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CONDUCTION IN LOW MACH NUMBER FLOWS. I. LINEAR AND WEAKLY NONLINEAR REGIMES

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ABSTRACT

Thermal conduction is an important energy transfer and damping mechanism in astrophysical flows. Fourier's law, in which the heat flux is proportional to the negative temperature gradient, leading to temperature diffusion, is a well-known empirical model of thermal conduction. However, entropy diffusion has emerged as an alternative thermal conduction model, despite not ensuring the monotonicity of entropy. This paper investigates the differences between temperature and entropy diffusion for both linear internal gravity waves and weakly nonlinear convection. In addition to simulating the two thermal conduction models with the fully compressible Navier–Stokes equations, we also study their effects in the reduced "soundproof" anelastic and pseudoincompressible (PI) equations. We find that in the linear and weakly nonlinear regime, temperature and entropy diffusion due to inaccuracies in the equation of state. Extrapolating our weakly nonlinear results, we speculate that differences between temperature and entropy diffusion for strongly turbulent convection.

Key words: conduction - convection - stars: interiors - waves

Online-only material: color figures

1. INTRODUCTION

In astrophysical fluid dynamics, important processes routinely occur on very disparate length and timescales. Often, systems are driven on length scales orders of magnitude larger than the dissipation length scale. Astrophysicists have turned to numerical simulations to attempt to gain insight into these complicated, nonlinear systems. The inability to simulate the full range of spatial and temporal scales of a system has led to an ever-growing set of approximations, each of which has its own advantages and disadvantages.

For instance, the Navier-Stokes equations admit fast sound waves, which place strong restrictions on the time step of low Mach number flow when using an explicit time-integration scheme. However, by removing the sound waves from the system of fluid equations, a "soundproof" set of equations need not resolve the fast sound timescale. These approximations range from the Boussinesq approximation, which assumes a constant-density fluid, and the anelastic (AN) equations (Batchelor 1953; Ogura & Phillips 1962), which assume small thermodynamic perturbations about a background state, to the pseudoincompressible (PI) equations (Durran 1989; Almgren et al. 2006), which allow for order unity thermodynamic perturbations in all quantities except the pressure. In Brown et al. (2012, hereafter B12) and Vasil et al. (2013, hereafter V13), we show that certain ideal formulations of the AN (e.g., Lantz 1992; Braginsky & Roberts 1995) and PI (e.g., Durran 1989) equations reproduce internal gravity wave (IGW) eigenfunctions and frequencies better than other formulations.

In this paper, we turn to nonideal behavior in these different equation sets, focusing on thermal conduction. Thermal conduction plays a role in damping IGWs in the radiative zones of stars and in setting the ferocity of convection (presumably related to the Rayleigh number, the ratio of driving to damping on large scales) in the convection zone of stars. However, thermal conduction in convection is most important on length scales much smaller than the driving scale. Thus, simulations either replace thermal conduction by algorithmic numerical conduction (e.g., the Athena code, Gardiner & Stone 2008; Stone et al. 2008) or an explicit conduction term that acts on much larger length scales than in the physical system (e.g., Clune et al. 1999; Brun et al. 2004; Nonaka et al. 2010). Simulations with a reduced dynamic range are feasible with current computational resources.

When increasing the strength of thermal conduction (and similarly viscosity) to remove small scales from the system, one has to decide how to model the neglected small scales. One perspective is to run a direct numerical simulation (DNS), in which one uses the real damping processes that act on small scales. In this case, thermal conduction is modeled by Fourier's law of conduction (Fourier 1822):

$$\boldsymbol{Q}_T = -\kappa_T \boldsymbol{\nabla} T, \tag{1}$$

where Q is the heat flux, κ_T is the conductivity, and T is the temperature. This leads to temperature diffusion. However, it is computationally infeasible to use the microscopic diffusivities of many physical systems, so the diffusivities must be artificially increased. Thus, even a DNS employs a certain subgrid scale (SGS) model of thermal conduction.

Another perspective is to use an SGS model to describe how the unresolved small scales influence thermal conduction. In this case, the unresolved *convective* heat flux can be represented by a *conductive* heat flux. Although there are many SGS models (e.g., Lesieur 1990), one particularly popular model is the entropy diffusion model (used extensively in AN simulations, e.g., Clune et al. 1999, and also occasionally in fully compressible (FC) simulations; Chan & Sofia 1986, 1989):

$$\boldsymbol{Q}_{S} = -\kappa_{S} \boldsymbol{\nabla} S, \qquad (2)$$

where S is the entropy. In this paper, we compare the temperature diffusion and entropy diffusion models. Glatzmaier (1984) argues that the heat liberated by an eddy is given by the local entropy gradient. Braginsky & Roberts (1995) argue for the "engineering approach" that the flux of entropy should be linear in the entropy gradient (though not necessarily parallel to it). Practically speaking, perhaps the most important feature of entropy diffusion is that it does not require the calculation of the pressure perturbation, which can be advantageous for the AN equations.

Calkins et al. (2014a) has recently calculated the onset of convection in AN simulations with either temperature diffusion or entropy diffusion, as well as in FC Navier–Stokes simulations with temperature diffusion. They find that the AN and Navier–Stokes equations with temperature diffusion have almost identical behavior, provided the background entropy gradient is close to adiabatic. However, they only find "qualitative" rather than "quantitative" agreement between the temperature diffusion and entropy diffusion models.

The remainder of the paper is structured as follows. First, in Section 2.1, we show that entropy diffusion can lead to nonmonotonicity of entropy. We state the various equations we use in Section 2.2 (and describe their numerical implementation in Appendix D). Next we study thermal damping of IGWs, both numerically (Section 3) and analytically (Section 4). In Section 5, we describe convective steady states for each of our equation sets with either temperature or entropy diffusion. Finally, Section 6 summarizes our results, discusses its connection with other work, and suggests future paths of inquiry.

2. PRELIMINARIES

2.1. Motivation

A fundamental law of equilibrium statistical mechanics is that the total entropy of a closed system increases monotonically with time. This is encapsulated in the entropy equation for an inviscid FC fluid:

$$\rho T \frac{dS}{dt} = \boldsymbol{\nabla} \cdot \boldsymbol{\kappa}_T \boldsymbol{\nabla} T, \qquad (3)$$

where ρ , *T*, and *S* are the density, temperature, and specific entropy of the fluid, and $d/dt = \partial_t + \mathbf{u} \cdot \nabla$ denotes the material derivative where \mathbf{u} is the fluid velocity. We will assume that the heat flux is proportional to ∇X , for X = S, *T*, and take κ_X to be the constant of proportionality: $\mathbf{Q} = -\kappa_X \nabla X$. For temperature diffusion (X = T), κ_T is the conductivity. Using the continuity equation, this can be rewritten as

$$\frac{\partial \rho S}{\partial t} = -\boldsymbol{\nabla} \cdot \left[\rho S \boldsymbol{u} - \frac{\kappa_T}{T} \boldsymbol{\nabla} T \right] + \kappa_T \frac{|\boldsymbol{\nabla} T|^2}{T^2}.$$
(4)

Assuming boundary conditions that ensure that the term in the total divergence on the right-hand side (RHS) of Equation (4) is zero on the boundaries (e.g., no penetration and no heat flux), the volume integral of Equation (4) shows that entropy increases monotonically with time:

$$\frac{\partial}{\partial t} \int_{V} \rho S \, dV = \int_{V} \kappa_T \frac{|\nabla T|^2}{T^2} \, dV \ge 0. \tag{5}$$

However, if we instead use entropy diffusion in Equation (3),

$$\rho T \frac{dS}{dt} = \boldsymbol{\nabla} \cdot \boldsymbol{\kappa}_S \boldsymbol{\nabla} S, \tag{6}$$

the entropy per volume instead evolves according to

$$\frac{\partial \rho S}{\partial t} = -\boldsymbol{\nabla} \cdot \left[\rho S \boldsymbol{u} - \frac{\kappa_S}{T} \boldsymbol{\nabla} S \right] + \kappa_S \frac{\boldsymbol{\nabla} S \cdot \boldsymbol{\nabla} T}{T^2}.$$
 (7)

Again assuming boundary conditions such that the term in the total divergence is zero on the boundaries, the volume integral of Equation (7) is

$$\frac{\partial}{\partial t} \int_{V} \rho S \, dV = \int_{V} \kappa_{S} \frac{\nabla S \cdot \nabla T}{T^{2}} \, dV. \tag{8}$$

The $\nabla S \cdot \nabla T$ term is not positive definite, so there is no guarantee that entropy increases monotonically with time. Although we focus only on temperature diffusion and entropy diffusion, the only heat flux that will monotonically increase entropy is proportional to ∇T (Landau & Lifshitz 1959).¹⁰

This paper investigates the effects of this modification of the second law of thermodynamics for linear waves and weakly nonlinear convective equilibria.

2.2. Model Equations

We break the thermodynamic variables into background and fluctuating parts, e.g., $S = \overline{S} + S'$. The background fields are time independent and satisfy hydrostatic and thermal equilibrium:

$$\nabla \overline{P} = g\overline{\rho},\tag{9}$$

$$\nabla \cdot \overline{\boldsymbol{Q}} = 0, \tag{10}$$

where *P* is the pressure, *g* is the gravitational acceleration, and Q is the heat flux. This paper studies the effects of varying the form of Q. To simplify the problem, we assume the fluid to be an ideal gas with a constant ratio of specific heats γ . We make extensive use of the linearized thermodynamic relations:

$$\frac{P'}{\overline{P}} = \frac{\rho'}{\overline{\rho}} + \frac{T'}{\overline{T}},\tag{11}$$

$$\frac{S'}{C_P} = \frac{P'}{\gamma \overline{P}} - \frac{\rho'}{\overline{\rho}}.$$
(12)

2.2.1. Fully Compressible Equations

The FC equations are

$$\rho\left(\partial_{t}\boldsymbol{u}+\boldsymbol{u}\cdot\boldsymbol{\nabla}\boldsymbol{u}\right)+\boldsymbol{\nabla}\boldsymbol{P}=\boldsymbol{g}\rho-\boldsymbol{\nabla}\cdot\boldsymbol{\Pi},\tag{13}$$

$$\partial_t \rho + \boldsymbol{u} \cdot \boldsymbol{\nabla} \rho + \rho \boldsymbol{\nabla} \cdot \boldsymbol{u} = 0, \tag{14}$$

$$\partial_t S + \boldsymbol{u} \cdot \boldsymbol{\nabla} S = -\frac{1}{\rho T} \boldsymbol{\nabla} \cdot \boldsymbol{Q} - \frac{1}{\rho T} \Pi_{ij} \partial_{x_i} u_j, \qquad (15)$$

¹⁰ The most general heat flux that monotonically increases entropy is $Q_i \sim -M_{ij}\partial T/\partial x_j$, where M_{ij} is a symmetric rank 2 tensor. For instance, in relatively collisionless plasmas, the heat flux is carried by electrons that follow magnetic field lines. Thus, the heat flux is in the direction of the local magnetic field, $Q \sim -bb \cdot \nabla T$, where *b* is the unit vector in the direction of the magnetic field (e.g., Spitzer 1962; Balbus 2000). In this case, $M_{ij} = b_i b_j$ is symmetric, so entropy will increase monotonically.

where repeated indices are summed over, Π_{ij} is the viscous stress tensor,

$$\Pi_{ij} = -\mu \left(\partial_{x_i} u_j + \partial_{x_j} u_i - \frac{2}{3} \delta_{ij} \boldsymbol{\nabla} \cdot \boldsymbol{u} \right), \qquad (16)$$

and δ_{ij} is the Kronecker delta.

