a mixture of Gaussians PPCA model that locally models clusters of data in the input space with probabilistic linear manifolds. An observation is the concatenated vector of sampled contour points from multiple views. Each mixture component is a probability distribution over the observation space for the true underlying contours in the multi-view image. Parameters for the C components are determined from the set of observed data vectors o_n , $1 \le n \le N$, using an Expectation Maximization (EM) algorithm to maximize a single likelihood function

$$L = \sum_{n=1}^{N} \log \sum_{i=1}^{C} \pi_i p(\mathbf{o}_n | i)$$
(3.4)

where $p(\mathbf{o}|i)$ is a single component of the mixture of Gaussians PPCA model, and π_i is the i^{th} component's mixing proportion. A separate mean vector μ_i , principal axes \mathbf{W}_i , and noise variance σ_i are associated with each of the C components. As this likelihood is maximized, both the appropriate partitioning of the data and the respective principal axes are determined. We used the Netlab [24] implementation of [29] to estimate the PPCA mixture model.

The mixture of probabilistic linear subspaces constitutes the prior density for the object shape. All of the images in the training set are projected into each of the subspaces associated with the mixture components, and the resulting means μ_i^t and covariances Σ_i^t of those projected coefficients are retained. The prior density is thus defined as a mixture of Gaussians, $P(\mathbf{P}) = \sum_{i=1}^{C} \pi_i N(\mu_i^t, \Sigma_i^t)$.

3.2.2 Observation Likelihood Density Model

The projection y of observation o_n is defined as a weighted sum of the projections into each mixture component's subspace,

$$\mathbf{y} = \sum_{i=1}^{C} p(i|\mathbf{o}_n)(\mathbf{W}_i^T(\mathbf{o}_n - \mu_i)), \tag{3.5}$$

where $p(i|\mathbf{o}_n)$ is the posterior probability of component i given the observation. To account for camera noise or jitter, we model the observation likelihood as a Gaussian distribution on the manifold with mean $\mu_o = \mathbf{y}$ and covariance Σ_o : $P(\mathbf{o}|\mathbf{P}) = N(\mu_o, \Sigma_o)$, where \mathbf{P} is the shape.

To estimate the parameter Σ_o from the data, we obtain manual segmentations for some set of novel images and calculate the covariance of the differences between their projections \mathbf{Y}_{true} into the subspaces and the projections \mathbf{Y}_{obs} of the contours obtained for those same images by an automatic background subtraction algorithm,

$$\mathbf{D} = \mathbf{Y}_{true} - \mathbf{Y}_{obs},$$

$$\mathbf{\Sigma}_{o}^{i,j} = E[(\mathbf{d}^{i} - E[\mathbf{d}^{i}])(\mathbf{d}^{j} - E[\mathbf{d}^{j}])].$$
(3.6)

3.3 Bayesian Reconstruction

Applying Bayes rule, we see that

$$P(\mathbf{P} = \mathbf{y} \mid \mathbf{o}) \propto P(\mathbf{o} \mid \mathbf{P} = \mathbf{y}) P(\mathbf{P} = \mathbf{y}).$$

Thus the posterior density is the mixture of Gaussians that results from multiplying the Gaussian likelihood and the mixture of Gaussians prior:

$$P(\mathbf{P} = \mathbf{y} \mid \mathbf{o}) \propto \sum_{i=1}^{C} \pi_i N(\mu_i^p, \Sigma_i^p).$$
 (3.7)

By distributing the single Gaussian across the mixture components of the prior, we see that the components of the posterior have means and covariances

$$\Sigma_i^p = (\Sigma_i^{t-1} + \Sigma_o^{-1})^{-1},$$

$$\mu_i^p = \Sigma_i^p \Sigma_i^{t-1} \mu_i^t + \Sigma_i^p \Sigma_o^{-1} \mathbf{y}.$$
(3.8)

The modes of this function are then found using a fixed-point iteration algorithm as described in [3]. The maximum of these modes, x^* , corresponds to the MAP estimate, i.e., the most likely lower-dimensional coordinates in the subspace for our observation given the

prior¹. It is backprojected into the multi-view image domain to generate the reconstructed silhouettes S. The backprojection is a weighted sum of the MAP estimate multiplied by the PCA bases from each mixture component of the prior:

$$\mathbf{S} = \sum_{i=1}^{C} p(i|\mathbf{x}^*) (\mathbf{W}_i (\mathbf{W}_i^T \mathbf{W}_i)^{-1} \mathbf{x}^* + \mu_i).$$
(3.9)

By characterizing which projections into the subspace are most likely, we restrict the range of reconstructions to be more like that present in the training set (see Figure 3-1). Our regularization parameter is Σ_o , the covariance of the density representing the observation's PCA coefficients. It controls the extent to which the training set's coefficients guide our estimate.

3.4 Robust Reconstruction Using Random Sample Consensus

If a gross segmentation error causes some portion of the contour points to appear a great distance from the true underlying contour, then the Bayesian reconstructed contour will be heavily biased by those outlier points. Thus, to further improve the silhouette reconstruction process, a robust contour fitting scheme may be used as a pre-processing stage to the framework described above. We use a variant of the Random Sample Consensus (RANSAC) algorithm in order to iteratively search for the "inlier" points from the raw input contour [9]. Only these points are used to perform the Bayesian reconstruction. See Appendix A for details on this algorithm.

$$x^* = \left(\Sigma_t^{-1} + \Sigma_o^{-1}\right)^{-1} \left(\Sigma_t^{-1} \mu_t + \Sigma_o^{-1} \mathbf{y}\right).$$

¹Note that for a single Gaussian PPCA model with prior $N(\mu_t, \Sigma_t)$, the MAP estimate is simply

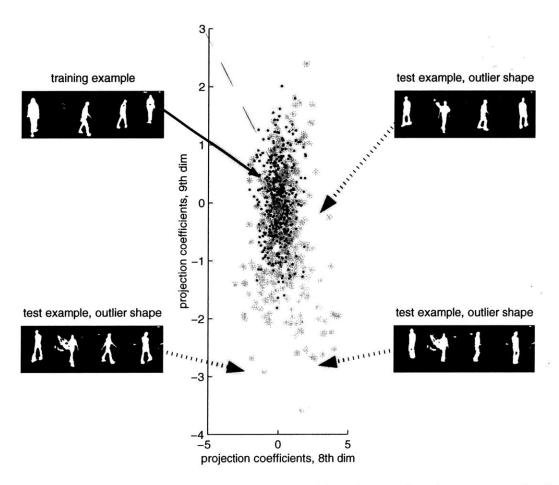


Figure 3-1: Illustration of prior and observed densities. Center plot shows two projection coefficients in the subspace for training vectors (red dots) and test vectors (green stars), all from real data. The distribution of cleanly segmented silhouettes (such as the multiview image in top left) is representative of the prior shape density learned from the training set. The test points are poorly segmented silhouettes which represent novel observations. Shown in bottom left and on right are some test points lying far from the center of the prior density. Due to large segmentation errors, they are unlikely samples according to the prior shape model. MAP estimation reconstructs such contours as shapes closer to the prior. Eighth and ninth dimensions are shown here; other dimensions are similar.

Chapter 4

Visual Hull Reconstruction from

Pedestrian Images

In this chapter we describe how our shape model is used to do probabilistic image-based visual hull reconstruction, and we report results from our experiments with a data set of pedestrian images.

