### Creation of a Bose-condensed gas of Rb by laser cooling

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Creation of a Bose-condensed gas of rubidium 87 by laser cooling

Jiazhong Hu,^1^ Alban Urvoy,^2^ Zachary Vendeiro, Valentin Crépel, Wenlan Chen, and Vladan Vuletić

Department of Physics and Research Laboratory of Electronics, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA

We demonstrate direct laser cooling of a gas of rubidium 87 atoms to quantum degeneracy. The method does not involve evaporative cooling, is fast, and induces little atom loss. The atoms are trapped in a two-dimensional optical lattice that enables cycles of cloud compression to increase the density, followed by degenerate Raman sideband cooling to decrease the temperature. Light-induced loss at high atomic density is reduced by using far red detuned optical pumping light and a near-one-dimensional trapping geometry. Starting with 2000 atoms, we prepare 1400 atoms in 300 ms at quantum degeneracy, as confirmed by the appearance of a bimodal velocity distribution as the system crosses over from a classical gas to a Bose-condensed, interacting, nearly one-dimensional gas with a macroscopic population of the quantum ground state. The method should be broadly applicable to many bosonic and fermionic species, and to systems where evaporative cooling is not possible.

The ability to prepare quantum degenerate Bose \[^1^\] \[^3^\] and Fermi \[^4^\] gases has opened up a multitude of research areas, including quantum simulation of complex Hamiltonians \[^3^\] and quantum phase transitions \[^5^\]. Quantum degenerate gases are prepared in two steps: fast laser cooling until a certain density and temperature limit is reached, followed by slower evaporative cooling to Bose-Einstein condensation (BEC) or below the Fermi temperature. Compared to laser cooling, evaporative cooling \[^1^\] \[^4^\] is slower, requires favorable atomic collision properties, and only a small fraction of the original ensemble is left at the end of the process. The one exception to this scheme is strontium \[^7^\], which features a very narrow optical transition. The latter enables the laser cooling of a thermal cloud in a large trap, while a small (1\%) fraction of the ensemble undergoes BEC in a tighter, collisionally coupled trap.

Previous attempts at laser cooling all other species, and especially the alkali workhorse atoms, stopped short of BEC due to two adverse effects that set in at high density: optical excitation of pairs of atoms at short distance, leading to light-induced loss \[^8^\] \[^9^\], and reabsorption of emitted photons at high optical density, leading to excess recoil heating \[^29^\].

With respect to reaching quantum degeneracy, laser cooling techniques can be characterized in terms of the phase space density \(\mathcal{D}\), which is the peak occupation per quantum state for a thermal cloud. Standard polarization gradient cooling \[^1^\] \[^11^\] reaches \(\mathcal{D} \sim 10^{-6}\). A significant improvement is offered by Raman sideband cooling (RSC) \[^1^\] \[^11^\] \[^14^\], where by isolating the atoms from each other in a three-dimensional (3D) optical lattice, \(\mathcal{D} \sim 10^{-2}\) has been reached \[^14^\]. Demagnetization cooling of chromium has also reached \(\mathcal{D} \sim 10^{-2}\) \[^15^\]. Weiss and co-workers pioneered a release-and-retrap compression approach to increase the occupation in a 3D optical lattice \[^16^\], and, in combination with RSC, attained a record \(\mathcal{D} \sim 0.03\) \[^17^\], limited by light-induced loss in doubly occupied lattice sites.

In this Report we show that by judicious choice of optical cooling parameters and trap geometry, it is possible to create a Bose condensate of \(^{87}\)Rb atoms without any evaporation. Degenerate Raman sideband cooling (dRSC) \[^13^\] is performed with optical pumping light red detuned by several hundred MHz from the \(D_1\) atomic transition in a 2D lattice geometry. Both the large red detuning and the near-one-dimensional confinement observably reduce light-induced loss, while a photon scattering rate below the trap vibration frequencies likely suppresses excess recoil heating in the \textit{festina lente} regime \[^10^\] \[^29^\]. We use release-and-retrap compression \[^16^\] \[^17^\] to strongly increase the atomic density after each optical cooling cycle. Starting with 2000 atoms in the central trapping region, we reach quantum degeneracy in 300 ms with 1400 atoms, as observed through a bimodal velocity distribution. BEC is reached in a crossover between 1D and 3D regime \[^25^\], and between a weakly interacting 1D gas and a strongly interacting Tonks gas \[^23^\] \[^24^\]. Although in this regime there is no known simple relation between the momentum distribution and the condensate fraction, we estimate that up to 40\% of the ensemble, or 550 atoms, are in macroscopically occupied ground states of 30 tubes with a peak occupation of 50 atoms per tube.