To study waves, we solve the linearized, inviscid, FC equations. We subtract off hydrostatic equilibrium and thermal equilibrium (Equations (9) and (10)) and linearize the thermodynamic variables (Equations (11) and (12)). To use notation consistent with B12, we pick S' and $\varpi' = P'/\overline{\rho}$ as our thermodynamic variables. Then the FC equations take the form

$$\partial_t \boldsymbol{u} + \boldsymbol{\nabla} \boldsymbol{\varpi}' - \boldsymbol{\varpi}' \boldsymbol{\nabla} \left(\frac{\overline{S}}{C_P} \right) = -\boldsymbol{g} \frac{S'}{C_P},$$
 (17)

$$\partial_t S' + \boldsymbol{u} \cdot \boldsymbol{\nabla} \overline{S} = -\frac{1}{\overline{\rho} \overline{T}} \boldsymbol{\nabla} \cdot \boldsymbol{Q}',$$
 (18)

$$\boldsymbol{u} \cdot \boldsymbol{\nabla} \left(\frac{\overline{S}}{C_P} \right) + \boldsymbol{u} \cdot \boldsymbol{\nabla} \log \overline{\rho} + \boldsymbol{\nabla} \cdot \boldsymbol{u}$$
$$= -\frac{1}{\overline{c_s}^2} \partial_t \boldsymbol{\varpi}' - \frac{1}{\overline{\rho} \overline{T} C_P} \boldsymbol{\nabla} \cdot \boldsymbol{Q}', \qquad (19)$$

where $\overline{c_s}^2 = \gamma \overline{P}/\overline{\rho}$ is the adiabatic sound speed. These equations support sound waves because they include the $\partial_t \overline{\sigma'}/\overline{c_s}^2$ term in Equation (19). Also note that thermal conduction appears in both thermodynamic equations.

2.2.2. Pseudoincompressible Equations

The PI equations (see V13) assume that sound waves rapidly equilibrate pressure fluctuations, so the pressure fluctuations are small ($\mathcal{O}(\overline{P}Ma^2)$), where Ma is the Mach number) when averaged over a sound crossing time. The pressure fluctuations must be retained in the pressure gradient term in the momentum equation to keep the flow from building large pressure fluctuations, but they must be dropped everywhere else. The PI equations are

$$\rho\left(\partial_{t}\boldsymbol{u}+\boldsymbol{u}\cdot\boldsymbol{\nabla}\boldsymbol{u}\right)+\overline{\beta}\boldsymbol{\nabla}\left(\frac{\pi'}{\overline{\beta}}\right)=\boldsymbol{g}\rho'-\boldsymbol{\nabla}\cdot\boldsymbol{\Pi},\qquad(20)$$

$$\partial_t \rho + \boldsymbol{u} \cdot \boldsymbol{\nabla} \rho + \rho \boldsymbol{\nabla} \cdot \boldsymbol{u} = 0, \qquad (21)$$

$$\boldsymbol{u} \cdot \boldsymbol{\nabla} \overline{P} + \gamma \overline{P} \boldsymbol{\nabla} \cdot \boldsymbol{u} = -\frac{1}{C_V} \boldsymbol{\nabla} \cdot \boldsymbol{Q} - \frac{1}{C_V} \Pi_{ij} \partial_{x_i} u_j, \quad (22)$$

where $\overline{\beta} = \overline{P}^{1/\gamma}$ and C_V is the specific heat at constant volume. In the equation of state, *P* is replaced by \overline{P} , i.e., $T = T(\rho, \overline{P})$ and $s = s(\rho, \overline{P})$. The variable π' is the $\mathcal{O}(\overline{P}\text{Ma}^2)$ correction to the background pressure.

The linearized, inviscid PI equations are very closely related to the linearized, inviscid FC equations; the ϖ' term in Equation (19) is dropped,

$$\partial_t \boldsymbol{u} + \boldsymbol{\nabla} \boldsymbol{\varpi}' - \boldsymbol{\varpi}' \boldsymbol{\nabla} \left(\frac{\overline{S}}{C_P} \right) = -\boldsymbol{g} \frac{S'}{C_P},$$
 (23)

$$\partial_t S' + \boldsymbol{u} \cdot \boldsymbol{\nabla} \overline{S} = -\frac{1}{\overline{\rho} \overline{T}} \boldsymbol{\nabla} \cdot \boldsymbol{Q}', \qquad (24)$$

$$\boldsymbol{u} \cdot \boldsymbol{\nabla} \left(\frac{\overline{S}}{C_P} \right) + \boldsymbol{u} \cdot \boldsymbol{\nabla} \log \overline{\rho} + \boldsymbol{\nabla} \cdot \boldsymbol{u} = -\frac{1}{\overline{\rho} \overline{T} C_P} \boldsymbol{\nabla} \cdot \boldsymbol{Q}', \quad (25)$$

and the PI equations use the modified equation of state (compare to Equation (12)),

$$\frac{S'}{C_P} = -\frac{\rho'}{\overline{\rho}}.$$
(26)

2.2.3. Anelastic Equations

The AN equations (see B12) were first used in astrophysics to remove sound waves from convection simulations. Efficient convection almost entirely erases an unstable entropy gradient. Thus, the AN equations are derived in the limit that $\nabla(\overline{S}/C_P) \sim O(\text{Ma}^2/L_z) \ll 1$, where L_z is the vertical (or radial) length of the convection zone. Furthermore, the AN equations assume that all thermodynamic fluctuations are $O(\text{Ma}^2)$, and thus the linearized thermodynamic relations (Equations (11) and (12)) can be used. The AN equations can be written as

$$\partial_t \boldsymbol{u} + \boldsymbol{u} \cdot \boldsymbol{\nabla} \boldsymbol{u} + \boldsymbol{\nabla} \boldsymbol{\varpi}' = -\boldsymbol{g} \frac{S'}{C_P} - \boldsymbol{\nabla} \cdot \boldsymbol{\Pi},$$
 (27)

$$\partial_t S' + \boldsymbol{u} \cdot \boldsymbol{\nabla} S' + \boldsymbol{u} \cdot \boldsymbol{\nabla} \overline{S} = -\frac{1}{\overline{\rho}\overline{T}} \boldsymbol{\nabla} \cdot \boldsymbol{Q}' - \frac{1}{\overline{\rho}\overline{T}} \Pi_{ij} \partial_{x_i} u_j, \quad (28)$$

$$\boldsymbol{u} \cdot \boldsymbol{\nabla} \log \overline{\rho} + \boldsymbol{\nabla} \cdot \boldsymbol{u} = 0.$$
⁽²⁹⁾

Having already linearized the thermodynamics, these equations bear a striking similarity to the linearized FC and PI equations, although they include the nonlinear $u \cdot \nabla u$ and $u \cdot \nabla S'$ terms. The $\nabla \overline{S}/C_P$ terms in the momentum equation and constraint equation have been dropped, as well as the heating terms on the RHS of the constraint equation (which can be justified by dimensional analysis).

The linearized, inviscid AN equations are

$$\partial_t \boldsymbol{u} + \boldsymbol{\nabla}\boldsymbol{\varpi}' = -\boldsymbol{g} \frac{S'}{C_P},\tag{30}$$

$$\partial_t S' + \boldsymbol{u} \cdot \boldsymbol{\nabla} \overline{S} = -\frac{1}{\overline{\rho} \overline{T}} \boldsymbol{\nabla} \cdot \boldsymbol{Q}'$$
 (31)

$$\boldsymbol{u} \cdot \boldsymbol{\nabla} \log \overline{\rho} + \boldsymbol{\nabla} \cdot \boldsymbol{u} = 0. \tag{32}$$

3. LINEAR WAVE MODES: NUMERICS

We solve for IGW eigenmodes with different thermal conduction models using Dedalus¹¹ (K. J. Burns et al., in preparation). Dedalus is a general framework for studying partial differential equations, including eigenvalue problems, boundary value problems, and initial value problems (i.e., simulations). It uses the τ spectral method to solve nearly arbitrary equation sets including algebraic constraints and complex boundary conditions. This flexibility allows us to specify the linear eigenvalue problem for IGWs in all three equation sets discussed above, with different thermal conduction models, all within the same code. In all cases, we use a two-dimensional (2D) Cartesian domain with a Fourier grid in the horizontal (*x*) direction and a Chebyshev grid in the vertical (*z*) direction. In Section 5, we use Dedalus to evolve the nonlinear versions of these equation

¹¹ For more information and links to the source code, see dedalus-project.org.



Figure 1. Damping rates and oscillation frequencies of gravity wave modes of the FC, PI, and AN equations, using either *T* or *S* diffusion. The first 30 radial and horizontal modes are shown for each equation set. The modes with lowest mode number are at the bottom of the plot. Moving up and to the left corresponds to increasing the vertical mode number (m), and moving up and to the right corresponds to increasing the horizontal mode number (n).

(A color version of this figure is available in the online journal.)

sets in time, and in Appendix D we specify the exact equations as entered into the code.

We use a polytrope background field:

$$\overline{T} = T_0 \frac{L_z + H - z}{H},$$
(33)

$$\overline{\rho} = \rho_0 \left(\frac{L_z + H - z}{H}\right)^n,\tag{34}$$

$$\overline{P} = P_0 \left(\frac{L_z + H - z}{H}\right)^{n+1}, \qquad (35)$$

where ρ_0 , T_0 , P_0 are constants satisfying $\rho_0 T_0 = P_0$, L_z is the box height, and H is the local scale height at the top of the box. The variable n is the polytropic index and satisfies $g = -T_0(n+1)/He_z$. We nondimensionalize the system by setting $\rho_0 = T_0 = P_0 = H = 1$. We take $\gamma = 5/3$, so any n > 1.5 corresponds to stable stratification—we pick n = 2. We take the box size to be $(L_x, L_z) = (78.3, 26.1)$, which corresponds to ≈ 6.6 density scale heights, the number of density scale heights in the solar radiative zone. The vertical resolution is typically 128 grid points and modes (no dealiasing is needed for linear calculations).