4.1 Description of the Data Set

For the following experiments, we used an imaging model consisting of four monocular views from cameras located at approximately the same height about 45 degrees apart. The working space of the system is defined as the intersection of their fields of view. Images of subjects walking through the space at various directions are captured, and a simple statistical color background model is employed to extract the silhouette foreground from each viewpoint. The use of a basic background subtraction method results in rough segmentation; body parts are frequently truncated in the silhouettes where the background is not highly textured, or else parts are inaccurately distended due to common segmentation problems from shadows or other effects. (See Figure 4-1 for example images from the experimental setup.)

The goal is to improve segmentation in the multi-view frames by reconstructing problematic test silhouettes based on MAP estimates of their projections into the mixture of

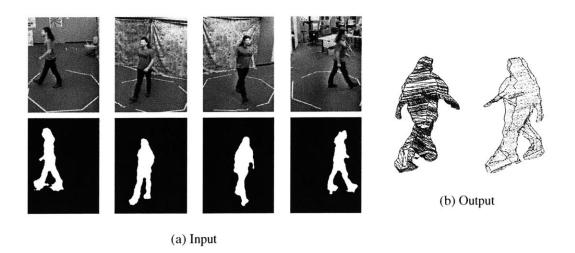


Figure 4-1: An example of visual hull reconstruction data: (a) the input - a set of four images and the corresponding silhouettes; (b) the output - the reconstructed 3D model, seen here from two different viewpoints.

lower dimensionsional subspaces (see Sections 3.2 and 3.3). The subspaces are derived from a separate, cleaner subset of the silhouettes in the data set. When segmentation improvements are made jointly across views, we can expect to see an improvement in the 3D approximation constructed by the visual hull. (See Figure 4-2 for a diagram of dataflow.)

4.2 Representation

We represent each view's silhouette as sampled points along the closed contour extracted from the original binary images. Since the contour points will eventually comprise vectors to be used with PPCA, the points extracted from each view must follow a common ordering scheme. Thus, a list of ordered contour point locations is extracted from each silhouette using a chain-coding technique, whereby the first pixel in the contour is coded in its absolute coordinates and remaining contour points are coded relative to their neighbors [10]. The corresponding image coordinates of the chain coded points are retrieved from the completed chain code. For these experiments, we have chosen to extract the contour points starting at the top, leftmost point on the silhouette and proceeding in a clockwise direction along the outermost closed contour.

All contour points are normalized to a common translation and scale invariant input

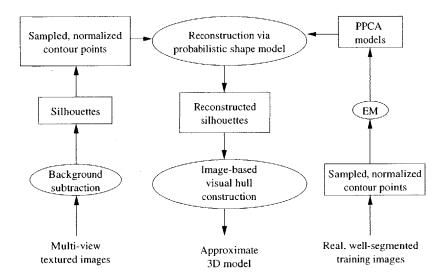


Figure 4-2: Diagram of data flow: using the probabilistic shape model for visual hull reconstruction.

coordinate system as follows. First, each image coordinate of the contour points (x, y) is transformed to the coordinates (x_r, y_r) , in order to make points relative to an origin placed at that silhouette's centroid (x_c, y_c) .

$$(x_r, y_r) = (x - x_c, y - y_c).$$

Next, points are normalized by d, the median distance between the centroid and all the points on the contour:

$$(x_n, y_n) = (x_r/d, y_r/d).$$

Finally, each view's vector of contour points is resampled to a common vector length using nearest neighbor interpolation. Empirically, resample sizes around 200 points were found to be sufficient to represent contours originating from (240×320) images and containing on average 850 points. The concatenation of the K views' vectors forms the final input.

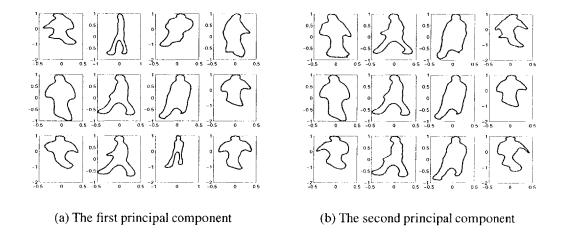


Figure 4-3: Primary modes of variation for the multi-view contours. The columns correspond to the four views. The middle row shows the mean contour for each view. The top and the bottom show the result of negative and positive variation (three standard deviations) along (a) the first and (b) the second principal component for one component of the mixture of PPCA model.

4.3 Expected Variation of the Data

With the above alignments made to the data, inputs will still vary in two key ways: the absolute angle the pedestrian is walking across the system workspace, and the phase of their walk cycle at that frame. Unsurprisingly, we have found experimentally that reconstructions are poor when a single PPCA model is used and training is done with multi-view data from all possible walking directions and moments in gait cycle. Thus we group the inputs according to walking direction, and then associate a mixture of Gaussians PPCA model with each direction. In Figure 4-3 we show the first two multi-view principal components recovered for one of the mixture components' linear subspaces. Our visual hull system provides an estimate of the walking direction; however, without it we could still do image-based clustering.

A novel input is then reconstructed using MAP estimation, as described in Section 3.3. As described above, during the feature extraction stage the vectors of contour points are resampled to a common input length, and so the set of backprojected image coordinates corresponding to each reconstructed vector will not necessarily form a connected closed contour. Thus in order to produce the closed contour output required to form a silhouette,

we fit a spline to the image points corresponding to the reconstructed vector. To obtain silhouettes from each reconstructed contour we simply perform a flood fill from the retained centroid of the original input.

4.4 Results

According to the visual hull definition, missing pixels in a silhouette from one view are interpreted as absolute evidence that all the 3D points on the ray corresponding to that pixel are empty, irrespective of information in other views. Thus, segmentation errors may have a dramatic impact on the quality of the 3D reconstruction. In order to examine how well the reconstruction scheme we devised would handle this issue and improve 3D visual hull approximations, we tested sets of views with segmentation errors due to erroneous foreground/background estimates. We also synthetically imposed gross errors to test how well our method can handle dramatic undersegmentations. Visual hulls are constructed from the input views using the algorithm in [21].

The visual hull models resulting from the reconstructed views are qualitatively better than those resulting from the raw silhouettes (see Figures 4-5, 4-6, 4-7, 4-8, 4-9, 4-10, 4-11, and 4-12). Parts of the body which are missing in one input view do appear in the complete 3D approximation. Such examples illustrate the utility of modeling the uncertainty of an observed contour.

In order to quantitatively evaluate how well our algorithm eliminates segmentation errors, we obtained ground truth segmentations for a set of the multi-view pedestrian silhouettes by manually segmenting the foreground body in each view. We randomly selected 32 frames (128 views) from our test set to examine in this capacity. The mean squared error per contour point for the raw silhouettes in our ground truthed test set was found to be approximately 40 pixels, versus 17 pixels for the reconstructed silhouettes. As shown in the segmentation error distributions in Figure 4-4, the Bayesian reconstruction eliminates the largest segmentation errors present in the raw images, and it greatly reduces the mean error in most cases.

Using the RANSAC method described in Section 3.4, we reduced mean segmentation

errors by an additional 5 pixels in about half of the test cases; that is, segmentation errors were marginally reduced even further than they were in the Bayesian reconstruction test cases. Since the RANSAC algorithm is notably reliant on having good parameter settings, extensive experimentation with the particular data set of interest would be necessary to achieve its peak performance.

