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# Harnessing Cerebral Organoids for Alzheimer's Disease research

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## Highlights

- Cerebral organoids derived from Alzheimer's patients develop disease pathology
- Organoids model extracellular matrix dynamics underlying amyloid plaque formation
- Organoids used to study role of APOE4 and other Alzheimer's risk genes
- High throughput drug screens with organoids are under development
- Need to incorporate microglia and vasculature into organoids to improve relevance

## Abstract

Alzheimer's Disease (AD) is a devastating neurodegenerative disorder affecting the aging population. Despite much study, there remains an urgent need to identify the root causes of AD, together with potential treatments. Cerebral organoid technology has made it possible to model human neurophysiology and disease with increasing accuracy in patient-derived tissue cultures. Here, we review the most recent advances in modeling AD in organoids and other engineered 3D cell-culture systems. Early studies demonstrated that familial AD patient-derived organoids robustly develop disease pathology. Ongoing work has expanded this focus to investigate the genetic and environmental causes of late-onset sporadic AD, and harness organoids for high-throughput drug screens. Future organoid models will need to incorporate additional cell types and tissues implicated in disease pathogenesis, including microglia and vasculature. We anticipate the continuation of this rapid progress in developing cerebral organoid technology toward facilitating our understanding of and informing treatment strategies for Alzheimer's Disease.

## Introduction

The development of human induced pluripotent stem cell (iPS)-derived neurons in the early 2010s opened up opportunities to study the mechanisms of neurodegenerative disease in patient-derived tissue cultures [1–5]. Using these protocols, neurons grown from familial Alzheimer's Disease (AD) patients carrying mutations in key genes such as APP and PSEN1 were shown to recapitulate important AD pathologies, including elevated amyloid- $\beta$  peptide  $A\beta_{42/40}$  ratio, hyperphosphorylated *tau* protein, and electrophysiological hyperactivity [6–14]. These findings launched the application of iPS-derived cultures in high-throughput drug screening for therapeutic agents that alleviate  $A\beta$ - and *tau*-induced neurotoxicity [15–17].

It is surprising that Alzheimer's, a disease associated with aging in which even genetic "early-onset" forms only arise when the patient is in their 40s or 50s, can be recapitulated using neuronal cultures that are just a few weeks old without requiring exogenous manipulation to generate an aging phenotype [18,19]. One possible explanation is that, in the absence of other supporting tissues, these two-dimensional monocultures most closely capture cellular processes that arise when the brain's homeostatic mechanisms break down. While iPS-derived

neurons can effectively model A $\beta$  and *tau* pathology associated with AD, the fact that most of the anti-A $\beta$  drugs under development have yet to significantly alleviate cognitive decline in clinical trials suggests that it is time to expand our focus to other potential therapeutic targets [20]. Thus, more recent iPS models of AD seek to harness three-dimensional cerebral organoid cultures, modeling neurodegeneration in a more biologically relevant substrate in order to identify its root causes (summarized in table 1).

### Cerebral organoids as an Alzheimer's Disease model

Building upon iPS-derived neuron protocols that generate embryoid body spheroids, in 2014, Lancaster *et al.* reported on the development of a new cerebral organoid culture. By embedding spheroids of iPS cells in Matrigel and subjecting these to small molecule dual-SMAD inhibitors, Lancaster and colleagues were able to drive these cultures to recapitulate cortical development, including migration of radial glia to form stratified cortical layers [21]. Single-cell transcriptomic studies indicate that cerebral organoids consist of diverse neuronal populations approaching the complexity of the pre-natal human brain [22]. Due to their three-dimensional nature, cerebral organoids also capture more aspects of the distinct extracellular microenvironment of the brain, thus facilitating the modeling of amyloid plaque formation.

Cerebral organoids derived from familial AD (fAD) patients display many of the same AD pathologies found in two-dimensional neuronal cultures. As expected from the latter model, organoids bearing APP duplication or PSEN1 mutations have larger and more abundant extracellular amyloid plaques than healthy controls, elevated levels of phosphorylated *tau*, and a higher abundance of endosomes that could be ameliorated by treatment with  $\gamma$ - and  $\beta$ -secretase inhibitors [23]. Other studies have replicated similar patterns of amyloid and *tau* deposition in AD organoids bearing PSEN1 and PSEN2 mutations [24–27].