This background satisfies thermal equilibrium only when using temperature diffusion with a constant $\overline{\kappa_T}$. We assume that the background fields and the perturbation fields conduct heat differently. Various authors (e.g., Braginsky & Roberts 1995; Clune et al. 1999; Jones et al. 2009) have argued that the heat conduction acting on the perturbation fields is actually an SGS effect from unresolved turbulent motions, and thus it is different from the microphysical heat conduction acting on the background fields. In our calculations, the background fields conduct heat using temperature diffusion with a constant $\overline{\kappa_T}$; we use different choices for the conduction model for the perturbation fields. The equations remain consistent as the perturbations never feed back onto the background fields.

The wave perturbations evolve according to the equations described in Sections 2.2.1–2.2.3. We use two forms for Q',

$$\boldsymbol{Q}_T' = -\chi_T \overline{\rho} \boldsymbol{\nabla} T', \qquad (36)$$

$$\boldsymbol{\mathcal{Q}}_{S}^{\prime} = -\chi_{S}\overline{\rho}\overline{T}\boldsymbol{\nabla}\frac{S^{\prime}}{C_{P}},\tag{37}$$



Figure 2. Percent error in oscillation frequencies and damping rates between different equations and thermal conduction models and the FC equations with *T* diffusion, e.g., $(\omega_{AN;T} - \omega_{FC;T})/\omega_{FC;T}$. The modes have n = 1 but with varying vertical mode number *m*; these are the modes with the largest errors. The percent error in oscillation frequency depends only on the equation set, not on the model of thermal conduction.

(A color version of this figure is available in the online journal.)

where $\chi_T = \chi_S = 10^{-5}$ are taken to be constant, which implies a constant diffusivity throughout the domain. Such a small diffusivity ensures that all modes are weakly damped. We will refer to these two thermal conduction models as *T* diffusion and *S* diffusion. Our boundary conditions are w = 0 (the vertical velocity) and $Q_z = 0$ at z = 0 and $z = L_z$ and periodic in the horizontal direction. The eigenmodes depend on horizontal and vertical wave numbers. We define the (n, m) mode to be the mode with horizontal wavenumber $k_x = 2\pi n/L_x$ and *m* extrema in the vertical direction (with vertical wavenumber defined as $k_z = 2\pi m/L_z$). The variables *n* and *m* are the mode's horizontal and vertical mode numbers, respectively. The total wavenumber of a mode is $k = \sqrt{k_x^2 + k_z^2}$.

The linear eigenfunctions vary in time as $\exp(-i\omega - \Gamma t)$, where ω is the oscillation frequency and Γ is the damping rate. Figure 1 shows eigenvalues of the different equation sets with either *T* or *S* diffusion. To ensure the accuracy of the eigenvalues, we compare the damping rate, Γ , to the analytic expression of the damping rate given in Equations (47) and (48) and the analogous expressions in Appendices A and B. In all cases, the discrepancy is less than 1% and typically is less than 0.01%.

The damping rates and oscillation frequencies match very well for the different equation sets and conduction models, particularly for large kH. For small kH, there are some discrepancies in both damping rates and oscillation frequencies. Figure 2 shows the percent error in the oscillation frequency and damping rate with respect to the eigenvalues of the FC equations with *T* diffusion. The relative errors are plotted for the modes (1, m), with *m* ranging from 1 to 30.

The largest errors in damping rate occur for the PI equations with T diffusion, where the damping rate is underestimated by half for the (1, 1) mode; interestingly, the PI equations with S diffusion seem to agree more closely with the true damping rate. This may be because the PI equations do not use the full linearized equation of state to calculate the temperature (compare Equations (11) and (12) to Equation (26)).

For the AN equations, the error in damping rate is always less than 20%. For all of the models described here, the relative errors are less than 10% for mode numbers greater than 10 (or 4 if we neglect the PI equations with *T* diffusion). The FC equations with *S* diffusion have relative errors of less than 1% for mode numbers greater than 11, whereas the AN equations with *S* diffusion have relative errors of less than 1% for mode numbers greater than 9 (which is much better than the AN equations with T diffusion).

Overall, there is little difference in the damping rates between T diffusion and S diffusion. We will explain this by studying the linear problem analytically in Section 4.

In addition to errors in damping rates, there are also small (several percent) errors in oscillation frequency associated with using different equation sets. Although the PI equations have the largest relative error (almost 7%) for the (1, 1) mode, the AN equations have more persistent errors as the vertical mode number increases.

As shown in B12 and V13, the ideal linear eigenvalues differ more among the different equation sets in spherical geometry than in plane-parallel geometry. Furthermore, in spherical geometry, the eigenfunctions also differ between equation sets. These suggest that differences between damping rates (which depend on the eigenfunctions, as shown in Section 4) and oscillation frequencies will be larger in spherical geometry than in plane-parallel geometry. However, the differences in spherical geometry will also become small for $kH \gg 1$.

Nonlinear damping can also be an important damping mechanism, especially for modes with low linear damping rates. Via nonlinear interactions, low wavenumber gravity waves can couple and transfer energy to higher wavenumber gravity waves (e.g., Weinberg et al. 2012). The energy in high-wavenumber modes can then be damped via dissipative effects, e.g., thermal conduction. Thus, it is possible that the low wavenumber modes that have the largest discrepancies in linear damping rates could still be damped at the correct rate in fully nonlinear simulations—if the damping is dominated by nonlinearities.

4. LINEAR WAVE MODES: ANALYTICS

In the previous section, we demonstrated that IGWs have very similar damping rates with either T or S diffusion. To better understand why this is the case, we study the linear IGW problem analytically. We use different approximations to render the problem tractable. First, we derive the eigenvalue equation in the large wavenumber limit. Second, we assume dissipation is weak and derive an expression for the damping rate. The numerical results presented above in Section 3 satisfy this weak dissipation assumption. We only include the details of the calculations for the AN equations; the (similar) main results for the FC and PI equations can be found in Appendices A and B, respectively. We find that T and S diffusion give the same damping rate because T and S are approximately proportional to one another in the large wavenumber limit.

4.1. Large Wavenumber Limit

We derive the eigenvalue equation for the AN equations (see Appendices A and B for the eigenvalue equations for the FC and PI equations, respectively) for both T and S diffusion. In the limit of $kH \gg 1$, these eigenvalue equations are equivalent, which implies that T and S diffusion will give the same eigenfunctions and eigenvalues.

To simplify the expressions, we will drop all terms with derivatives on background quantities, which are order $(kH)^{-1} \ll 1$. In this limit, the eigenvalue equation for the AN equations using *T* diffusion is

$$\left[1 + \frac{i\overline{\kappa_T}}{\overline{\rho}C_P\omega}\nabla^2 + \frac{i\overline{\kappa_T}}{\overline{\rho}C_P\omega}\frac{\gamma - 1}{\gamma}\frac{g}{\overline{T}}\partial_z\right]\nabla^2 w = -\frac{k_\perp^2\overline{N}^2}{\omega^2}w,$$
(38)

where $\overline{N}^2 = g \partial_z \overline{S} / C_P$ is the squared buoyancy (Brunt–Väisälä) frequency. The eigenvalue equation using *S* diffusion is

$$\left[1 + \frac{i\overline{\kappa_s}}{\overline{\rho}\overline{T}\omega}\nabla^2\right]\nabla^2 w = -\frac{k_{\perp}^2\overline{N}^2}{\omega^2}w.$$
(39)

Note that $\nabla^2 \gg (g/\overline{T})\partial_z$ because $g/\overline{T} \sim H^{-1}$. Thus, the two eigenvalue equations are equivalent under the identification $\overline{\kappa_S} = \overline{\kappa_T}\overline{T}/C_P$. This shows that *T* and *S* diffusion will have the same eigenfunctions and eigenvalues in the limit of large kH, as can be seen in Figure 1.

4.2. Damping Rates and the Weak Dissipation Limit

By manipulating the equations of motion, the damping rate can be expressed as a ratio of volume averages of the eigenfunctions. In this section, we focus on the AN equations; the analogous results for FC and PI equations are in Appendices A and B, respectively. Dotting the momentum equation (Equation (30)) with $\overline{\rho}u$ gives an energy equation

$$\partial_t \left(\frac{1}{2\overline{\rho}} |\boldsymbol{u}|^2 \right) = \boldsymbol{\nabla} \cdot (\overline{\rho} \boldsymbol{u} \, \overline{\boldsymbol{\omega}}') + \frac{g \overline{\rho}}{C_P} S' \boldsymbol{w}. \tag{40}$$

The vertical velocity is given by the entropy equation (Equation (31))

$$w = -\frac{\partial_t S'}{\partial_z \overline{S}} - \frac{1}{\overline{\rho} \overline{T} \partial_z \overline{S}} \nabla \cdot \boldsymbol{Q}'.$$
(41)

Using this relation, we can rewrite the energy equation in the form

$$\partial_t E + \boldsymbol{\nabla} \cdot \boldsymbol{F} = -\theta, \qquad (42)$$

where

$$E = \frac{1}{2}\overline{\rho}|\boldsymbol{u}|^2 + \frac{1}{2}\frac{g\overline{\rho}}{C_P}(\partial_z\overline{S})^{-1}S^{\prime 2}, \qquad (43)$$

$$\boldsymbol{F} = \overline{\rho} \boldsymbol{u} \boldsymbol{\varpi}' + \frac{g}{\overline{T} \partial_z \overline{S}} \frac{S'}{C_P} \boldsymbol{Q}, \qquad (44)$$

$$\theta = -\boldsymbol{Q} \cdot \boldsymbol{\nabla} \left(\frac{g}{\overline{T} \partial_z \overline{S}} \frac{S'}{C_P} \right), \tag{45}$$

are the wave energy, the energy flux, and the change of wave energy due to thermal conduction, respectively.

The damping rate (of the perturbations) Γ is

$$\Gamma = \frac{\langle \theta \rangle}{2 \langle E \rangle},\tag{46}$$

where $\langle \cdot \rangle$ denotes a volume average. For *T* and *S* diffusion, the expressions for θ are

$$\theta_T = \overline{\kappa_T} \boldsymbol{\nabla} \left(\frac{gS'}{C_P \overline{T} \partial_z \overline{S}} \right) \cdot \boldsymbol{\nabla} \left(\overline{T} \frac{S'}{C_P} + \frac{\gamma - 1}{\gamma} \overline{\varpi}' \right), \quad (47)$$

$$\theta_{S} = \overline{\kappa_{S}} \nabla \left(\frac{gS'}{C_{P} \overline{T} \partial_{z} \overline{S}} \right) \cdot \nabla S'.$$
(48)

These expressions follow directly from the equations of motion and contain no approximations. They are used to check the numeric damping rates calculated in Figure 1.