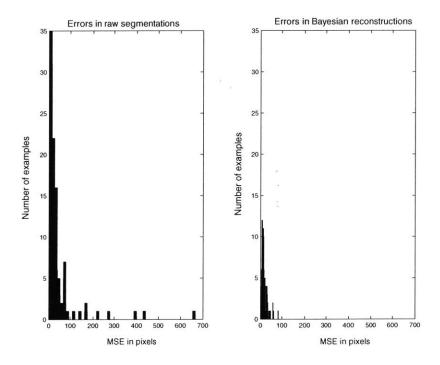


Figure 4-4: Comparison of segmentation error distributions for raw images and their Bayesian reconstructions. The histogram on the left shows the mean squared error in pixels for the raw segmentation via a simple statistical foreground extraction scheme. The histogram on the right shows the mean squared error on the same set of images when they have been reconstructed via the proposed Bayesian reconstruction scheme. The mean squared error per contour point for the raw silhouettes in our ground truthed test set is 40 pixels, versus 17 pixels for the reconstructed silhouettes. Test set size is 32 frames, or 128 views.

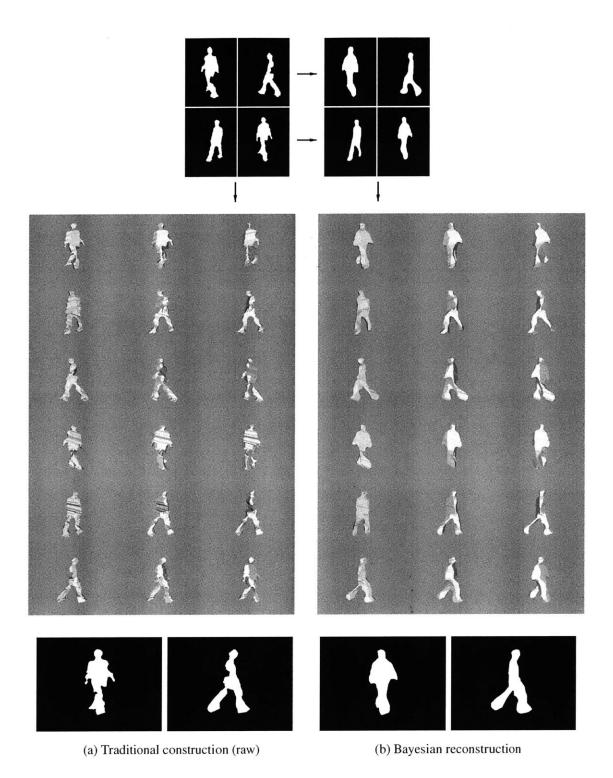


Figure 4-5: An example of visual hull segmentation improvement with PPCA-based Bayesian reconstruction. The four top-left silhouettes show the multi-view input, corrupted by segmentation noise. The four silhouettes directly to their right show the corresponding Bayesian reconstructions. In the gray sections below each set of silhouettes are their corresponding visual hulls; the left VH is formed from the raw silhouettes, and the right VH is formed from the reconstructed silhouettes. Each model has been rotated in increments of 20 degrees so that the full 3D shape may be viewed. Finally, virtual frontal and profile views projected from the two VHs are shown at the bottom below their corresponding VHs. Note how undersegmentations in the raw input silhouettes cause portions of the approximate 3D volume to be missing (left, gray background), whereas the reconstructed silhouettes produce a fuller 3D volume more representative of the true object shape (right, gray background).

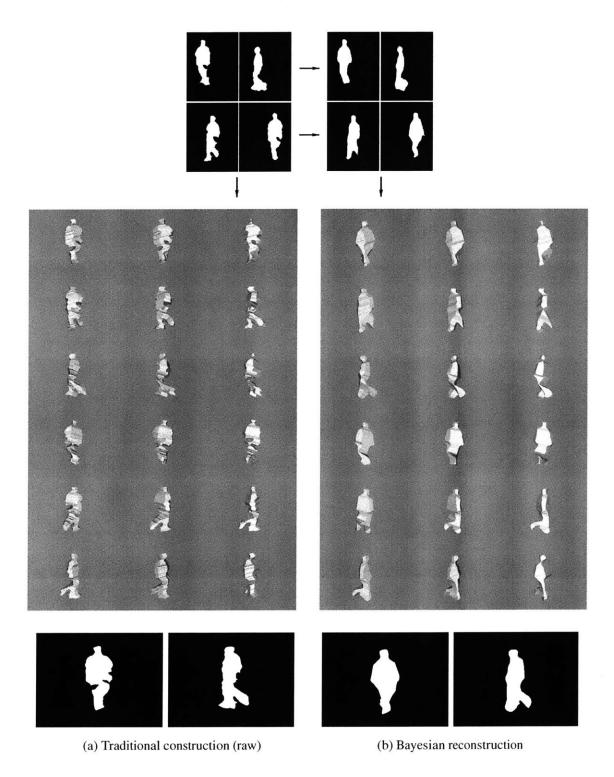


Figure 4-6: An example of visual hull segmentation improvement with PPCA-based Bayesian reconstruction. See Figure 4-5 for explanation.

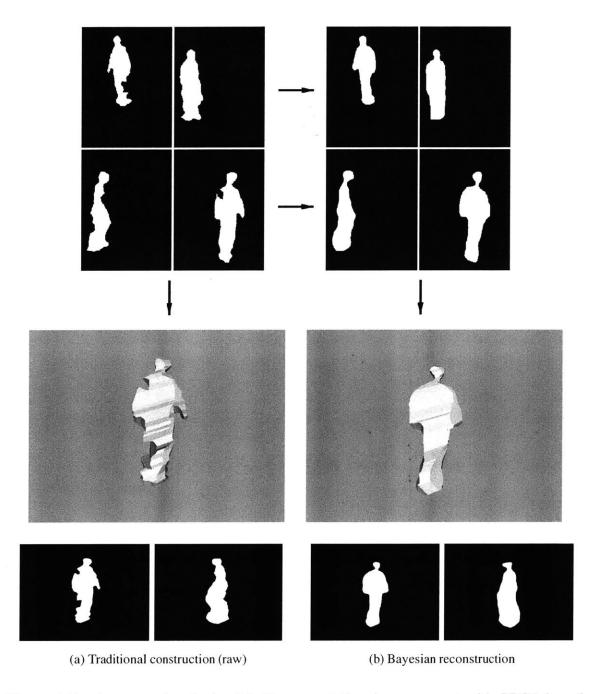


Figure 4-7: An example of visual hull segmentation improvement with PPCA-based Bayesian reconstruction. This figure has the same format as Figures 4-5 and 4-6, except only one viewpoint of the visual hull model is rendered in the gray sections. Note how the segmentation errors in the raw input silhouettes produce a VH with holes in the shoulder and leg regions (left, gray background), whereas the Bayesian reconstructed silhouettes produce a VH without these holes (right, gray background).

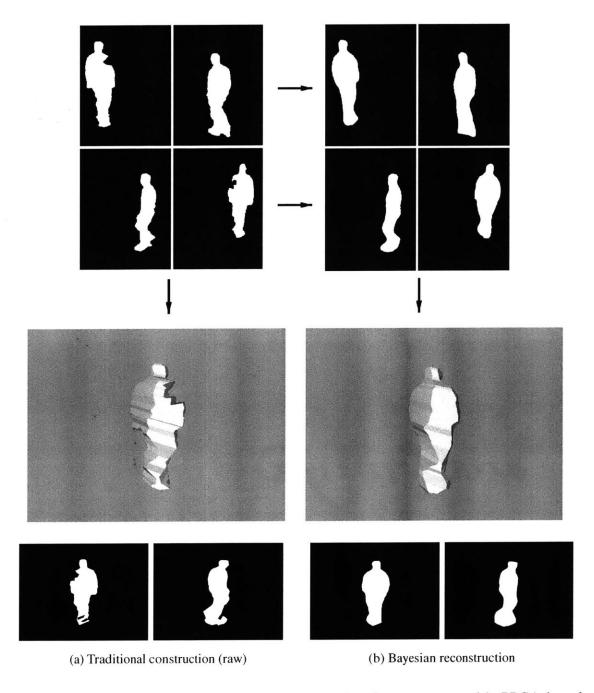


Figure 4-8: An example of visual hull segmentation improvement with PPCA-based Bayesian reconstruction. This figure has the same format as Figure 4-7. Note how the segmentation errors in the raw input silhouettes produce a VH with a large part of the right shoulder missing (left, gray background), whereas the volume of the Bayesian reconstructed VH does include the right shoulder (right, gray background).

