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FOIL BEARING DESIGN GUIDELINES FOR IMPROVED STABILITY

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ABSTRACT

Experimental evidence in the literature suggests that foil bearing supported rotors can suffer from sub-synchronous vibration. While dry-friction between top foil and bump foil is thought to provide structural damping, sub-synchronous vibration is still an unresolved issue and has been recently attributed to the non-linearity of the bump-foil support stiffness. A non-linear rotordynamic model corroborates this hypothesis, however a forcing is required to excite the system. The current paper aims to shed new light onto this matter and discusses the impact of various design variables on stable foil bearing supported rotor operation. It is shown that, while a time domain integration of the equations of motion of the rotor coupled with the Reynolds equation for the fluid film is necessary to quantify the evolution of the rotor orbit, the underlying mechanism and the onset speed of instability can be predicted by coupling a reduced order foil bearing model with a rigid-body, linear rotordynamic model. Using this model it is shown that the excitation source inducing sub-synchronous vibration is a classical aerodynamic instability resulting from bearing fluid film forces. A sensitivity analysis suggests that structural damping has limited effect on stability. It is shown that the location of the axial feed line of the top foil significantly influences the bearing load capacity and stability. The analysis further indicates that the static fluid film pressure distribution governs rotordynamic stability. Therefore selective shimming is introduced to tailor the unperturbed pressure distribution for improved stability. The required pattern is found via multi-objective optimization using the foil bearing supported rotor model. A critical mass parameter is introduced as a measure for stability, and a criterion for whirl instability onset is proposed. It is shown that with an optimally shimmed foil bearing, the critical mass parameter can be improved by more than two orders of magnitude. The optimum shim patterns are summarized for a variety of foil bearing

geometries with different L/D ratios and different degrees of foil compliance in a first attempt to establish more general guidelines for stable foil bearing design. At low compressibility ($\Lambda < 2$) the optimum shim patterns vary little with bearing geometry, thus a generalized shim pattern is proposed for low compressibility numbers.

NOMENCLATURE

| | |
|------------|--|
| $[C]$ | System damping matrix [-] |
| C | Nominal bearing clearance [m] |
| D | Rotor diameter [m] |
| e_s | Shim thickness [m] |
| F_0 | $f/(p_a LD)$, Normalized static bearing load [-] |
| f | Static bearing load [N] |
| H | h/C , Normalized bearing clearance [-] |
| h | Fluid film thickness [m] |
| I_{Crit} | Normalized critical rotor inertia [-] |
| J_P | Polar rotor inertia [kgm ²] |
| J_T | Transverse rotor inertia [kgm ²] |
| $[K]$ | System stiffness matrix [-] |
| K_B | Structural support stiffness per unit area [Nm ⁻³] |
| L | Bearing length [m] |
| l | Distance between journals [m] |
| $l_{a/b}$ | Journal position relative to center of gravity [m] |
| $[M]$ | System inertia matrix [-] |
| M_{Crit} | Normalized critical rotor mass [-] |
| M_{Rot} | Normalized rotor mass [-] |
| m_{Rot} | Rotor mass [kg] |
| n_S | Number of shims [-] |
| P | p/p_a , Normalized pressure [-] |
| p | Pressure [Pa] |
| p_a | Ambient pressure [Pa] |
| q | Normalized system motion vector [-] |
| R | Rotor radius [m] |
| s | Rotordynamic system eigenvalue [s ⁻¹] |

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| | |
|---------------------|--|
| u | Real part of z [Nm^{-1}] |
| v | Imaginary part of z [Nm^{-1}] |
| Z | System impedance [Nm^{-1}] |
| z | System impedance eigenvalue [Nm^{-1}] |
| α | $p_a/[CK_B(1+\gamma)]$, Bearing compliance [-] |
| ε_s | e_s/C , Normalized shim thickness [-] |
| $\varepsilon_{x,y}$ | Normalized rotor eccentricity [-] |
| ϕ_S | Shim angle [$^\circ$] |
| Γ | Logarithmic decrement [-] |
| γ | Structural bearing damping [-] |
| Λ | $6\mu\omega_{Rot}/p_a(R/C)^2$, Compressibility number [-] |
| λ | Real part of s [s^{-1}] |
| μ | Viscosity [Pas] |
| Ω | Whirl ratio [-] |
| Ω_{Crit} | Whirl ratio at instability onset [-] |
| ω_{Rot} | Rotator speed [s^{-1}] |
| ω_{EX} | Excitation speed [s^{-1}] |
| ω | Imaginary part of s [s^{-1}] |
| σ | $12\mu\Omega\omega_{Rot}/p_a(R/C)^2$, Squeeze film number [-] |
| θ_F | Static load angle [$^\circ$] |
| τ | Normalized time [-] |
| ζ | $l_a/(l_a+l_b)$, Relative rotor center of gravity [-] |

Subscripts

| | |
|----------|--|
| z | Axial component |
| θ | Circumferential component |
| CYL | Cylindrical rotor motion |
| CON | Conical rotor motion |
| $x0$ | Static rotor displacement component in x |
| $y0$ | Static rotor displacement component in y |

INTRODUCTION

Over the past three decades foil bearings have found a wide range of applications such as in air cycle refrigeration units [1], microturbine generators [2, 3] and turboexpanders for cryogenic application [4]. Foil bearings allow oil-free operation as lubrication is provided by a gas film. The typical foil bearing consists of a thin top foil supported by a corrugated bump foil. The bump foil structure acts like a spring making the top foil compliant. Dry-friction resulting from relative motion between the foils provides structural damping. The leading edge of the top foil is free whereas the trailing edge is typically welded to the bearing sleeve. By tuning the support stiffness in the circumferential direction and by introducing multistage bump foils Heshmat [5] demonstrated relatively large load capacities (for example non-dimensional loads F of 6.7). In addition, the development of coatings has ensured low starting torque and limited wear during startup and shutdown and allows operation under heavy loads and at high temperatures [6].

Nature of the Issues. Sub-synchronous rotor motion is a long-standing issue and a number of publications in the literature give recurring evidence of such vibrations in foil bearing supported rotors [5, 7-9]. Sub-synchronous vibration in fluid film bearings is a well understood phenomenon also known as bearing whirl. The motion can result from cross-

coupled fluid film forces leading to vibration at the rotor natural frequency. Although foil bearing supported rotors are known to operate reliably with small sub-synchronous limit cycles, the consequences can be rotor failure or pre-mature wear and fatigue. To avoid this undesirable vibration, damping can be provided by the fluid film itself or through external means such as squeeze film dampers or O-rings. It is conjectured in the literature that the dry-friction between the top foil and the bump foil provides structural damping enhancing bearing rotordynamic stability [6, 10]. To improve the bearing frictional characteristics Heshmat et al. [10] coated the adjacent foil surfaces with copper and showed reduced rotor orbits. Lee et al. [11] added a viscoelastic foil between the bump and the top foil. Test results showed attenuated orbits for operation at the bending-critical speed. San Andrés et al. [12, 13] proposed to replace the bump foil with a metal mesh. The experiments revealed stiffness coefficients similar to those of bump foil supported bearings and demonstrated improved structural damping, reducing the orbit amplitude.

San Andrés and Kim [14] conjectured that the non-linear support stiffness of the bump foil contributes to sub-synchronous vibrations. Rubio and San Andrés [15] determined the stiffness of a bump-type foil bearing at zero rotational speed and showed that the stiffness increased with eccentricity. By applying the measured non-linear stiffness and assuming that the combined stiffness of the fluid film and the bearing support is dominated by the bump foil structure [16], San Andrés and Kim performed a non-linear rotordynamic analysis by numerically integrating the governing differential equations. The analysis showed sub-synchronous vibration, suggesting that the undesired motion was due to the non-linear support stiffness. It will be shown later in this paper that non-linear support stiffness is not sufficient for the sub-synchronous vibration to occur. It is suggested that a strong source of excitation is also required.

