Advancements in branched bottlebrush polymers for responsive, targeted imaging

by

Molly **A.** Sowers

B.A., Cornell College (2012)

Submitted to the Department of Chemistry in Partial Fulfillment of the Requirements for the Degree of

Master of Science in Chemistry

at the

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ABSTRACT

Multi-modality and stimuli responsive nanoparticles are promising platform materials for medical imaging and diagnostics. Specifically magnetic resonance imaging (MRI) and nearinfrared (NIR) fluorescent probes can be used in combination to visualize biodistribution and *in vivo* clearance rates. We reasoned that through the use of a nitroxide radical MRI contrast agent along with a NIR fluorophore it would be possible to study these phenomena along with nitroxide reduction *in vivo.* Thus, we have developed branched bottlebrush copolymers that display compensatory fluorescence response to nitroxide reduction that enables correlation of MRI contrast, fluorescence intensity, and spin concentration in tissues. These polymers were synthesized **by** ring-opening metathesis copolymerization of two new branched macromonomers: one carries a bis-spirocyclohexyl nitroxide and the other the NIR dye *Cy5.5.* Promising preliminary results with the resulting polymers in solution MRI and NIR imaging studies as well as *in vitro* toxicity led us to explore the potential of these materials for *in vivo* applications.

Though nitroxide agents are promising organic agents for MRI applications, clinically, gadolinium-based MRI contrast agents are most common due to their high relaxivity and relatively low toxicity when bound to chelating ligands. We have also explored the idea of incorporation of gadolinium agents into our branched bottlebrush copolymer platform through the design of Gd-based branched macromonomers. While the fluorescence redox effects described in the nitroxide system above would not be applicable, chelated **Gd** could be used in much smaller concentration to provide similar MRI contrast. In this way, a small percentage of **Gd** could be added as an MRI tag to any polymer synthesized **by** ROMP.

A natural extension of the work described above is the incorporation of cellular targeting moieties for tissue-selective imaging. Toward this end, we propose the incorporation of known cellular targeting ligands onto the surface of branched bottlebrush polymers through the synthesis of end-functionalized branched macromonomers. The synthesis of several targeting ligands is described, alongside synthesis and characterization of positively charged nanoparticles for improved cellular uptake and ionic coordination of hyaluronic acid or other negatively charged polymers.

Thesis supervisor: Jeremiah **A.** Johnson Title: Assistant Professor of Chemistry

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Chapter I. ORCAFluors: Responsive dual-modal MR1 and near-IR imaging polymers

Introduction. Nanoparticle (NP)-based imaging agents have recently become pervasive in medical diagnostics research.¹⁻³ Of the common modalities, magnetic resonance imaging (MRI) and optical imaging are particularly common in clinical and research laboratories: MRI is used to image millions of patients per year,⁴ while near-infrared (NIR) optical imaging is emerging as a powerful tool for image-guided surgery,⁵ and is used routinely to monitor disease progression and nanoparticle biodistribution in animal models.^{6,7}

Several examples of nanoparticle $8-13$ and small molecule¹⁴⁻¹⁷ MRI/NIR imaging systems have been previously reported. In some cases, stimuli-responsive constructs are reported to provide enhanced contrast or emission when exposed to specific cellular signals.^{3,18,19} While these systems are often **highly** effective *in vitro and ex vivo,* short wavelength emitting fluorophores limit *in vivo* practicality.²⁰ Furthermore, these $N \sim 0$

Structures of branched MMs B. General procedure for ROMP synthesis of brush polymers

systems invariably rely on heavy metals to provide MRI contrast. While gadolinium-based agents are common in the clinic, they still face safety issues for certain patient populations. $21-23$ In an effort to address the toxicity of **Gd** agents, we investigated organic radical contrast agents, specifically nitroxide radicals. Nitroxides have generally been considered too low contrast or too reactive *in vivo* for use in a clinical setting.^{24,25} Conjugation of multiple nitroxides to a nanoscopic scaffold is a common strategy to address the former limitation; 26 increasing the

number of nitroxides per molecule increases the inherent molecular relaxivity of the probe. The latter issue can be addressed through the design of novel sterically shielded nitroxides. In **2013,** the Rajca group reported an important advance **by** combining these concepts: they attached sterically shielded spirocyclohexyl nitroxides **(chex) to** nanoscale dendrimers. **27,28** These materials displayed MRI contrast *in vivo* up to 1 h after injection. Though this technique was effective, the dendrimer architecture limits the number of chemically addressable groups, which must be divided between solubilizing polyethylene glycol **(PEG)** chains and MRI active **chex.**

Previous work in the Johnson group has shown that ring opening metathesis polymerization (ROMP) of branched, nitroxide-conjugated macromonomers is an effective route to PEG-based branched bottlebrush polymers with a dense, sterically-shielded nitroxide core.²⁹ Along with functional group compatibility, ROMP provides excellent control over the average degree of polymerization (size), and often provides high conversion with low molar mass dispersity. 30- 32 Using the branched macromonomer motif previously studied **by** the Johnson group also allows for one-to-one **PEG** to

solubility and shielding without sacrificing nitroxide density. Thus, we set out to create a PEG-based **chex** macromonomer **(chex-MM**, Figure 1) and a set of corresponding MRI contrast agents. The same of the fluorescent emission.

Figure 2. Redox response. Upon exposure to brush polymers for evaluation as organic ascorbic acid, nitroxide radicals will be reduced. This renders the polymer MRI inactive, while enhancing

To further demonstrate the advantages of our approach, we sought to incorporate a second imaging handle into these systems through simple copolymerization. We chose to use a near-IR fluorophore that would provide *in vivo* fluorescence-based tracking of the polymer after nitroxide reduction (i.e., once the polymer is no longer MRI active). As displayed in Figure 2, the interaction between fluorophores and nitroxide radicals can be used as a type of redox activated on-off switch. There is extensive precedent for TEMPO quenching the fluorescence of excited singlet states *via* catalysis of intersystem crossing.³³⁻³⁷ We attempted to utilize this quenching behavior **by** developing a polymer that would be MRI active in the radical form and fluorescent upon polymer reduction. Emission quenching is possible for a wide range of

Tissue absorption and background fluorescence is very low in this range,³⁸ which leads to a high signal-to-noise ratio.

Results and Discussion. In order to incorporate chex into our branched bottlebrush platform, we targeted the chex-azide shown in Scheme **1.** Chex-azide can be synthesized via coupling of 3-aminopropyl azide and chex-NHS. The Rajca lab generously supplied chex-NHS

Figure **3.** Polymer size characterization. **A. GPC** traces of several bottlebrush polymers derived from chex-MM with degree of polymerization n . B. Cryogenic transmission electron microscopy (Cryo-TEM) image of **55** unit bottlebrush polymer. Scale bar represents **25** nm.

for most of the following studies, though we have also conducted Rajca's original synthesis shown in Scheme **1.** The N-hydroxy succinimidal ester was then easily displaced using **3** aminopropyl azide.³⁹ Copper(I)-catalyzed azide-alkyne cycloaddition (CuAAC) "click" chemistry was employed to couple chex-azide to chex-MM in near quantitative yield using CuOAc in DCM solvent,^{30,40} with some product loss due from HPLC purification. Grubbs' 3^{rd} generation bis(pyridyl) catalyst was used to perform ring opening metathesis polymerization (ROMP) of chex-MM to generate branched bottlebrush polymers with average degrees of polymerization ranging from 13-141. **GPC** traces of this series can be found in Figure **3A;** cryogenic transmission electron miscroscopy (cryo-TEM, Figure 3B) and dynamic light scattering **(DLS,** Table **1)** were performed to further characterize the **55** unit bottlebrush P1 (Figure 3B).

A Ti-weighted MRI phantom for **P1 (10** mM nitroxide in PBS) shows a 3.34 ± 0.14 fold enhancement compared to PBS alone (Figure 4). Longitudinal (r_1) and transverse (r_2) relaxivities for **chex**-2.98 ± 0.16 MM and this series of polymers were measured using a Bruker 7 T MRI instrument (Table 1). The r_1 and r_2 values for **chex-MM** were $0.21 \text{ mM}^{-1} \text{s}^{-1}$ and $0.30 \text{ mM}^{-1} \text{s}^{-1}$, respectively. As expected for slowly

For example, the values for **P1** were $r_1 = 0.32$ mM⁻¹s⁻¹ and $r_2 = 0.82$ **mM-1 ^s1,** which correspond to *52%* and **173%** increases, respectively. The molecular relaxivities of **Pt** can be obtained **by** multiplying the per nitroxide values **by** the average number of nitroxides per particle: $r_{1mol} = 15.7$ and $r_{2mol} = 40.3$ for **P1**. These values are comparable to clinically used metal-based contrast agents 19, likely enough to provide sufficient contrast for *in vivo* imaging applications. The degree of polymerization $n = 55$ sample was chosen for all subsequent polymer studies.

Table **1.** Bottlebrush polymer characterization. Dispersity **(GPC),** hydrodynamic radius **(DLS),** and r_1 and r_2 relaxation parameters for brushes with varying degrees of polymerization (n). Proxyl refers to 3-carboxy-proxyl, and "dendrimer" refers to Rajca'a previously studied polypropylenimine (PPI) nitroxide-conjugated fourth generation dendrimer.