The centerpiece of our setup is a square 2D optical lattice created by two orthogonal retroreflected beams, each with a power of 1.1 W, and focussed to an \(e^{-2}\) intensity waist of 18 \(\mu\)m at the atoms’ position. The incoming beams are vertically polarized, while the polarizations of the reflected beams are rotated by \(\theta = 80^\circ\). This induces a polarization gradient in the lattice that provides the required Raman coupling for dRSC \[^13^\]. The trap depth of each 1D optical lattice is \(U/h = 13\) MHz, the axial (tight) vibrational frequency is \(\omega_{xy}/(2\pi) = 180\) kHz, and the radial vibrational frequency is \(\omega_{z2D}/(2\pi) = 4.5\) kHz. In the 2D lattice with both beams, this yields \(\omega_z = \sqrt{2}\omega_{2D} = 2\pi \times 6.3\) kHz along the vertical (\(z\)) direction. A magnetic field \(B = 0.23\) G along \(z\), is set to...
FIG. 1. Experimental scheme and procedure. A $^{87}$Rb atoms are trapped in a 2D lattice formed by two orthogonal retroreflected trapping beams at 1064 nm. The cooling light at 795-nm propagates along the magnetic field ($z$), and is $\sigma^-$-polarized. B Simplified atomic level structure for dRSC. The Zeeman splitting between two magnetic sublevels is matched to the vibrational splitting in the tightly confined direction. C Release-and-retrap compression sequence used to increase the atomic density. Starting from a sparsely filled 2D lattice, we perform dRSC (I) and then switch off the Y trapping beam to compress the atoms along y in the X trapping beam (II). After a short thermalization time we switch back to the 2D lattice with an increased occupation number per trap (III). The procedure is repeated for the X beam to compress the atoms into a small number of tubes (IV). A final dRSC in this system (V) then yields a condensate.

match the Zeeman splitting between the magnetic sublevels $|F = 2, m = -2\rangle$ and $|2, -1\rangle$ to $\hbar \omega_{xy}$.

A cooling cycle consists of a Raman transition $|2, -2\rangle \rightarrow |2, -1\rangle$ induced by the trapping light, that removes one vibrational quantum in the tightly confined direction (Fig. 1B), followed by optical pumping back to $|2, -2\rangle$. This reduces an atom’s motional energy by $\sim \hbar \omega_{xy}$ per optical pumping cycle. The very far detuned trap light that drives the Raman transition (wavelength $\lambda_t = 1064$ nm) does not produce any appreciable atom loss, but the optical pumping can induce inelastic binary collisions as an atom pair is excited to a molecular potential that accelerates the atoms before they decay back to the ground state $|2, -2\rangle$. To reduce this process relative to photon scattering by individual atoms [18], we use a $\sigma^-$-polarized optical pumping beam tuned below the D$_1$ line away from photoassociation resonances [9, 15]. Since an excited atom can also decay to $F = 1$, we use bichromatic light with detunings $\Delta_2/(2\pi) = -630$ MHz and $\Delta_1/(2\pi) = -660$ MHz relative to the $|5S_{1/2}, F = 2\rangle \rightarrow |5P_{1/2}, F' = 2\rangle$ and $|5S_{1/2}, F = 1\rangle \rightarrow |5P_{1/2}, F'' = 2\rangle$ transitions, respectively. This far-detuned D$_1$-line optical pumping configuration reduces light-induced inelastic collisions by at least an order of magnitude.