The current paper focuses on determining the origin of this excitation and on assessing the role of Coulomb friction in sub-synchronous vibration. It is hypothesized that the source for excitation is provided by a rotordynamic instability, typically induced by the cross-coupled bearing stiffness and damping coefficients. Furthermore the paper introduces so called "selective shimming" as a means to tailor the fluid film pressure to improve the foil bearing stability threshold.

Goals and Objectives. The goal of the investigation is to define a set of unified design guidelines for stable foil bearing supported rotor operation. The objectives are to: (1) implement a comprehensive model for foil bearing supported rotors based on existing, state of the art component models, (2) investigate the role of Coulomb friction in sub-synchronous vibration, (3) quantify the impact of the axial foil bearing feedline orientation on static load, and (4) characterize the stability of foil bearing supported rotors and define the conditions under which stable operation is guaranteed.

Scope of the Paper. The technical approach is based on an integrated rotordynamic model for foil bearing supported

rotors. The foil bearing model by Kim and San Andrés [17] is implemented. The model is able to estimate the static load capacity and the dynamic stiffness and damping coefficients as a function of bearing geometry and operation conditions. Then, the foil bearing model is coupled with a linear, rigid body rotordynamic model, implementing an extension of the spectral analysis approach by Pan [18, 19]. The analysis estimates the whirl speed map and the corresponding stability map of a rotordynamic system where stiffness and damping are not only dependent on the rotational speed but also on the excitation frequency. This is generally the case for fluid film bearings with a compressible lubricant. The integrated model is then used to validate the hypothesis by comparing the simulation results with available experimental data. Furthermore, a numerical sensitivity analysis is carried out to identify the key parameters governing sub-synchronous vibration. It is shown that for lightly loaded bearings structural damping has a negligible effect on the onset of sub-synchronous vibration. So called "selective shimming" is then pursued by introducing shims with variable thickness around the bearing circumference. The idea is that by selective shimming, the unperturbed pressure field, which governs the stiffness and damping characteristics, is altered so as to increase the stability threshold.

Using a critical mass parameter, the selective shim distribution is optimized to maximize the stability threshold for a range of length to diameter ratios and static loads. The results are generalized with the goal to identify improved bearing configurations for a given application.

Background. To investigate the onset of subsynchronous vibration and the effect of the shape of the non-linear stiffness characteristic, a numerical simulation of the rotor described in San Andrés and Kim [14] was implemented using the structural foil bearing force-displacement characteristic measured by Rubio and San Andrés [15] represented in Figure 1. It is noted that the analysis assumes minute fluid film thickness, thus the combined bearing stiffness is dominated by the bump foil structure. The integration of the rotor-bearing equations of motion was performed using an explicit Runge-Kutta integration scheme, applying the initial conditions and the equations of motion as given in [14]. The reported sub-synchronous vibrations could be reproduced when increasing the rotor speed in discrete steps as shown in the inset of Figure 2 a). However, when the rotor speed was increased smoothly with a rise time of 75% of the characteristic time set by the rotor inertia τ and by applying the initial conditions corresponding to the last state of the previous calculation batch (speed change), no sub-synchronous vibration was observed as shown in Figure 2 b).

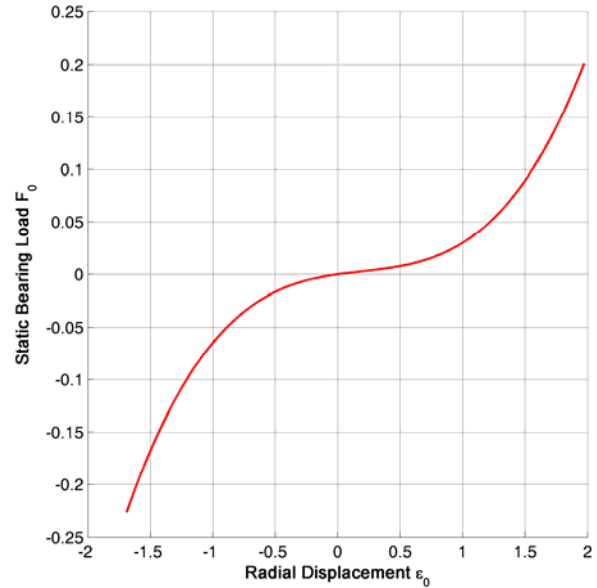


Figure 1: Foil bearing force versus rotor displacement used for the non-linear rotordynamic analysis by San Andrés [14].

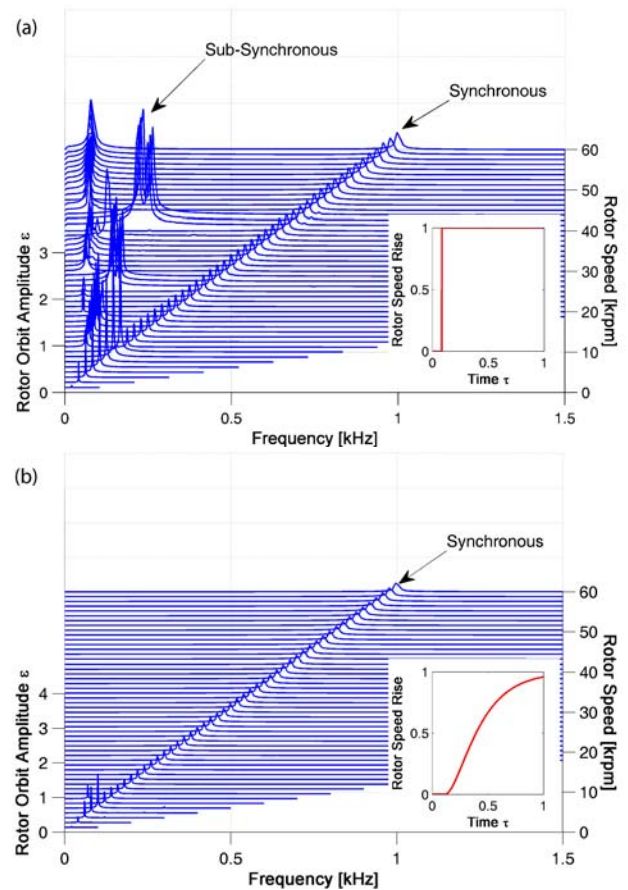


Figure 2: Frequency spectra of San Andrés' rotor [14]: a) strong sub-synchronous vibrations when rotor speed is increased in discrete steps yields; b) sub-synchronous vibration is absent with smooth increases in rotational speeds.

Next, the rotor bearing system rotating at constant speed was excited with an impulsive force of 10g, immediately inducing sub-synchronous vibration as depicted in Figure 3. The following can be concluded from these simulations: First, sub-synchronous vibration contributes to the dynamic behavior of the non-linear rotordynamic system. Second, for sub-synchronous vibration to occur, an excitation of significant strength (of the order of several gravitational accelerations) is required. In the numerical simulations this excitation can be provided by a step-change in rotor speed or by an impulsive forcing of the rotor, exciting the system over a broad range of frequencies. In the experiments where the rotating machinery was stationary [5, 7-9] such strong forcing was clearly absent. It is thus hypothesized that the required excitation source is a rotordynamic instability induced by bearing fluid film forces. To assess this hypothesis an integrated rotordynamic model for foil bearing supported rotors is developed.