Unlike two-dimensional neuronal cultures, organoids secrete their own extracellular matrix (ECM) that contains components similar to human brain ECM. Depending on their physical properties, specific ECM components such as proteoglycans, matrix metalloproteases (MMPs), and hyaluronic acid are known to alter amyloid plaque formation [28]. Treatment of forebrain and hippocampus organoids with enzymes such as heparin, heparinase, and hyaluronic acid that specifically break down ECM components attenuated amyloid-induced cytotoxicity and reduced levels of cell-bound A $\beta_{42}$ , consistent with their neuroprotective properties, while MMP inhibitor enhanced amyloid-induced cytotoxicity [29]. Another study found that PSEN1 mutant organoids have reduced MMP expression, and that treatment with heparin and heparinase III could reduce amyloid levels and improve cell survival [30]. While these studies hint at the beneficial role that MMPs play in reducing amyloid accumulation and enhancing synaptic plasticity [31,32], excess MMP activation can exacerbate neuronal loss and blood brain barrier breakdown in aging and ischemia [33–35]. Organoids provide opportunities to study ECM dynamics in greater mechanistic detail than conventional two-dimensional cultures and suggest potential therapies to support optimal ECM function.

Cerebral organoids have been shown to recapitulate the same proteomic changes as seen in human postmortem tissue [36] and respond to the same manipulations as established mouse models of tauopathy [37], thereby demonstrating their physiological relevance. Human

iPS-derived organoids present some distinct advantages compared to mouse models. Since mice do not naturally develop AD, most mouse models of neurodegeneration rely either on non-physiological protein overexpression or induction of multiple human fAD mutations [38,39]. While these manipulations reliably induce AD-like pathology, they rely on a preexisting knowledge of the causative factors of AD and preclude the identification of novel risk factors. Because cerebral organoids are derived directly from human AD patients and capture human-specific physiology, they may develop AD pathology without extensive manipulation and represent a more physiological disease state, which makes them an even more appealing disease model.

Cerebral organoids also allow for an unprecedented look at the developmental forces that shape the human brain [40]. Early developmental perturbations may lay the foundation for neurodegeneration later in life. For instance, organoids bearing PSEN1 and APP mutations have a global reduction in 5hmc methylation signal, with differential methylation in genes associated with neurodevelopment and AD risk factors [41]. Such subtle genomic changes could predispose the brain towards certain network pathologies that promote AD in old age [42].

### Organoid models of late-onset sporadic AD

Early-onset familial AD constitutes only a small fraction of total AD cases. Thus, a growing area of research is instead examining environmental factors that could induce late-onset sporadic AD (sAD) in the absence of strongly causative genetic factors. Healthy organoids can be induced to develop AD pathology such as elevated  $A\beta_{42}$  secretion and  $A\beta_{42/40}$  ratio through treatment with the  $A\beta_{42}$  inducer aftin-5 [43]. Although such excess amyloid production is associated with neurodegeneration, amyloid fibrils also have antimicrobial activity [44]. The AD pathogen hypothesis posits that viral infection triggers elevated amyloid production, which can result in runaway aggregation that ultimately leads to neurodegeneration [45]. Post-mortem studies of sAD patients have found elevated levels of Herpes simplex virus type 1 (HSV1) DNA associated with amyloid plaques [46,47], suggesting that reactivation of this typically benign virus in the brain may trigger AD onset [48]. Infecting cerebral organoids with even low levels of HSV1 results in formation of amyloid plaques, reactive gliosis, and upregulation of presenilins and pro-inflammatory genes such as  $TNF\alpha$  [49]. Antiviral medication attenuated all these pathologies, highlighting the importance of early medical intervention.