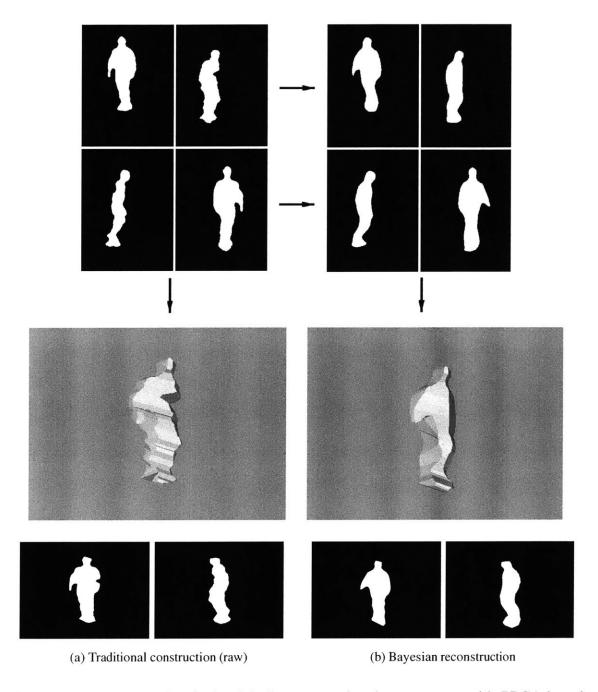


Figure 4-9: An example of visual hull segmentation improvement with PPCA-based Bayesian reconstruction. This figure has the same format as the previous examples. Note how the segmentation error in the raw input silhouettes results in a carved out portion of the chest in the VH (left, gray background); the chest is smoothly reconstructed in the Bayesian reconstructed VH (right, gray background).

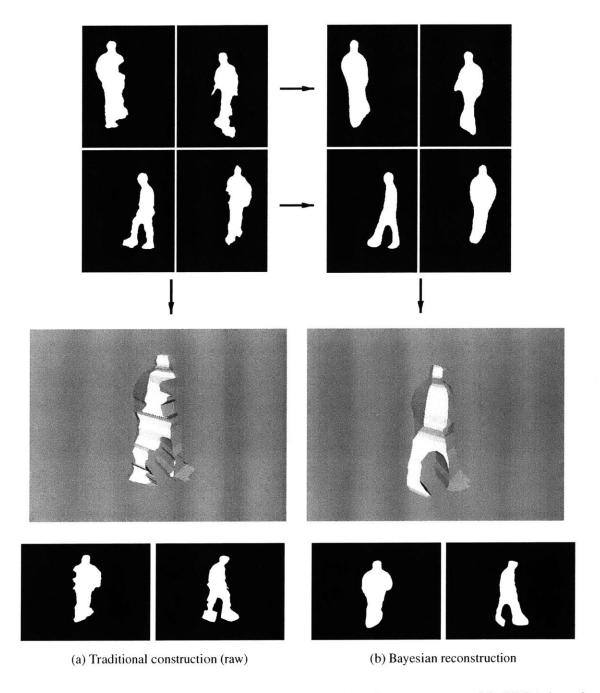


Figure 4-10: An example of visual hull segmentation improvement with PPCA-based Bayesian reconstruction. This figure has the same format as the previous examples. Note how the segmentation error in the raw input silhouettes results in a carved out portion below the right shoulder and on the left leg in the VH (left, gray background); these holes are filled in the Bayesian reconstructed VH (right, gray background).

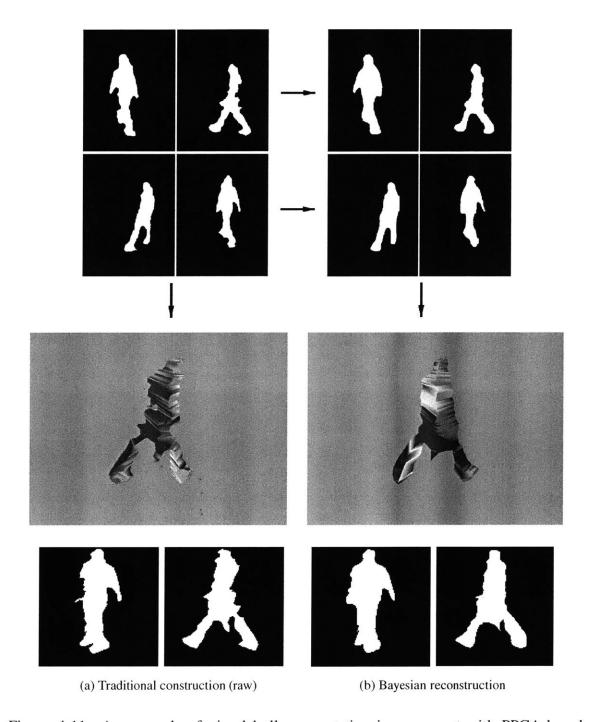


Figure 4-11: An example of visual hull segmentation improvement with PPCA-based Bayesian reconstruction. This figure has the same format as the previous examples. Note how the segmentation error from the top-right raw input silhouette causes the carved out portion of the back in the raw VH (left, gray background), which is smoothly filled in for the Bayesian reconstructed version (right, gray background). Also note how the right arm is missing in the virtual frontal view produced by the raw VH (bottom, leftmost image), whereas the arm is present in the Bayesian reconstructed version (bottom, image second from right).

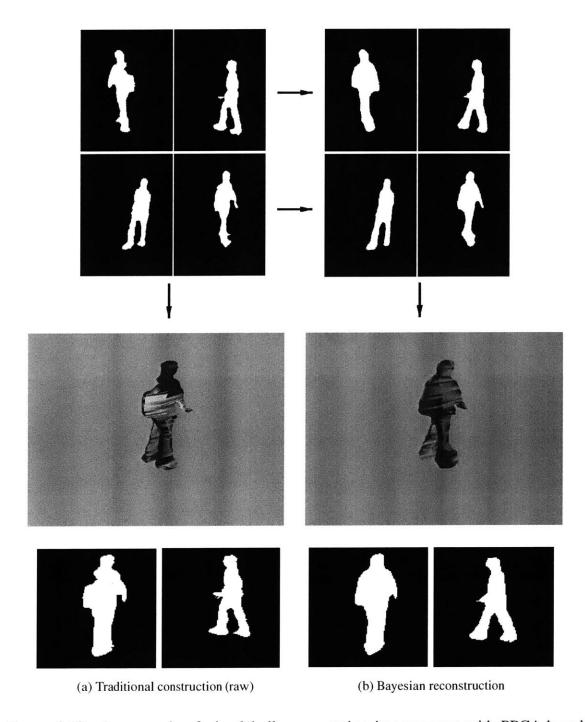


Figure 4-12: An example of visual hull segmentation improvement with PPCA-based Bayesian reconstruction. This figure has the same format as the previous examples. Note the large missing portion of the torso in the 3D volume in the raw VH (left, gray background), which is filled in for the Bayesian reconstructed version (right, gray background). Also note how the right shoulder is partially missing in the virtual frontal view produced by the raw VH (bottom, leftmost image), whereas the shoulder is intact in the reconstructed version (bottom, image second from right).