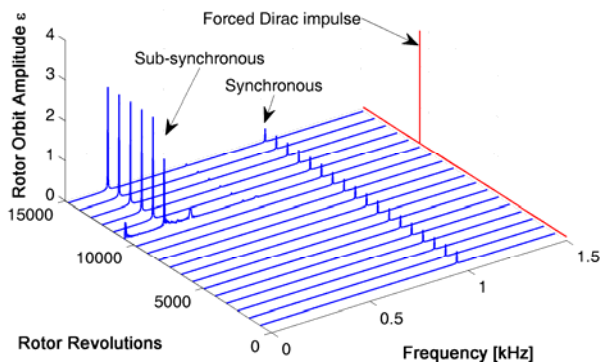


Figure 3: Excitation of San Andrés's rotor [14] by a 10g-Dirac impulse induces sub-synchronous vibration.

INTEGRATED MODEL DESCRIPTION

Foil Bearing Model. A foil bearing model is required to estimate the static load capacity and the dynamic stiffness and damping coefficients as a function of bearing geometry and operating conditions. These are rotational speed, excitation frequency, static eccentricity and lubricant properties. Figure 4 illustrates a typical layout of the bump foil bearing and introduces the nomenclature used in this paper.

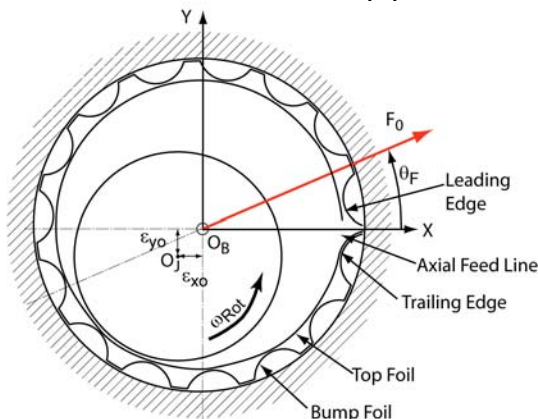


Figure 4: Bump foil gas bearing nomenclature.

A wide range of tools for estimating foil bearing performance are available in the literature. A typical approach is to model the compliance of the bump foil as an equivalent stiffness distributed around the bearing circumference [17, 20, 21]. More comprehensive models include the elastic deformation of the top foil [22] and of the bump foil [23, 24]. Kim and San Andrés [17] use an equivalent bump foil stiffness model and assume the top-foil does not deform [25]. The comparison with experimental data suggests that more elaborate models offer little improvement in accuracy of the predicted stiffness and damping coefficients. Thus a similar approach is used here.

The pressure in the fluid film is governed by the Reynolds equation, which for an isothermal, ideal gas in its non-dimensional form can be written as

$$\partial_{\theta} [PH^3 \partial_{\theta} P] + \partial_z [PH^3 \partial_z P] = \Lambda \partial_{\theta} (PH) + \sigma \partial_z (PH), \quad (1)$$

with

$$H = 1 + \varepsilon_x \cos(\theta) + \varepsilon_y \sin(\theta) + \alpha(P-1), \quad (2)$$

$$\alpha = \frac{P_a}{CK_b(1+i\gamma)}.$$

The set of equations can be solved by a perturbation method. Small perturbations about a statically off-set rotor are introduced to yield partial differential equations for the zeroth and first order pressure perturbations. The PDEs are solved using the finite element method by Faria and San Andrés [26] and Faria [27]. The integration of the zeroth order pressure field yields the static force components for a given static eccentricity ε_{x0} , ε_{y0} . The integration of the two first order pressure fields determines the direct and the cross-coupled stiffness and damping coefficients. It is important to note that the static force coefficients depend on static eccentricity and rotational speed, whereas the dynamic bearing coefficients are governed by the zeroth order pressure distribution plus excitation frequency which might be different from the shaft rotational frequency. Kim and San Andrés [17] account for mechanical dissipation losses generated by Coulomb friction between the foils via a structural loss coefficient, γ . Typical bump-type foil bearing yield empirical structural loss coefficients ranging from 0.05 to 0.2 for non-dimensional static loads of 0.028 to 0.14 [28].

The foil bearing under investigation is composed of a single leaf top foil that is loosely laid upon a bump foil. Both are spot welded to the bearing sleeve at the trailing edge only. Hence the top foil may lift off if sub-ambient pressures are generated within the bearing fluid film. The top foil in lift-off mode floats between the rotor and the bump foil and adjusts the fluid film distribution such as to avoid sub-ambient fluid film pressures. Considering the fluid film evolution in the angular direction, the floating mode is assumed to stop only when the top foil gets forced into a converging aerodynamic wedge. For rotor eccentricities towards the axial feed line the top foil may be in floating mode for a very large angular section, thus limiting the load capacity generation.

Although Kim and San Andrés [29] show that bearing side pressurization delays the onset whirl speed, it is noted that the predictions in this article are performed without bearing side feed pressurization.

Whirl Speed and Stability Maps. An important feature of gas lubricated bearings is that the bearing properties are not only a function of rotor speed but also depend on excitation frequency. This needs to be taken into account in the stability assessment. The approach here is based on Pan's spectral analysis method [18] extended by Schiffmann and Favrat [30-32]. The rigid-body rotordynamic system is shown in Figure 5 and described by

$$[M]\ddot{\bar{q}} + [C]\dot{\bar{q}} + [K]\bar{q} = \bar{f}, \quad (3)$$

where $[M]$ is the system inertia and $[K]$ the bearing stiffness coefficients. $[C]$ is composed of the bearing damping coefficients and gyroscopic effects. The rotor geometry and properties are described in terms of mass m_{Rot} , polar and transverse rotor inertia J_p , and J_T and of the location of the bearing midplanes relative to the rotor center of gravity l_a and l_b . Equation (2) yields an eigenvalue problem with eigenvalues

$$s_j = \lambda_j + i\Omega_j\omega_{Rot}, \quad (4)$$

where the imaginary part $\omega_j = \Omega_j\omega_{rot}$ is the damped natural frequency of the whirl motion and λ_j the corresponding damping coefficient. It is common practice to quantify rotor stability using the logarithmic decrement defined as

$$\Gamma_j = -\lambda_j \frac{2\pi}{\Omega_j\omega_{Rot}}. \quad (5)$$

Stable operation requires $\Gamma > 0$. To determine the whirl speed and the stability map of a gas bearing supported rotor the system is excited over a range of frequencies $\omega_{Ex} = \Omega\omega_{Rot}$ while keeping the rotor speed fixed. A rotor natural frequency is determined when the estimated whirl frequency coincides with the excitation frequency for a particular rotational speed. This is carried out for Ω ranging from 0 to 3. It can be shown that for higher whirl ratios the bearing properties reach asymptotic values (Pan [19]). It is noted that for the sake of simplicity the proposed rotordynamic analysis does not take into account additional external rotor forces such as seal induced forces or external vibrations.

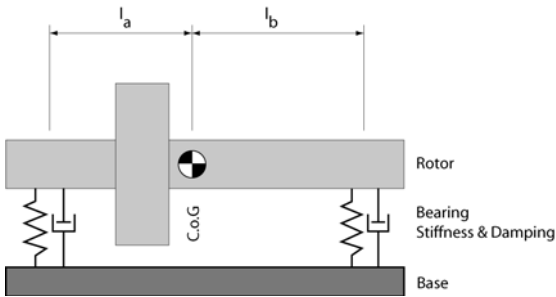


Figure 5: Rotordynamic model for rigid-body analysis

Non-Dimensional Stability Criterion. A compact description of stability was proposed by Pan [18, 19] and Lund [33] by characterizing the stability threshold through a non-dimensional critical mass parameter. The governing equations for cylindrical motion are

$$\begin{aligned} [M_{CYL}s^2 + Z_{CYL}]\bar{q}e^{st} &= \bar{0} \\ M_{CYL} &= \begin{bmatrix} m_{Rot} & 0 \\ 0 & m_{Rot} \end{bmatrix}, \end{aligned} \quad (6)$$

where m_{Rot} is the rotor mass, Z_{CYL} is the translational system impedance which includes bearing stiffness and damping coefficients. Diagonalization of Z_{CYL} , where the two diagonal terms become $z_{CYL-j} = u_{CYL-j} + iv_{CYL-j}$, yields the condition for neutral stability ($v_{CYL-j} = 0$ at Ω_{Crit-j}). The corresponding critical mass m_{Crit} is then given by $u_{CYL-j}/(\Omega_{Crit-j}\omega_{Rot})^2$. In this paper a non-dimensional form of the critical mass for cylindrical motion is introduced as follows:

$$M_{Crit-j} = m_{Crit} \frac{P_a}{\mu^2 L} \left(\frac{C}{R} \right)^5 = 72 \frac{u_{CYL-j} C}{\Omega_{Crit-j}^2 \Lambda^2} P_a DL \quad (7)$$