Large-scale genome-wide association studies have helped identify gene variants associated with elevated risk for late-onset AD [50]. Many of these gene variants have a higher incidence in the population than APP or PSEN mutations yet only incur modest risk of disease. About 13.7% of the human population are carriers of the E4 allele of the lipid metabolism gene APOE, which incurs a 3- to 15-fold increased risk for developing AD later in life relative to non-risk E3 carriers [51]. Pathological features associated with AD have been recapitulated in APOE4 neurons and cerebral organoids, including elevated  $A\beta_{42}$  and phosphorylated *tau*, synapse loss, and increased apoptosis compared to isogenic APOE3 cultures [52,53]. Notably, this pathology was not confined to neurons, as APOE4 astrocytes and microglia also developed cell-type specific defects in cholesterol processing, amyloid uptake, and inflammation [52]. Other groups working with organoids derived from healthy APOE4 carriers have found that these do not

develop significant A $\beta$  pathology relative to APOE3 organoids, however it is worth noting that additional protective mechanisms may be contributing to the lack of AD pathology in these patients [54]. Much work remains in identifying other risk factors underlying sAD and how these interact with APOE and its downstream pathways.

### High-throughput drug-screening applications

Cerebral organoids can be grown rapidly in large quantities relative to animal models. As such, there has been considerable interest in applying cerebral organoid models for high-throughput screening in drug-development applications (figure 1). Organoids only model a subset of neuronal pathologies and lack a blood-brain barrier, yet still serve as an effective tool for assessing potential neurotoxic effects of candidate drugs. These kinds of cultures have been effectively used for high-throughput screening assays of drug neurotoxicity in the presence of APP duplication or PSEN1 mutations [55], and in a prion propagation model of Creutzfeldt-Jakob disease [56].

A major technical hurdle for using organoids in high-throughput drug-screening assays is their inherent variability in size and cellular content [57]. Using a novel acoustofluidic approach, Cai *et al.* generated uniformly sized spheroids containing neurons, astrocytes, and microglia, together with amyloid peptide, and implemented automated time-lapse imaging to longitudinally track AD pathology in these cultures [58]. Others have integrated computational modeling with high-throughput approaches to accelerate drug discovery [59]. Park *et al.* developed a computational model based on databases of known gene interactions to identify several candidate drugs which they applied to sAD patient-derived organoids to significantly attenuate A $\beta_{42}$  and phosphorylated *tau* levels [60]. This powerful approach illustrates how organoids can recapitulate important biological processes, thus accelerating drug development and testing.

### Integration of non-neuronal cell types into organoids

Patient-derived cerebral organoids with only a limited subset of cell types have been shown to recapitulate certain AD pathologies such as amyloid plaque deposition and *tau* phosphorylation. Nonetheless, cell types such as astrocytes [61,62], microglia [63,64], and cerebrovasculature [65] also play key roles in neurodegeneration. New protocols for generating these different cell types from iPS cells have emerged, greatly expanding their access for AD studies [66–71] (figure 2).

During early stages of AD, the inflammatory function of microglia initiates clearance of amyloid plaques and other neurotoxic metabolites. However, prolonged microglial inflammation can lead to neurodegeneration. Several groups have used single-cell RNA sequencing to identify a subset of AD-associated microglia with elevated inflammatory function [72–74]. These studies illuminate specific pathways impacted in microglia and suggest mechanisms whereby microglial inflammation impacts neuronal function. Along these, TREM2 mutations are associated with elevated risk for AD [75,76]. As a gene primarily expressed in

microglia, TREM2 activation results in elevated phagocytic function, lipid metabolism, and microglia survival in the brain [77,78]. Using iPS-derived microglia, it was determined that knocking out phospholipase PLCG2 prevents these cells from responding to TREM2 stimulation [79]. These findings suggest that loss of TREM2 function could bias PLCG2 towards activation by the toll-like receptor pathway, thereby driving microglia towards an inflammatory state that contributes to neurodegeneration. On the other hand, acute knockdown of TREM2 in APP/PS1 mice with late stage AD pathology significantly decreased amyloid accumulation and microglia localization around plaques, suggesting that excessive activation of the TREM2 pathway may also contribute to neurodegeneration [80].

In addition to their immune and inflammatory function, microglia promote neuronal development and function [81,82]. Microglia and neuron co-cultures are already being used to uncover novel interactions underlying AD and Schizophrenia [83–85]. Accordingly, incorporating microglia into cerebral organoids would greatly enhance their physiological relevance and aid in uncovering new mechanisms of neurological disease. By tuning their organoid development protocol to promote mesodermal progenitor populations, Ormel *et al.* showed that microglia could develop endogenously within cerebral organoids [86]. However, microglia only made up approximately 1% of the total cells in these organoids, which is much lower than their density *in vivo*. Other studies have aimed to incorporate microglia into more mature organoids at predefined ratios to arrive at a more physiological microglia density [87–90]. Such co-cultures develop enhanced neuronal network maturation and responsiveness to pro-inflammatory stimuli such as LPS and Zika virus, demonstrating their ability to model neuroimmune interactions *in vitro*.

