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Light-induced water oxidation at silicon electrodes functionalized with a cobalt oxygen-evolving catalyst

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Integrating a silicon solar cell with a recently developed cobalt-based water-splitting catalyst (Co-Pi) yields a robust, monolithic, photo-assisted anode for the solar fuels process of water splitting to O2 at neutral pH. Deposition of the Co-Pi catalyst on the Indium Tin Oxide (ITO)-passivated p-side of a np-Si junction enables the majority of the voltage generated by the solar cell to be utilized for driving the water-splitting reaction. Operation under neutral pH conditions fosters enhanced stability of the anode as compared to operation under alkaline conditions (pH 14) for which long-term stability is much more problematic. This demonstration of a simple, robust construct for photo-assisted water splitting is an important step towards the development of inexpensive direct solar-to-fuel energy conversion technologies.

Photosynthetic organisms convert the energy of sunlight into chemical energy by splitting water, producing molecular oxygen and hydrogen equivalents in the highly conserved enzyme complex photosystem II (PSII) (1). Absorbed photons are transferred to the reaction center of PSII, where a single electron/hole charge separation occurs. The oxidative power of the photo-produced hole in PSII is transferred to the oxygen evolving complex (OEC) where water splitting occurs. The electron is transferred to the adjacent photosystem I (PSI), where it participates in the reduction reaction of NAD+ into NADH, which is ultimately used to fix CO2. Crucial in the above configuration is the separation of the functions of light collection and conversion from catalysis. Whereas light collection/conversion generates electron/hole pairs one at a time, water splitting is a four-electron/hole process (2, 3). Hence, the multielectron catalysts of PSII and PSI, positioned at the terminus of the photosynthetic charge-separating network, are compulsory so that the one photon—one-electron/hole “wireless current” can be bridged to the four-electron/hole chemistry of water splitting.

An artificial photosynthesis can be designed if the one-electron/hole wireless current of a semiconductor can be integrated directly with catalysts to perform the four-electron-four proton catalysis of water splitting. To this end, an important recent advance has been the creation of a cobalt-phosphate (Co-Pi) catalyst (4, 5) that captures the functional elements of the OEC of PSII (6). As in PSII OEC, the Co-Pi catalyst self-assembles catalysis of water splitting. T o this end, an important recent step towards the development of inexpensive direct solar-to-fuel energy conversion technologies.

The Co-Pi catalyst may be interfaced to semiconducting metaloxides to enhance the efficiency of photoelectrochemical water splitting. In particular, Co-Pi has been deposited onto Fe2O3 (22–24), and WO3 thin films (25) and on ZnO rods (26) to effect a several hundred-millivolt reduction in the onset potential for water oxidation relative to the unfunctionalized photoanode. For Fe2O3 (23) and WO3 (25) films, this promising reduction of the onset potential has been achieved at neutral pH. While these metal-oxide semiconductors benefit from high photoelectrochemical stability, their large band-gaps and losses associated with bulk and interfacial transport place limits on the theoretical efficiency of overall water splitting (27). Among nonoxides, silicon (Si) is a preferred semiconductor material because it absorbs a significant part of the solar spectrum, is earth-abundant, has low losses associated with bulk and interfacial carrier transport, and hence it is widely used in photovoltaic applications. The integration of np-Si junctions with water splitting has the potential to significantly reduce current costs of solar energy conversion by potentially reducing the balance of system costs attendant to separate devices for solar capture/conversion and storage (28–30). Such an approach is particularly well suited for small-scale solar-to-fuel devices, which have enormous potential for decentralized power generation and storage, particularly in developing economies (31).

The realization of Si-based, direct solar-to-fuel devices hinges on overcoming the inherent photoelectrochemical instability of Si in the presence of the highly oxidizing conditions of water splitting (32, 33). We now show that Si surfaces can be effectively protected from photoinstability at neutral pH with thin layers of Indium Tin Oxide (ITO) and that the passivated np-Si junction can function as efficient photoassisted anodes for the water oxidation when integrated with the Co-Pi catalyst. Photogenerated electrons and holes are separated within the np-Si junction, analogous to the wireless current in natural photosynthesis. Holes migrate to the ITO-contacted p-side of the Si cell to drive the water oxidation reaction mediated by Co-Pi. Nearly all the voltage generated in the buried np-Si junction is delivered to the catalyst layer effecting a significant light-induced reduction of the onset potential for water splitting. The realization of Co-Pi-functionalized passivated np-Si junctions opens the prospect of designing integrated devices (e.g., artificial leaf) for direct solar-to-fuels conversion.