With positive MRI contrast results, we turned toward the synthesis of a fluorescent **Cy5.5-MM.** It was unknown whether the poly-ene functionality of *Cy5.5* would be compatible with ROMP. Furthermore, there were no previous reports of *Cy5.5* fluorescence quenching **by** TEMPO. Starting with the *Cy5.5* **NHS** ester, **Cy5.5-MM** (Figure **1)** was synthesized analogously to **chex-MM.** Polymerization was then tested **by** adding *5%* **Cy5.5-MM** with *95%* nonfunctional **PEG-MM.** Overlapping refractive index and **UV** *(675* nm) traces of the resulting polymer indicated complete incorporation of **Cy5.5-MM.** To examine the fluorescent properties of a dualmodal nitroxide/fluorophore, polymer **OF1** was polymerized via ROMP of **1% Cy5.5-MM** and 99% chex-MM with a target degree of polymerization $n = 55$. This polymerization was performed on scales up to **250** mg in near-quantitative yield.

It has been shown that TEMPO can quench up to 64% of fluorescent emission of $Cy5⁴¹$ a similar cyanine dye to **Cy5.5,** when the two are covalently bound directly to each other; within our polymer construct, increased nitroxide-fluorophore distance led us to expect weaker interactions, though the increased number of nitroxides could be beneficial. Additionally, it was unclear to what extent the steric shielding of **chex** would affect emission quenching. To test these properties, a sample of **OF1was** added to **pH** *7.4* PBS buffer and excited at 640 nm. The emission at **703** nm (peak emission) was recorded and averaged over three samples. Sixty equivalents of sodium ascorbate, a major source of *in vivo* nitroxide reduction, 42, 43 were added to the solution and the maximum emission was recorded, providing a **116%** increase in fluorescence (Figure *5).* In the presence of 10mM glutathione **(GSH),** addition of ascorbate affected fluorescence more drastically, up to a 248% increase (figure **5C).** This behavior of coreductants is not unexpected; while addition of **GSH** alone does not readily affect fluorescence, **GSH** reduces ascorbate radicals that could otherwise re-oxidize hydroxylamines and thereby turn

Figure **5.** Fluorescent behavior of OF1. **A.** Absorbance and emission spectra of OF1. B. Emission change **0-75** minutes after addition of **60** eq. sodium ascorbate. **C.** Fluorescence increase after addition of sodium ascorbate or ascorbate with **GSH.** Control polymer with no nitroxides was also exposed to ascorbate reduction conditions, with negligible change in emission.

fluorescence **off.43** While the increase in fluorescence demonstrated **by** OF1 is less than seen in many small molecule sensors, we reasoned that it would be suitable for initial *in vivo* study.

Prior to the proposed imaging studies, the toxicity of OF1was examined *in vitro and in vivo.* An MTT cell viability assay of OF1 showed no toxicity to HeLa cells in concentrations up to **3** mg/mL, and *in vivo* tolerance was established in healthy BALB/c mice for doses up to 2000 mg-kg- **.4** NIR images were obtained using **IVIS** *(In Vivo* Imaging System) of the live animals to demonstrate our ability to track biodistribution, and blood draws were performed to obtain pharmacokinetic information. The fluorescent load of $OF1$ was enough to obtain quantitative clearance information, and prolonged blood circulation typical of this size nanoparticle was observed in a typical two-compartment fashion: an initial rapid **60%** decrease in fluorescence was observed, followed **by** slow decay to 20% remaining fluorescence over **3** days.

Figure **6. In vivo MR and IVIS** images and quantification. A,B. Pre- and **30** min post-injection MR images. Noticeable contrast can be seen in the renal pelvis and aorta. **C.** MR contrast per organ. **D,E.** Whole animal **IVIS** pre- and post-injection. F. **IVIS** of organs **30** minutes post-injection. **G.** Fluorescence and EPR intensity of blood and homogenized organs at **30** minutes and 24 hours.

Next, *in vivo* imaging experiments were performed to examine MRI and fluorescence differences between pre-injection, **30** minutes, and 24 hours after dosing with **OF1. By** quantifying changes to the MRI contrast, spin concentration (as measured **by** EPR), and fluorescence intensity of the organs at these time points, we were able to obtain kinetic information about the organ-by-organ signal changes caused **by** reduction and biodistribution/clearance of **OF1.**

Before administration of **OFt,** MRI parameters for Ti weighting were optimized using an **FSEMS** (fast spin-echo multi-slice) pulse sequence, and animal autofluorescence was examined via **IVIS.** The fluorescent intensity of the **OF1** dose was measured to provide the total amount of injected fluorescence. **All** procedures involving animals were approved **by** the MIT Committee for Animal Care and monitored **by** Koch Institute Animal Imaging Core director Scott Malstrom. Four animals were used for each experiment.

Animals were anesthetized with 1-2% isoflurane, imaged *via* MRI and **IVIS** (Figure **6A, D)** and administered (tail-vein injection) **30** mg **OF1** in **0.3** mL sterile PBS buffer. Animals were imaged again after **30** minutes (Figure 6B, **E)** or 24 hours and then sacrificed for organ collection. Fluorescence intensities were acquired for the heart, lung, liver, kidney, brain, spleen, muscle and blood (Figure **6F),** and organs were frozen on dry ice and sent to our collaborators for spin concentration determination via EPR. As seen in Figure **6C,** the highest MRI contrast at the **30** minute time point is found in the renal pelvis of the kidney, while the largest fluorescence signal was seen in the liver (Figure **6G).** At 24 hours, the MRI contrast was drastically diminished, but fluorescence signals and significant spin concentrations were observed in certain tissues. PEG nanoparticles of this size are known to selectively accumulate in the liver.⁴⁵ These data suggest that appreciable concentrations of **OF1** can be found in the liver, but the EPR data suggest that the nitroxides are reduced in this organ, which is the location of ascorbate biosynthesis in mice. This hypothesis is supported **by** the comparatively low EPR signal in the liver, alongside previous work in nitroxide reduction kinetics.^{46,47} Furthermore, the maximum MRI contrast and spin are observed in blood, which is expected given the extended blood circulation time of these PEG-based particles along with the very low concentration of ascorbate in blood.

The promising *A* results displayed **by** explore this combination in other polymer architectures **b** chex-MM with the goals of

Figure 7. Structures and general procedures for polymer formation. **A. Chex**increasing MRI **MM** to star and B. **Nb-chex** and PEG-MM to random copolymer brush.

contrast, the on/off signal-to-noise of the fluorescence probe, and introducing degradable groups into these architectures. Relaxivity is **highly** dependent on the hydrodynamic environment around the radical, as well as the tumbling rate of the particles as determined **by** the aspect ratio and size of a particle.⁴⁸ To alter these parameters, we have performed preliminary studies on two additional types of macromolecular architectures: random copolymer bottlebrush polymers and brush-arm star polymers (Figure **7).** Copolymer bottlebrush polymers were created **by** synthesis of a small molecule analog of **chex-MM** labeled Nb-chex, and copolymerizing it with nonfunctional **PEG-MM** in varying ratios. Brush-arm star polymers (BASPs) were created from **chex-MM** and a bifuctional norbornene crosslinker synthesized by Jenny Liu.³¹ By polymerizing short bottlebrush polymers and varying the number of added crosslinker **(XL)** equivalents, BASPs with a range of molecular weights were polymerized. **GPC** and **DLS** data were collected for each sample, and preliminary MRI studies were performed in the Jasanoff laboratory to measure r_1 and r_2 relaxivity (Table 1). While r_1 relaxivities were comparable to **OF1**, r_2 relaxivity for the star polymers saw a 7-fold increase over the highest previous measurement.

Conclusions. **A** class of entirely organic, ascorbate-responsive, dual-modality molecular imaging agents was prepared using graft-through ROMP of novel spirocyclohexyl nitroxide and Cy5.5-conjugated MMs. Exposure of these materials to ascorbate leads to nitroxide reduction and enhanced fluorescence emission. *In vivo* studies confirmed significant MRI contrast enhancement (among the highest known for organic MRI contrast agents), as well as fluorescence emission that correlates with ascorbate concentration *in vitro and in vivo.* When viewed together, data from MRI, **IVIS,** and EPR suggest a correlation between ascorbate concentration and **OFt** fluorescence, confirming that **OF1** can be used to provide complementary information about *in vivo* redox processes. To our knowledge, this work represents the first example of an organic agent for dual ¹H MRI contrast enhancement and fluorescence imaging. The redox response and long circulation of these particles could make them applicable for tumor imaging studies; additionally, the modular synthesis is amenable to incorporation of targeting ligands or therapeutics.

Experimental Methods.

General Considerations. All reagents and solvents were purchased from Aldrich or VWR unless otherwise indicated. Bis-spirocyclohexylnitroxide-N-hydroxysucciniidyl (NHS) ester,²⁸ 3aminopropyl azide³⁹, *exo*-norbornene alkyne-*branch*-NHS ester³⁰, and Grubbs 3rd generation bis(pyridyl) catalyst⁴⁹ were synthesized according to previously reported literature procedures. Anhydrous, deoxygenated dichloromethane **(DCM)** and tetrahydrofuran (THF) were used from solvent purification columns **(JC** Meyer).