The experimental sequence starts by accumulating $^{87}$Rb atoms in a magneto-optical trap, loading them into the 2D lattice using polarization gradient cooling [16], and applying dRSC for 100 ms. This prepares the atoms near the vibrational ground state in the strongly confined $x$ and $y$ directions (the kinetic energy measured via time-of-flight imaging is $K_{xy}/\hbar = 50$ kHz, close to $\frac{1}{2} \omega_{xy}/(2\pi) = 45$ kHz), while in the vertical direction ($z$) the atoms are cooled to $T_z \approx 12 \mu K$ ($K_z/\hbar = 120$ kHz) via collisional thermalization between the axial and radial directions of the tubes. At this point there are $N = 2000$ atoms in the 2D lattice with a peak occupation of $N_1 \approx 1$ atom per tube, corresponding to a
FIG. 2. A to C: Bimodal velocity distribution emerging during the final laser cooling stage indicating macroscopic population of the ground state. Observed optical depth (OD) along $z$ after a ballistic expansion of 1.3 ms for cooling times of 5 ms (A), 20 ms (B) and 80 ms (C), averaged over 200 repetitions of the experiment. The red lines show Gaussian fits to the wings of the distributions, and green lines are quadratic fits to the remaining distribution in the center. Here the intensity of the trapping beams is ramped down in 400 $\mu$s, slowly compared to the axial trapping frequency but rather quickly with regard to the motion along $z$, in order to reduce the interaction energy. D: Evolution of the total atom number $N$ (blue) or atom number per lattice tube $N_i$ (red), vs the phase space density $D$ during the sequence, with the steps labeled I to V as defined in Fig. 1B. The solid lines represent the dRSC process and the dotted lines represent the spatial compression. Each cooling step enhances $D$ by one order of magnitude, then the release-and-retrap compression increases the peak occupation number $N_i$ while slightly decreasing $D$. E: Velocity distribution along $z$ for the same parameters as in C, but observed for a 2D gas after releasing the atoms into the 1D lattice Y.

peak phase space density $D = 0.02$, and peak density $n_0 = 2.2 \times 10^{14}$ cm$^{-3}$. In order to further increase $n_0$ and $D$, we apply release-and-retrap compression \[ \text{Br} \] by adiabatically turning off (in 400 $\mu$s) the Y trapping beam, such that the cloud shrinks in the $y$ direction due to the radial confinement of the X beam (Fig. 1C). After thermalization for 10 ms, the spatial extent of the cloud can be estimated by $z = \sqrt{k_B T_z/m/\omega_{xy}} = 1.1 \mu$m, where $T_z = 10 \mu$K is the measured radial temperature, $m$ is the $^{87}$Rb mass, and $k_B$ is Boltzmann’s constant. The lattice beam is then turned back on in 1 ms. This loads the compressed ensemble back into a 2D lattice, resulting in a higher temperature ($T \sim 50 \mu$K), and we apply again dRSC for 100 ms. This yields again $K_{xy} \approx \hbar\omega_{xy}/4$, $T_z = 12 \mu$K, but at a peak occupation number of $N_1 = 6.9$ atoms per tube for a total atom number $N = 1700$. We repeat this procedure for the X lattice beam and end up with $N = 1400$ and $N_1 = 47$ at a peak density $n_0 = 1 \times 10^{16}$ cm$^{-3}$. At this point the ensemble is below our optical resolution of 8 $\mu$m, and $N_1$ is estimated from the measured temperatures in the corresponding 1D lattices, and the separately measured trap vibration frequencies. Fig. 2D shows the evolution of $N$, $N_1$ and $D$ during the sequence that brings the system close to $D = 1$. We would like to emphasize that evaporation

FIG. 3. A Cooling performance in the final stage. Average kinetic energy measured by the time-of-flight method in the directions of strong (blue) and weak (red) confinement against cooling time. After 80 ms of cooling, the atoms are in the 2D vibrational ground state. The inset shows that there is almost no atom loss during cooling. B Condensate fraction vs cooling time. In A and B the dashed lines are exponential fits shown as a guide to the eye. C Condensate fraction vs the inverse peak phase space density $D^{-1}$. The blue line is the theoretical prediction for an ideal gas of 50 atoms in a 1D trap \[ \text{Br} \], shown as a guide to the eye.
is not occurring at any point, since temperature reduction is only observed when the cooling light is on, and $k_B T \leq 0.1 U$ at all times.