Results and Discussion

A schematic representation of the illuminated Co-Pi-functionalized np-Si electrode and its energy diagrams in dark and under illumination (for water oxidation at 1 mA/cm²) are given in

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An interfacial SiO2 film of ITO was deposited on the n-side of the sample. On top of this contact, a 10-μm layer of photoresist (AZ Electronic Materials, AZ-9260) was spin-cast to prevent the metal and n-Si surfaces from contacting water when the sample was immersed in the electrolyte. Finally, the Co-Pi film was grown on top of the ITO layer by means of electrodeposition (SI Appendix: Fig. S1). In a typical photoelectrochemical experiment (vide infra), a sample of Co-Pi-functionalized np-Si is immersed in an aqueous 0.1 M potassium phosphate (KPi) electrolyte and illuminated from the n-side. Absorption of light by the photoresist is negligible, as can be concluded from the absorption spectrum of the photoresist (SI Appendix: Fig. S2).

Fig. 2 shows a comparison of the cyclic voltammograms (CV) of npSi|ITO|Co-Pi and np+-Si|ITO|Co-Pi anodes. In both cases, a film of the Co-Pi catalyst (250 nanomole Co) was electrodeposited (see SI Appendix). In principle, the Co-Pi catalytic activity can be increased by using thicker films (>7,500 nanomoles), but transport limitations within thick films cause deviations in the current-voltage behavior (3). The sample was immersed in a 0.1 M KPi electrolyte at pH 7. Under these conditions, the Nernstian potential for water oxidation is 0.82 V vs. a normal hydrogen electrode (NHE). When applying the potential through the ITO thin film in the dark (Fig. 2, blue trace), the onset for water oxidation occurs at 1.2 V vs. NHE, implying an overpotential of ∼0.4 V. This value is in agreement with the required overpotential for Co-Pi on commercial ITO electrodes (3, 4). The significant currents in this electrode configuration, in which the photovoltaic (PV) component is shorted, indicate that the sputtered ITO film has a sufficiently low sheet resistance (170 Ω/sq, as determined in a four-point probe measurement). The onset for water oxidation remains located at 1.2 V, but the observed dark currents are much lower (Fig. 2, black trace) when the potential is applied through the metal contacts, such that current must flow through the PV component. Under reverse-bias conditions for the np-Si junction (e.g., positive applied potentials), the dark anodic current is determined by the leakage current across the np-Si junction, which should be negligible for a highly quality np-Si junction. The low but nonnegligible dark

Fig. 1. All films, excepting the Co-Pi film, were deposited by Radio Frequency (RF) or Direct Current (DC) sputtering. Prior to the first sputtering deposition, the native oxide layer was removed by dipping np-Si in a Hydrofluoric Acid (HF)-solution (∼10 wt%). In some of the samples, a p+-layer was incorporated by depositing a 1 μm film of Si-doped (1%) Al on the p-side of the junction, followed by a rapid thermal annealing step in N2 at 900°C (34). An interfacial SiO2 (∼1.5 nm) layer was intentionally deposited on the p-side of the junction to provide a smooth transition from the Si substrate to the overlying passivating layer (35) so that recombination caused by high interface state densities due to mismatch in lattice constants, expansion coefficients, and other geometric factors is minimized. Because water molecules can penetrate into the Co-Pi film (3, 11), the catalyst cannot be directly deposited on the p-side of the np-Si junction, since Si at the interface would quickly oxidize into a thick SiO2 layer (35), which is an effective barrier for charge transfer. Therefore, a 50-nm film of ITO was deposited on the p-side of the Si junction as a protective barrier layer. Samples were annealed (400°C, N2, 30 min) to enhance the conducting properties of the ITO (36). A patterned metal front contact (Ti/Pd/Au, 20/20/100 nm thickness, respectively) was deposited on the n-side of the sample. On top of this contact, a 10-μm layer of photoresist (AZ Electronic Materials, AZ-9260) was spin-cast to prevent the metal and n-Si surfaces from contacting water when the sample was immersed in the electrolyte.
current from our np-Si junctions (Fig. 2) reveals that the solar cell is partially shunted.