Instrumentation information. ¹H and ¹³C nuclear magnetic resonance (¹H NMR) spectra were obtained from Bruker **AVANCE-400** NMR spectrometers at MIT. NMR spectra were analyzed using MestReNova NMR **8.0.1** software and referenced to the residual chloroform peak at **7.26 ppm.**

Electron Paramagnetic Resonance (EPR) spectra were obtained at the University of Nebraska using a Bruker CW X-band spectrometer, equipped with a frequency counter. The spectra were obtained using a dual mode cavity; all spectra were recorded using an oscillating magnetic field perpendicular **(TE 02)** to the swept magnetic field. 2,2-diphenyl-1 -picrylhydrazyl (DPPH) powder $(g = 2.0037)$ was used as a g-value reference.

Gel permeation chromatography **(GPC)** analysis was performed on an Agilent **1260 LC** system equipped with an Agilent multi-wavelength UV/Vis detector, Wyatt T-rEX refractive index detector, Wyatt **DAWN EOS** 18-angle light scattering detector, and two Shodex KD-806M **GPC** columns. The **GPC** system was equilibrated at **60 'C** with a 1 mL/min flow rate of DMF with **0.025** M LiBr.

Dynamic light scattering **(DLS)** measurements were taken at room temperature using a Wyatt Technology DynaPro Titan **DLS.** Samples were dissolved in phosphate buffered saline solution, passed through a 0.4 tm nylon syringe filter into a **0.3** mm cuvette. Average hydrodynamic radii were obtained using Dynamics **V6** software from DynaPro Wyatt Technologies. **DLS** correlation curves were fit using the **CONTIN** algorithm.

Matrix-assisted laser desorption/ionization time-of-flight (MALDI-TOF) analyses were collected on a Bruker OmniFlex instrument with a 337 nm N_2 laser with a 0.1 nm spectral bandwidth.

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Liquid chromatography-mass spectrometry **(LC-MS)** data were obtained on an Agilent **1260 LC** system with an Agilent **6130** single quadrupole mass spectrometer with a HALO column using linear gradients of **0.1%** acetic acid in nanopure water (v/v%) and acetonitrile.

Preparative high-performance liquid chromatography (prep-HPLC) purification was performed on a Beckman Coulter System Gold HPLC with a **127** solvent pump module and **166P** detector set to detect at 210 nm. A linear gradient from 95:5 (v:v%) 0.1% AcOH in H₂O (v:v%): MeCN to 5:95 (v:v%) 0.1% AcOH in H₂O (v/v%): MeCN over 9-14 minutes was used for separation.

Absorbance measurements were collected on a Varian Cary **50** Scan **UV/Vis** spectrophotometer and analyzed using Cary WinUV software in nanopure water. Fluorescence data were taken on a Horiba Jobin Yvon Fluorolog-3 fluorometer using a *450* W Xe lamp and right-angle detection.

Bis-spirocyclohexylnitroxide-propyl-azide. Bis-spirocyclohexylnitroxide-N-

hydroxysuccinimidyl **(NHS)** ester **(60** mg, **0.17** mmol) was added to a vial containing **3** aminopropyl azide (80 μ L of 3M solution in toluene, 0.24 mmol) in 1 mL dry DCM. The reaction was stirred for 1 hour, then transferred to a silica gel column and purified via flash chromatography with *5%* methanol in **DCM.** Product containing fractions were determined **by** LC-MS, combined, dried over MgSO₄, and condensed on a rotary evaporator. The yellow residue was dried under vacuum to give the desired product as a yellow oil in *95%* yield. 'H NMR is provided below in Figure S13. DART-HRMS calculated for $C_{18}H_{30}N_5O_2$ [M+H]⁺ 349.247, observed 349.2467. ' H NMR (400 MHz, **CD30D,** r.t.): **6 1.36** (s, 2H), **1.62 (in,** *5H),* 2.24 (s, 2.2H), **2.77 (in, 3H),** 3.40 **-** *4.15* **(in,** 12H), 4.66 (s, **1.5H), (6.39** (s, 0.1H).

Poly(ethylene glycol) monoamine (PEG-NH2):

Reaction Setup: A lecture flask of ethylene oxide **(EO)** was connected in series to a graduated vessel (for **EO** drying, *vide infra),* and **a reaction** flask. Teflon tubing was used to connect the vessels, all stir bars were pyrex coated, and all joints were lubricated with fluorinated grease. **All** portions of the reaction setup were carefully kept under nitrogen at all times and isolated from one another until otherwise indicated. **A** blast shield was placed in front of any vessel containing **EO.**

Potassium naphthalenide initiator: An oven-dried **100** mL Shlenck flask equipped with a pyrex stirbar was evacuated and refilled with nitrogen three times. Potassium metal **(0.92 g, 23.6** mmol) was cut under mineral oil and transferred with tweezers to a vial containing dry cyclohexane. Potassium was transferred to a second vial of clean cyclohexane to remove any residual mineral oil, and then added quickly to the Schlenk flask. Residual cyclohexane was removed **by** vacuum **(30** min). Napthalene **(3.30 g,** *25.8* mmol, **1.1** eq) was then added to the Schlenk flask, which was briefly evacuated and refilled with nitrogen. Dry THF **(23.6** mL) was added; the solution immediately began to turn dark green as potassium dissolved. The flask was covered with aluminum foil and stirred at room temperature for 2 h. The initiator concentration was tested **by** titration against **0.10** mL **(1.3** mmol) isopropanol in **10** mL THF. After adding 1.40 mL of initiator, the dark green initiator color remained for over ten seconds, indicating a concentration of **0.93** M. The initiator was stored in the aluminum covered Schlenk flask for up to three days before use.

Calcium hydride **(1 g)** was added to the drying vessel (labeled **b** in Supplementary Fig. 12). An ice bath was placed around the drying vessel, the **EO** tank was opened slowly and **EO** was allowed to condense in the drying vessel. Once 22 mL (440 mmol) of liquid **EO** had accumulated, the **EO** lecture flask was closed, isolated from the drying vessel, and removed from the hood. The ice bath was maintained around the drying flask as redistilled aminoethanol (0.40 mL, **6.6** mmol) and dry THF *(45* mL) were added to the reaction flask through a rubber septum. Potassium naphthalenide solution **(7.10** mL of **0.93** M, **6.6** mmol) was added, and the mixture became white and cloudy; the precipitated potassium aminoethanoxide was washed from the flask walls with *5* mL THF. The ice bath was then removed from the drying vessel and placed around the reaction flask. The drying vessel was opened to the reaction flask, and the **EO** was allowed to boil and slowly distill into the reaction flask. Once the drying flask was empty, the reaction vessel was sealed, the ice bath was removed, and the reaction was allowed to warm to room temperature. The polymerization was stirred at room temperature for **72** h. After this time, **6.6** mmol of hydrochloric acid *(5.3* mL of **1.25M HC1** in methanol) was added dropwise to the reaction. The flask was then opened to air and stirred for 1 h. The reaction mixture was then poured directly into **700** mL of cold diethyl ether and stored at -20' **C** for 1 h. The white precipitate was vacuum filtered, redissolved in **70** mL of toluene, and precipitated in cold ether again. After a second filtration, the polymer was vacuum dried overnight to remove all residual solvent, providing a powdery white solid in 92% isolated yield. ¹H NMR spectrum is shown below.

Chex-MM. Compound 2 (37.4 mg, **75** mmol) was added to a vial with azide **1 (27.6** mg, **79** mmol) and 3 mL dry DCM under N₂. A spatula tip of CuOAc was added and the vial was flushed with **N2.** After five minutes, **LC/MS** analysis of the reaction indicated nearly complete conversion of 2 to the intermediate triazole-*branch*-NHS compound. Solid PEG-NH₂ (220 mg, **73** mmol) was then added to the reaction and the mixture was stirred for 14 h. The entire reaction mixture was dried on a rotary evaporator, redissolved in MeOH **(3** mL), passed through a 0.4 [im Nylon syringe filter, and subjected to prep-HPLC. The pure fractions containing **chex-MM** were condensed with a rotary evaporator. The resulting residue was dissolved in dichloromethane (DCM), dried over Na₂SO₄, condensed on a rotary evaporator, and dried overnight under vacuum to yield a light yellow solid in *65%* yield. MALDI spectrum is shown in Supplementary Fig. **1,** and 'H NMR is provided in Supplementary Fig. *15.* ¹ H NMR (400 MHz, **CD30D,** r.t.): **6 1.27 (m, 6H), 1.52 (in, 6H),** 2.14 (s, 1OH), **2.55** (s, 2H), **2.69 (in, 3H), 3.28** (s, 2H), 3.48 **(m, 6H), 3.66 (m,** 220H), **3.85 (t,** 2H), **6.30** (s, 2H), **6.51** (s, lH).