Applying the same procedure for the rotor tilting motion the critical transversal inertia can be found in non-dimensional form. This parameter governs the stability for conical rotor motions and includes the gyroscopic moments

$$I_{Crit-j} = 72 \frac{u_{CON-j} C}{\Lambda^2 \left(\Omega_{Crit-j}^2 - \frac{J_p}{J_T} \Omega_{Crit-j} \right)} P_a DL \quad (8)$$

The rotor system impedance matrix for conical motion can be expressed in terms of the translational impedance as

$$z_{CON} = z_{CYL} l^2 [\zeta^2 + (1 - \zeta)^2] \quad (9)$$

where l is the distance between the two journal locations and ζ the center of gravity relative to the two bearing mid-planes. The combination of equations (8) and (9) yields

$$I_{Crit} = M_{Crit} \frac{l^2 [\zeta^2 + (1 - \zeta)^2]}{\left(1 - \frac{J_p}{J_T} \frac{1}{\Omega_{Crit}} \right)} \quad (10)$$

Note that the denominator can be negative. In this case there is no conical resonance at the critical whirl ratio and the rotor undergoes a stable gyroscopic precession.

The relationship between the system tilting and translational impedance implies that the critical inertia can be expressed as a function of the critical mass. Furthermore, the critical mass parameter and the corresponding critical whirl speed ratio for a single bearing determine the translational and tilting stability threshold of a given rotor. The non-dimensional critical mass concept will thus be used to establish stability design guidelines.

Model Limitations. The stiffness and damping coefficients obtained by the foil bearing model are not suitable for estimating large orbits resulting from a significant unbalance since the approach is based on small perturbations in bearing properties. The model is however adequate to perform a rotordynamic stability analysis around a given static eccentricity. The analysis is thus limited to small orbits around a static eccentricity, and while it allows to estimate the onset of instability, it cannot capture the evolution of the rotor orbit after instability onset. In addition, the foil bearing model relies on a structural damping coefficient which is supposed to take into account material hysteresis and dry friction. While it is a convenient and widespread way to model these phenomena it is physically not flawless as the determination of the loss coefficient assumes sinusoidal motion and is based on the average dissipation work over one motion period. Hence, it is not able capture dry friction related phenomena like discontinuous forces and lockup.

Further, the bump foil stiffness is assumed uniform, constant and independent of neighboring bumps. In addition, the top foil cannot flex between two bumps but follows the bump foil deformation. In San Andrés and Kim [22] the top foil is modeled either as a simple beam or as a flat shell. The one-dimensional beam model agrees with experimental data for non-dimensional loads below 0.35. For normalized loads greater than 1.0 the simple model overpredicts the direct stiffness by approximately 5% [22]. In conclusion the simple model is adequate for lightly loaded bearings, where the static loading corresponds to the shaft weight only.

It is further noted that the prediction of the bearing characteristics is based on the assumption of perfect geometry. Hence the foil-bearing model does not account for manufacturing and assembly imperfections.

PREDICTION RESULTS & SENSITIVITY ANALYSIS

Model Prediction vs. Published Experimental Data. In order to validate the model the method was implemented for the rotor and bearing geometry reported in San Andrés and Kim [14, 34, 35] where experimental data is available. Next, a sensitivity analysis was carried out to investigate the effects of static load, structural damping and bearing compliance on rotordynamic performance. The geometric parameters of this rotor-bearing system are summarized in Table 1. According to [14] the rotor center of gravity was assumed to be equidistant from the two supporting bearings.

The experimental data shows that sub-synchronous vibrations appear at a rotor speed of approximately 12 krpm, which corresponds to a compressibility number of $\Lambda=0.39$ for this bearing. The measured whirl speed ratio Ω at onset is 0.5. Using the integrated rotor-bearing model the estimated whirl speed map for conical and cylindrical modes and the corresponding logarithmic decrement for forward and backward whirl are given in Figure 6. The linear rotordynamic model predicts instability at a compressibility number of $\Lambda = 0.43$ with a whirl ratio of 0.5. The corresponding critical mass is plotted as a function of compressibility in Figure 7. The

critical mass decreases with increasing compressibility numbers and instability is reached at $\Lambda = 0.43$.

Table 1: Rotor and bearing parameters from [14, 34, 35] used in the sensitivity analysis.

| Foil bearing parameters | |
|--------------------------|----------------------|
| D [mm] | 38.1 |
| L/D [-] | 1 |
| C [μm] | 35.5 |
| α [-] | 0.67 |
| γ [-] | 0.14 |
| Rotor parameters | |
| m_{Rot} [kg] | 0.98 |
| J_T [kgm^2] | $3.71 \cdot 10^{-3}$ |
| J_P [kgm^2] | $2.24 \cdot 10^{-4}$ |

Both the estimated compressibility number and the whirl speed ratio at instability onset are in good agreement with the experimental measurements, suggesting that the measured sub-synchronous vibration is indeed caused by a classical aerodynamic instability resulting from cross-coupled bearing forces. It is emphasized that in this particular analysis neither external vibrations nor seal forces have been considered. The good agreement between measured data and the *linear* model suggests that the non-linearity of the support stiffness is not a sufficient condition for the instability to occur. The outcome of the numerical investigation based on San Andrés and Kim in Figure 3 suggests that for the sub-synchronous vibration to occur a strong excitation is required. It is suggested that this is provided by a self-induced aerodynamic bearing instability.

Furthermore it can be concluded that the onset speed of instability and the underlying mechanism can be predicted by coupling a reduced order foil bearing model and a linear, rigid-body rotordynamic model.

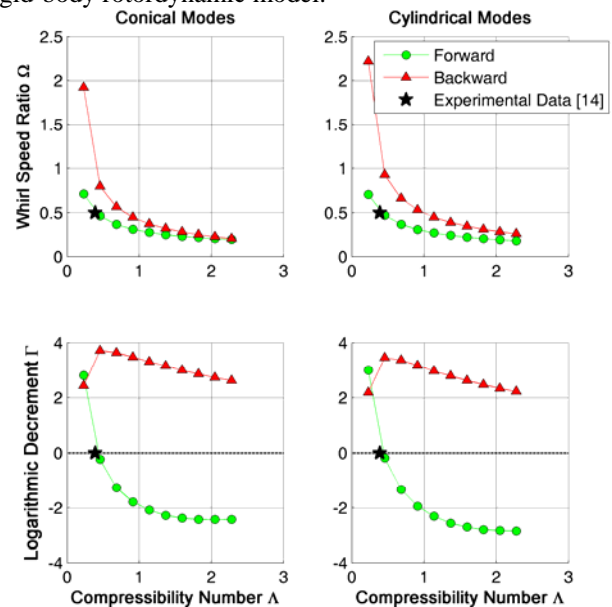


Figure 6: Whirl speed map for cylindrical and conical modes for the reference rotor-bearing system (Table 1): the onset of instability occurs at $\Lambda = 0.43$.