When illuminating the structure from the n-side with 100 mW/cm² light from a Xe lamp (AM1.5 illumination), the potential onset for water oxidation is decreased significantly for both the npSi[ITO]-Co-Pi and the np'+Si[ITO]-Co-Pi sample (Fig. 2). Clearly, photogenerated holes in the Si are injected into the ITO film, after which they participate in the water oxidation reaction at the Co-Pi catalyst. As can be seen in Fig. S4, an inset), the negative charge acquired accumulates mainly at fixed space-charge region can extend far into the acceptor impurity atoms. In the relatively lightly doped p-layer because of the high number of dopant atoms that can tunnel across the buried np-Si interface at the solution/semiconductor interface. The driving force for water oxidation is therefore dictated by the energy of the band edge relative to the thermodynamic potential for water oxidation. In the construct of Fig. 1, the photovoltage generation and current rectification occur at the buried np-Si junction. Thus, it is the intersection of the i-V curve of the PV component with the i-V curve of the Co-Pi electrochemical component that dictates the (photo)potential and (photo) current available for water splitting (42).

Further insight in the presence of non-Ohmic interfaces within the Si[ITO]-Co-Pi structures is provided by examining the Tafel behavior (43). For the native catalyst on ITO, a Tafel slope near 59 mV/decade is characteristic of an O₂ evolution mechanism involving a reversible one-electron transfer prior to a chemical turnover-limiting step (3). For the experiments described here, mechanistic information about the Co-Pi catalyst from the Tafel plot is convoluted by the electrical properties of the PV and ITO components of the anode. Accordingly, the Tafel data is examined solely as a measure of the steady-state activity of the photo-assisted anode as a function of applied potential. For the npSi[ITO]-Co-Pi in Fig. 3A, the slope of the Tafel plot is 285 mV/decade when measured in the dark and 275 mV/decade when measured under 100 mW/cm² illumination. We attribute the large values of the Tafel slopes to the non-Ohmic contact at the pSi[ITO] interface. For the np'+Si[ITO]-Co-Pi (Fig. 3B) in the dark, the slope of the Tafel plot is also large (220 mV/decade), which is consistent with the high impedance for anodic current across the reverse-biased np-Si junction in the dark. Under 100 mW/cm² illumination, however, the Tafel slope is reduced significantly to a value of 106 mV/decade indicative of greater carrier mobility through the Si conduction and valence bands and the more attractive Ohmic nature of the p'+Si[ITO] interface. When applying the potential through the ITO film both for npSi[ITO]-Co-Pi and for np'+Si[ITO]-Co-Pi samples, the Tafel slope is found to be 110 mV/decade. That this value is higher than the 59 mV/decade that was observed for Co-Pi on ITO electrodes (3, 10) implies hindered conduction across the sputtered 50 nm ITO film. The Tafel data in Fig. 3A underlines the importance of establishing an Ohmic contact at the pSi[ITO] interface. Although the onset potential for water oxidation is significantly reduced when illuminating the np'+Si[ITO]-Co-Pi sample, excessive overpotentials are nevertheless required to attain significant anodic currents, as evidenced from the higher Tafel slopes of Fig. 3A.

The reduction of the onset for water oxidation for the np'+Si[ITO]-Co-Pi sample can be accurately determined from the Tafel data in Fig. 3B. At low current densities (where the Tafel behavior is linear), the potential required for a given current density is reduced by ~0.52 V when the anode is subject to 100 mW/cm² illumination (~AM 1.5). Given the fact that the Voc of the np'+Si[ITO] solar cell is 0.57 V under AM 1.5 illumination.
(SI Appendix: Fig. S3), we conclude that most of the generated photopotential in the solar cell is utilized to reduce the onset potential for water oxidation.