AD and vascular dementia are associated with breakdown of the blood-brain barrier and transcriptional changes to cerebrovascular cell types [91–93]. Although neurons *in vivo* are never more than a few microns from the nearest capillary, cerebral organoids lack a perfusable vasculature. This often results in the formation of a necrotic organoid core due to low nutrient diffusion into deeper layers. Recent developments have been made towards engineering organoids with integrated vasculature [94–97]. In addition to increasing the long-term viability of the culture, these methods open up opportunities to study neurovascular interactions *in vitro* and screen for drugs that cross the blood-brain barrier [98,99]. Organoids enriched for choroid plexus epithelium have also recently been reported, which could facilitate modeling of blood-CSF barrier breakdown in disease [100,101].

Better models of brain barrier tissues could illuminate the interactions between neuroinflammation and the peripheral immune system. Meningeal lymphatics and the glymphatic system play a key role in mediating neuroimmune interactions, which are disrupted during aging and AD [102,103]. Peripheral immune cells rarely infiltrate the brain parenchyma in the healthy brain, however there is a significant population that resides within the meninges that are regularly exposed to brain-derived antigens drained via the dural sinuses. While there are no organoid models reported to date that incorporate a meninx, Worsdorfer *et al.* fused together mesodermal and neuroepithelial organoids, which resulted in vascular infiltration of the neuroepithelial region [104]. Interestingly, they observed Iba1<sup>+</sup> cells within the mesodermal portion of the organoid that resemble perivascular macrophages. This type of system could be

adapted to generate cerebral organoids with an integrated meninx, as meningeal epithelial cells arise from the mesodermal lineage.

### Engineered 3D cell culture models

It is now possible to adapt iPS-derived co-cultures into highly engineered brain-on-a-chip platforms that may be more tractable than organoids for studies of particular neuroimmune interactions and vascular dynamics [105–111]. Using such technology, Park *et al.* devised a multi-chamber device in which they seeded astrocyte and neuron co-cultures with engineered fAD mutations [112] into the central chamber and microglia into the outer chamber, then quantified microglia migration towards the neuronal compartment secreting pro-inflammatory cytokines CCL2, TNF $\alpha$ , and IFN $\gamma$  [84]. Generating different cell types separately prior to co-culturing also makes it easier to dissect how disease-causing gene variants function within specific cell types. Blanchard *et al.* developed an *in vitro* cerebrovascular culture with blood-brain barrier properties by co-culturing iPS-derived brain endothelial cells, astrocytes, and mural cells in Matrigel [113]. By conducting experiments with different combinations of isogenic APOE3 and APOE4 cell types, they determined that it is the APOE4 mural cells that drive increased accumulation of amyloid at the vasculature, mainly through defects in NFAT signaling that could be corrected by application of cyclosporine A in 5xFAD mice. This kind of blood-brain barrier co-culture could be adapted into a microfluidic chip format to facilitate the formation of perfusable vasculature [114], as well as combined with cerebral organoids to form an integrated neurovascular unit. The neurovascular unit is highly relevant to AD research, given recent findings that reactive oxygen species play a key role in amyloid-mediated vasoconstriction that ultimately restricts brain oxygenation and exacerbates neuronal loss [115–117].

### Conclusions and Outlook

Cerebral organoids have been shown to model AD-like pathologies to an impressive extent, even though they represent a fairly young developmental age [118,119]. Although much progress has been made in demonstrating the robustness of organoids as an AD model, additional work remains to improve the biological relevance of organoids by incorporating non-neuronal cell types such as microglia and vasculature, and developing high-throughput methods to facilitate drug screening. While limited access to live human brains has hampered our progress in understanding how and when diseases develop, brain organoids provide new opportunities to study the origins of neurological disease. It is clear that human patient-derived organoids have the potential to overcome some of the limitations present in existing animal models, and it will be exciting to see how the field unfolds with respect to studying the interactions between genetic and environmental factors that drive late-onset sporadic AD.