Chapter 5

Inferring 3D Structure

In this chapter we desribe how to extend the shape model formulated in Chapter 3 to incorporate additional structural features.

5.1 Extending the Shape Model

The shape model can be augmented to include information about the object's orientation in the image, as well as the 3D locations of key points on the object. The mixture model now represents a density over the observation space for the true underlying contours together with their associated 3D structure parameters. Novel examples are matched to the contour-based shape model using the same multi-view reconstruction method described in Chapter 3 in order to infer their unknown or missing parameters. (See Figure 5-1 for a diagram of data flow.)

The shape model is trained on a set of vectors that are composed of points from multiple contours from simultaneous views, plus a number of three-dimensional structure parameters, $\mathbf{s}_j = (s_j^1, s_j^2, s_j^3)$. The observation vector \mathbf{o}_n is then defined as

$$\mathbf{o}_n = (\mathbf{c}_1, \mathbf{c}_2, ..., \mathbf{c}_K, \mathbf{s}_1, \mathbf{s}_2, ..., \mathbf{s}_z)^T$$
 (5.1)

where there are z 3D points for the structure parameters. When presented with a new multiview contour, we find the MAP estimate of the shape and structure parameters based on

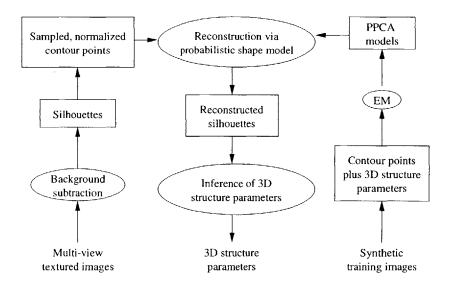


Figure 5-1: Diagram of data flow: using the probabilistic shape model for 3D structure inference.

only the observable contour data. The training set for this inference task may be comprised of real or synthetic data.

5.2 Advantages of the Model

One strength of the proposed approach for the estimation of 3D feature locations is that the silhouettes in the novel inputs need not be cleanly segmented. Since the contours and unknown parameters are reconstructed concurrently, the parameters are essentially inferred from a restricted set of feasible shape reconstructions; they need not be determined by an explicit match to the raw observed silhouettes. Therefore, the probabilistic shape model does not require an expensive segmentation module. A fast simple foreground extraction scheme is sufficient.

As should be expected, our parameter inference method also benefits from the use of multi-view imagery (as opposed to single-view). Multiple views will in many cases overcome the ambiguities that are geometrically inherent in single-view methods.

Our model allows structure to be inferred using only directly observable features in multi-view images; no explicit shape model is constructed. Moreover, we do not require that any class-specific a priori kinematic or shape constraints be explicitly specified. The

only prior information utilized is learned directly from extracted contours, and structure parameters may be learned from a synthetic training set, as we will describe in Section 6.2. Model matching consists of one efficient reconstruction step. No iterative search or fitting scheme is needed.

Chapter 6

Inferring 3D Structure in Pedestrian Images

We have applied our method to a data set of multi-view images of people walking. The goal is to infer the 3D positions of joints on the body given silhouette views from different viewpoints. For a description of the imaging model used in the experiments in this chapter, see Section 4.1.

6.1 Advantages of a Synthetic Training Set

A possible weakness of any shape model defined by examples is that the ability to accurately represent the space of realizable shapes will generally depend heavily on the amount of available training data. Moreover, we note that the training set on which the probabilistic shape + structure model is learned must be "clean"; otherwise the model could fit the bias of a particular segmentation algorithm. It must also be labeled with the true values for the 3D features. Collecting a large data set with these properties would be costly in resources and effort, given the state of the art in motion capture and segmentation, and at the end the "ground truth" could still be imprecise. We chose therefore to use realistic synthetic data for training a multi-view pedestrian shape model. We obtained a large training set by using POSER [8] – a commercially available animation software package – which allows us to manipulate realistic humanoid models, position them in the simulated scene, and render

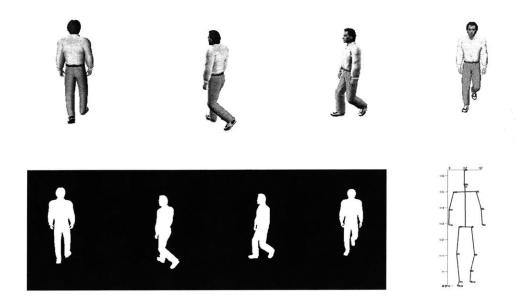


Figure 6-1: An example of synthetically generated training data. Textured images (top) show rendering of example human model, silhouettes and stick figure (below) show multiview contours and structure parameters, respectively.

textured images or silhouettes from a desired point of view. Our goal is to train the model using this synthetic data, but then use the model for reconstruction and inference tasks with real images.

6.2 Description of the Training Set

We generated 20,000 synthetic instances of multi-view input for our system. For each instance, a humanoid model was created with randomly adjusted anatomical shape parameters, and put into a walk-simulating pose, at a random phase of the walking cycle. The orientation of the model was drawn at random as well in order to simulate different walk directions of human subjects in the scene. Then for each camera in the real setup we rendered a snapshot of the model's silhouette from a point in the virtual scene approximately corresponding to that camera. In addition to the set of silhouettes, we record the 3D locations of 19 landmarks of the model's skeleton, corresponding to selected anatomical joints. (See Figure 6-1.) We used Poser's scripting language, Python, in order to generate this large number of examples with randomly varying parameters with minimal human interaction.

6.3 Representation for the Extended Shape Model

For this extended model, each silhouette is again represented as sampled points along the closed contour of the largest connected component extracted from the original binary images. All contour points are normalized to a translation and scale invariant input coordinate system, and each vector of normalized points is resampled to a common vector length using nearest neighbor interpolation. The complete representation is then the vector of concatenated multi-view contour points plus a fixed number of 3D body part locations (see Equation (5.1)). In the input observation vector for each test example, the 3D pose parameters are set to zero.

6.4 Description of the Synthetic Test Set

Since we do not have ground truth pose parameters for the raw test data, we have tested a separate, large, synthetic test set with known pose parameters so that we can obtain error measurements for a variety of experiments. In order to evaluate our system's robustness to mild changes in the appearance of the object, we generated test sequences in the same manner as the synthetic training set was generated, but with different virtual characters, i.e., different clothing, hair and body proportions. To make the synthetic test set more representative of the real, raw silhouette data, we added noise to the contour point locations. Noise is added uniformly in random directions, or in contiguous regions along the contour in the direction of the 2D surface normal. Such alterations to the contours simulate the real tendency for a simple background subtraction mechanism to produce holes or false extensions along the true contour of the object. (See Figure 6-2.)

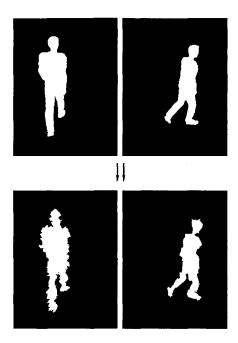


Figure 6-2: Noisy synthetic test silhouettes. Top images show clean synthetic silhouettes. Images below them show same silhouettes with noise added to image coordinates of contour points. Left example has uniform noise; right example has nonuniform noise in patches normal to contour.

6.5 Results

6.5.1 Error Measures

The pose error e_f for each test frame is defined as the average distance in centimeters between the estimated and true positions of the 19 joints,

$$e_f = \frac{1}{19} \sum_i |e_i|, (6.1)$$

where e_i is the individual error for joint i.