The magnitude of the external potential required to attain a given current density is well described by the intrinsic properties of the solar cell, as is demonstrated in Fig. 4. In particular, the photovoltage, and, therefore, the necessary bias voltage, scales logarithmically with the light intensity in parallel with a corresponding increase in \( V_{oc} \) for the isolated solar cell (44). Fig. 4G shows the potential required to drive water oxidation at a constant current of \( 20 \mu A/cm^2 \) through an np-Si|ITO|Co-Pi photoanode as a function of the illumination intensity. The onset potential for water oxidation shifts to lower values for higher illumination intensities. In the dark, a potential of 1.20 V is required to drive water oxidation is reduced for increasing illumination. This discrepancy is ascribed to the non-Ohmic nature of the pSi|ITO interface, thus leading to a higher overpotential to attain a given anodic current.

Fig. 4B shows the incident-photon-to-current-efficiency (IPCE) as a function of wavelength for a np-Si|ITO solar cell (measured in air), a np-Si|ITO|Co-Pi sample, and a np-Si|ITO|Co-Pi sample. The shape of these three data traces is very similar, implying that most of the anodic current originates from absorption of 600–900 nm photons. As in a regular Si solar cell, there are significant losses in the visible region (400–600 nm) and above 1,000 nm because of recombination in the emitter layer and recombination at the back surface, respectively (44). Additionally, light trapping was not optimized for the solar cells, leading to additional losses across the entire spectrum. Fig. 4B once more clearly illustrates the necessity of a good Ohmic contact at the pSi|ITO interface as carrier collection is very inefficient when this interface is non-Ohmic.

The Faradaic efficiency of the Co-Pi-sensitized np-Si was measured with a fluorescence-based O\(_2\) sensor. SI Appendix: Fig. S5 shows that, after initiating electrolysis at 0.9 V vs. NHE, the percentage of \( O_2 \) detected in the headspace increased in accordance with attributing all current to result from the 4e\(^-\) oxidation of water to produce \( O_2 \). The \( O_2 \) detection experiment in SI Appendix: Fig. S5 demonstrates that the photocurrent in the np-Si|ITO|Co-Pi samples is stable minimally over a period of 12 h in 0.1 M KPi electrolyte and that the Faradaic efficiency for \( O_2 \) production remains unity. Long-term stability of the device benefits from operation at pH 7. ITO is chemically unstable in acidic media (45) and the conductivity of ITO decreases upon anodization in basic electrolytes (46). That the functionalized electrode described here can operate at pH 7 is a distinct advantage over water-splitting concepts in more extreme pH conditions.

The foregoing results are obtained on Co-Pi-functionalized single-junction Si cells, for which the open circuit voltage \( (V_{oc}) \) falls in the expected 0.5–0.7 V range (44). Because this voltage is too low to supply the entire thermodynamic potential of 1.23 V, an applied external voltage was required. To perform water splitting without an external voltage bias, the Co-Pi catalyst must be integrated with a Si-based tandem cell of sufficient \( V_{oc} \) (47, 48) or alternatively, with two or more single-junction Si cells connected in series to provide a sufficient photopotential for water oxidation. In line with the latter possibility, a np-Si|ITO|Co-Pi photoanode was connected in series to an additional np-Si|ITO|Co-Pi cell via alligator clips that were interconnected with copper wires. Good contact between the clips and the metal|ITO substrate was achieved by means of silver paint. Fig. 5 shows the CVs for a single np-Si|ITO|Co-Pi sample immersed in a 0.1 M KPi electrolyte in dark and under illumination with a Xe lamp (dark blue line, intensity \( \sim 100 \) mW/cm\(^2\)). Similar as in Fig. 2, illumination leads to a decrease of the potential onset for water oxidation by 0.5 V. Upon connecting the second solar cell in series with the np-Si|ITO|Co-Pi photoanode, the potential onset was further reduced by another 0.5 V.