In Equation (47), we have rewritten T' as a function of S' and ϖ' using the linearized equation of state (Equations (11)

and (12)). The variable T' comprises a part that is proportional to S' and a part that is proportional to ϖ' . We will show below that if $kH \gg 1$, the ϖ' term is much smaller than the S' term. Thus, T' and S' are well aligned and give the same damping rate.

If we assume $g/(\overline{T}\partial_z \overline{S})$ is constant (as is the case for a planeparallel polytrope atmosphere), then θ_S is positive definite, proving that there are no overstable modes with *S* diffusion. By contrast, θ_T cannot be shown to be positive definite, leaving open the possibility of overstable IGWs. For the FC and PI equations, neither θ_S nor θ_T can be shown to be positive definite (see Appendices A and B). Lou (1990) searched extensively for overstable IGWs using the FC equations with temperature diffusion in a polytrope atmosphere, but found none. We also have not found any overstable IGWs.

Next we calculate the relative sizes of the different terms in Equations (47) and (48) by solving for the eigenfunctions. To simplify the calculation, we will use the weak dissipation limit. For sufficiently small dissipation, the eigenfunctions are very well approximated by the ideal eigenfunctions, which can be solved for analytically if we use the WKB approximation (assuming $kH \gg 1$).

The ideal eigenvalue equation (see, e.g., B12) is

$$\omega^2 (k_{\perp}^2 - \partial_z^2) w - \omega^2 \partial_z ((\partial_z \log \overline{\rho}) w) = \overline{N}^2 k_{\perp}^2 w.$$
⁽⁴⁹⁾

The lowest-order WKB approximation to the solution is

$$w \approx \frac{A}{\sqrt{\rho k_z}} \exp\left(-\int_{z_0}^z k_z(z') dz'\right),$$
 (50)

where $A = w(z_0)\sqrt{\rho k_z}$ is the amplitude and $k_z(z)$ satisfies

$$k_z^2 = k_\perp^2 \left(\frac{\overline{N}^2}{\omega^2} - 1\right). \tag{51}$$

The entropy and pressure perturbations are related to one another via

$$S' = -i\frac{w\partial_z S}{\omega},\tag{52}$$

$$\overline{\omega}' = \frac{i\omega}{k_{\perp}^2} \left(\partial_z w + w \partial_z \log \overline{\rho}\right) \approx \frac{\omega^2 - \overline{N}^2}{\omega k_z} w, \qquad (53)$$

where the approximation is dropping terms of order $(k_z H)^{-1}$ and smaller.

The ratio of the S' contribution to θ_T to the ϖ' contribution to θ_T is

$$\left|\frac{\overline{T}S'}{C_P}\right| \left|\frac{\overline{\omega}'}{\gamma}\right|^{-1} = \left(\frac{\overline{c_s}^2 k_{\perp}^2}{\omega^2}\right) \left(\frac{\partial_z\left(\frac{\overline{S}}{C_P}\right)}{k_z}\right).$$
(54)

Using that $\overline{c_s}^2 \sim \overline{N}^2 H^2$ and that $\omega \leq \overline{N}$ for gravity waves, one can show that this ratio is of order kH, which is assumed to be much larger than one.¹² Thus, θ_T is dominated by entropy diffusion. Furthermore, the leading-order (in $k_z H$) expressions for ϖ' and S' are out of phase, so upon volume integration, cross terms such as $\nabla \varpi' \cdot \nabla S'$ are smaller than the leading-order term $|\nabla S'|^2$ by $(k_z H)^{-2}$. Similarly, the volume average

of terms like $S' \nabla \overline{T} \cdot \nabla S'$ are also of order $(k_z H)^{-2}$ because S' and $\partial_z S'$ are out of phase to the leading order.

The leading-order contributions to θ_T and θ_S are

$$\theta_T \approx \frac{g\overline{\kappa_T}}{C_P^2 \partial_z \overline{S}} |\boldsymbol{\nabla} S'|^2, \tag{55}$$

$$\theta_S \approx \frac{g\overline{\kappa_S}}{C_P \overline{T} \partial_z \overline{S}} |\nabla S'|^2.$$
(56)

For perturbations with $k_z H \gg 1$, we have that thermal diffusion and entropy diffusion are equivalent under the identification $\overline{\kappa_S} = \overline{\kappa_T T}/C_P$.

A key assumption in the above argument is that $\omega \leq \overline{N}$, which was used to show that the ratio of the S' contribution to θ_T to the ϖ' contribution to θ_T (Equation (54)) is order $kH \gg 1$. This is satisfied for gravity waves but not for sound waves. For sound waves, the first term on the RHS of Equation (54) is order one, so the ϖ' contribution to θ_T is *larger* than the S' contribution to θ_T by order kH. This suggests that T and S diffusion give very different results for sound waves (see Appendix C).

4.3. FC and PI Equations

Although we have only shown the results for the AN equations, similar results hold for the FC and PI equations. In Appendix A, we show that for the FC equations the *T* and *S* diffusion eigenvalue equations reduce to one another if we assume $kH \gg 1$ and that damping is weak. Unlike the AN equations, there are differences between *T* and *S* diffusion for strongly damped modes (which are no longer waves). We also derive analytic expressions for the damping rates.

In Appendix B, we carry out the same analysis as above for the PI equations. It is straightforward to show that the PI equations will give the same damping rates using either T or S diffusion, assuming $kH \gg 1$. This is because T and S diffusion in the PI equations only differ by a factor of \overline{T} , which can be absorbed into $\overline{\kappa}$ in the limit $kH \gg 1$.

5. STEADY NONLINEAR CONVECTIVE SOLUTIONS

We present nonlinear simulations of convection using the FC, PI, and AN equations with both the T and S diffusion models. Near the onset of convection, unstable modes saturate as steady convective rolls. For sufficiently small driving (Ra), these are stable. However, as the driving of the system (Ra) increases, the rolls become unstable to oscillatory motions. The accuracy of the stable convection states is an important nonlinear test of the different equation sets and thermal conduction models. We restrict our investigation to two dimensions because the convection solutions are more susceptible to oscillatory motions in three dimensions.

We solve for convective steady states using Dedalus by integrating the equations of motion forward in time. The background state is a polytrope (Equations (33)–(35)) with polytropic index

$$n = 1.5 - \epsilon. \tag{57}$$

Recall that an adiabatic background has n = 1.5; by setting n slightly smaller than 1.5, we are imposing a slightly superadiabatic stratification. We set $\epsilon = 10^{-5}$. This implies that the background entropy gradient is

$$\partial_z \left(\frac{\overline{S}}{C_P}\right) = -\frac{\epsilon}{L_z + 1 - z}.$$
(58)

¹² Near the cores of stars, we instead have $\overline{c_s}^2 \sim \overline{N}^2 r^2$. In this case, the ratio is of order $k_z r \gg 1$.

Mixing-length theory suggests that the Mach number squared is proportional to the entropy gradient, so we choose to fix the entropy gradient in order to fix the Mach number. The convective steady states described in this paper have Mach numbers of about 10^{-3} .

As above, we assume that the perturbation fields conduct heat differently from the background fields. To satisfy thermal equilibrium, we assume that the background fields are acted upon by temperature diffusion with a constant $\overline{\kappa_T}$. Again, it is consistent to use a different conduction model for the perturbations, provided that the perturbation fields never feed back onto the background fields.

For the perturbation fields, we use a constant diffusivity χ :

$$\boldsymbol{Q}_T' = -\chi_T \rho \boldsymbol{\nabla} T', \qquad (59)$$

$$\boldsymbol{\varrho}_{S}^{\prime} = -\chi_{S}\rho\overline{T}\boldsymbol{\nabla}\frac{S^{\prime}}{C_{P}}.$$
(60)

Similarly, for viscosity, we use a constant diffusivity v:

$$\mu = \rho \nu. \tag{61}$$

In both cases, ρ is replaced by $\overline{\rho}$ for the AN equations.

We can now define the Rayleigh number, the ratio of driving to dissipation in the system,

$$Ra = \frac{\Delta \overline{S}gL_z^3}{C_P \nu \chi},$$
(62)

where $\Delta \overline{S}/C_P = \epsilon \log(L_z + 1)$ is the entropy jump across the domain (recall that in our nondimensionalization g = n + 1). Below, we study how convective steady states vary as a function of Ra. All simulations have $Pr = \nu/\chi = 1$. We find that when Pr < 1, there are very few convective steady states because the convection is strongly susceptible to oscillatory instabilities. Thus, to compare the convection for Pr < 1in different models would require a study of the (temporal) statistical properties of the flow, which is beyond the scope of this paper. However, we do not expect our results to change qualitatively for low Pr convection (note that our linear wave results are at a Pr = 0). Recent work by Calkins et al. (2014b) shows substantial differences in the onset of rapidly rotating convection between the FC and AN equations with Pr < 1. However, there is no indication that such differences persist in the nonrotating limit.

For simulations with Pr > 1, we do find some convective steady states. In this case, viscosity is a more dominant damping mechanism than thermal conduction, so we expect smaller differences between the conduction models. For sufficiently high Pr, the thermal boundary layers become unstable and there are no longer steady convective states.

To maintain the background entropy gradient, we use the boundary conditions S' = 0 on the top and bottom. Our other boundary conditions are u = 0 on the top and bottom, and all variables are periodic in the horizontal direction. Our vertical boundary conditions are artificial; a more physical boundary condition would be to add stably stratified layers on either side of the convection zone. We do not implement this type of background state because it greatly complicates the problem. In real systems, convective plumes penetrate into the stably stratified regions, which in turn affects the whole convective state. However, for stiff interfaces, the penetration is small (Rogers & Glatzmaier 2005; Brummell et al. 2002), suggesting that there might not be significant differences between a convective–radiative boundary and a solid wall.

Note that w = 0 on the top and bottom are redundant equations for the horizontally averaged (n = 0) mode when using the AN equations. Furthermore, there is a gauge freedom in the definition of π' for the PI equations and ϖ' for the AN equations. Thus, when using the PI or AN equations, for the horizontally averaged mode (n = 0), we use the boundary conditions w = 0 on the bottom boundary and π' or $\varpi' = 0$ on the top boundary. In fact, the PI equations are inconsistent for u = 0 on the top and bottom and periodic in the horizontal directions. This can be verified by integrating the constraint equation: the left-hand side (LHS) is zero for these boundary conditions, but the RHS is generally nonzero. Physically, this is because heating causes the fluid to expand, so there must be a way for the fluid to leave the box.