When we subsequently apply the final dRSC stage for up to 100 ms, we observe the gradual appearance of a characteristic signature of condensate formation, a bimodal velocity distribution along the $z$ direction that becomes more pronounced with longer dRSC time $t$ (Fig. 2). A fit to the observed distribution for $t = 80$ ms with a single Gaussian curve yields a reduced $\chi^2 = 137$ (Fig. 2C), as opposed to $\chi^2 = 0.91$ for a bimodal distribution with a parabolic central component. (At $t = 5$ ms, the corresponding values are $\chi^2 = 0.89$ and $\chi^2 = 0.75$, respectively.) The bimodal distribution persists if we adiabatically turn off the X trap after cooling for $t = 80$ ms and observe the 2D gas in a 1D lattice (Fig. 2E).

In Fig. 3, we show the evolution of the kinetic energy, the bimodal distribution, and the atom number as a function of final-stage cooling time. Along the tightly confined directions, we cool to the vibrational ground state $K_{xy}/h = 50$ kHz $= 1.1 \times 10^{-6}$ cm$^{-6}$ s$^{-1}$, while along $z$ we reach an average kinetic energy $K_z/h = 120$ kHz. Additionally, we observe only very limited atom loss ($< 5\%$ at a peak density of $n_0 = 1 \times 10^{16}$ cm$^{-3}$, inset to Fig. 3A), confirming that light-induced losses are strongly suppressed. (For the initial two cooling stages, we observe a similar temperature evolution at a slightly smaller loss.) Fig. 3C also shows the condensate fraction $N_0/N$ vs the calculated inverse phase space density $1/\mathcal{D}$ (see supplementary materials), where $N_0$ is the number of condensed atoms. $N_0/N$ is estimated in the absence of a detailed one-dimensional theory as the fractional area under the narrower peak in the bimodal distribution. The onset of the bimodal distribution is observed near $\mathcal{D} = 0.7$. Note that in 1D systems, only a smooth crossover to a quantum degenerate gas occurs [20][21], which is in agreement with our observation for $N_0/N$. For our parameters the system is both in the crossover region between a weakly interacting 1D gas [22] and the strongly interacting Tonks-Girardeau gas [23][24] (the calculated dimensionless interaction parameter is $\gamma \approx 2.7$ at the peak local density), and in the crossover region between a 1D Bose gas and a 3D finite-size condensate [25] (see supplementary materials). While the exact character of the condensate is therefore ambiguous, the velocity distribution (Fig. 2) clearly reveals a macroscopic population of the ground state.

Since the atomic cloud is below our optical resolution, the atomic density cannot be directly determined through optical imaging. However, an independent verification is possible by measuring 3-body loss, where the loss coefficient ($K = 2.2 \times 10^{-29}$ cm$^6$s$^{-1}$ for a 3D thermal gas [26]) has been previously determined. In a 1D gas with $\gamma \approx 2.7$, the 3-body loss is strongly suppressed by a factor $\sim 100$ [27][28], and indeed we do not detect any loss in the 1D tubes. Instead, we measure the 3-body recombination in the 1D lattice, i.e. for a 2D gas, where we observe a lifetime of 300 ms, from which we determine a peak density of $5.3 \times 10^{14}$ cm$^{-3}$ in the 2D gas, corresponding to a peak atom number of $N_1 \geq 45$ atoms per tube, and a phase space density $\mathcal{D} = 1.1$ at the onset of quantum degeneracy (see supplementary materials), both in agreement with the previous estimation.

In conclusion, we have directly laser cooled a gas of alkali atoms to quantum degeneracy, which had remained an elusive goal since the early quest for BEC. We expect that the atom number can be substantially increased in the future using higher trap power, and that the method can be applied to various bosonic as well as fermionic atomic species, potentially even under conditions where evaporative cooling is impossible. The far-detuned optical pumping light may also enable atom-number resolving measurements in quantum gas microscopes [30][31]. Finally, the fast preparation may pave the way for further studies of the strongly correlated Tonks gas regime [23][24].

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* These two authors contributed equally.

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TABLE S1. Experimental parameters.