The solar conversion efficiency for \( O_2 \) production was determined from:

\[
\eta(\%) = \frac{100(E_{\text{Nernst}} - E_{\text{appl}})i}{P_{\text{vol}}A}
\]

in which \( E_{\text{Nernst}} \) is the Nernstian potential for water oxidation relative to NHE, \( E_{\text{appl}} \) is the applied potential relative to NHE, \( i \) is the current at a given applied potential, \( P_{\text{vol}} \) is the power density of the incident light, and \( A \) is the illuminated area. Eq. 1 deviates slightly from previous equations of this type (49) because our values of \( E_{\text{appl}} \) are derived from measurements made relative to a reference electrode. Thus, the efficiency metric \( \eta \) reflects an
upper limit value expected for solar-to-hydrogen efficiency that ignores overpotential losses at the cathode and Ohmic resistive losses in the cell. We prefer to use Eq. 1 because it isolates the performance of the Co-Pi/[Si photoanode. For a single npp′-Si ITO-Co-Pi cell, the maximum measured value of η was 0.015% (measured over a potential range of ~300 mV from the onset potential under illumination). This low value of η arises because in a single cell configuration, only 0.5 V photopotential is available; the remaining potential is applied externally. Along this line, connecting a second solar cell in series leads to an increase in maximum efficiency to 0.25% since an additional 0.5 V is now derived from the photopotential, thereby reducing the externally applied potential. Higher values of η may be obtained by connecting a third solar cell in series, which will omit the need for applying an external potential and thus permit efficiencies in excess of the highest reported efficiency for a metal-oxide Co-Pi system (~0.25%) (23). We note that Si absorbs a larger fraction of incident solar irradiance than metal-oxide semiconductors due to its lower band gap, thus utilizing near-infrared photons that are not absorbed in metal-oxide semiconductors. Hence, our results are consistent with theoretical predictions (27) that multi-photon water-splitting configurations (in which the required potential is generated by sequential absorption of multiple photons) are more efficient than single-photon water splitting. The forgoing discussion highlights that the value of η as defined in Eq. 1, when determined for a single half reaction of water splitting, can be an ambiguous metric, particularly when comparing light absorbers of disparate bandgaps (e.g., Si vs. Fe₃O₄).

An alternative efficiency metric, which is particularly relevant for the optimization of the electrochemical interface, is the percent of the maximum power generated by the photovoltaic component that is utilized to drive the electrochemical half reaction. This can be calculated as follows:

\[ \eta_p(\%) = \frac{100 \cdot \Delta V \cdot i}{P_{\text{phot}} \cdot \eta_p} \]  

in which \( \eta_p \) is the peak solar-to-electrical power conversion efficiency, determined from the I-V curve of an identically prepared solar cell (SI Appendix: Fig. S3), and \( \Delta V \) is the potential difference between the light and the dark (connected to ITO) Tafel plots in Fig. 3. Using Eq. 2, the efficiency is 5.6% for a single npp′-Si ITO-Co-Pi electrode at an applied potential of 0.8 V vs. NHE. SI Appendix: Fig. S6 gives a comparison of the two calculation methods for \( \eta \). In this report, we used relatively low loading of Co-Pi films (~250 nanomoles Co), resulting in a lower activity and an impedance mismatch between the power supplied by the photovoltaic and the power utilized to drive the electrochemical half reaction. Improvements in efficiency per Eq. 2 are expected for deposition of thicker catalyst films, because the activity of the Co-Pi film has been shown to increase monotonically with catalyst loading on a planar electrode (3, 11). We have previously shown that it is possible to increase anode activity to 100 mA/cm² with a 3D catalyst support (11). Thus thicker Co-Pi films or thin Co-Pi films on a roughened (i.e., high surface area) Si surface will be better matched to the typical current densities obtained from crystalline Si solar cells at their maximum power point (~35 mA/cm²) (44).