The simulations are run in a box with aspect ratio three, i.e., $L_x = 3L_z$, with three different heights: $L_z = 10, 30$, and 100. This corresponds to about 3, 5, and 7 density scale heights, respectively. We use a resolution of 96 grid points in each direction, with two-thirds dealiasing (i.e., 64 modes). We represent the solution as a Fourier series in the *x* direction and a Chebyshev series in the *z* direction. For several cases, we ran with a resolution of 192 grid points (128 modes) in each direction and found that the results were virtually identical. To time step the equations, we use an implicit–explicit SBDF2 method (Ascher et al. 1995; Wang & Ruuth 2008). The time step is given by the smaller of $0.3\mathbf{u} \cdot \Delta \mathbf{x}^{-1}$, where $\Delta \mathbf{x}^{-1}$ is the inverse local grid spacing, and $5 \times 10^{-5} \chi/L_z^2$. The latter timescale almost always sets the time step and was chosen to ensure that the simulations are extremely well resolved temporally.

We do not base our timestep size on the sound speed for the simulations of the FC equations (all equation sets are solved with the same timestep size). This is because we are able to implicitly time step the sound waves and are thus not limited by the sound speed Courant-Friedrichs-Lewy (CFL). A similar approach was used by Viallet et al. (2011, 2013), although they use an iterative, nonlinear implicit solve, whereas we only treat linear terms implicitly. This is significant because the typical rms Mach number of our nonlinear strongly stratified convection is 10^{-3} , and explicitly following the sound waves would increase the computation cost by approximately 10³, which would make low Mach number convection prohibitively expensive to simulate. The greater complexity of the FC equations (see Appendix D) makes them a factor of two slower than the AN simulations (the PI equations run at about the same speed as the FC equations).

The simulations are initialized by random, low-amplitude density perturbations (FC and PI equations) or entropy perturbations (AN equations). The system is evolved forward in time until a convective steady state is found. We assume we are in a steady state if the volume-averaged kinetic energy changes by less than a factor of 10^{-4} over 1000 iterations. For higher Ra, we sometimes did not find a steady-state solution; instead, the system evolves into a periodically varying state. In this case, we restart the simulation with different random initial conditions. If several different random initial conditions lead to periodically varying states, we stop the search. Of course, our limited search of initial conditions does not prove that there is no steady state for certain parameters, but it does suggest that the basin of attraction of a hypothetical steady-state solution is likely limited.

Sometimes we found multiple convective steady states. The most common state consists of two pairs of convective rolls. To facilitate comparison between different states, we only consider steady states consisting of two pairs of convective rolls (see



Figure 3. Steady convective states for the FC equations, varying the thermal conduction model, Ra, and L_z . The color depicts the total entropy (background plus perturbations); the convective layers are fairly adiabatic with a sharp boundary layer at the top of the domain. The color scale is chosen such that white corresponds to the entropy at the bottom of the domain, and red (blue) is 30% greater (less) than this value. The arrows show the flow field. Each steady state is labeled with the equation set, the thermal conduction model (*T* or *S* diffusion), the Rayleigh number, and the vertical length of the domain. In all cases, $L_x = 3L_z$. Panels (a)–(c) use the FC equations with *T* diffusion and $L_z = 100$ but varying Ra from 4×10^3 to 4×10^5 . Panels (d)–(f) use the FC equations with *S* diffusion and Ra = 4×10^4 but varying L_z from 10 to 100.

(A color version of this figure is available in the online journal.)



Figure 4. Steady convective states for different equations and thermal conduction models, with $Ra = 4 \times 10^4$ and $L_z = 100$. The quantities plotted and labeling are the same as for Figure 3, except that the red (blue) colors correspond to 50% greater (less) than the entropy value at z = 0. In all cases, $L_x = 3L_z$. Panels (a) and (b) use the *T* diffusion with the FC and AN equations, respectively. These plots are virtually identical. Panel (c) shows the convective steady state for the FC equations with *S* diffusion; the results for the AN and PI equations with *S* diffusion are virtually identical and not shown. Panel (d) shows the convective steady state for the PI equations with *T* diffusion.

(A color version of this figure is available in the online journal.)

Figures 3 and 4). If we changed the horizontal periodicity of the domain, the aspect ratio of the convective cells would likely stay close to the aspect ratio described here because this is the preferred aspect ratio of the system.

Figure 3 plots several convective steady states using the FC equations. We vary Ra in panels (a)–(c), fixing $L_z = 100$ and using T diffusion. As Ra increases, the boundary layer at the top of the domain decreases in size, and the flow and entropy become more asymmetric. The downflows between convective

rolls become sharper as Ra increases. At an Ra somewhat higher than 4×10^5 , the downflows become so sharp that they become unstable to an oscillatory instability.

In the bulk of the fluid, upflows carry high-entropy fluid, and downflows carry low-entropy fluid. However, things become more complicated in the upper boundary layer for highly stratified convection, where sometimes high-entropy fluid rests above downflows, and low-entropy fluid rests above upflows (see panel (a)). This could be due to two effects. First, viscous heating increases the entropy near the downflows where there are sharp velocity gradients. Second, because of the large stratification near the top of the box, upflows produce diverging flows that dilute entropy, whereas downflows produce converging flows that concentrate entropy.

Panels (d)–(f) show convective steady states with S diffusion for fixed Ra = 4×10^4 , but with increasing L_z . Increasing L_z also decreases the thickness of the boundary layer (relative to the box size) at the top of the domain. Note that as L_z increases, the critical Ra (at which convection begins) also increases. Thus, Ra/Ra_c is decreasing with increasing L_z , which might naively lead one to believe that the boundary layer thickness should *increase* with increasing L_z , the opposite of what we find.

However, these convective steady states are in some ways consistent with the idea that convection only occurs on the local scale height. In all cases, the local scale height is ≈ 1 near the top of the box. This corresponds to 1/10 of the domain when $L_z = 10$, but 1/100 of the domain when $L_z = 100$. Thus, the upper boundary layer might be influenced by the local scale height near the top of the box. The convective rolls also seem to have size $\sim L_z$, which is similar to the scale height at the bottom of the domain. However, there are no features on intermediate scales, even for $L_z = 100$, which contains seven density scale heights.

In Figure 4, we vary the equations and thermal conduction model, fixing Ra = 4×10^4 and $L_z = 100$. Panels (a) and (c) correspond to panels (b) and (f) in Figure 3. The FC and AN equations with T diffusion (panels (a) and (b)) look virtually identical. There are slight differences between the FC equations with T and S diffusion (panels (a) and (c)): the upper boundary layer is slightly smaller for S diffusion.

However, there are substantial differences between the steady state for the PI equations with T diffusion (panel (d)) and the other three steady states. The entropy variation with height is very different for the PI steady state: the entropy is much larger at the top of the box than the rest of the domain. It might appear that the convective steady state does not satisfy the S' = 0boundary condition at the top of the domain. However, this is only because there is an extremely thin boundary layer at the top of the domain that is well resolved in the simulation but is smaller than the resolution of the image. Also, the flow pattern looks very different, with less asymmetry between the upflows and downflows than in the FC and AN simulations. The convective steady states were virtually identical for the FC and AN equations using T diffusion and all three equation sets with S diffusion. The only equations that show strong differences from the others are the PI equations with T diffusion.

To more quantitatively compare the different convective steady states, we plot the rms Re in Figure 5. We define the rms Re to be

$$\operatorname{Re}_{\operatorname{rms}} = \frac{\sqrt{\langle |\boldsymbol{u}|^2 \rangle} L_z}{\nu}.$$
(63)

Note that we were not able to find convective steady states for high Ra for some of the low L_z boxes and with the PI equations with T diffusion.

The *T* and *S* diffusion models produced very similar results for the FC and AN equations. For all three L_z , the difference in Re_{rms} at the lowest Ra was less than 1% and at the highest Ra was $\approx 4\%$. In contrast, the PI equations with *T* diffusion have convective steady states that are rather different (note that the PI equations with *S* diffusion give results practically indistinguishable from the FC or AN equations with *S* diffusion). Although the differences in Re_{rms} between the FC and PI



Figure 5. Re_{rms} (Equation (63)) of convective steady states as a function of Ra (Equation (62)) for different equation sets, thermal conduction models, and box sizes L_z . Solid lines show results for the FC and AN equations with *T* diffusion, dotted lines show results for the FC, PI, and AN equations with *S* diffusion. Blue, yellow, and red lines show results for $L_z = 10$, 30, and 100, respectively (recall that $L_x = 3L_z$). The different equation sets that have been grouped together have differences in Re_{rms} of less than 1% (and typically less than 0.01%) for each Ra and L_z shown. Although the *T* and *S* diffusion models track each other fairly well for the FC and AN equations, the PI equations with *T* diffusion have convective steady states with low Re_{rms}, especially for highly stratified domains. (A color version of this figure is available in the online journal.)

equations with T diffusion are <5% for all Ra for $L_z = 10$, at high Ra, the differences grow to 15% for $L_z = 30$ and 35% for $L_z = 100$. These results are consistent with the similarity and differences between the convective steady states shown in Figure 4.

It might seem odd that the PI equations seem to be substantially different from the FC and AN equations with *T* diffusion but virtually identical to the FC and AN equations with *S* diffusion. The difference lies in the PI equation of state (Equation (26)), in which *P* is replaced by \overline{P} . For low Mach number convection, $\rho'/\overline{\rho}$, P'/\overline{P} , and S'/C_P are all $\mathcal{O}(Ma^2)$. However, the PI equation of state assumes that $\rho'/\overline{\rho}$ and S'/C_P are $\mathcal{O}(1)$ but that $P'/\overline{P} \sim \mathcal{O}(Ma^2)$. Thus, the PI equation of state introduces inaccuracies in thermodynamic variables.

When using *S* diffusion, the equation of state is in some sense "not used." Summing the PI continuity equation (Equation (21)) and the PI constraint equation (Equation (22)) and using the PI equation of state (26), one can show that S'_{PI} and S'_{AN} satisfy the same equation. However, when using *T* diffusion, the equation of state must be used to relate T'_{PI} to other thermodynamic quantities. In this case, there are differences in T'_{PI} and T'_{AN} because the latter depends on the pressure perturbation.

6. CONCLUSION

This paper examines the differences between the temperature diffusion and entropy diffusion models of thermal conduction for three different equations sets: the FC equations, the PI equations, and the AN equations. We study both damping rates of linear IGW modes and the properties of low Rayleigh number convective steady states.

Overall, we find little difference between temperature diffusion and entropy diffusion, provided that the conductivities are related by

$$\overline{\kappa_T} = T \overline{\kappa_S} / C_P. \tag{64}$$

Using a different relation between $\overline{\kappa_T}$ and $\overline{\kappa_S}$ will cause differences between the temperature and entropy diffusion models, just as there are differences between temperature diffusion using two different conductivities. Although entropy

diffusion could lead to nonmonotonicity of the total entropy, in practice, it generally does not because the ∇S field is often aligned with the ∇T field (Section 4.2). The only way to ensure that entropy will increase monotonically is to use temperature diffusion (Landau & Lifshitz 1959).