As described above, test silhouettes are corrupted with noise and segmentation errors so that they may be more representative of real, imperfect data, yet still allow us to do a large volume of experiments with ground truth. The "true" underlying contours from the clean silhouettes (i.e., the novel silhouettes before their contour points were corrupted) are saved for comparison with the reconstructed silhouttes. The contour error for each frame is then the distance between the true underlying contours and their reconstructions.

Contour error is measured using the Chamfer distance. For all pixels with a given feature (usually edges, contours, etc.) in the test image I, the Chamfer distance D measures the average distance to the nearest feature in the template image T.

$$\mathbf{D}(\mathbf{T}, \mathbf{I}) = \frac{1}{N} \sum_{f \in T} d_T(f)$$
 (6.2)

where N is the number of pixels in the template where the feature is present, and $d_T(f)$ is the distance between feature f in T and the closest feature in I.

6.5.2 Training on One View Versus Training on Multiple Views

Intuitively, a multi-view framework can discern 3D poses that are inherently ambiguous in single-view images. Our experimental results validate this assumption. We performed parallel tests for the same examples, in one case using our existing multi-view framework, and in the other, using the framework outlined above, only with the model altered to be trained and tested with single views alone. Figure 6-3 compares the overall error distributions of the single and multi-view frameworks for a test set of 3,000 examples. Errors in both pose and contours are measured for both types of training. Multi-view reconstructions are consistently more accurate than single-view reconstructions. Training the model on multi-view images yields on average 24% better pose inference performance and 16% better contour reconstruction performance than training the model on single-view images.

6.5.3 Testing with Missing Views

We have also tested the performance of our multi-view method applied to body pose estimation when only a subset of views is available for reconstruction. A missing view in the shape vector is represented by zeros in the elements corresponding to that view's resampled contour. Just as unknown 3D locations are inferred for the test images, our method reconstructs the missing contours by inferring the shape seen in that view based on examples where all views are known. (See Figures 6-4, 6-5, 6-6, 6-7, 6-8, 6-9, and 6-10.)

We are interested in knowing how pose estimation performance degrades with each

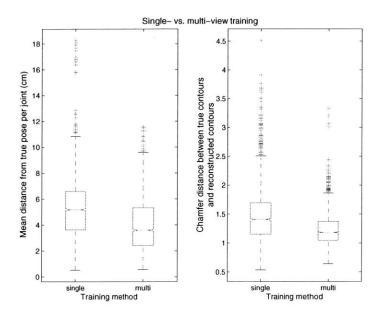


Figure 6-3: Training on single view vs. training on multiple views. Charts show error distributions for pose (left) and contour (right). Lines in center of boxes denote median value; top and bottom of boxes denote upper and lower quartile values, respectively. Dashed lines extending from each end of box show extent of rest of the data. Outliers are marked with pluses beyond these lines.

additional missing view, since this will determine how many cameras are necessary for suitable pose estimation should we desire to use fewer cameras than are present in the training set. Once the multi-view model has been learned, it may be used with fewer cameras, assuming that the angle of inclination of the cameras with the ground plane matches that of the cameras with which the model was trained.

Figure 6-6 shows results for 3,000 test examples that have been reconstructed using all possible numbers of views (1,2,3,4), alternately. For a single missing view, each view is omitted systematically one at a time, making 12,000 total tests. For two or three missing views, omitted views are chosen at random in order to approximately represent all possible combinations of missing views equally. As the number of missing views increases, performance degrades more gracefully for pose inference than for contour reconstruction.

To interpret the contour error results in Figure 6-6, consider that the average contour length is 850 pixels, and the pedestrians silhouettes have an average area of 30,000 pixels. If we estimate the normalized error to be the ratio of average pixel distance errors (number of contour pixels multiplied by Chamfer distance) to the area of the figure, then a mean

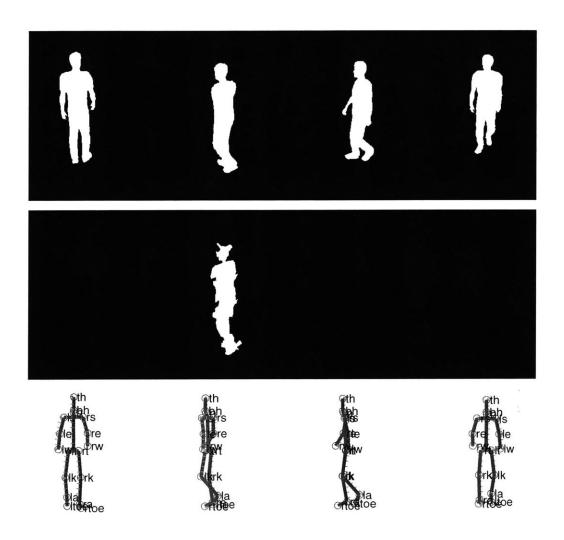


Figure 6-4: Inferring structure from only a single view. Top row shows ground truth silhouettes that are not in the training set. Noise is added to input contour points of second view (middle), and this single view alone is matched to the multi-view shape model in order to infer the 3D joint locations (bottom, solid blue) and compare to ground truth (bottom, dotted red). Abbreviated body part names appear by each joint. This is an example with average pose error of 5 cm.

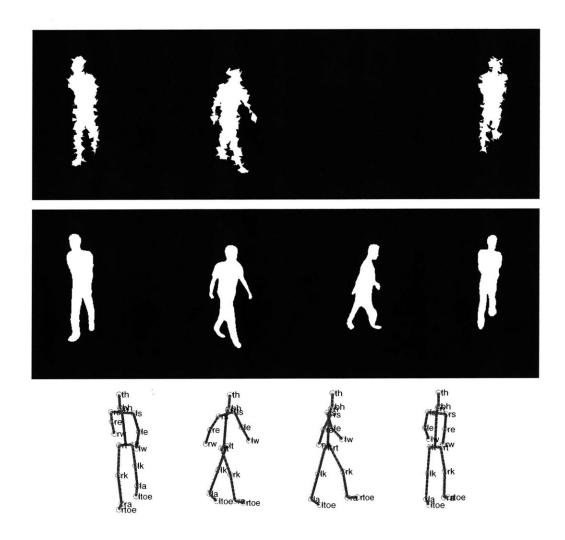


Figure 6-5: Inferring structure with one missing view. Top row shows noisy input silhouettes, middle row shows contour reconstructions, and bottom row shows inferred 3D joint locations (solid blue) and ground truth pose (dotted red). This is an example with average pose error of 2.5 cm per joint and an average Chamfer distance from the true clean silhouettes of 2.3.

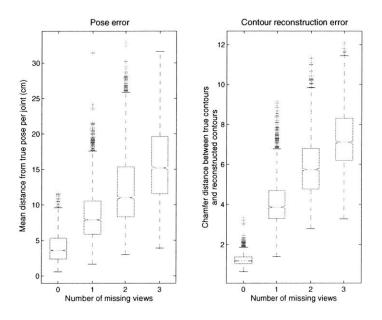


Figure 6-6: Missing view results. Charts show distribution of errors for pose (left) and contours (right) when model is trained on four views, but only a subset of views is available for reconstruction. Plotted as in Figure 6-3.

Chamfer distance of 1 represents an approximate overall error of 2.8%, distances of 4 correspond to 11%, etc. Given the large degree of segmentation errors imposed on the test sets, these are acceptable contour errors in the reconstructions, especially since the 3D pose estimates (our end goal in this setting) do not suffer proportionally.