The Co-Pi-functionalized Si photoanode presented here embodies a promising approach for photovoltaic-photoelectrochemical water splitting that relies on operation at or near neutral pH. Photoelectrochemical water splitting (50) using single-junction concepts based on single-photon absorption have been widely investigated subsequent to the initial report by Fujishima and Honda of water splitting on TiO₂ photoelectrodes (51). The drawback of the single-photon approach is the requirement of large bandgap materials (which absorb only a small fraction of solar light) to generate sufficient photovoltage for water splitting. As a result, the maximum achievable solar-to-hydrogen efficiency is limited to ~7% for single-photon water splitting (27, 42). Multiphoton photovoltaic-photoelectrochemical water splitting is more promising because higher efficiencies may be achieved (27, 42). In a multiphoton device architecture, the required potential for water oxidation is generated by sequential absorption of low-energy photons in a tandem solar cell. The most efficient photoelectrochemical tandem device for water splitting reported to date is 16.5% (hydrogen production efficiency) (52, 53). This device comprises a GaInP₂/GaAs tandem cell and platinum catalysts to produce hydrogen and oxygen, immersed in a strongly basic electrolyte (53). Although highly efficient, high costs are associated with the GaInP₂/GaAs tandem cell and the catalysts and device stability is poor (52). Though less efficient (2.5–8% hydrogen production efficiency), Si-based tandem cell concepts (33, 53–56) have promise of lower cost. These tandem cells typically consist of a stack of amorphous Si and Si-Ge alloys that are operated in basic electrolyte (pH > 13). The p-side of these tandem cells is usually passivated with ITO, which cannot be annealed because high-temperature treatment is detrimental to the amorphous Si in the tandem cell. Accordingly, the ITO offers only marginal protection of the Si from the extreme conditions of a caustic solution (36), thus resulting in decreased overall device performance (33). Higher efficiencies (up to 7.8%) (33, 53, 54) have been reported for tandem amorphous Si cells in which the oxygen evolving catalyst was not directly deposited on the solar cell, but connected to it through wires. The complexity of the fabrication of the latter PV-PEC devices however presents challenges for large-scale utilization. To this end, the Co-Pi-functionalized Si photoanode presented here provides distinct advantages because it can be operated under benign conditions. At pH = 7, a wide range of materials may be employed as a protective barrier layer of Si materials such as Fluorine-doped Tin Oxide (FTO) and ITO, which exhibit much better long-term stability at neutral pH relative to strongly acidic or alkaline conditions (57, 58). Moreover, the use of crystalline Si gives latitude in sample processing at higher temperatures; namely ITO can be annealed at temperatures approaching 400°C to furnish a robust protective layer, especially in a near-neutral pH electrolyte environment. The envisioned approach to connect three crystalline Si cells in series affords flexibility in achieving impedance matching as compared to a tandem cell concept, in which impedance matching has to be realized between the electrochemical load and the photovoltaic element and between the three light-absorbing elements within the solar cell. In the “series approach,” impedance matching is only required between the photovoltaic element and the electrochemical load.

In conclusion, we demonstrated the successful integration of the water-splitting Co-Pi catalyst with a crystalline Si solar cell. That most of the voltage of the solar cell can be utilized to lower the onset potential for oxidation is a promising result. The device presented here serves as a proof of principle that photoelectrochemical water splitting may be achieved with crystalline Si photovoltaics and inexpensive catalysts at neutral pH, thus pointing the way to the development of inexpensive integrated devices for the direct solar-to-fuel conversion based on water splitting.

**Materials and Methods**

Boron-doped p-type silicon was used as a starting material and np-Si junction was created by phosphorous diffusion. The metallic contacts and the passivating layers were deposited on the np-Si wafer by sputtering methods. Details of the sample fabrication are provided in SI Appendix. Standard electrochemical techniques were used to electrodeposit the Co-Pi on Si-junction cells. The current-voltage (Tafel) behavior of the Co-Pi catalyst deposited on silicon in the region of water oxidation was measured over a 200 mV range in 10–30 mV increments. Because the onset potential for water oxidation is a function of the illumination intensity (Fig. 4A), the Tafel plot shows the potential (corrected for the solution resistance) vs. the log of the current.
density. This approach is justified because we are primarily interested in Tafel slope, which does not change when using the absolute potential instead of the overpotential. Photoelectrochemistry measurements were done in a one-compartment cell with a flat fused silica surface; the light source was a Xe lamp equipped with a filter to modify the spectral distribution of the light, so as to match the solar spectrum. Faradaic efficiencies were measured fluorescence-based O₂ sensor techniques. More detailed descriptions of the electrochemical methods employed in these studies, IPCE, and other photoelectrochemical measurement methods are provided as SI Appendix. In addition, the SI Appendix provides additional data for CVs, Current-Voltage (IV) curves of solar cells, absorption spectra, and a comparison between two methods for calculating conversion efficiencies.


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