Temperature and entropy diffusion give the same linear, IGW damping rates for all three equation sets, provided that $kH \gg 1$, i.e., that the wavelength is shorter than the density scale height. For $kH \lesssim 1$, we find modest differences between damping rates for different equation sets and thermal conduction models (see Figure 1). The longest wavelength modes we studied (≈ 6.6 density scale heights) have damping-rate errors of $\sim 20\%$ for the AN equations (with either thermal conduction model) and PI equations with entropy diffusion, but errors of $\sim 50\%$ for the PI equations with temperature diffusion. We believe that the large errors in the PI equations with temperature diffusion are due to inaccuracies in the PI equation of state (which assumes that the pressure perturbations are much smaller than the density and entropy perturbations and can be dropped).

We also calculate convective steady states using Dedalus (Section 5). The flexibility of Dedalus allows us to study the FC, AN, and PI equations all within the same framework. Furthermore, by implicitly time stepping sound waves, we are able to take the same time step in FC calculations as the AN and PI calculations (despite having Mach numbers of 10^{-3}). Because the implementation of the FC equations is more complicated than the implementation of the AN equations, we find that the FC simulations run about half as fast as the AN simulations. The PI simulations run at about the same speed as the FC simulations.

For Rayleigh numbers above the instability threshold of convection but below the onset of oscillatory instabilities, we find many convective steady states for box sizes ranging from three density scale heights to seven density scale heights. The convective steady states are essentially identical for the FC equations and AN equations with temperature diffusion; similarly, the convective steady states are essentially identical for all three equation sets using entropy diffusion. Furthermore, these two classes of steady states are very similar (Figure 5). However, the PI equations with temperature diffusion find convective steady states with much lower rms Reynolds number than the other equations and with very different convection patterns (Figure 4). We again attribute the difference to the incorrect equation of state, which does not correctly calculate the temperature perturbation for low Mach number convection. The differences are largest for the most strongly stratified domains because the convective rolls have the longest wavelengths $(kH \lesssim 1).$

In a similar analysis, Calkins et al. (2014a) calculate the critical Rayleigh number for the onset of convection for the FC

equations (with temperature diffusion) and the AN equations with both temperature and entropy diffusion, also including the effects of rotation. They also find that the AN and FC equations with temperature diffusion give nearly identical results in the low Mach number limit (when the background is very close to adiabatic). They find much larger differences between entropy and temperature diffusion than we do. This is likely because their entropy diffusion model diffuses $\overline{T}S'$, unlike our own that diffuses S'. Diffusing $\overline{T}S'$ is equivalent to using temperature diffusion in the PI equations, which we have shown can produce substantial errors for highly stratified domains.

Although we find only minor differences between temperature and entropy diffusion for linear internal waves and low Rayleigh number convective steady states, there is no guarantee that these different thermal conduction models will continue to give similar results in the strongly nonlinear regime. In the future, we plan to investigate the effects of different thermal conduction models on strongly nonlinear wave breaking and high Rayleigh number convection. Our present results show that the differences between temperature and entropy diffusion in convective steady states grow as the Rayleigh number increases, with entropy diffusion overestimating the velocities in the convective steady states. Perhaps this indicates that at the very high Rayleigh numbers of stellar convection, there are substantial and important differences between temperature and entropy diffusion.

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APPENDIX A

EIGENVALUE EQUATIONS AND DAMPING RATES FOR FULLY COMPRESSIBLE EQUATIONS

The eigenvalue equations for the FC equations are somewhat more complicated than for the AN equations. We again drop all terms of order $(kH)^{-1} \ll 1$. The eigenvalue equation for *T* diffusion is

$$\left[\omega^{2}\left(1-i\frac{\overline{\kappa_{T}}\nabla^{2}}{\omega C_{P}}\right)^{2}\nabla^{2}+\frac{\omega^{4}}{\overline{c_{s}}^{2}}\left(1-i\frac{\chi_{T}\nabla^{2}}{\overline{\rho}C_{P}\omega}\right)\left(1-i\frac{\gamma\overline{\kappa_{T}}\nabla^{2}}{\overline{\rho}C_{P}\omega}\right)-\frac{g}{C_{P}}\left(1-i\frac{\overline{\kappa_{T}}\nabla^{2}}{\overline{\rho}C_{P}\omega}\right)\left(\partial_{z}\overline{S}\left(\nabla_{\perp}^{2}+\frac{\omega^{2}}{\overline{c_{s}}^{2}}\right)+i\omega\frac{\gamma-1}{\overline{c_{s}}^{2}}\frac{\overline{\kappa_{T}}}{\overline{\rho}}\nabla^{2}\partial_{z}\right)\right]w=0.$$
(A1)

The eigenvalue equation for S diffusion is

$$\left[\omega^2 \left(1 - i\frac{\overline{\kappa_s}\nabla^2}{\overline{\rho}\overline{T}\omega}\right) \left(\nabla^2 + \frac{\omega^2}{\overline{c_s}^2}\right) - g\frac{\partial_z \overline{S}}{C_P} \left(\nabla_{\perp}^2 + \frac{\omega^2}{\overline{c_s}^2}\right)\right] w = 0.$$
(A2)

Unlike the eigenvalue equations for the AN equations, these are not equivalent. This is partially because sound waves are affected differently by temperature and entropy diffusion. The IGWs with $kH \gg 1$ have the property

$$k^2 \gg \frac{\omega^2}{\overline{c_s}^2}.$$
 (A3)

If we use this relation, the eigenvalue equation with *T* diffusion is

$$\left[\omega^2 \left(1 - i\frac{\overline{\kappa_T}\nabla^2}{\overline{\rho}C_P\omega}\right)\nabla^2 - \frac{g}{C_P}\left(\partial_z \overline{S}\nabla_\perp^2 + i\omega\frac{\gamma - 1}{\overline{c_s}^2}\frac{\overline{\kappa_T}}{\overline{\rho}}\nabla^2\partial_z\right)\right]w = 0,\tag{A4}$$

whereas the eigenvalue equation with S diffusion is

$$\left[\omega^2 \left(1 - i\frac{\overline{\kappa_S}\nabla^2}{\overline{\rho}\overline{T}\omega}\right)\nabla^2 - g\frac{\partial_z\overline{S}}{C_P}\nabla^2_{\perp}\right]w = 0.$$
(A5)

These equations are still not equivalent because of the last term in Equation (A4). It cannot be shown to be small in comparison to $\partial_z \overline{S} \nabla_{\perp}^2$ unless $\overline{\kappa_T}$ is assumed to be small. For weakly damped waves, the eigenvalue equations are equivalent under the identification $\overline{\kappa_S} = \overline{T} \overline{\kappa_T} / C_P$. However, for strongly damped modes (which are no longer wave-like), we expect larger differences.

One can show that the perturbation energy for the FC equations is

$$E = \frac{1}{2}\overline{\rho}|\boldsymbol{u}|^2 + \frac{1}{2}\frac{g\overline{\rho}}{C_P\partial_z\overline{S}}S^{\prime 2} + \frac{1}{2}\frac{\overline{\rho}}{\overline{c_s}^2}\boldsymbol{\varpi}^{\prime 2},\tag{A6}$$

and the T and S diffusion damping terms are

$$\theta_T = \overline{\kappa_T} \nabla \left(\frac{gS'}{C_P \overline{T} \partial_z \overline{S}} + \frac{\overline{\varpi}'}{\overline{T} C_P} \right) \cdot \nabla \left(\overline{T} \frac{S'}{C_P} + \frac{\gamma - 1}{\gamma} \overline{\varpi}' \right), \tag{A7}$$

$$\theta_{S} = \overline{\kappa_{S}} \nabla \left(\frac{gS'}{C_{P}\overline{T}\partial_{z}\overline{S}} + \frac{\overline{\omega}'}{\overline{T}C_{P}} \right) \cdot \nabla S'.$$
(A8)

For weak dissipation, both S' and ϖ' can be related to w by

$$S' = -i\frac{w\partial_z \overline{S}}{\omega},\tag{A9}$$

$$\varpi' = i\omega \frac{\partial_z w + \frac{1}{\gamma} (\partial_z \log \overline{p}) w}{\frac{\omega^2}{\overline{c_s}^2} + k_\perp^2} \approx \frac{\omega^2 - \overline{N}^2}{\omega k_z} w,$$
(A10)

where the approximation is dropping terms of order $(k_z H)^{-1}$ and smaller. This implies that the S' contribution is much larger than the $\overline{\sigma}'$ contribution to θ_T and θ_S :

$$\left|\frac{\overline{T}S'}{C_P}\right| \left|\frac{\overline{\omega}'}{\gamma}\right|^{-1} = \left(\frac{\overline{c_s}^2 k_z^2}{\omega^2 - \overline{N}^2}\right) \left(\frac{\partial_z \left(\frac{S}{C_P}\right)}{k_z}\right),\tag{A11}$$

$$\left|\frac{gS'}{\partial_z \overline{S}}\right| |\varpi'|^{-1} = \frac{gHk_z^2}{\omega^2 - \overline{N}^2} \frac{1}{k_z H}.$$
(A12)

Using the IGW eigenvalue equation and that $\overline{c_s}^2 \sim \overline{N}^2 H^2$, one can show that both of these terms are order $(kH)^{-1}$. The leading-order contributions to θ_T and θ_S are

$$\theta_T \approx \frac{g\overline{\kappa_T}}{C_P^2 \partial_z \overline{S}} \left| \boldsymbol{\nabla} S' \right|^2, \tag{A13}$$

$$\theta_{S} \approx \frac{g\overline{\kappa_{S}}}{C_{P}\overline{T}\partial_{z}\overline{S}} \left| \boldsymbol{\nabla} S' \right|^{2}. \tag{A14}$$

Thus, for perturbations with $k_z H \gg 1$ and weak dissipation, we have that thermal diffusion and entropy diffusion are equivalent under the identification $\overline{\kappa_s} = \overline{\kappa_T T}/C_P$.