Cy5.5-MM. Compound 2 **(1.3** mg, **2.6** mmol) was added to a vial with Cy5.5-propyl azide (Kerafast, 2 mg, **2.8** mmol) and 1 mL dry **DCM** under **N2. A** spatula tip of CuOAc was added and the vial was flushed with N_2 . After five minutes, LC/MS analysis of the reaction indicated nearly complete conversion of 2 to the intermediate *Cy5.5-branch-NHS* compound. Solid **PEG-NH2 (7.7** mg, *2.5* mmol) was then added to the reaction and the mixture was stirred for 14 h. The entire reaction mixture was dried on a rotary evaporator, redissolved in MeOH **(0.7** mL), passed through a 0.4 \Box m Nylon syringe filter, and subjected to prep-HPLC. The pure fractions containing **Cy5.5-MM** were condensed with a rotary evaporator. The resulting blue residue was dissolved in dichloromethane (DCM), dried over Na₂SO₄, condensed on a rotary evaporator, and dried overnight under vacuum to yield a blue solid in **77%** yield. The MALDI spectrum is shown in Supplementary Fig. 1, and the ¹H NMR is shown in Supplementary Fig. 16. ¹H NMR (400 MHz, **CD30D,** r.t.): **6 1.15-1.29 (in, 7H),** 1.42-1.58 **(in, 8H), 1.76** (s, 2H), *2.20-2.55* **(in, 18H),** 2.64 **(d,** 4H), 3.24 **(in, 6.5H), 3.39 (in,** *6.5H),* **3.63 (in, 305H),** 4.18 (s, 2H), 4.40 **(in,** 2H), 4.54 (s, 2H), **6.25 (in, 3H), 6.37 (in,** 1H), **6.72 (in,** IH), **7.39 (t,** 2H), **7.48 (in,** 2H), **7.61 (t,** 2H), **7.93 (in, 6H), 8.07 (in,** 2H).

Branched bottlebrush synthesis by ROMP: All polymerizations were performed in a glovebox under N₂ atmosphere. All reported brushes were made in the same manner; the method described below is specific to **OF1,** but can be generalized **by** varying monomer and catalyst equivalents.

Chex-MM (198 mg, 0.05 mmol) and $Cy5.5-MM$ (2.0 mg in $20\mu L$, 0.5 μ mol) were combined in a 4 mL vial and dissolved in **0.795** mL THF. **A** solution of Grubbs **3rd** generation bispyridine catalyst (0.278 mL of 4 mg / mL catalyst solution, 1 µmol) was added to the vial and stirred for **90** minutes. The reaction was quenched with a drop of ethyl vinyl ether; a small aliquot was taken for **GPC** characterization, and the rest was transferred to a **15** kDa molecular weight cutoff dialysis tubing (Spectrum Laboratories) with **5** mL of Millipore water. The polymer was dialyzed against **500** mL of Millipore water **(3** rounds of fresh water were added with 2 h between each solvent exchange) with gentle stirring to remove any unreacted MM. The polymer solution was then lyophilized to dryness, and stored in the dark at 4 **'C.**

Fluorimetric analysis of nitroxide quenching: Analysis of *Cy5.5* fluorescence quenching within spirocyclohexyl nitroxide-functionalized polymers was performed **by** monitoring excitation and emission at 640 nm and **703** nm, respectively. Slit widths of **5** nm for excitation and **7** nm for emission were used for all studies. Polymer samples (OFI or control polymer with no nitroxide) were dissolved in 2 mL of **pH** 7.4 IX PBS buffer; UV/Vis and fluorescence spectra were collected. Aliquots of ascorbic acid were then added to the cuvette as outlined in Fig. 3B. Emission spectra were repeatedly obtained until no change was observed. **A** representative series of spectra are shown in Figure **5.** Note: The **pH** was measured before and after ascorbic acid addition; the values were **7.0** and **6.31** respectively. The absorption/emission properties of **Cy5.5** are not **pH** dependent.

Phantom relaxivities by MRI: Monomers and polymers were completely transferred as solids using dichloromethane to another set of accurately weighed clean vials, and then evacuated to a constant mass in Schlenk containers **(1** mTorr-vacuum). For selected monomers and polymers, small samples (0.4 **- 0.8** mg) were examined **by** 'H NMR spectroscopy in chloroform-d **(500** MHz, cryoprobe, 3-mm tubes); only monomer **MM1** had a very small residue of dichloromethane, and all other samples, examined **by** NMR spectroscopy, were solvent free.

To each vial containing the sample remaining after evacuation and NMR spectra, *0.5* mM PBS **(0.800** mL, **pH 7.2** checked with **pH** meter) was added, to provide homogenous-to-turbid stock solutions. Spin concentration of stock solutions was determined **by** EPR spectroscopy. Serial dilution **(100 - 10%** in **10%** increments) of each stock solution provided an array of ten 0.1 mL-samples in PCR tubes; for each T_1 and T_2 measurement of ¹H in water, the array of ten **0.1-mL** samples and **0.1-mL** sample of buffer **(11** PCR tubes) were used.

 T_1 and T_2 measured at the University of Nebraska Medical Center were obtained using coronal T_1 and T_2 map imaging protocols. T_1 mapping was done using a progressive saturation RARE T₁ mapping measurement with RARE factor = 4, First TE and spacing of 6.4 ms, TR= *10000,* **5000, 3000, 1500,** 1200, **800, 500,** 450, 400, **350,** and **300** ms, **60** mm field of view (FOV), 1 mm slice thickness, one slice for a total acquisition time of 10 min. T₂ mapping was done using **CPMG** phase cycled multiecho imaging of all sample concentrations including buffer in a single image. Acquisition parameters were: 10 echo, TE=10 ms, one 1mm thick slice, 256 x **128** matrix, 1 average, **3000** ms repetition time, **60** mm FOV, for a total acquisition time of **10** min. T_1 and T_2 values were extracted from each sample in the image using regions of interest in the image sequence analysis tool in Paravision 5.1 . T_2 values were determined by using the even numbered echoes, fitting the noise floor using the highest free radical concentration, and fixing the value for the remaining samples in each image.

Signal Intensity as a function of relaxivity: During MRI acquisition time, T₁ weighting is accomplished by use of a short repetition time (TR) relative to the T_1 of the imaged sample or tissue. After several pulses (dummy pulses) a steady state magnetization is established which has an intensity (M_{ss}) relative to the maximum signal intensity (M₀) of: $\frac{M_{SS}}{M_0} = \frac{(1 - e^{-TR/T_1})\sin\alpha}{(1 - e^{-TR/T_1} \cos\alpha)}$, where α = excitation flip angle. The images acquired for Figure 4 were obtained using a fast spin echo sequence $(\alpha=90^\circ)$ with a TR of 500 ms. T₁ of the PBS was measured as 2,770 ms. The molar T₁ relaxivity (r_1) of **chex-MM** was found to be 0.208 mM⁻¹s⁻¹ and the molar relaxivity of **P1** was found to be 0.318 mM⁻¹s⁻¹. Thus for the three samples in Figure 4, PBS, 10 mM **chex-MM** in PBS, and 10 mM **P1** in PBS, the T₁'s of the samples were: $1/T_1 = 1/T_1p_{\text{BB}} + r_1*(10 \text{ mM})$ which comes to 2770 ms (PBS), 410 ms (chex-MM), and 282 ms (P1). This results in M_{ss}/M_0 values for the three samples of *0.165* (PBS), 0.704 **(chex-MM),** and **0.830 (P1).** Normalizing the intensity of PBS to **1,** this gives relative signal intensities of 4.26 **(chex-MM)** and **5.03 (P1).** However, this relative signal intensity does not include decay due to T_2 . The RARE (fast spin echo) sequence used a four-echo train with the central k-space echo at an echo time **(TE)** of **8.9** ms. Signal intensity loss due to T_2 loss is given my $M_{T2}/M_{ss} = e^{-TE/T2}$. The T_2 of PBS is 325 ms. Molar T_2 relaxivity (r₂) of chex-MM was found to be 0.300 mM⁻¹s⁻¹ and the molar relaxivity of P1 was found to be $0.821 \text{ mM}^{-1} \text{s}^{-1}$. Thus for the three samples in Figure 4, PBS, 10 mM **chex-MM** in PBS and 10 mm **P1** in PBS, the T_2 's of the samples were: $1/T_2 = 1/T_2PBS + r_2*(10 \text{ mM})$ which comes to **325** ms (PBS), **165** ms **(chex-MM),** and **89** ms **(Pt).** Thus T2 signal loss for this sequence in each sample resulted in $M_{T2}/M_{ss} = 0.97$ (PBS), 0.95 (chex-MM), and 0.90 (P1). Normalizing PBS to 1 and taking into account the steady state magnetization, this results in final signal intensities of 4.17 **(chex-MM)** and 4.67 **(P1),** a bit higher than the measured values, but consistent with a 12% contrast enhancement seen with **P1** over **chex-MM**. Note that the T_2 decay calculation does not take into account the multiple echo train, but only includes the first echo, which should dominate the signal intensity in the center of k-space.

Cell culture and in vitro toxicity: HeLa cells **(ATCC)** were maintained in MEM Media supplemented with **1%** penicillin/streptomycin and **29%** fetal bovine serum in *5%* **C02** humidified atmosphere **(37 C).** Cells were plated in 96-well plates at **10,000** cells per well and grown for 24 h before treating with varied concentrations of polymer. Each polymer concentration was represented **by** four replicate wells. After 48 h, the cells were incubated for 4 h with thiazolyl blue tetrazolium bromide (MTT). Reduced thiazoyl tetrazolium formazan was then solubilized with dimethyl sulfoxide **(DMSO),** and cell viability was calculated based on absorbance at *550* nm.

Animal usage: All procedures involving animals were reviewed and approved **by** the MIT Committee for Animal Care. Toxicity and pharmacokinetics studies were performed on healthy female BALB/c mice, aged **12-16** weeks. MRI studies were performed on healthy female NCR nude mice receiving and alfalfa free diet to minimize autofluorescence. **All** studies involving animals were performed on groups of $n=4$ or $n=5$ to provide statistical significance. Exclusion criteria included human error in **NP** administration.