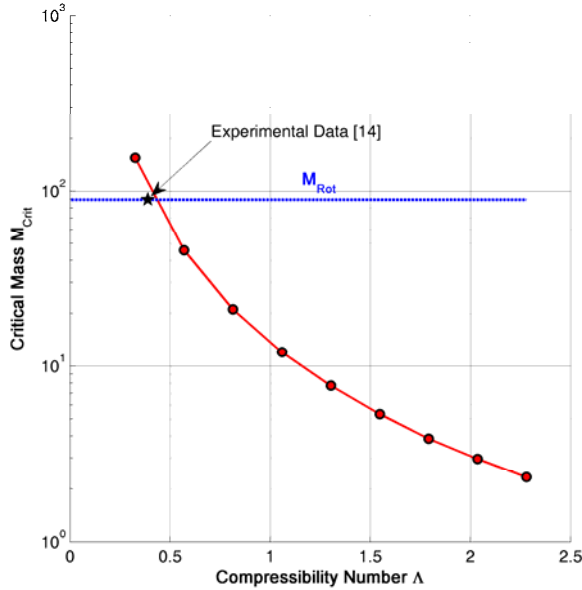


Figure 7: Critical mass for the reference rotor-bearing system (Table 1): the onset of instability occurs at $\Lambda = 0.43$.

Role of the Axial Feed Line Orientation. Single leaf foil bearings generally feature an axially slit top foil that imposes an ambient pressure boundary condition which leads to bearing anisotropy. In order to investigate the role of the axial feed line orientation on the bearing performance a constant static load at varying load angles was applied to the reference rotor-bearing system (Table 1). Figure 8 represents the resulting static eccentricity for different load levels. The radial lines connecting the orbits represent iso-loading angles, showing that increased static loads reduce the attitude angle. This implies that the direct stiffness increases more rapidly with eccentricity than the cross-coupled terms, suggesting improved rotordynamic performance. Furthermore it is shown that, based on the implemented model, the estimated load capacity vanishes for static eccentricities toward the axial feed line. At these operating conditions no fluid film wedges are generated due to a lift-off of the top foil resulting from sub-ambient fluid film pressures. As a consequence, contact between rotor and top foil occurs, potentially limiting bearing life. The range of critical load angles increases with load. At a low load ($F = 0.033$) angles between 150° and 190° should be avoided. For loads one order of magnitude larger, the critical range extends from 100° to 230° . This analysis suggests that the load capacities reported in the literature in excess of 6.7 are certainly feasible [5], but only within a limited range of load angles.

Figure 9 depicts the evolution of the minimum critical mass as a function of the load angle for the bearing operating at compressibility numbers Λ up to 4. The implication is that the rotordynamic performance is significantly affected by both static load amplitude and angle. At low bearing loads ($F = 0.033$), the critical mass varies by a factor of three as a function of the load orientation. Increasing the static load by an order of magnitude increases the critical mass by the same ratio. Note that the critical mass decreases rapidly for loads

displacing the rotor towards the axial feed line and that a load angle around 320° yields improved rotordynamic performance, independent of the load amplitude. It can be shown that for other compressibility numbers, the qualitative behaviour is similar.

In conclusion, the results suggest that foil bearing anisotropy due to the axial feed line orientation strongly affects bearing performance and needs to be taken into account in the design process, especially for rotors required to operate at any attitude angle.

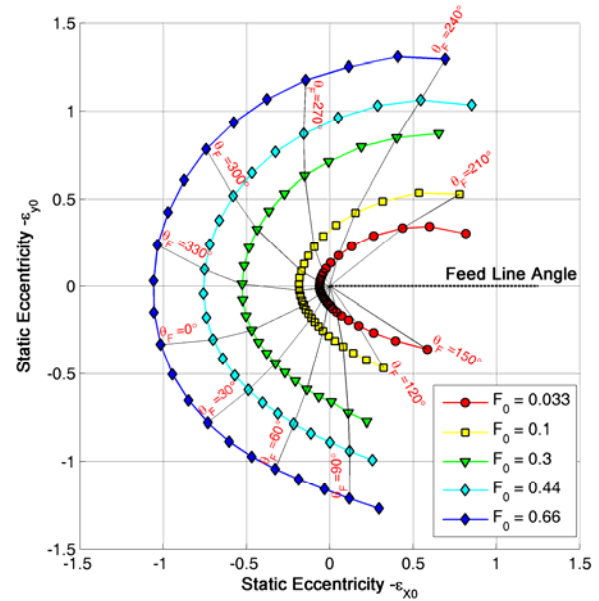


Figure 8: Static eccentricity as a function of the load angle and amplitude for the reference journal bearing (Table 1) operating at $\Lambda = 4$.

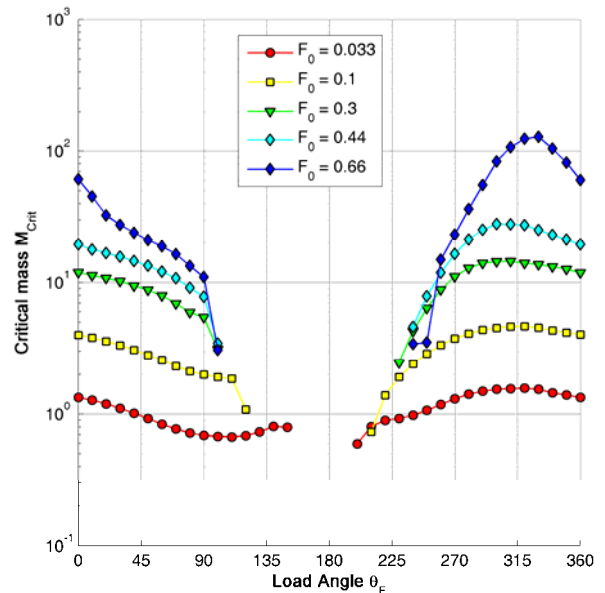


Figure 9: Critical mass as a function of the load angle and amplitude for the reference bearing (Table 1) operating up to $\Lambda = 4$.

Role of Compliance and Structural Damping. A numerical sensitivity analysis was also performed to investigate the effect of compliance and structural damping on rotordynamic performance. Figure 10 presents the critical mass of the reference bearing (Table 1) as a function of compressibility, static load and bearing compliance. In this investigation no structural damping was considered. The results suggest that decreasing the bearing compliance has a beneficial effect on stability (improved critical mass) and that the improvement of stability by tuning the compliance is marginal compared to increasing the static load. It is noted that a foil bearing with zero compliance defeats the purpose and is not to be compared to a rigid cylindrical bearing as asymmetry is introduced by both the axial feed line and the top foil lift-off resulting from diverging aerodynamic wedges.

Figure 11 represents the critical mass of the same bearing as a function of compressibility, static loads and structural damping for a compliance at $\alpha = 0.67$. The results suggest that structural damping has a marginal role in rotordynamic performance (critical mass), especially at low loads. At increased loads and low compressibility the effect of structural damping is augmented. It is however suggested that improving structural damping for lightly loaded bearings is not a sufficient means to significantly delay the onset of sub-synchronous whirl, although structural damping is known to decrease limit cycle sub-synchronous vibration amplitude [11]. Further, it is noted that although the proposed analysis allows estimating the whirl onset but not the evolution of the rotor orbit after onset these results corroborate data published by Kim et al. [36]. Using a time-domain orbit simulation and solving the Reynolds equation and the rotor equations of motion simultaneously they show that structural damping has no effect on the whirl onset speed.