6.5.4 Testing on Real Data

Finally, we evaluated our algorithm on a large data set of real images of pedestrians taken from a database of 4,000 real multi-view frames. The real camera array is mounted on the ceiling of an indoor lab environment. The external parameters of the virtual cameras in the graphics software that were used for training are roughly the same as the parameters of this real four-camera system. The data contains 27 different pedestrian subjects.

Sample results for the real test data set are shown in Figures 6-7, 6-8, 6-9, and 6-10. The original textured images, the extracted silhouettes, and the inferred 3D pose are shown. Without having point-wise ground truth for the 3D locations of the body parts, we can best assess the accuracy of the inferred pose by comparing the 3D stick figures to the original

textured images. To aid in inspection, the 3D stick figures are rendered from manually selected viewpoints so that they are approximately aligned with the textured images.

6.5.5 Results Summary

In summary, our experiments show how the shape + structure model we have formulated is able to infer 3D structure by matching observed image features directly to the model. Our tests with a large set of noisy, ground-truthed synthetic images offer evidence of the ability of our method to infer 3D parameters from contours, even when inputs have segmentation errors. In the experiments shown in Figure 6-6, structure inference for body pose estimation is accurate within 3 cm on average. Performance is good even when there are fewer views available than were used during training; with only one input view, pose is still accurate within 15 cm on average, and can be as accurate as within 4 cm. Finally, we have successfully applied our synthetically-trained model to real data and a number of different subjects.

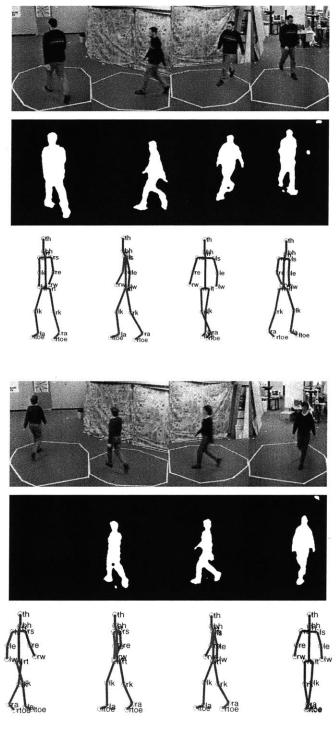


Figure 6-7: Inferring structure on real data. For each example, top row shows original textured multi-view image, middle row shows extracted input silhouettes where the views that are not used in reconstruction are omitted, and bottom row shows inferred joint locations with stick figures rendered at different viewpoints. To aid in inspection, the 3D stick figures are rendered from manually selected viewpoints that were chosen so that they are approximately aligned with the textured images. In general, estimation is accurate and agrees with the perceived body configuration. An example of an error in estimation is shown in the top left example's left elbow, which appears to be incorrectly estimated as bent.

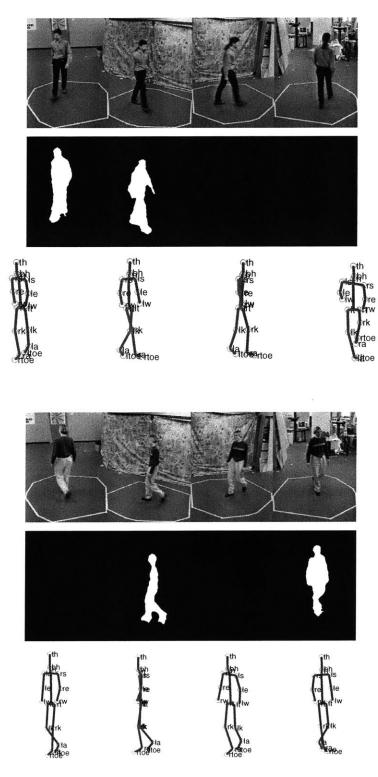


Figure 6-8: Inferring structure on real data with two missing views. See caption of Figure 6-7 for explanation.

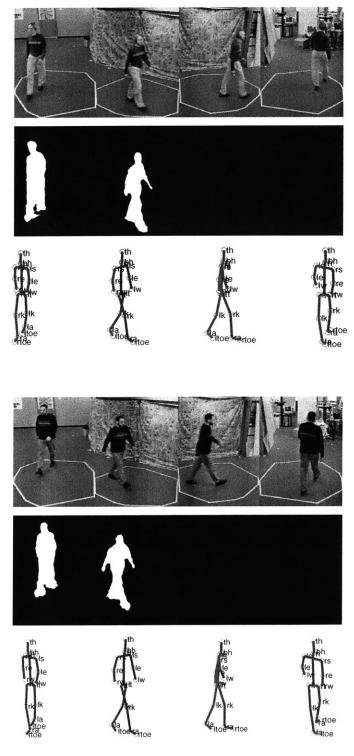


Figure 6-9: Inferring structure on real data with two missing views. See caption of Figure 6-7 for explanation.

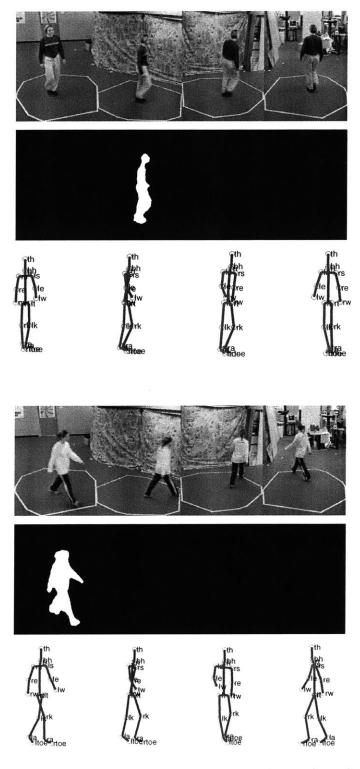


Figure 6-10: Inferring structure on real data from only a single view. See caption of Figure 6-7 for explanation.

Chapter 7

Conclusions and Future Work

We have developed a Bayesian approach to visual hull reconstruction using an image-based representation of 3D object shape, as well as an image-based approach to infer 3D structure parameters. We have shown how the use of a class-specific prior in visual hull reconstruction reduces the effect of segmentation errors in the silhouette extraction process. We have also demonstrated how the use of our shape model and Bayesian reconstruction technique enables accurate estimation of structure parameters in spite of large segmentation errors or even missing views in the input silhouettes. Novel examples with contour information but unknown 3D point locations are matched to the model in order to retrieve estimates for unknown parameters. Model matching and parameter inference are done entirely in the image domain and require no explicit 3D construction from multiple views.

Our method was applied to a data set of pedestrian sequences, and improvements in the approximate 3D models under various noise conditions were shown. We have also reported pose and contour error measures on a large set of noisy synthetic images of pedestrians. We note again that while the experiments we present in this work deal with a data set of pedestrian images, the shape model and reconstruction method we propose have no inherent specification for this particular object class. The methods we present are intended for use on any class of objects for which the global shape is roughly similar across instances of the class.

In future work we will explore non-parametric density models for inferring structure from shape. We plan to further test our method to see if our model improves accuracy in applications that use a visual hull for view synthesis in recognition tasks. We also plan to run experiments using motion capture data so that we may compare real image test results to ground-truth joint angles. In addition, we intend to include dynamics to strengthen our model for the pedestrian walking sequences. We are also interested in how the body pose estimation application may be utilized in a higher-level gesture or gait recognition system.