In vivo **toxicity and pharmacokinetics:** Eight polymer solutions ranging from **0.1 -** 40 mg of **OF1** in sterile **pH** 7.4 PBS buffer were prepared. To find a rough toxicity threshold, solutions were first administered to one mouse per particle solution. **NP** solutions were passed through a 0.2 micron filter before being injected slowly via catheter into the tail vein. Upon seeing that the polymer was well tolerated **by** all animals, two groups of *5* animals were dosed with **30** or 40 mg of **OF1.** Animals receiving 40 mg of **OF1** demonstrated higher weight loss and some initial lethargy, while animals receiving **30** mg displayed no adverse physical effects; this dosage was used for all subsequent imaging studies.

In vivo **MRI and IVIS:** Live animal MRI experiments were conducted at the Koch Institute for Integrative Cancer Research at MIT in a Varian 7T/310/ASR-whole mouse MRI system. TI weighted MR images were collected using the fast spin echo multiple slices **(FSEMS)** pulse sequence with minimum repetition time (TR) **= 739** ms, **ESP =** *9.52* ms, ETL **=** 4, a *256x256* matrix, and 4 averages over **18** slices at **1** mm thickness. Scans were collected with respiratory gating **(PC-SAM** version **6.26 by SA** Instruments Inc.) to avoid confounding noise due to chest movement. Respiratory rate and animal temperature were closely monitored during image collection.

In vivo fluorescence images were obtained on an **IVIS** Spectrum bioluminescent and fluorescent imaging system from Xenogen. Excitation and emission values (640 nm, **700** nm respectively) were kept constant, and exposure times from 2-10 seconds were used to obtain clear images. Epi-fluorescence is reported in radiant efficiency and used only as comparison between pre- and post-injection values.

MRI and **IVIS** images were obtained for each animal (n=4) before administration of **OF1.** Mice were fit with tail vein catheters and slowly injected with **OFI** solution. Thirty minutes or 24 hours after injection was complete, mice were reimaged with both **IVIS** and MRI, then immediately sacrificed in a **C02** chamber for tissue collection and fluorescence imaging. Organs were briefly washed with saline to remove any exogenous blood from the dissection process.

In vivo MRI and IVIS data analysis: A region of interest (ROI) around each organ was manually selected for a given image slice. The average intensity and are of the ROI were measured. This procedure was repeated for each image slice where the organ/tissue was visible. Using Excel (Microsoft), the average intensity of each ROI was multiplied **by** its area. These values were then summed together for all image slices of a given organ. This sum was divided **by** the sum of the ROI areas for the same organ to provide the volume-average intensity. The same procedure was repeated for images of 4 mice collected before and **30** min after injection of **OF1** (8 images total). Volume averaged intensity increases (shown in Figure 4C) were obtained **by** subtracting from the following equation: ((contrast **30** min after injection) **-** (contrast before injection)) **/** (contrast before injection) *** 100%.** The values for **%** contrast enhancement were normalized **by** the muscle, which was assumed to be zero. Note that this normalization changed the final values **by** less than **10%.**

Ex vivo **fluorescence methods:** After collecting *in vivo* MRI and fluorescence data *(vide supra),* mice were sacrificed in a CO₂ chamber and immediately dissected to remove kidneys, liver, spleen, heart, lungs, brain, and a section of flank muscle tissue. Organs were quickly washed with PBS buffer to remove excess blood from the necropsy process.

Extracted organs were placed on a black, non-emitting background for fluorescence analysis. **IVIS** parameters were maintained from *in vivo* measurements. Excitation and emission values (640 nm, **700** nm respectively) were kept constant, and exposure times from 2-10 seconds were used to obtain clear images, and scaled to photons per second for all comparisons.

Ex vivo **EPR spectroscopy:** Throughout the *ex vivo* EPR spectroscopy section, labels "YW1133r3-6" and alike correspond to sample or experiment codes directly traceable to the laboratory notebooks or raw data.

The animal tissues were shipped in dry ice from MIT to Nebraska in centrifuge tubes; upon receiving, the tubes were stored in liquid nitrogen. For preparation of EPR samples, the tissues samples were temporarily moved from the liquid nitrogen storage to dry ice. Each tissue sample, one at a time, was rapidly thawed, and then transferred to a weighed vial. Then, $0 - 500 \mu L$ of PBS buffer *(0.5* mM, **pH = 7.2)** was added. The sample with PBS was then put into an ice-water bath and homogenized with rotor stator homogenizer, and then pipetted to a 4-mm **O.D.** EPR sample tube. The samples were degassed **by** sonication, as needed (e.g., when gas bubbles were visible). The EPR tube was capped, sealed with parafilm, and then stored briefly in acetone-dry ice bath prior to the measurement of spin concentration.

The spin concentrations of nitroxide radicals in tissues (μ mol/g, μ mol of $S = \frac{1}{2}$ nitroxide radical per gram of tissue) were measured at -30 °C (243.2 K), to increase signal-to-noise for the aqueous samples. Measurements of the tissue samples were alternated with the measurements of the references for spin concentration (see: next paragraph) and g-value (DPPH powder as a *g*value reference). For tissue samples with low signal-to-noise, the cavity background was recorded with identical parameters as for the tissue sample (including identical number of scans

and identical receiver gain). Typical parameters were as follows: microwave attenuation (20 dB), modulation amplitude **(5** Gauss), spectral width **(300** Gauss), resolution **(512** points), conversion (40.96), time constant (10.24), and sweep time **(20.97** sec.); these parameters were kept identical for the tissues, references, and cavity backgrounds. The number of scans $(NS = 8)$ **- 256)** and receiver gain (RG) were adjusted as needed for each sample.

The reference for spin concentration was prepared from the same branched bottlebrush polymer as used for injection to mice. The polymer was dissolved in PBS **(0.5** mM, **pH 7.2)** to provide **1.036** mM solution, for which concentration was calibrated with 3-carboxy-PROXYL in PBS buffer **(0.5** mM, **pH 7.2)** at ambient temperature **(295.0** K). Except when during the measurement, this reference was always stored in dry ice, and occasionally re-checked for spin concentration decay.

Table 2. Extended Bottlebrush polymer characterization. A list of polymerized MRI active bottlebrush polymers, compared to several standards provided **by** the Rajca lab.

Chex azide. 'H NMR (400 MHz, **CDC13,** r.t.) Broad, overlapping signals are expected for radical species.

PEG-NH₂. ¹H NMR (400 MHz, CDCl₃, r.t.)

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Chapter 2: Gadolinium-based MRI contrast polymers

Introduction. In addition to the formation of organic MRI contrast agents, we have also explored the area of gadolinium-based bottlebrush polymers. While the fluorescence redox effects described in the previous system would not be applicable, chelated gadolinium contrast agents have a much higher relaxivity than organic radicals.⁴⁸ There are several small molecule gadolinium chelates in clinical use, generally focused on TI weighted MRI. While free gadolinium is **highly** toxic, these contrast agent chelates are deemed generally safe for adults with functioning kidneys,^{50,51} and typically display contrast increases of roughly 50 times the per nitroxide contrast displayed **by** the previously described ORCAfluors.

Studies on polymers, $54-56$ dendrimers, $57-60$ and other macromolecular systems^{61,62} containing multiple Gd^{3+} ions have shown heightened relaxivity per ion due to constructive interactions between closely bound spins.⁶³ Macromolecular contrast agents have become a very popular field of research, but have not been readily adopted clinically due to several significant drawbacks. Processing of multi-ion imaging agents is plagued **by** tedious synthesis, poor **Scheme 2. Synthesis of Gd-MM. 0**

stability, low solubility, or high size variability, while efficacy is mitigated **by** increased toxicity due to drastically decreased clearance rates.⁶⁴

Synthesis of a gadolinium loaded macromonomer **(Gd-MM)** could alleviate many of these issues. To our knowledge, ROMP of a **Gd"'** tetraazacyclodecane-tetraacetic acid **(DOTA)** conjugate has not been previously demonstrated. Toward this effect, we have attempted several syntheses, the most promising of which is outlined in Scheme 2. Because gadolinium provides much stronger contrast enhancement than the previously studied nitroxides, a similar magnitude of MRI contrast may be obtained using a decreased concentration of contrast active MM. In this way it would be possible to copolymerize low fractions of MRI-active and fluorescent macromonomers alongside drug loaded or targeting macromonomers to administer effective ratios and doses of multiple functionalities in the same polymer construct. The ability to tag any ROMP polymer with small amounts of MRI-active and fluorescent MMs would allow great flexibility in examining *in vivo* polymer pharmacokinetics.

Results and Discussion. Several routes to the proposed MM were attempted with varying degrees of success. Briefly, the less successful attempts are summarized here.

I. To avoid copper ligation within **DOTA,** a copper free click strategy was devised using dibenzocyclooctyne (DBCO). Tert-butyl protected **DOTA** azide was clicked to a *Nb-branch-DBCO* **NHS** ester. The **NHS** group was displaced using **PEG** amine. Deprotection of the tert-butyl groups proved problematic; NMR analysis suggested decomposition of the MM in *50%* **TFA/DCM.**

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- **II. A** similar method to attempt **I** was explored using non-protected *tris-acid* **DOTA** azide; however solubility of the non-protected azide limited the reaction conversion.
- **III.** In a third method, aqueous copper sulfate CuAAC conditions were applied to react *Nb-branch-alkyne* **PEG3k** MM with gadolinium loaded *tris-acid* **DOTA** azide. Several aqueous conditions were explored to maximize click conversion, but satisfactory conversion was never obtained.