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<td>magnetic field $B$</td>
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<tr>
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<tr>
<td>$\Delta_2/(2\pi)$</td>
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**Materials and Methods**

**Experimental details**

The two trapping beams differ in frequency by 160 MHz to avoid interference effects and their powers can be independently controlled by separate acousto-optic modulators. The intensity of the circularly polarized optical pumping beam on the D$_2$ transition at 795 nm is set to an off-resonant scattering rate $\Gamma_s \sim 2 \times 10^3$ s$^{-1}$ for the $|F = 2, m_F = 1 \rangle \rightarrow |F = 2, m_F = 2 \rangle$ transition at a detuning $\Delta_2/(2\pi) = -630$ MHz. An electro-optic modulator generates sidebands at 6.8 GHz, and the power ratio between the two frequency components is set to have a 3 times stronger scattering rate on the $|F = 1, m_F = 1 \rangle \rightarrow |F = 2, m_F = 2 \rangle$ transition. This prevents the atoms that have decayed to the $|F = 1 \rangle$ state from undergoing heating Raman transitions. The atoms are imaged after a time-of-flight of typically 1.3 ms via absorption imaging on the cycling transition of the D$_2$-line, in the plane defined by the lattice beams, and at a 20° angle relative to the X lattice beams (see Fig. 1 of the main text). We summarize the relevant experimental parameters in Table S1.

**Estimation of the phase space density $D$**

We measure the kinetic energies $K_{xy}$ and $K_z$, and compare them to the trapping vibrational frequencies $\omega_{xy}$ and $\omega_z$. Hence, we estimate the relative ground state occupation along each direction when the chemical potential is zero. Assuming $T_\beta (\beta = x, y \text{ or } z)$ is the temperature along direction $\beta$ with the vibrational frequency $\omega_\beta$, the kinetic energy $K_\beta$ is related to $T_\beta$ by

$$K_\beta = \frac{1}{4} \hbar \omega + \frac{1}{2} \hbar \omega e^{\hbar \omega_{\beta} / k_B T_\beta} - 1. \quad (S1)$$

Then, we know the relative ground state occupation is

$$p_{0,\beta} = 1 - e^{-\hbar \omega_{\beta} / k_B T_\beta} = \frac{2}{4K_\beta / \hbar \omega_\beta + 1}. \quad (S2)$$

The occupation of the 3D ground state is

$$P_0 = p_{0,z} p_{0,y} p_{0,x} = \frac{2}{4K_z / \hbar \omega_z + 1} \left( \frac{2}{4K_y / \hbar \omega_y + 1} \right)^2. \quad (S3)$$

Thus the phase space density $D$ is calculated as

$$D = N_1 P_0 = N_1 \frac{2}{4K_x / \hbar \omega_x + 1} \left( \frac{2}{4K_y / \hbar \omega_y + 1} \right)^2 \approx N \frac{\hbar \omega_z}{k_B T_z} \left( \frac{2}{4K_z / \hbar \omega_z} + 1 \right)^2, \quad (S4)$$

here $T_z = 2K_z / k_B \gg \hbar \omega_z / k_B$ is the measured temperature along $z$. $K_x = K_y = K_{xy}$ is the measured kinetic energy along $x$ or $y$, and $N_1$ is the peak atom number per lattice tube.

Gaussian fit to the wings of the distribution

After we measure the velocity distribution of the ballistic expansion, we choose two lines (dashed orange in Fig. S1) on the slopes of the distributions, separating the central peak and the wings parts. These two lines are fixed for all the measurements with 1.3 ms expansion time. We fit a Gaussian function (red solid lines) to the data points lying outside of the two lines. Then we subtract the fitted Gaussian function from the data points to fit a non-negative quadratic function and add it to the top of the Gaussian fit (green solid lines).

**FIG. S1.** The method of Gaussian fit to the wings of the distribution.

Three-body recombination measurement

It was previously reported that three-body losses are strongly reduced in a one-dimensional cloud [27, 28]. Indeed we are not able to measure any significant three-body loss in the tubes. Therefore we measured the three-body recombination rate in a two-dimensional geometry by transferring the atoms to the Y optical lattice (i.e. by merging the tubes in the x direction)
after the final cooling for 10 ms, and measuring the atom number as a function of the holding time (Fig. S2).