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Table 1: summary of cerebral organoid models of Alzheimer's Disease

publication	Cell culture type	AD model	Additional cell types	pathology
<b>Choi <i>et al</i> (2014)</b>	3D neuron cell culture in matrigel	APP, PSEN1 mutation	no	A $\beta$ plaques, p-tau, tau filaments
<b>Raja <i>et al</i> (2016)</b>	Cerebral organoid	APP, PSEN1 mutation	no	A $\beta$ accumulation, p-tau, enlarged endosomes
<b>Seo <i>et al</i> (2017)</b>	Cerebral organoid	P301L tau mutation	no	tau accumulation, rescue with p35 knock-in
<b>Bejoy <i>et al</i> (2018)</b>	Cerebral organoid	WT, incubated with A $\beta$	no	cell bound A $\beta$ <sub>42</sub> , LDH levels, neuronal loss
<b>Chen <i>et al</i> (2018)</b>	Dorsal forebrain spheroid	sAD patients	no	axon growth, mitochondrial function, oxidative stress
<b>Gonzalez <i>et al</i> (2018)</b>	Cerebral organoid	fAD, Down syndrome, Creutzfeldt-Jacob disease patients	no	amyloid plaques, neurofibrillary tangles
<b>Jorfi <i>et al</i> (2018)</b>	Neuronal spheroid	APP, PSEN1 mutation	no	A $\beta$ accumulation, p-tau
<b>Lin <i>et al</i> (2018)</b>	cerebral organoid, iPSC astrocytes, microglia, neurons	APOE4	microglia, astrocytes	A $\beta$ accumulation and uptake, synaptic deficits, lipid accumulation, inflammation
<b>Park <i>et al</i> (2018)</b>	3D neuron/ microglia co-culture in matrigel	APP overexpression, PSEN1 mutation	microglia	A $\beta$ accumulation, p-tau, inflammation
<b>Pavoni <i>et al</i> (2018)</b>	Cerebral organoid	aftin-5 induction	no	A $\beta$ <sub>42</sub> secretion
<b>Yan <i>et al</i> (2018)</b>	Forebrain spheroid	APP mutation	no	A $\beta$ <sub>42</sub> secretion
<b>Ghatak <i>et al</i> (2019)</b>	Cerebral organoid	APP, PSEN1 mutation	no	neuronal hyperexcitability, decreased neurite length, altered synaptic density
<b>Blanchard <i>et al</i> (2020)</b>	3D cerebrovasculature	APOE4	Endothelial cells, mural cells, astrocytes	A $\beta$ accumulation
<b>Cai <i>et al</i> (2020)</b>	Neuronal spheroid	WT, incubated with A $\beta$	Neurons, microglia	A $\beta$ toxicity
<b>Cairns <i>et al</i> (2020)</b>	Neuronal spheroid	WT, infected with HSV1	no	A $\beta$ plaques, PSEN1/2 upregulation, inflammatory genes, reactive gliosis
<b>Hernández-Sapiéns <i>et al</i> (2020)</b>	Neuronal spheroid	PSEN1 mutation	no	A $\beta$ oligomer production
<b>Kwak <i>et al</i> (2020)</b>	3D neuron cell culture in matrigel	APP, PSEN1 mutation	no	A $\beta$ <sub>40/42</sub> ratio, tau accumulation
<b>Tunesi <i>et al</i> (2020)</b>	3D neuron culture in microfluidic device	APP mutation	no	A $\beta$ <sub>42</sub> secretion
<b>Zhao <i>et al</i> (2020)</b>	Cerebral organoid	APOE4	no	A $\beta$ accumulation, pTau, synapse loss, apoptosis
<b>Hernandes <i>et al</i> (2021)</b>	Cerebral organoid	APOE4	no	APOE levels, A $\beta$ <sub>40/42</sub> ratio, p-tau
<b>Kuehner <i>et al</i> (2021)</b>	Forebrain spheroid	fAD patients: APP, PSEN1 mutation	no	5hmc methylation
<b>Park <i>et al</i> (2021)</b>	Cerebral organoid	sAD patients, APOE4	no	A $\beta$ <sub>40/42</sub> ratio, tau accumulation, p-tau, calcium transients
<b>Yin <i>et al</i> (2021)</b>	Cerebral organoid	PSEN2 mutation	no	A $\beta$ <sub>40/42</sub> ratio, neuronal network activity, apoptosis