The most successful strategy for MM formation is outlined in Scheme 2. Using the more soluble tBu-protected **DOTA** azide allowed for more efficient copper acetate/DCM CuAAC conditions. Copper ligation with tert-butyl protected **DOTA** in the CuAAC step necessitated the use of a full equivalent of copper. While not ideal, this complication is not synthesis limiting; **DOTA** is specifically designed to chelate gadolinium, so any bound copper can be replaced **by** heating at 80° C with a large excess of GdCl₃.⁶⁵ Purity of the final **Gd-MM** is difficult to verify; the paramagnetism of Gd³⁺ renders NMR difficult to interpret, MALDI-TOF does not give clean distributions, and HPLC purity is not very trustworthy for PEG-based compounds due to similar elution times. Synthesis of this compound has been performed on small scale and must be scaled up to test polymerization and relaxivity properties.

Conclusions. This chapter describes several synthetic strategies for the synthesis of gadolinium loaded MRI contrast agents based on branched macromonomers. Gadolinium was coordinated using tris-DOTA, a ligand containing three carboxylic acids known to bind **Gd"'** ions orders of magnitude more strongly than Cu^{II} ions. Polymerization of this branched macromonomer remains to be done. Note that the polar gadolinium MM as synthesized was not readily soluble in standard solvents for ROMP like THF and **DCM.** However, ROMP in DMF has not been attempted and should be possible. After successful polymerization, this macromonomer will be **highly** useful for MRI tagging of other ROMP polymers.

Experimental Methods.

DOTA-tris(tBu) MM. Compound 2 (1.3 mg, **2.6** mmol) was added to a vial with tris-tBu-**DOTA** propyl azide (Click Chemistry Tools, 2 mg, **2.8** mmol) and 1 mL dry **DCM** under **N2. A** spatula tip of CuOAc was added and the vial was flushed with **N2.** After five minutes, **LC/MS** analysis of the reaction indicated roughly **50%** conversion of 2 to the intermediate triazole*branch-NHS* compound. **A** second aliquot of CuOAc was added, and the reaction was deemed complete **by LC/MS** analysis after **15** minutes. Solid **PEG-NH 2 (7.7** mg, *2.5* mmol) was then added to the reaction and the mixture was stirred for 14 h. The entire reaction mixture was dried on a rotary evaporator, redissolved in MeOH (0.7 mL) , passed through a 0.4 cm Nylon syringe filter, and subjected to prep-HPLC. The pure fractions containing **tBu-DOTA MM** were condensed with a rotary evaporator. The resulting residue was dissolved in dichloromethane (DCM), dried over Na₂SO₄, condensed on a rotary evaporator, and dried overnight under vacuum to yield a pale blue solid in **77%** yield. 'H NMR is shown below.

DOTA-tris(acid) MM. tBu-DOTA MM was dissolved in **3** mL of dry chloroform. Trifluoroacetic acid **(1** mL) was added, and the reaction was stirred for two hours at room temperature. **5** mL of water was added and the **pH** was adjusted to 4 with saturated sodium bicarbonate solution, then extracted four times with 20 mL **DCM.** Extracts were dried over sodium sulfate, condensed on a rotary evaporator, and precipitated into cold diethyl ether. Precipitate was filtered and dried overnight under vacuum, yielding a pale blue powder. 'H NMR is shown below.

Gd MM. To coordinate gadolinium and displace any bound copper, **DOTA MM** was dissolved in 4 mL of Millipore water along with 20 equivalents of **GdCl 3.** The **pH** was adjusted to **7** using IM sodium hydroxide. The reaction was stirred at **70' C** for **8** hours, readjusting the **pH** to **7** every two hours. To remove excess GdCl₃, the solution was basified to pH 10 and filtered

through a 0.44 µM syringe filter. The resulting filtrate was extracted four times with 10 mL of **DCM.** Extracts were dried over sodium sulfate, condensed on a rotary evaporator, and precipitated into cold diethyl ether. Precipitate was filtered and dried overnight under vacuum, resulting in a white powder. Note: this MM was not purified **by** preparatory HPLC, which would be recommended before ROMP.

Spectral Data.

DOTA-tris(tBu) MM ¹H NMR (400 MHz, CDCl₃, r.t.)

DOTA-tris(acid) MM ¹H NMR (400 MHz, CDCl₃, r.t.)

Chapter 11. Surface functionalization for targeting and cellular internalization

Introduction. A natural extension of the previously described research in polymers for imaging is the incorporation of targeting moieties for tissue/cell-selective imaging. Many previously developed ligands and peptides are known to selectively traffic to tumor cells, including prostate-specific membrane antigen (PSMA),⁶⁶⁻⁶⁸ RGD peptides,^{69,70} and folic acid.^{71,72} When combined with the EPR effect, $73,74$ active targeting and increased cellular internalization rates could appreciably affect a delivery system's pharmacodyamic and pharmacokinetic properties.^{74,75} In this section, strategies for conjugation of folic acid, as well as the conjugation and synthesis of **PSMA,** cyclic RGDfK peptide (cRGD), and linear RGD (lRGD) will be discussed. The syntheses of these ligands were conducted according to literature procedures^{76,77} using solid phase peptide synthesis and phosgene coupling, respectively.

To maximize the active targeting H effect of these ligands, they should be not in the core where previously PMBO $\rightarrow N \rightarrow N \rightarrow$ OPMB described MM functionalization has been performed. Furthermore, the ideal functionalities to maximize contrast

agent and/or drug loading. Conjugation new approach to the incorporation of

Figure 8. Targeting ligands for PEG conjugation. A. of multiple functionalities necessitates a Folic acid **B.** Prostate specific membrane antigen ligand **(PSMA) C.** Cyclic **RGD (cRGD) D.** Linear RGD **(IRGD).**

targeting ligands. To assure low dispersities and high purity, a **highly** efficient conjugation technique should be employed. Click chemistry is proven to be efficient in branched macromonomer formation; therefore addition of targeting ligands must be chemically orthogonal to click reactions.

Because several of the ligands in question contain lysine residues, two methods of end functionalization of **PEG** were explored utilizing the primary amine of the lysine side chain. Our first option was an amide coupling reaction with a carboxylic acid terminated **PEG.** When complete conversion to carboxy **PEG** proved difficult, a second route was developed. The free

amine sidechain of lysine could be used as an S_N2 nucleophile to react with mesylated PEG. This method results in a secondary amine, which is not amenable to ROMP with most Grubbs' catalysts; however, pseudo-protection of the amine as an ammonium should allow for polymerization to proceed. Described here are the positive and negative results from these trials, with the most promising data arising from S_N^2 and subsequent quaternization.

Results and Discussion. Solid phase peptide synthesis **(SPPS)** was utilized to create linear GRGD and RGDfK peptides. **A** scheme for this synthesis can be found in the experimental methods section. Briefly, using Fmoc/Boc protected peptides and HBTU/HOBt coupling conditions, these peptides were cleaved from resin and purified via HPLC. The pentapeptide was cyclized using propylphosphonic anhydride (T3P), and purified using column chromatography.⁷⁶

PSMA was made via paramethoxybenzyl protection of carboxylic acids on glutamic acid and Fmoc-Lys(Boc). The Fmoc-lysine amine was deprotected in 20% piperidine, and the amino acids were coupled using triphosgene. The fully protected product was purified **by** flash chromatography.⁷⁷ Schemes for this synthesis are found in the preceeding experimental methods section.

Several oxidation methods were attempted for converting the **PEG** terminal alcohol to a carboxylic acid. First, **PEG 3000** monoamine was protected using fluorenylmethyloxycarbonyl (Fmoc) chloride. **A** second portion of **PEG** monoamine was reacted with norbomene-glycine-**NHS** ester, yielding **PEG MM.** These **PEG** monoalcohols were oxidized using several different Jones oxidation conditions summarized in Table 2, as well as TEMPO/cyanuric acid oxidation. When none of these oxidation techniques provided high yields and/or conversions, a second method of conversion to carboxylic acid was attempted.

Starting Material	CrO ₃ (eq.)	Acetone $(\%)$	$H2O$ (%)	H_2SO_4 (eq.)	Conditions
2k Fmoc PEG		50	50		3h, $0 \rightarrow r.t.$
2k Fmoc PEG			100	50	24h, r.t.
$2k$ MM		50	50	50	14h, r.t.
$2k$ MM	0.9	U	100	20	24h, r.t.
$3.2k$ MM	6		100	20	24h, r.t.
$3.2k$ MM	6		100	100	24h, r.t.

Table 3. Jones oxidation conditions. A list of attempted Jones oxidations is provided. None of the above conditions provided acceptable yield and/or conversion on scales of **>30** mg of starting material

In **2011,** Pichavant et al reported a method of carboxylating alcohol terminated **PEG** for conjugation of antibiotics to ROMP polymers.⁷⁹ Following their procedure, ethyl bromoacetate was added to **PEG** monoalcohol to afford an ethyl ester MM. This ester was hydrolyzed overnight in 4M NaOH. Conversions during this sequence of reactions were very high; however, an appreciable amount of final neutralized product was lost during isolation. While this appeared to be the most effective route toward carboxylation, nucleophilic attack of a **PEG** mesylate was attempted before further coupling studies were performed.