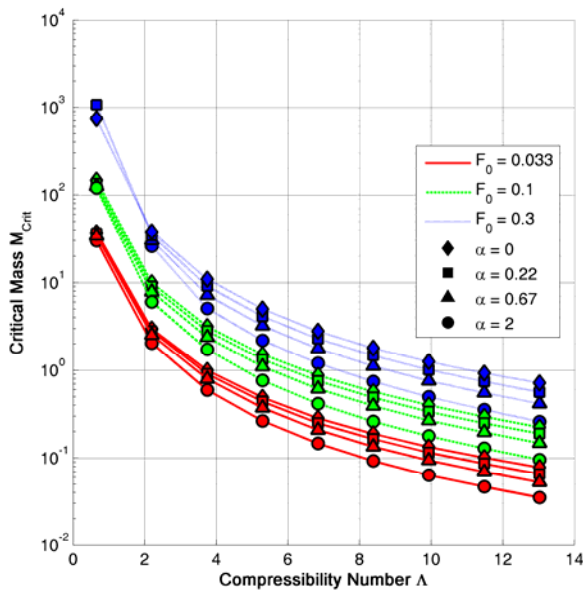


Figure 10: Critical mass as a function of compressibility, static load and compliance for the reference bearing (Table 1) with no structural damping.

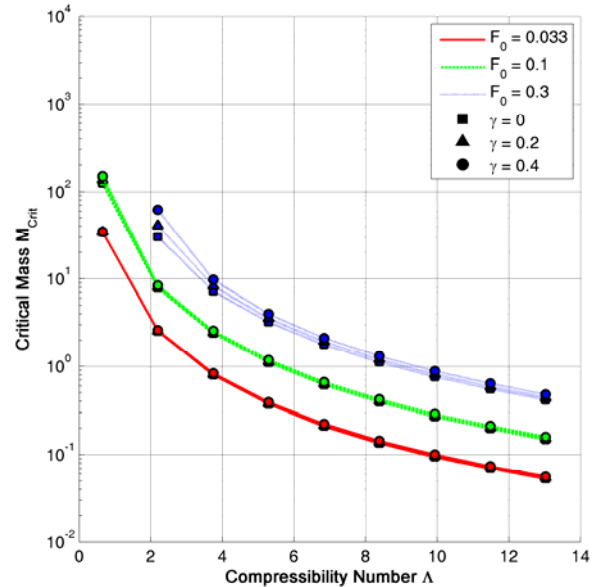


Figure 11: Critical mass as a function of compressibility, static load and structural damping for the reference bearing (Table 1) at $\alpha = 0.67$.

As a summary the results show that increasing the static load and decreasing the bearing compliance seem to be the most efficient means to improve the rotordynamic performance, i.e. to delay the whirl onset. Static load affects the fluid film thickness and the unperturbed pressure distribution such that the ratio between cross-coupled and direct coupled properties is decreased, yielding improved rotordynamic performance. It is therefore concluded that controlling the static pressure distribution is an important means to improve stability.

SELECTIVE SHIMMING

In most applications the bearing is loaded via the rotor weight only and adding a controlled static load to the rotating shaft without extra complexity is challenging. Thus, it is common practice to add shims between the bump foil and the bearing sleeve to tailor the bearing pressure distribution. Kim and San Andrés [34] investigated the effect of three identical, equally distributed shims on a test rotor with sub-synchronous vibration [35]. This showed that the shims delay the onset of the sub-synchronous vibrations and decrease the motion amplitudes due to an increase in direct coupled stiffness resulting from the lobing effect. Kim et al. [9] repeated the same test on a turbo-charger rotor and drew the same conclusion. Both experimental investigations suggest that shimming can improve the rotordynamic performance. However, the applied shimming pattern was not able to completely avoid sub-synchronous vibrations.

In this paper, so called “selective” shimming is pursued by introducing shims with variable thickness around the bearing circumference. The idea is to tailor the fluid film pressure distribution so as to increase the bearing stability threshold. The required pattern is found via multi-objective optimization using the integrated rotor-bearing model and the reference

rotor-bearing system (Table 1). It is assumed that the bearings are loaded through the rotor weight ($\theta_F = 0^\circ$) and operate in a range of compressibility numbers of $\Lambda = 0.23 - 3.2$. The variables to be optimized are 6 equally distributed individual normalized shim thicknesses. Each individual shim results in a local sinusoidal fluid film restriction that extends 72° in both angular directions. Mathematically, the optimization can be formulated as follows:

$$\begin{aligned} & \max(\Gamma), \min(\bar{\varepsilon}_s) \\ & n_s = 6 \\ & \phi_s = [0^\circ, 72^\circ, 144^\circ, 216^\circ, 288^\circ, 360^\circ] \\ & \varepsilon_{s-i} \in [0-0.9] \\ & \Lambda = [0.23-3.2], \end{aligned}$$

where $\phi_s = 0^\circ$ corresponds to the open ended leading edge of the top foil and $\phi_s = 360^\circ$ to the spot welded trailing edge. As a convention, the compressibility number Λ for shimmed bearings is based on the nominal (unshimmed) bearing clearance C . The average normalized shim thickness is defined as:

$$\bar{\varepsilon}_s = \frac{1}{n_s} \sum_i \varepsilon_{s-i} \quad (11)$$

The optimization process itself is performed by coupling the integrated rotor-bearing model to a genetic algorithm [37], which has been successfully used in similar optimization problems [30, 32]. Compared to gradient-based approaches the advantage of evolutionary algorithms is that the search for solutions is global within the variable space and insensitive to the initial starting point. The optimization is started with 500 randomly selected individual solutions and stopped after 5,000 evaluations. It is shown that this is sufficient to achieve good convergence here.

Figure 12 presents the resulting Pareto curves for different structural damping coefficients. The results show that increasing the average shim leads to augmented rotordynamic performance, suggesting that selective shimming is a viable solution for improved stability. Without structural damping the minimum logarithmic decrement could be increased from -2.9 to -0.3. Also shown in the figure is that structural damping further enhances rotordynamic performance when coupled to selective shimming. As shown in the sensitivity analysis this corroborates with the fact that the effect of structural damping enhances stability at increased static loads (increased fluid film pressure rise). The selective shim pattern which corresponds to the best rotordynamic performance is summarized in Table 2.

Figure 13 compares the evolution of the critical mass of three individual bearing configurations: (a) the original reference bearing, (b) the original bearing with optimized shimming pattern (Table 2) and (c) the bearing with three equal shims as proposed by Kim and San Andrés [34]. At moderate compressibility the optimum shim pattern improves the critical mass by more than two orders of magnitude compared to the original bearing. With selective shimming the threshold rotational speed is increased by more than a factor

5 and 2.5 compared to the original and the 3-lobed configurations respectively. Note that the estimated speed at instability onset ($\Lambda = 0.95$) agrees well with experimental data [34] for the three equal shims pattern.

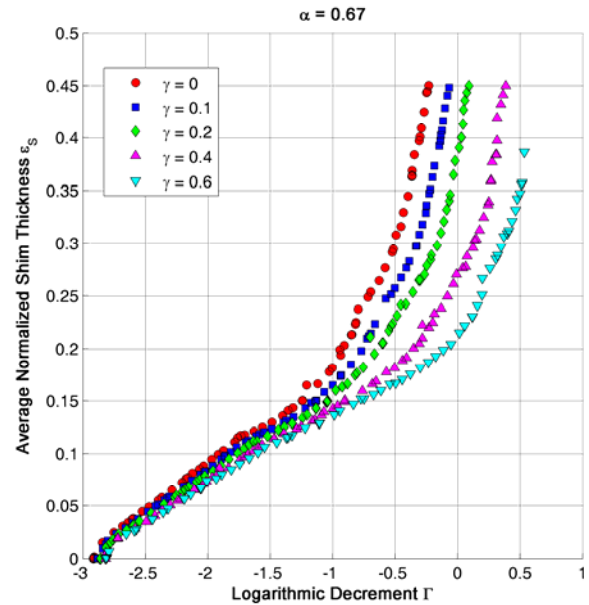


Figure 12: Pareto curves for the reference bearing (Table 1) for different structural damping γ