APPENDIX B

EIGENVALUE EQUATIONS AND DAMPING RATES FOR PSEUDOINCOMPRESSIBLE EQUATIONS

Recall that for the linearized PI equations $T' = \overline{T}S'/C_P$. This makes temperature and entropy conduction extremely similar. In the limit $kH \gg 1$, the eigenvalue equation for *T* diffusion is

$$\left[\omega^{2}\left(1-i\frac{\overline{\kappa_{T}}}{\overline{\rho}C_{P}\omega}\nabla^{2}\right)\nabla^{2}-g\partial_{z}\frac{\overline{S}}{C_{P}}\nabla^{2}_{\perp}\right]w=0.$$
(B1)

The eigenvalue equation for S diffusion is

$$\left[\omega^2 \left(1 - i \frac{\overline{\kappa_s}}{\overline{\rho} \overline{T} \omega} \nabla^2\right) \nabla^2 - g \partial_z \frac{\overline{s}}{C_P} \nabla_\perp^2\right] w = 0.$$
(B2)

These are equal to each other when $\overline{\kappa_S} = \overline{\kappa_T T} / C_P$. This shows that *T* and *S* diffusion are equivalent for the PI equations as long as $kH \gg 1$, irrespective of the strength of thermal conduction.

The expression for the perturbation energy is

$$E = \frac{1}{2}\overline{\rho} |\boldsymbol{u}|^2 + \frac{1}{2} \frac{g\overline{\rho}}{C_P \partial_z \overline{S}} S^{\prime 2}, \tag{B3}$$

and the thermal and entropy damping terms are

$$\theta_T = \overline{\kappa_T} \nabla \left(\frac{gS'}{C_P \overline{T} \partial_z \overline{S}} + \frac{\overline{\varpi}'}{\overline{T} C_P} \right) \cdot \nabla \left(\overline{T} \frac{S'}{C_P} \right), \tag{B4}$$

$$\theta_{S} = \overline{\kappa_{S}} \nabla \left(\frac{gS'}{C_{P} \overline{T} \partial_{z} \overline{S}} + \frac{\overline{\omega}'}{\overline{T} C_{P}} \right) \cdot \nabla S'.$$
(B5)

This is very similar to the FC expressions, except that $T' = \overline{T}S'/C_P$ because there is no $\overline{\omega}'$ term in the equation of state. In the limit of $kH \gg 1$, these two expressions are equivalent because

$$\boldsymbol{\nabla}\left(\overline{T}\frac{S'}{C_P}\right) \approx \frac{\overline{T}}{C_P} \boldsymbol{\nabla}\left(S'\right). \tag{B6}$$

APPENDIX C

THERMAL CONDUCTION AND SOUND WAVES

In this paper, we focus on the differences in IGW damping rates between equation sets and thermal conduction models. However, the FC equations also admit sound waves. Here we demonstrate, using Dedalus, the substantial differences in sound wave damping when using either T or S diffusion.

We solve the linear FC equations for the same background and parameters as in Section 3. We check the damping rate of each mode against the analytic result given in Appendix A. The sound waves are much harder to resolve than IGWs; thus, some of our sound wave damping rates disagree with the analytic damping rates by as much as 20% (with a vertical resolution of 256 modes). However, the differences between *T* and *S* diffusion are much larger than this, so we have not repeated the calculation at higher resolution to reduce the errors.

In Figure 6, we plot the damping rates and oscillation frequencies for sound wave modes, using either T or S diffusion. Although the damping rate increases with increasing k (and increasing oscillation frequency) for T diffusion, the damping rate stays about constant for S diffusion. The oscillation frequencies between the modes agree well because they are only weakly damped.

For sound waves, the damping rate using *S* diffusion becomes increasingly inaccurate as *k* increases. This is the opposite result as for IGWs. Although the dominant contribution to T' is *S'* for IGWs, the dominant contribution to T' is the pressure perturbation ϖ' for sound waves. In Section 4, we show that the ratio of the ϖ' contribution to T' to the *S'* contribution to T' decreases with increasing *k* if the modes follow the IGW dispersion relation, but it increases with increasing *k* if the modes follow the sound wave dispersion relation.

Physically, this is because sound waves are almost adiabatic waves. In the absence of gravity, they are completely adiabatic. On the other hand, because they are pressure-driven waves, they have large pressure perturbations, which correspond to large temperature perturbations. Thus, sound waves are much more efficiently damped with T diffusion than S diffusion.

APPENDIX D

EQUATION IMPLEMENTATION IN DEDALUS

Dedalus solves systems of equations that are first order in z. Time derivatives are here discretized using an implicit–explicit scheme; equations are written such that terms on the LHS of the equals sign are temporally discretized implicitly (i.e., the term is evaluated in the future), and terms on the RHS of the equals sign are temporally discretized explicitly (i.e., the term is only evaluated in the present or past). Only linear terms can be treated implicitly, although they are not required to be.



Figure 6. Damping rates and oscillation frequencies of sound wave modes of the FC equations, using either T or S diffusion. The first 10 radial and horizontal modes are shown. Each cluster of modes (most visible at high oscillation frequency) corresponds to a single horizontal mode number. The oscillation frequency increases with increasing wavenumber.

(A color version of this figure is available in the online journal.)

D.1. Fully Compressible Equations

We implement the FC equations with temperature diffusion as

$$\partial_{t}w + \partial_{z}T' + \overline{T}\partial_{z}\Upsilon' + T'\partial_{z}\log\overline{\rho} - \nu \left[\partial_{x}^{2}w + \partial_{z}w_{z} + 2\partial_{z}\log\overline{\rho}w_{z} + \frac{1}{3}(\partial_{x}u_{z} + \partial_{z}w_{z}) - \frac{2}{3}\partial_{z}\log\overline{\rho}(\partial_{x}u + w_{z})\right]$$
$$= -T'\partial_{z}\Upsilon' - u\partial_{x}w - ww_{z} + \nu \left[u_{z}\partial_{x}\Upsilon' + 2w_{z}\partial_{z}\Upsilon' + \partial_{x}w\partial_{x}\Upsilon' - \frac{2}{3}\partial_{z}\Upsilon'(\partial_{x}u + w_{z})\right],$$
(D1)

$$\partial_{t}u + \partial_{x}T' + \overline{T}\partial_{x}\Upsilon' - \nu \left[\partial_{x}^{2}u + \partial_{z}u_{z} + \partial_{z}\log\overline{\rho}\left(u_{z} + \partial_{x}w\right) + \frac{1}{3}\left(\partial_{x}^{2}u + \partial_{x}w_{z}\right)\right]$$

$$= -T'\partial_{x}\Upsilon' - u\partial_{x}u - wu_{z} + \nu \left[2\partial_{x}u\partial_{x}\Upsilon' + \partial_{x}w\partial_{z}\Upsilon' + u_{z}\partial_{z}\Upsilon' - \frac{2}{3}\partial_{x}\Upsilon'\left(\partial_{x}u + w_{z}\right)\right],$$
(D2)

$$\partial_t \Upsilon' + w \partial_z \log \overline{\rho} + \partial_x u + w_z = -u \partial_x \Upsilon' - w \partial_z \Upsilon', \tag{D3}$$

$$\partial_{t}T' + w\partial_{z}\overline{T} + (\gamma - 1)\overline{T}(\partial_{x}u + w_{z}) - \frac{\chi}{C_{V}} \left(\partial_{x}^{2}T' - \partial_{z}\tilde{Q}'_{z} - \tilde{Q}'_{z}\partial_{z}\log\overline{\rho}\right)$$

$$= -u\partial_{x}T' - w\partial_{z}T' - (\gamma - 1)T'(\partial_{x}u + w_{z}) + \frac{\chi}{C_{V}} \left(\partial_{x}T'\partial_{x}\Upsilon' - \tilde{Q}'_{z}\partial_{z}\Upsilon'\right)$$

$$+ \frac{\nu}{C_{V}} \left[2(\partial_{x}u)^{2} + (\partial_{x}w)^{2} + u_{z}^{2} + 2w_{z}^{2} + 2u_{z}\partial_{x}w - \frac{2}{3}(\partial_{x}u + w_{z})^{2}\right], \qquad (D4)$$

$$\tilde{Q}'_z + \partial_z T' = 0, \tag{D5}$$

$$\frac{S'}{C_P} - \frac{T'}{\gamma \overline{T}} + \frac{1}{C_P} \Upsilon' = \frac{1}{\gamma} \left[\log \left(1 + \frac{T'}{\overline{T}} \right) - \frac{T'}{\overline{T}} \right],\tag{D6}$$

$$w_z - \partial_z w = 0, \tag{D7}$$

$$u_z - \partial_z u = 0. \tag{D8}$$

In these equations, u and w are the horizontal and vertical velocity, respectively. The temperature field is decomposed as $T = \overline{T} + T'$, the density field is decomposed as $\log \rho = \log \overline{\rho} + \Upsilon'$, and the entropy field is decomposed as $S = \overline{S} + S'$. The normalized vertical heat flux \tilde{Q}'_z is the vertical component of the heat flux divided by $\chi \rho$. Equations (D1) and (D2) are the vertical and horizontal momentum equations respectively, Equation (D3) is the continuity equation, and Equation (D4) is the equation for temperature. Equation (D5) defines the heat flux, Equation (D6) is the fully nonlinear equation of state, and Equations (D7) and (D8) define quantities that have second vertical derivatives within our first-order system. These are equivalent to Equations (13)–(15). Note that the entropy perturbation solved for in the equation of state (Equation (D6)) is only used to enforce the S' = 0 boundary condition. The momentum equations and the temperature equation have nonlinear diffusion terms that are treated explicitly.

For the FC equations with entropy diffusion, Equations (D4) and (D5) are replaced by

$$\partial_{t}T' + w\partial_{z}\overline{T} + (\gamma - 1)\overline{T}(\partial_{x}u + w_{z}) - \frac{\chi}{C_{V}}\left(\overline{T}\partial_{x}^{2}\frac{S'}{C_{P}} - \partial_{z}\tilde{Q}'_{z} - \tilde{Q}'_{z}\partial_{z}\log\overline{\rho}\right)$$

$$= -u\partial_{x}T' - w\partial_{z}T' - (\gamma - 1)T'(\partial_{x}u + w_{z}) + \frac{\chi}{C_{V}}\left(\overline{T}\partial_{x}\frac{S'}{C_{P}}\partial_{x}\Upsilon' - \tilde{Q}'_{z}\partial_{z}\Upsilon'\right)$$

$$+ \frac{\nu}{C_{V}}\left[2(\partial_{x}u)^{2} + (\partial_{x}w)^{2} + u_{z}^{2} + 2w_{z}^{2} + 2u_{z}\partial_{x}w - \frac{2}{3}(\partial_{x}u + w_{z})^{2}\right], \quad (D9)$$

$$\tilde{Q}'_z + \overline{T} \partial_z \frac{S'}{C_P} = 0. \tag{D10}$$

Note that χ , ν , and γ are assumed to be constant. In both conduction models, we solve for the variables $u, u_z, w, w_z, \Upsilon', T', S', and \tilde{Q}'_z$.