To test the S_N ² reactivity of **Mesyl MM**, pilot studies were performed using benzylamine as the nucleophile. Scheme **3** outlines this synthesis, beginning with the mesylation of **PEG-MM.** This mesylated product (Mesyl **MM)** was added to a large excess of amine and stirred at room temperature overnight. The resulting secondary amine was quaternized using either methyl iodide or hydrochloric acid before isolation. Polymerization of these cationic monomers was achieved in quantitative yield, providing proof of principle for this charged construct. In addition

Scheme 3. S_N2 synthesis of cationic MM. Sequential mesylation and displacement with an amine demonstrates one possible end functionalization of **PEG** macromonomers.

to more efficient synthesis and higher yields, addition of charge to targeting MMs may provide increased cellular internalization, a property common amongst polycationic polymers. $80,8$

Bottlebrush polymers made from cationic MMs had slightly larger hydrodynamic radii than the equivalent non-charged MM of the same molar mass. This indicates that **PEG** chains are more extended in the cationic construct, likely due to charge-charge interactions between chain ends. High surface charge has been show to vastly increase cellular uptake rates; polyarginines and polylysines are commonly added to drug carriers to increase efficacy.⁸²⁻⁸⁵ Similarly, higher charge concentration on the shell of the nanoparticle prompts the possibility of layer-by-layer and ionic assembly interactions.^{86,87}

Previous studies of hyaluronic acid have shown selective targeting to triple negative breast cancer cells.^{7,88} To demonstrate the potential to assemble non-covalent shell-core nanoparticles, hyaluronic acid **(1** OkDa MW) was added to a solution of **50** unit cationic

Nanoparticles were syringe filter. The

then filtered through **Figure 9.** Cationic **MM formation and coordination of hyaluronic acid. A. GPC** traces of **10, 50,** and **100** unit brushes composed of cationic MMs. a 0.44 μ M nylon **B.** DLS characterization of a 50 unit cationic MM brush before and after coordination with poly anionic hyaluronic acid.

hydrodynamic diameters before and after ionic coordination were **19.0** nm and **26.0** nm respectively (Figure **9),** a **37%** diameter increase. Further TEM and zeta potential analysis will be necessary to confirm addition of a negatively charged polymer layer.

Folic acid is another common ligand used to target the upregulated folate receptors on cancer cells.72 89 . **PEG** 2000 bisamine was synthesized from **PEG** diol via mesylation and displacement with **38%** aqueous ammonia. An active folate **NHS** ester was synthesized with dicyclohexylcarboimide (DCC) in DMSO according to literature procedures.⁹⁰ After purification **by** trituration in cold ether, the folate-NHS was added to **PEG** bisamine in a one-to-one ratio. Due to the **highly** polar nature of folic acid, statistical bis-, mono-, and non-reacted products can be easily separated **by** HPLC. The remaining amine was used to displace norbomene-NHS, yielding a linear folic acid MM. The solubility of this MM was very low in all solvents other than **DMSO** and DMF, which is unexpected and could indicate that the MM was impure. Trial polymerizations in 20, *50,* and **100% DMSO** were unsuccessful; DMF remains a possibility.

Conclusions. This chapter describes the synthesis of several targeting moieties, alongside the synthesis, characterization, and polymerization of several cationic macromonomers. These surface and end functionalities can be used in combination with previously described imaging or drug loaded macromonomers for selective delivery of nanoparticle payload. Coordination of cationic polymer brushes with hyaluronic acid provides first evidence for the use of this macromonomer motif for non-covalent multi-layer nanoparticle assembly. The high cationic content of the outer layer of these charged bottlebrush polymers provide opportunity for increased cellular uptake rates.

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Experimental Methods.

SPPS of peptides. A general procedure for peptide coupling is described below. 2-Chlorotrityl glycine resin **(0.193 g** (0.46 mmol/g loading), **0.089** mmol) was added to a peptide synthesis flask and swollen in **DCM** for **30** minutes. Resin was washed twice with DMF **(10** minutes while agitating with air). The next amino acid in the sequence **(0.267** mmol) was added to a vial with **HBTU (0.267** mmol, **101** mg), HOBt **(0.267** mmol, 41 mg), NN-diisopropylethylamine *(0.534* **mmol, 0.093** mL), and *5* mL DMF. The reaction was stirred for **10** minutes, then added to the resin and agitated with air for 2 hours. **A** Kaiser test was performed to determine reaction completion. Once complete, DMF was drained and the resin was washed three times with 20 mL DMF.

A solution of 20% piperidine in DMF **(10** mL) was added to the synthesis flask and agitated for **30** minutes. Resin was washed again with DMF, and the procedure was repeated to add subsequent residues.

To cleave peptide from resin, **1% TFA** in **DCM (10** mL) was added to the flask and agitated for **10** minutes. 2 mL of **10%** pyridine in methanol was added to a collection flask to neutralize the **TFA** upon draining the synthesis flask. This was repeated five times, DMF was removed with a rotary evaporator and the peptide was purified **by** HPLC.

T3P cyclization of cRGD. In a *250* mL flask, T3P *(1.5* mL, **100** mmol) and **DIPEA (1** mL, 200 mmol) were added along with **100** mL DMF. Linear pentapeptide **(210** mg, 4.9 mmol) was dissolved in 20 mL DMF and added slowly via addition funnel and stirred overnight at room temperature. The 'H and **1 3C** NMR spectra are shown in the following section. **MS** calcd. for $C_{44}H_{66}N_9O_{10}S^+$ [M+H]⁺, 912.465; found 912.3.

Lys(Boc) PMB. Fmoc Lys(Boc) *(5.44* **g,** 11 mmol) and cesium carbonate *(5.3* **g, 16** mmol) were added to a **100** mL flask which was evacuated and refilled three times. Anhydrous DMF *(45* mL) was added to the flask, followed **by** paramethoxybenzyl chloride **(1.73** mL, 12 mmol). The reaction was stirred at room temperature for four hours, filtered, and washed with ethyl acetate. In a separatory funnel, ethyl acetate layer was washed with saturated sodium bicarbonate, then with brine. Organic layer was dried over sodium sulfate, condensed on a rotary evaporator, and recrystallized from 60/40 hexanes/ethyl acetate to provide **6.02 g (88%** yield) white crystals.

These crystals were dissolved in **50** mL of 20% piperidine in DMF and stirred at room temperature for two hours. The reaction was diluted with **100** mL of brine and extracted three

ties with ethyl acetate. The organic fraction was washed with brine, condensed on a rotary evaporator to provide a white solid. The product was purified using flash chromatography to afford a colorless oil in **83%** yield. The 'H and **13C** NMR spectra are shown in the following section.

¹H NMR (400 MHz, CDCl₃) δ 1.35 (m, 2H), 1.45 (s, 9H), 1.51-1.73 (m, 6H), 3.06 (t, 2H), 3.41 (t, 1H), **3.80** (s, **3H),** 4.52 (br. s, lH), **5.07** (s, 2H), **6.88 (d,** 2H), **7.27 (d,** 2H). **13C** NMR (400 MHz, **CDCl 3) 6 22.79,** 28.40, **29.73,** 31.41, 34.34, **36.46,** 40.29, *53.44, 54.34, 55.26,* **64.68, 66.47, 79.02, 113.90, 127.81, 128.53, 130.18,** *155.99,* **159.72, 162.18,** *175.87.* **MS** calcd. for $C_{19}H_{30}N_2O_5$ [M+H]⁺, 367.223; found 367.2.

Glu(PMB₂). Glutamic acid (0.117 g, 0.8 mmol) was suspended in dry DMF under an N_2 atmosphere and cooled in an ice bath. Tetramethylguanidine (0.2 mL, **1.6** mmol) was added and stirred at room temperature for **30** minutes. Ethyl acetoacetate **(0.1** mL, **0.8** mmol) was added and the reaction was continued to stir for **3** hours until completely dissolved. PMB chloride (0.22 mL, **1.6** mmol) was added, and the reaction was stirred for 24 hours. **A** white had formed at this point, and the reaction was diluted with ethyl acetate and extracted with saturated sodium bicarbonate. Hydrolysis in 2 mL of **1.25** M methanolic **HCl** was performed, and the reaction was condensed to a white powder which was subsequently recrystallized in ethyl acetate affording

283 mg (84% yield) colorless crystals. The 'H and **13C** NMR spectra are shown in the following section.