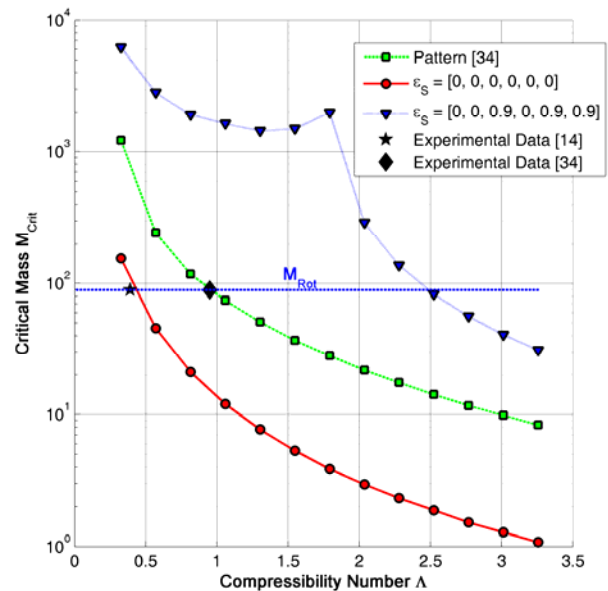


Figure 13: Improvement in threshold speed at instability onset for: original reference bearing (solid), optimum selective shim pattern (dotted) and three equal shim pattern (Kim and San Andrés [34], dashed).

In summary, the results suggest that selective shimming is potentially an effective means to significantly improve the rotordynamic performance and the stability threshold of foil bearings. The evolution of the Pareto optimum shim patterns is shown in Figure 14. The rotordynamic performance is first

improved by gradually increasing the shim at 288°. For further improvement an additional shim is added at 144°, and ultimately a third shim is added near the top foil trailing edge. It can be shown that the evolution of the optimum shim pattern does not depend on structural damping. Hence, it is suggested that the optimization at one particular structural damping coefficient is valid for all values.

Table 2: Selective shim pattern for improved stability threshold for the reference bearing (Table 1) operating at $\Lambda = 0.23 - 2.3$.

| Angular position ϕ_s [°] | Normalized shim thickness ε_s [-] |
|-------------------------------|---|
| 0 | 0 |
| 72 | 0 |
| 144 | 0.9 |
| 216 | 0 |
| 288 | 0.9 |
| 360 | 0.9 |

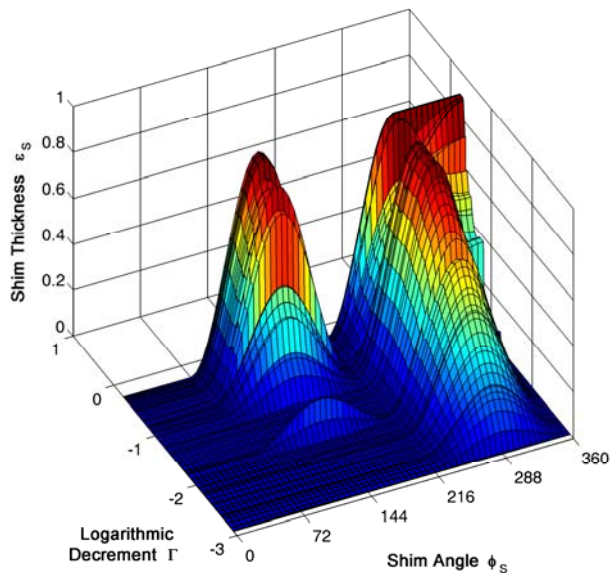


Figure 14: Pareto-optimum shim distribution as a function of logarithmic decrement Γ for $\gamma = 0$, $\alpha = 0.67$.

DESIGN GUIDELINES

The search for the optimum shim pattern described in the previous section was repeated for a typical range of bearing compliance, L/D ratios and compressibility numbers. In a first attempt to define a set of unified design guidelines for stable foil bearing supported rotor operation the optimization was performed assuming no structural damping. Figure 15 shows the resulting critical mass as a function of the highest operation compressibility number for each individual bearing with the best selective shim pattern. The results suggest that:

- (1) Shorter bearings ($L/D < 1$) seem to perform better, independent of the compressibility number. An inversion in trends occurs at low compressibility numbers and only for a compliance of 0.22.

- (2) Increasing the bearing compliance decreases the rotordynamic performance independent of the bearing geometry and compressibility number.
- (3) The critical bearing mass increases with decreasing compressibility numbers.

The key consequence is that shimmed foil bearings are preferably operated at low compressibility and low compliance, which corresponds to increased nominal bearing clearances. This is an interesting result as bearing clearances are usually decreased to improve the stability of plain [38] or herringbone grooved bearings [30]. The improved rotordynamic performance of shorter bearings is due to increased normalized loads and to reduced cross-coupled coefficients, which corroborates the results from the sensitivity analysis in Figure 10.

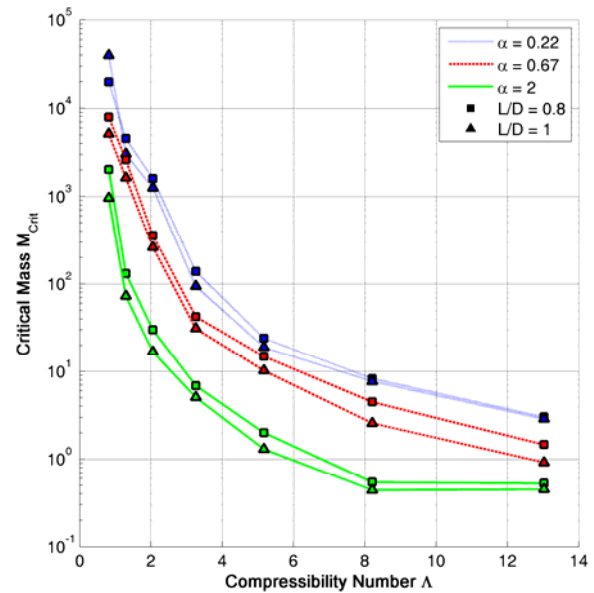


Figure 15: Critical mass as a function of the highest operation compressibility number for optimized circumferential selective shim distributions.

Table 3: Generalized selective shim pattern.

| Angular position ϕ_s [°] | Normalized shim thickness ε_s [-] |
|-------------------------------|---|
| 0 | 0 |
| 72 | 0 |
| 144 | 0.9 |
| 216 | 0 |
| 288 | 0.9 |
| 360 | 0.5 |

The optimum selective shim patterns for the critical masses represented in Figure 15 are plotted in Figure 16 as a function of compressibility. Independent of the investigated bearing geometries, all patterns feature at least two shims generating two distinct elevations in the circumferential direction. With increasing compressibility the pattern of two individual elevations persists, the first elevation, however is shifted towards the top foil leading edge, from 144° to 72°. It

seems that the shifting of the position of the first shim occurs at higher compressibility numbers with increasing bearing compliance. Increasing compressibility enhances, widens and shifts the pressure rise across an aerodynamic wedge. It is therefore expected that at least one of the shims (aerodynamic wedge) shifts position as a function of compressibility to reduce the cross-coupled force contribution. With increased compliance the aerodynamic effect of the wedge is reduced, thus the shifting of the shim towards the leading edge occurs at higher compressibility. Note that for low compressibility numbers, the optimum shimming pattern seems to vary only very little with bearing geometry. Therefore operation at low compressibility seems to offer the opportunity to devise a general selective shim pattern that is independent of geometry. A generalized shim distribution is thus defined based on the average of optimum shim patterns at low compressibility numbers. This is summarized in Table 3. Figure 17 shows the resulting critical mass relative to those at the individual optimum shim pattern.