Appendix A

Random Sample Consensus (RANSAC) for Multi-View Contour Reconstruction

We adapted the Random Sample Consensus (RANSAC) algorithm to make it suitable for the robust reconstruction of multi-view contours. The algorithm iteratively seeks to remove the outlier points present in the input contours. Only the "inlier" points are used to perform the Bayesian reconstruction. The RANSAC variant we have devised is given in Figure A-1. Random samples of contour points are drawn from each view in succession, and the points from each view which result in the best fitting reconstruction are used in the final Bayesian multi-view reconstruction.

Determine parameters:

- ${\it N}$ the smallest number of points required to compose a contour in one view.
- \ensuremath{W} the number of iterations required.
- T the threshold used to identify if a point fits well.
- ${\cal D}$ the number of nearby points required to assert that model fits well.

For each of the K views:

For W iterations:

Draw a sample of N points from the raw input contour in the current view.

Form Bayesian multi-view reconstruction using that subset of points, using all contour points in the other K-I views.

Compute distance transform for the current view.

For each point from the current view's raw input contour that is not in the sampled subset:

If distance from that point to the reconstructed contour is less than or equal to threshold T, then that point is deemed close.

end

If there are D points close to the reconstructed contour, then there is a good fit. Reconstruct the multi-view contour replacing the current view with the sampled N points plus the D or more close points.

Calculate the fitting error for the new reconstruction, defined as the Chamfer distance between the raw input contour and the Bayesian reconstructed contour.

end

Save the points for the current view that had the lowest fitting error.

end

Reconstruct the multi-view contour using the inlier points saved from each view.

Figure A-1: RANSAC variant for robust reconstruction of multi-view contours.

Bibliography

- [1] A. Baumberg and D. Hogg. Learning Flexible Models from Image Sequences. In *Proceedings of European Conference on Computer Vision*, Stockholm, Sweden, May 1994.
- [2] A. Baumberg and D. Hogg. An Adaptive Eigenshape Model. In *British Machine Vision Conference*, pages 87–96, Birmingham, England, September 1995.
- [3] M. Carreira-Perpinan. Mode-Finding for Mixtures of Gaussian Distributions. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 22(11):1318–1323, November 2000.
- [4] T. F. Cootes and C. J. Taylor. A Mixture Model for Representing Shape Variation. In *British Machine Vision Conference*, pages 110–119, Essex, England, 1997.
- [5] T. F. Cootes, C. J. Taylor, D. H. Cooper, and J. Graham. Active Shape Models Their Training and Application. *Computer Vision and Image Understanding*, 61(1):38–59, January 1995.
- [6] T.F. Cootes, G.V. Wheeler, K.N. Walker, and C.J. Taylor. View-Based Active Appearance Models. *Image and Vision Computing*, 20:657–664, 2002.
- [7] M. Covell. Eigen-Points: Control-Point Location Using Principal Component Analysis. In *Proceedings of the IEEE International Conference on Automatic Face and Gesture Recognition*, pages 122–127, Killington, Vermont, October 1996.
- [8] Egisys Co. Curious Labs. Poser 5: The Ultimate 3D Character Solution. 2002.

- [9] D. A. Forsyth and J. Ponce. *Computer Vision: A Modern Approach*, chapter 15.5, pages 346–348. Prentice Hill, Upper Saddle River, New Jersey, 2003.
- [10] H. Freeman. On the Encoding of Arbitrary Geometric Configurations. *IRE Trans. Electron. Comput.*, EC-10:260–268, June 1961.
- [11] D. Gavrila. The Visual Analysis of Human Movement: A Survey. *Computer Vision and Image Understanding*, 73(1):82–98, 1999.
- [12] J. Haslam, C. J. Taylor, and T. F. Cootes. A Probabilistic Fitness Measure for Deformable Template Models. In *British Machine Vision Conference*, pages 33–42, York, England, September 1994.
- [13] M. Isard and A. Blake. Condensation Conditional Density Propagation for Visual Tracking. *International Journal of Computer Vision*, 29(1):5–28, 1998.
- [14] M. Jones and T. Poggio. Multidimensional morphable models. In *Proceedings of the International Conference on Computer Vision*, pages 683–688, Bombay, India, January 1998.
- [15] M. Kass, A. Witkin, and D. Terzopoulos. Snakes: Active Contour Models. *International Journal of Computer Vision*, 1(4):321–332, 1988.
- [16] K. N. Kutulakos and S. M. Seitz. A Theory of Shape by Space Carving. *International Journal of Computer Vision*, 38(3):199–218, 2000.
- [17] A. Laurentini. The Visual Hull Concept for Silhouette-Based Image Understanding. IEEE Transactions on Pattern Analysis and Machine Intelligence, 16(2):150–162, February 1994.
- [18] S. Lazebnik, E. Boyer, and J. Ponce. On Computing Exact Visual Hulls of Solids Bounded by Smooth Surfaces. In *Proceedings IEEE Conference on Computer Vision and Pattern Recognition*, pages 156–161, Lihue, Hawaii, December 2001.

- [19] M. Leventon, W. E. L. G. Grimson, and O. Faugeras. Statistical Shape Influence in Geodesic Active Contours. In *Proceedings IEEE Conference on Computer Vision and Pattern Recognition*, pages 316–323, Hilton Head Island, South Carolina, June 2000.
- [20] W. Matusik, C. Buehler, and L. McMillan. Polyhedral Visual Hulls for Real-Time Rendering. In *Proceedings of Eurographics Workshop on Rendering*, pages 115–126, London, England, June 2001.
- [21] W. Matusik, C. Buehler, R. Raskar, S. Gortler, and L. McMillan. Image-Based Visual Hulls. In *Proceedings ACM Conference on Computer Graphics and Interactive Techniques*, pages 369–374, 2000.
- [22] W. Matusik, H. Pfister, A. Ngan, P. Beardsley, R. Ziegler, and L. McMillan. Image-Based 3D Photography Using Opacity Hulls. In *Proceedings ACM Conference on Computer Graphics and Interactive Techniques*, pages 427–437, New York, July 2002.
- [23] B. Moghaddam. Principal Manifolds and Probabilistic Subspaces for Visual Recognition. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 24(6):780–788, June 2002.
- [24] Netlab. http://www.ncrg.aston.ac.uk/netlab/index.html.
- [25] E-J. Ong and S. Gong. The Dynamics of Linear Combinations. *Image and Vision Computing*, 20(5–6):397–414, 2002.
- [26] S. M. Seitz and C. R. Dyer. Photorealistic Scene Reconstruction by Voxel Coloring. International Journal of Computer Vision, 35(2):151–173, 1999.
- [27] G. Shakhnarovich, L. Lee, and T. Darrell. Integrated Face and Gait Recognition From Multiple Views. In *Proceedings IEEE Conference on Computer Vision and Pattern Recognition*, Lihue, Hawaii, December 2001.
- [28] D. Snow, P. Viola, and R. Zabih. Exact Voxel Occupancy with Graph Cuts. In Proceedings IEEE Conference on Computer Vision and Pattern Recognition, pages 345–353, Hilton Head Island, South Carolina, June 2000.

- [29] M. Tipping and C. Bishop. Mixtures of Probabilistic Principal Component Analyzers. *Neural Computation*, 11(2):443–482, 1999.
- [30] M. Turk and A. Pentland. Face Recognition Using Eigenfaces. In *Proceedings IEEE Conference on Computer Vision and Pattern Recognition*, pages 586–590, Maui, Hawaii, June 1991.
- [31] Y. Wang and L. H. Staib. Boundary Finding with Prior Shape and Smoothness Models. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 22(7):738–743, 2000.
- [32] K-Y. K. Wong and R. Cipolla. Structure and Motion from Silhouettes. In *Proceedings of the International Conference on Computer Vision*, pages 217–222, Vancouver, Canada, July 2001.