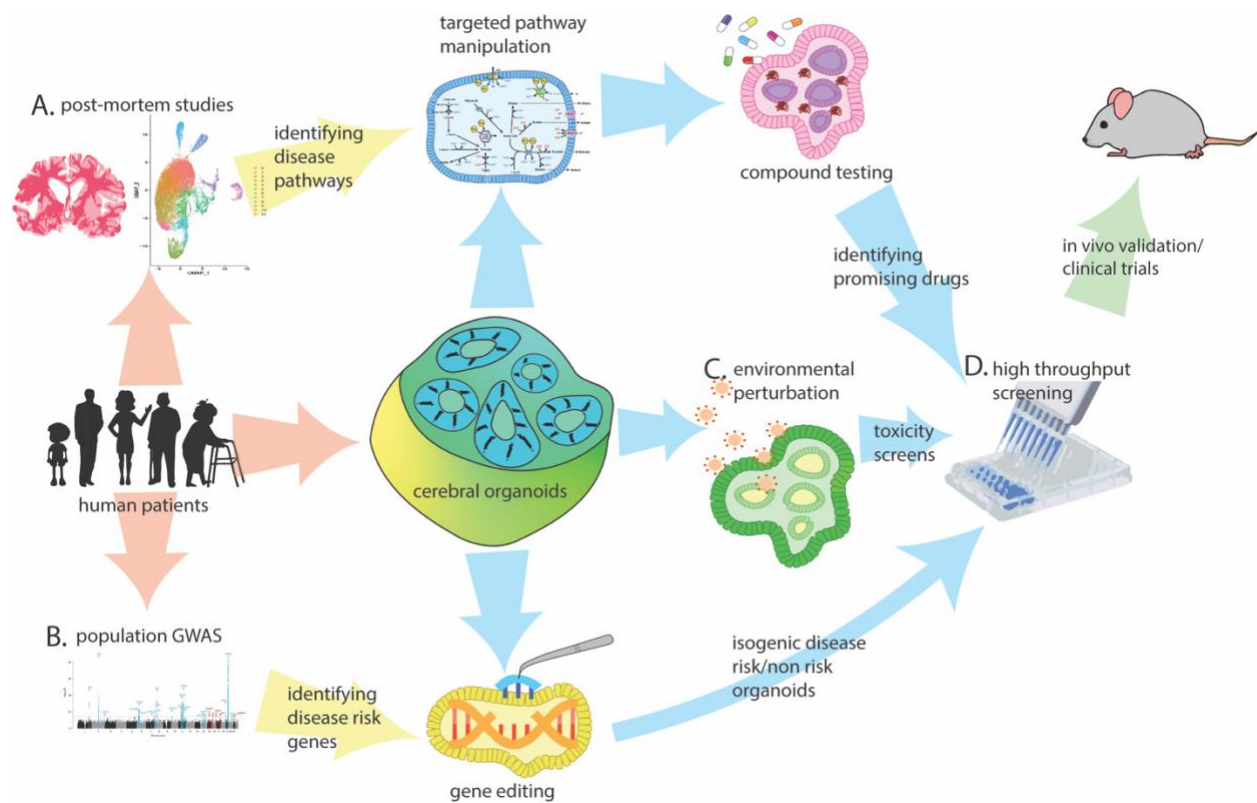


Figure 1: Harnessing cerebral organoids for drug discovery

Cerebral organoids provide unprecedented access to physiologically relevant tissue derived directly from human patients, which opens up opportunities for drug discovery. They can be used to (A) experimentally validate results from postmortem human single cell RNAseq studies that identify specific molecular pathways affected in disease, (B) probe the effects of disease risk gene variants identified in population-wide GWAS studies by using gene editing to generate isogenic cell lines with and without the disease risk gene variant of interest, and (C) screen for different kinds of environmental perturbations that can promote disease in otherwise healthy patients. (D) Integrating insights from these approaches together with improved methods for high throughput screening can yield promising drug candidates for further validation using mouse models and full clinical trials.