D.2. Pseudoincompressible Equations

Our implementation of the PI equations with temperature diffusion is

$$\partial_{t}w + \partial_{z}\overline{\omega}' + \overline{\omega}\partial_{z}\Upsilon' - \overline{\omega}'\partial_{z}\frac{\overline{S}}{C_{P}} - \nu \left[\partial_{x}^{2}w + \partial_{z}w_{z} + 2\partial_{z}\log\overline{\rho}w_{z} + \frac{1}{3}\left(\partial_{x}u_{z} + \partial_{z}w_{z}\right) - \frac{2}{3}\partial_{z}\log\overline{\rho}\left(\partial_{x}u + w_{z}\right)\right]$$
$$= -\overline{\omega}'\partial_{z}\Upsilon' - u\partial_{x}w - ww_{z} + \nu \left[u_{z}\partial_{x}\Upsilon' + 2w_{z}\partial_{z}\Upsilon' + \partial_{x}w\partial_{x}\Upsilon' - \frac{2}{3}\partial_{z}\Upsilon'\left(\partial_{x}u + w_{z}\right)\right], \tag{D11}$$

$$\partial_{t}u + \partial_{x}\overline{\omega}' + \overline{\omega}\partial_{x}\Upsilon' - \nu \left[\partial_{x}^{2}u + \partial_{z}u_{z} + \partial_{z}\log\overline{\rho}\left(u_{z} + \partial_{x}w\right) + \frac{1}{3}\left(\partial_{x}^{2}u + \partial_{x}w_{z}\right)\right]$$

$$= -\overline{\omega}'\partial_{x}\Upsilon' - u\partial_{x}u - wu_{z} + \nu \left[2\partial_{x}u\partial_{x}\Upsilon' + \partial_{x}w\partial_{z}\Upsilon' + \partial_{z}\Upsilon'u_{z} - \frac{2}{3}\partial_{x}\Upsilon'\left(\partial_{x}u + w_{z}\right)\right], \qquad (D12)$$

$$\partial_t \Upsilon' + w \partial_z \log \overline{\rho} + \partial_x u + w_z = -u \partial_x \Upsilon' - w \partial_z \Upsilon', \tag{D13}$$

$$w\partial_{z}\log\overline{P} + \gamma \left(\partial_{x}u + w_{z}\right) - \frac{\chi}{C_{V}\overline{T}} \left(\partial_{x}^{2}T' + \partial_{z}T'_{z} + T'_{z}\partial_{z}\log\overline{\rho}\right)$$

$$= \frac{\chi}{C_{V}\overline{T}} \left[\partial_{x}T'\partial_{x}\exp\Upsilon' + \left(\partial_{x}^{2}T' + \partial_{z}T'_{z} + T'_{z}\partial_{z}\log\overline{\rho}\right)\left(\exp(\Upsilon') - 1\right) + T'_{z}\partial_{z}\exp(\Upsilon')\right]$$

$$+ \nu \frac{\exp(\Upsilon')}{C_{V}\overline{T}} \left[2\left(\partial_{x}u\right)^{2} + \left(\partial_{x}w\right)^{2} + u_{z}^{2} + 2w_{z}^{2} + 2u_{z}\partial_{x}w - \frac{2}{3}\left(\partial_{x}u + w_{z}\right)^{2}\right], \quad (D14)$$

 $T' + \overline{T}\Upsilon' = \overline{T}(\exp(-\Upsilon') - 1 + \Upsilon'), \tag{D15}$

 $T_z' - \partial_z T' = 0, \tag{D16}$

$$w_z - \partial_z w = 0, \tag{D17}$$

$$u_z - \partial_z u = 0. \tag{D18}$$

Equations (D11) and (D12) are the vertical and horizontal momentum equations, respectively, Equation (D13) is the continuity equation, and Equation (D14) is the constraint equation. Equation (D15) is the fully nonlinear equation of state, and Equations (D16)–(D18) define quantities that have second vertical derivatives within our first-order system. These are equivalent to Equations (20)–(22). Note that the momentum equations and the constraint equation have nonlinear diffusion terms that are treated explicitly.

For the PI equations with entropy diffusion, Equations (D14)-(D16) are replaced by

$$w\partial_{z}\log\overline{P} + \gamma(\partial_{x}u + w_{z}) + \frac{\chi}{C_{V}\overline{T}} \left[\overline{T}\partial_{x}^{2}\Upsilon' - \partial_{z}\tilde{Q}'_{z} - \tilde{Q}'_{z}\partial_{z}\log\overline{\rho}\right]$$

$$= -\frac{\chi}{C_{V}\overline{T}} \left[\overline{T}\partial_{x}\Upsilon'\partial_{x}\exp(\Upsilon') + \left(\overline{T}\partial_{x}^{2} - \partial_{z}\tilde{Q}'_{z} - \tilde{Q}'_{z}\partial_{z}\log\overline{\rho}\right)(\exp(\Upsilon') - 1) - \tilde{Q}'_{z}\partial_{z}\exp(\Upsilon')\right]$$

$$+ \nu \frac{\exp(\Upsilon')}{C_{V}\overline{T}} \left[2(\partial_{x}u)^{2} + (\partial_{x}w)^{2} + u_{z}^{2} + 2w_{z}^{2} + 2u_{z}\partial_{x}w - \frac{2}{3}(\partial_{x}u + w_{z})^{2}\right], \quad (D19)$$

$$\tilde{Q}'_{z} + \overline{T}\partial_{z}\Upsilon' = 0. \tag{D20}$$

The variables here have the same meaning as for the FC equations. The only additional variables are $\overline{\varpi}$ and $\overline{\omega}'$. These are defined as follows. Call the PI pressure perturbation π' , and recall that $\overline{\beta} = \overline{P}^{1/\gamma}$. Define $\overline{\pi}$ by

$$\overline{\beta}\nabla\left(\frac{\overline{\pi}}{\overline{\beta}}\right) = g\overline{\rho},\tag{D21}$$

and define $\pi = \overline{\pi} + \pi'$. Then $\overline{\omega}$ is defined as $\overline{\omega} = \pi/\rho$, and we can split it up as $\overline{\omega} = \overline{\omega} + \overline{\omega}'$. We have that $\overline{\omega}$ satisfies the equation

$$\overline{\beta}\nabla\left(\frac{\overline{\varpi}}{\overline{\beta}}\right) + \overline{\varpi}\nabla\log\overline{\rho} = g.$$
(D22)

In the PI equations, entropy is proportional to Υ' , so the entropy boundary condition becomes $\Upsilon' = 0$ on the top and bottom of the domain. For the PI equations with temperature diffusion, we solve for the variables $u, u_z, w, w_z, \varpi', \Upsilon', T'$, and T'_z , and for the PI equations with entropy diffusion, we solve for the variables $u, u_z, w, w_z, \varpi', \Upsilon', T'$, and T'_z , and for the PI equations with entropy diffusion, we solve for the variables $u, u_z, w, w_z, \varpi', \Upsilon'$.

D.3. Anelastic Equations

Our implementation of the AN equations with temperature diffusion is

$$\partial_t w + \partial_z \overline{\varpi}' - g \frac{S'}{C_P} - \nu \left[\partial_x^2 w + \partial_z w_z + 2\partial_z \log \overline{\rho} w_z + \frac{1}{3} \left(\partial_x u_z + \partial_z w_z \right) - \frac{2}{3} \partial_z \log \overline{\rho} \left(\partial_x u + w_z \right) \right]$$

= $-u \partial_x w - w w_z,$ (D23)

$$\partial_t u + \partial_x \overline{\omega}' - \nu \left[\partial_x^2 u + \partial_z u_z + \partial_z \log \overline{\rho} \left(u_z + \partial_x w \right) + \frac{1}{3} \left(\partial_x^2 u + \partial_x w_z \right) \right] = -u \partial_x u - w u_z, \tag{D24}$$

$$\partial_{t}S' + w\partial_{z}\overline{S} - \chi \left[\partial_{x}^{2}\frac{S'}{C_{P}} + \frac{1}{C_{P}\overline{T}}\partial_{x}^{2}\overline{\omega}' - \frac{1}{\overline{T}}\partial_{z}\tilde{Q}'_{z} - \frac{1}{\overline{T}}\tilde{Q}'_{z}\partial_{z}\log\overline{\rho}\right]$$

$$= -u\partial_{x}S' - w\partial_{z}S' + \frac{\nu}{\overline{T}}\left[2(\partial_{x}u)^{2} + (\partial_{x}w)^{2} + u_{z}^{2} + 2w_{z}^{2} + 2u_{z}\partial_{x}w - \frac{2}{3}(\partial_{x}u + w_{z})^{2}\right], \qquad (D25)$$

$$\tilde{Q}'_{z} + \overline{T}\partial_{z}\frac{S'}{C_{P}} + \frac{S'}{C_{P}}\partial_{z}\overline{T} + \frac{1}{C_{P}}\partial_{z}\overline{\omega}' = 0,$$
(D26)

$$\partial_x u + w_z + w \partial_z \log \overline{\rho} = 0,$$
 (D27)

$$w_z - \partial_z w = 0, \tag{D28}$$

$$u_z - \partial_z u = 0. \tag{D29}$$

Equations (D24) and (D23) are the vertical and horizontal momentum equations, respectively, and Equation (D25) is the entropy equation. Equation (D26) defines the heat flux, and Equation (D27) is the constraint equation. Equations (D28) and (D29) define quantities that have second vertical derivatives within our first-order system.

For the AN equations with entropy diffusion, Equations (D25) and (D26) are replaced by

$$\partial_{t}S' + w\partial_{z}\overline{S} - \chi \left[\partial_{x}^{2}\frac{S'}{C_{P}} - \frac{1}{\overline{T}}\partial_{z}\tilde{Q}'_{z} - \frac{1}{\overline{T}}\tilde{Q}'_{z}\partial_{z}\log\overline{\rho}\right]$$

$$= -u\partial_{x}S' - w\partial_{z}S' + \frac{\nu}{\overline{T}}\left[2\left(\partial_{x}u\right)^{2} + \left(\partial_{x}w\right)^{2} + u_{z}^{2} + 2w_{z}^{2} + 2u_{z}\partial_{x}w - \frac{2}{3}\left(\partial_{x}u + w_{z}\right)^{2}\right],$$
(D30)

$$\tilde{Q}'_z + \overline{T}\partial_z \frac{S'}{C_P} = 0. \tag{D31}$$

The variables used here have all been defined for the FC and PI equations. One difference, however, is that $\overline{\omega}'$ is now a different pressure-type variable. Calling the AN pressure perturbation P', $\overline{\omega}' = P'/\overline{\rho}$. This is different from the definition of $\overline{\omega}'$ used in the PI equations. In both conduction models, we solve for the variables $u, u_z, w, w_z, \overline{\omega}', S'$, and \tilde{Q}'_z .

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