¹H NMR (400 MHz, CDCl₃) δ 2.38 (m, 2H), 2.56 (m, 2H), 3.75 (s, 6H), 4.29 (br. s, 1H), 4.95 (s, 2H), **5.08** (t, 2H), **6.80 (d,** 4H), **7.23 (d,** 4H), **8.90** (br. s, *2.75H).* **13C** NMR (400 MHz, **CDCl 3) 6 25.35, 29.86, 52.55, 55.22,** 66.44, **68.16, 113.90, 126.66, 127.78, 130.07,** 130.40, **159.57, 159.86, 172.25. MS calcd. for** $C_{21}H_{25}NO_6 [M+H]^+$ **, 388.175; found 388.3.**

Boc-PMSA-PMB₃. In a vial under inert atmosphere, glutamic acid(PMB)₂ (0.655 g, 1.5 mmol) was dissolved in 4 mL anhydrous **DCM.** In a second vial, triphosgene **(0.153 g, 0.5** mmol) was dissolved in 2 mL **DCM.** Solutions were combined and cooled to **-78' C.** Triethylamine **(2.15** mL, **15** mmol) was added dropwise, and the reaction was allowed to stir at **-78' C** for **30** minutes, then warmed to room temperature and stirred for **30** minutes longer. Protected lysine was dissolved in 2 mL dry **DCM,** added to the reaction, and stirred overnight at room temperature. The product was purified using flash chromatography in 20% ethyl acetate in hexanes affording **0.830 g** of white solid **(68%** yield). The 'H and **13C** NMR spectra are shown in the following section.

'H NMR (400 MHz, **CDCl 3) 6 1.26 (in,** 2H), 1.42 (s, **9H), 1.55 (m,** 1H), 1.74 **(in,** IH), **1.92 (in,** 1.2H), **2.17 (m, 1.3H), 2.36 (in,** 2H), **3.01** (br. s, 2H), **3.79** (s, **9H),** 4.49 **(in,** 2H), **5.05** (quintet, **6H), 6.85 (m,** 4H), **7.25 (in, 6H). 13C** NMR (400 MHz, **CDCl 3) 6 22.30, 27.96, 29.39,** 40.08,

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52.53, 55.27, 66.26, 67.10, 113.96, 127.59, 130.12, *156.90, 159.61,* **173.90, 173.29. MS** caled. for $C_{41}H_{53}N_3O_{12}$ [M+H]⁺, 780.37; found 780.1.

Jones oxidation. A general procedure was followed for all Jones oxidations, with varying amounts of each reagent. Conditions for these trials can be found in Table 2. In general, **PEG** was dissolved in acetone and cooled on an ice bath. In a separate vial, $CrO₃$, sulfuric acid, and water were combined and added dropwise to **PEG** solution, still on ice. The solution quickly turned bright orange and was allowed to warm to room temperature and react open to air. The reaction was quenched with isopropanol, **pH** was adjusted to **3** with sodium bicarbonate, and **PEG** was extracted into **DCM,** condensed on a rotary evaporator, and precipitated into ether.

TEMPO oxidation. PEG macromonomer **(230** mg, **0.1** mmol) was dissolved in 4 mL acetone and 0.5 mL 15% NaHCO₃ and cooled on an ice bath. Sodium bromide (2 mg, 0.02 mmol) and TEMPO (2 mg, **0.01** mmol) were added, followed **by** slow addition of trichloroisocyanuric acid (48 mg, 0.2 mmol). The reaction was was allowed to warm to room temperature, and monitored **by LCMS.** Incomplete conversion was shown **by LCMS** after 24 hours, so reaction was filtered and precipitated into cold ether, obtaining **98** mg (42% yield) of partially oxidized material.

Ethyl bromo acetate. Sodium hydride **(8** mg, **0.3** mmol) was washed with three aliquots of hexanes, then dried under vacuum for 20 minutes and suspended in *0.5* mL anhydrous THF. In a separate vial, dry **PEG** 2000 MM **(80** mg, **0.03** mmol) was dissolved in 1 mL THF and transferred to the base solution. The reaction was stirred at room temperature for thirty minutes. Ethyl bromoacetate (26 mg, 0.14 mmol) was added slowly, and the reaction was heated to 60^o C and stirred overnight. The reaction was quenched with **10** mL water, then extracted three times with 50 mL DCM, dried over Na₂SO₄, concentrated, and precipitated into cold ether. The resulting white powder was dried under vacuum, providing **67** mg (84% yield) product.

Ester hydrolysis. Ethyl ester MM **(67** mg, **0.03** mmol) was dissolved in 4 mL of IM aqueous NaOH and stirred overnight at 55^o C. Water was removed using a rotary evaporator, and the resulting white residue was dissolved in DCM, dried over Na₂SO₄, and precipitated into cold ether providing **32** mg (48% yield) of white powdery solid.

Mesyl MM. PEG MM (304 mg, **0.07** mmol) was added to a vial which was evacuated and refilled three times. Triethylamine *(15* mg, **0.15** mmol) and 1 mL of dry **DCM** were added and

cooled to **0' C.** In a separate evacuated and refilled vial, 1 mL dry **DCM** and mesyl chloride (43 mg, 0.4 mmol) were combined, then added dropwise to the chilled vial of MM. The reaction was allowed to proceed for two hours at **0' C,** then condensed on a rotary evaporator, precipitated three times from cold ether, and the white powder was dried under vacuum providing **260** mg product (85% yield). The ¹H NMR and MALDI spectra are shown in the following section. MALDI calcd. for $C_{134}H_{258}N_2O_{65}NaS [M+Na]^+$, 2992.511; found 2993.7325.

Benzyl MM. Mesyl MM **(0.145 g, 0.035** mmol) dissolved in **0.5** mL of dry DMF was added dropwise to **1.5** mL of benzylamine and stirred overnight. The reaction was precipitated four times into cold ether, and the resulting white powder was dried under vacuum yielding **98** mg of product (67%). The ¹H NMR and MALDI spectra are shown in the following section. MALDI calcd. for $C_{136}H_{256}N_3O_{60}Na$ $[M+Na]^+$, 2915.699; found 2915.89.

$$
\begin{array}{ccc}\n & \text{MsCl} \\
\text{HO} & \xrightarrow{\text{TEA}} & \text{MsO} \\
& \text{DCM} & \n\end{array}
$$

Bismesylate. **PEG** 2000 diol **(3.lg, 1.5** mmol) was added to a vial which was evacuated and refilled three times. Triethylamine (1.1 mL, **7.5** mmol) and **5** mL of dry **DCM** were added and cooled to **0' C.** In a separate evacuated and refilled vial, 2 mL dry **DCM** and mesyl chloride (0.54 mL, **7** mmol) were combined, then added dropwise to the chilled vial of MM. The reaction was allowed to proceed for two hours at **0' C,** then condensed on a rotary evaporator, precipitated three times from cold ether, and the white powder was dried under vacuum

providing **2.7** mg product **(87%** yield). The **IH** NMR and MALDI spectra are shown in the following section. MALDI calcd. for $C_{92}H_{186}O_{50}NaS_2$ $[M+Na]^+$, 2178.135; found 2178.98.

$$
MSO \left(\begin{array}{c}\begin{matrix}0\\0\end{matrix}\end{array}\right)_{\text{OMs}} \xrightarrow[\text{H}_2O]{\text{NH}_3} H_2N \left(\begin{matrix}0\\0\end{matrix}\right)_{\text{NH}_2}
$$

Bisamine. Dry bismesylate **PEG** *(350* mg, **0.16** mmol) was added to a vial with stirbar. **15** mL of **38%** aqueous ammonia was added to the **PEG** and stirred overnight. The reaction was extracted 4 times with **DCM.** Organic extracts were dried with sodium sulfate, condensed on a rotary evaporator, precipitated three times from cold ether, and the white powder was dried under vacuum, providing 244 mg **(70%** yield) of product. The 'H NMR and MALDI spectra are shown in the following section. MALDI calcd. for $C_{96}H_{196}N_2O_{47}Na$ $[M+Na]^+$, 2153.294; found 2153.99.

Folic acid NHS. Folic acid (0.5 g, 1.1 mmol), N-hydroxysuccinimide **(261** mg, 2.2 mmol), and dicyclohexylcarboimide (467 mg, 2.2 mmol) were combined and dissolved in **10** mL **DMSO.** The reaction was stirred at room temperature overnight in the dark, then filtered through 0.44 **pM** nylon filter paper and precipitated from room temperature ether **3** times. The resulting orange, gummy solid was triturated in cold ether to obtain **0.3** *57* **g (71%** yield) fine orange powder. ¹H NMR (400 MHz, CDCl₃) δ 3.43 (t, 2H), 3.62 (t, 1H), 4.71 (t, 2H), 4.94 (q, 2H), 5.08 (s, 2H), **5.16** (s, 4H), **9.30 (d,** 2H), **10.13 (d,** 2H), **11.06** (s, 1H). **MS** calcd. for **C2 3H2 1N808** [M-H] , **537.148;** found **537.2.**

$$
H_{2}N\leftarrow\{\text{O}\longrightarrow_{NH_{2}}\frac{\text{1. FA-NHS}}{\text{2. Nb-Gly-NHS}}\bigoplus_{O}\bigoplus_{H}\leftarrow\text{O}\longrightarrow_{N}\text{FA}
$$

Folic acid MM. PEG bisamine was dissolved in dry DMF. Folic acid **NHS** was added and stirred 24 hours. Norbornene-glycine-NHS was then added and stirred overnight. The reaction was precipitated, the yellow solid was dissolved in methanol, then filtered through a $0.44 \mu M$ nylon syringe filter. The reaction was HPLC purified to separate the desired product from any bis-norbomene and bis-folate **PEG.** HPLC fractions containing pure product were combined and condensed on a rotary evaporator. **DCM** was added, and any remaining water was removed using sodium sulfate. Solvent was removed with a rotary evaporator, precipitated from cold ether, and dried under vacuum yielding a yellow solid. The H NMR spectrum is shown in the following section.

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