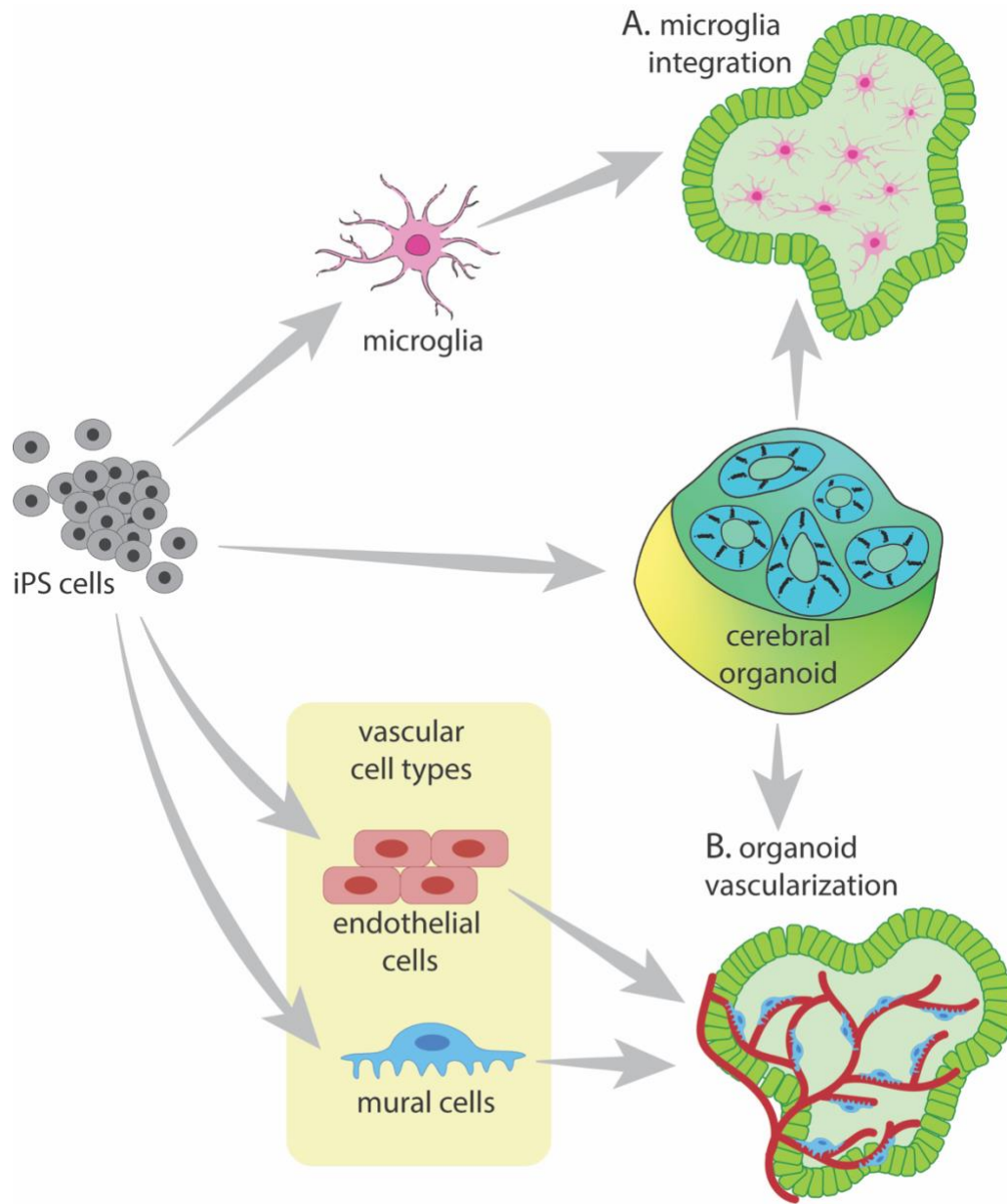


Figure 2: Incorporating non-neuronal cell types into organoids

The physiological relevance of cerebral organoids may be greatly improved by incorporating additional cell types that do not develop endogenously within the organoid using existing protocols. (A) iPS-derived microglia can be used to model neuroimmune interactions in organoids which underlie healthy brain function and neurodegeneration. (B) Incorporating cerebrovascular endothelial cells and mural cells promotes organoid vascularization, which is beneficial for cell viability and allows for studies of neurovascular interactions and blood-brain barrier function.