By fitting the theoretical model for the three-body loss, we obtain an initial loss timescale of $\tau = 300$ ms. Assuming a thermal velocity distribution for the atoms and averaging over the Gaussian density profile in each trap and over different lattice sites in the 1D lattice, we obtain the following relation between the initial peak density $n$ in the 2D gas and $\tau$:

$$n = \left( \frac{9}{\tau K} \right)^{1/2} = 5.3 \times 10^{14} \text{ cm}^{-3}. \quad (S5)$$

Here we used the value $K = 1.1 \times 10^{-28} \text{ cm}^6\text{s}^{-1}$ from \[20\] as the three-body loss coefficient for the $|5S_{1/2}, F = 2\rangle$ state for a classical (non-condensed) gas. This density is also consistent with the peak atom number per tube derived from the atomic temperature and trap vibrational frequencies.

Detuning dependence of the cooling sequence

We also test a few different detunings for the optical pumping beam of dRSC. For each detuning setting, the laser intensity was adjusted to maintain a scattering rate of $\Gamma_s \approx 2 \times 10^3 \text{ s}^{-1}$ on the $|5S_{1/2}, F = 2, m_F = 1\rangle \rightarrow |5P_{3/2}, F = 2, m_F = 2\rangle$ transition. When using exactly the same cooling sequence as described in the main text, we succeed to produce a condensate also at a the smaller detuning $\Delta_2/(2\pi) = -100$ MHz (Fig. S2C) but not at $\Delta_2/(2\pi) = -20$ MHz or $+40$ MHz (Fig. S3A-B).

Velocity distribution of the Z and X direction

We measure the velocity distributions of the Z and X directions with 1.3 ms ballistic expansion time (Fig. S4). It shows a bimodal velocity distribution along the vertical direction and a Gaussian distribution along the horizontal direction. Near 95% atoms are in the vibrational ground state of X (or Y) direction. The velocity (momentum) distribution is showing the Gaussian profile of the vibrational ground state.

BEC regime

For our parameters, at the critical temperature for quantum degeneracy of $k_B T \approx \hbar \omega_{xy}$, the system is at the boundary between a 3D gas and a 1D gas \[25\]. Furthermore, the dimensionless interaction parameter $\gamma = m g_1 / \hbar^2 n_1$ \[20\], where $n_1$ is the 1D density, and $g_1 \sim 2h \omega_{xy} a$ is the interaction strength for the 3D scattering length $a$, for our system is $\gamma \approx 2.7$ at the peak 1D density. This means that the system is also at the boundary between a weakly interacting Thomas-Fermi gas ($\gamma \ll 1$, for high linear density $n_1$) and a strongly correlated Tonks gas ($\gamma \gg 1$, for low $n_1$ \[20\] \[23\] \[24\]). In fact, the latter has been measured to exhibit substantially lower collisional

FIG. S2. Atom number in the $|F = 2\rangle$ state as a function of the holding time in the Y lattice. The blue solid line is a fit to the analytic solution of the three-body loss decay, with an initial loss timescale of $\tau = 300$ ms.

FIG. S3. Velocity distribution of the atoms along the Z direction after 80 ms of cooling in the final cooling stage, for various detunings of the cooling beam $\Delta_2/(2\pi) = -20$ MHz (A), $\Delta_2/(2\pi) = +40$ MHz (B) and $\Delta_2/(2\pi) = -100$ MHz (C), averaged over 100 repetitions. The data is fitted with a bimodal distribution in the same way as in Fig. 2 of the main text.
two-body and three-body loss due to the reduced correlation function \cite{27, 28}. This effect may also help further reduce the light-induced loss during dRSC in the near-1D geometry. We estimate average final thermalization rate as $10^3 \text{s}^{-1}$, including a factor of 5 reduction for $\gamma \approx 2.7$ \cite{33}, and a factor of $e^{-1.5}$ reduction for $k_B T < 2\hbar \omega$ \cite{34}.

FIG. S4. Velocity distribution of the Z (vertical) and X (horizontal) directions with 1.3 ms expansion time. The trap is instantaneously turned off ($< 100$ ns) and the final stage cooling time is 200 ms. The red line is a Gaussian fit to the wings of the distribution.