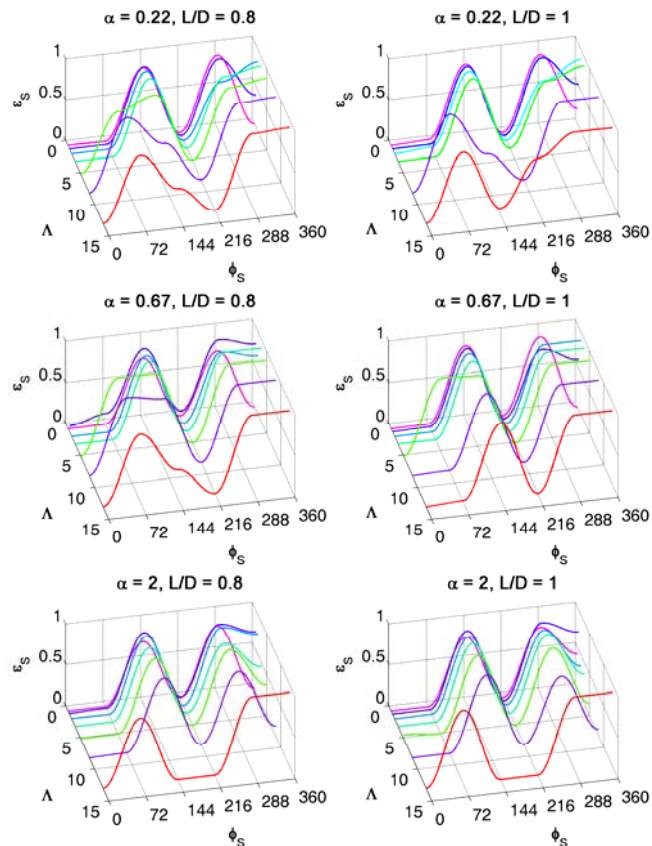


Figure 16: Optimum selective shim patterns as a function of bearing compliance α , L/D ratio, and compressibility Λ .

The lowest ratio between the two solutions is above 0.25, which seems a reasonable compromise given that selective shimming may increase the critical mass by more than two orders of magnitude. The penalty for using the generalized shim pattern compared to the optimum one does not seem to follow a trend or a pattern related to compliance, compressibility or L/D ratio. The comparison also suggests

that although the optimum shim patterns seem to vary little within the investigated bearing configurations operating at compressibility numbers lower than 2, the rotordynamic performance is very sensitive to the selective shim distribution. At high compressibility the selective pattern performs well except for $\alpha=2$ where the critical mass ratio drops considerably (0.33). This is due to the combination of high compressibility (increased aerodynamic wedge effect) and the fact that compared to the other considered cases the generalized shim pattern differs considerably from the optimum pattern. Thus the generalized selective shim pattern may be used as a starting point if sufficient rotordynamic margin is available. For more challenging situations it is advisable to apply an appropriate optimized shim distribution.

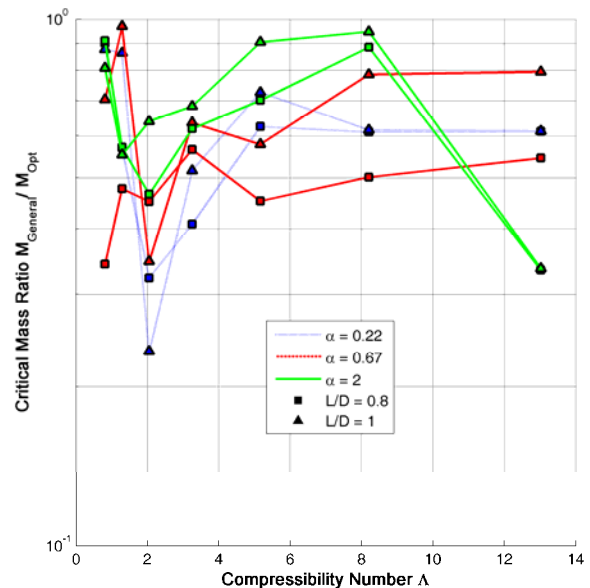


Figure 17: Generalized selective shim pattern (Table 3) compared with individually optimized selective shim pattern.

General Design Guidelines. The results suggest that optimized selective shim patterns are most effective at compressibility numbers lower than approximately 5 and low bearing L/D ratios to improve the rotordynamic performance. For low bearing loads small L/D ratios can be selected. Thus the foil bearing design choice for a specific rotor speed and geometry is mostly governed by an appropriate choice of nominal bearing clearance and compliance. It is noted that compliance can be tuned by both the elastic foundation stiffness and the nominal bearing clearance.

Adding compliance increases the tolerance to rotor misalignment due to assembly and manufacturing issues, and allows the bearing to cope with significant thermal gradients and thermal expansions. In addition, the compliant underlying structure yields a forgiving bearing which allows temporarily overload and does not lead to instantaneous failure at large rotor orbits. This is a key advantage of compliant foil bearings over rigid surface ones. However, as seen previously, increased compliance reduces rotordynamic performance. Thus, a design compromise is required.

Assuming a known rotor geometry the first step in defining the foil bearing design is to identify the nominal foil bearing clearance which determines the non-dimensional critical mass of the rotor and the bearing compressibility number. Using Figure 15 an appropriate L/D ratio and a level of compliance for a sufficient stability margin can be selected. If sufficient margin is available the generalized selective shim pattern may be applied. If the design constraints are more challenging, Figure 16 can be used to determine the optimum shim distribution for the specific bearing design and operating conditions. In cases where the rotor mass exceeds the critical foil bearing mass, the only remedy to avoid occurrence of sub-synchronous whirls is to further decrease the L/D ratio and/or to increase the bearing diameter, both leading to significant rotor design modifications. This suggests that the design of the rotor-bearing system is strongly coupled and thus calls for an integrated design approach by Schiffmann and Favrat [30]. Although DN values in excess of 4 million mm-rpm seem feasible with foil bearings [10], increased windage losses at large bearing diameters may lead to a challenging thermal management which needs to be carefully considered as well.

SUMMARY AND CONCLUSIONS

A reduced order foil bearing model, coupled with a rigid-body, linear rotordynamic model was used to investigate the underlying rotordynamic mechanisms and the onset speed of instability of a foil bearing supported rotor. Introducing a critical mass parameter as a measure for stability, a criterion for the whirl instability onset was proposed. This model was implemented for a rotor with published experimental data and it was shown that the source of excitation inducing sub-synchronous vibration is due to a classical aerodynamic instability resulting from cross-coupled bearing forces.

A sensitivity analysis suggests that structural damping does not significantly alter the onset of sub-synchronous whirl. It is shown however, that the orientation of the axial feed line of the top foil can strongly influence the bearing load capacity and rotordynamic performance.

The analysis further indicates that the static fluid film pressure distribution governs rotordynamic stability. Selective shimming is introduced as a means of tailoring the unperturbed pressure distribution for improving rotordynamic performance. The selective shim pattern is found via multi-objective optimization using the integrated foil bearing supported rotor model. It is shown that with an optimally shimmed foil bearing, the critical mass parameter can be improved by more than two orders of magnitude.

The optimum shim patterns are summarized for a variety of foil bearing geometries with different L/D ratios (0.8 - 1), compliance (0.22, 0.67, 2) and compressibility (0.2 - 13.5) in a first attempt to establish general guidelines for stable foil bearing design. The results demonstrate that shimmed foil bearings are preferably operated at low compressibility and low compliance, i.e. at large nominal clearance and at low L/D ratios. It is shown that the optimum selective shim distribution varies little with geometry at compressibility numbers lower than 2, such that a generalized selective shim pattern can be

introduced. Compared to the optimized shim patterns the generalized shim pattern reduces the critical mass by approximately a factor of 4. This suggests a considerable improvement compared to unshimmed bearings. It is also shown, that the rotordynamic performance is sensitive to the appropriate selective shim distribution and that an experimental fine-tuning is necessary when finalizing the foil bearing design.

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